# Airborne Gamma-Ray Spectroscopy for Modeling Cosmic Radiation and Effective Dose in the Lower Atmosphere

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Abstract—In this paper, we present the results of an ~5-h airborne gamma-ray survey carried out over the Tyrrhenian Sea in which the height range (77–3066) m has been investigated. Gamma-ray spectroscopy measurements have been performed using the AGRS\_16L detector, a module of four 4L NaI(Tl) crystals. The experimental setup was mounted on the Radgyro, a prototype aircraft designed for multisensorial acquisitions in the field of proximal remote sensing. By acquiring high-statistics spectra over the sea (i.e., in the absence of signals having geological origin) and by spanning a wide spectrum of altitudes, it has been possible to split the measured count rate into a constant aircraft component and a cosmic component exponentially increasing with increasing height. The monitoring of the count rate having pure cosmic origin in the >3-MeV energy region allowed to infer the background count rates in the 40K, 214Bi, and <sup>208</sup>Tl photopeaks, which need to be subtracted in processing airborne gamma-ray data in order to estimate the potassium, uranium, and thorium abundances in the ground. Moreover, a calibration procedure has been carried out by implementing the CARI-6P and Excel-based program for calculating atmospheric cosmic ray spectrum dosimetry tools, according to which the annual cosmic effective dose to human population has been linearly related to the measured cosmic count rates.

*Index Terms*—Airborne gamma-ray spectroscopy (AGRS), atmospheric radon, cosmic effective dose, cosmic radiation, lower atmosphere.

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# I. INTRODUCTION

URING the last few decades, airborne gamma-ray spectroscopy (AGRS) has been demonstrated to be an extraordinarily powerful method for environmental monitoring, mineral exploration, and geological mapping. Although far from being a novel technique, the frontiers of AGRS and its applications are continuously pushed forward thanks to advances in multichannel processing, statistical methods for spatial resolution enhancement, and data analysis procedures [1]–[5].

The improvement of AGRS data quality evolved side by side with the integration of geological data via increasingly refined statistical and geostatistical methods: the combined effect of both aspects allowed to go beyond traditional mineral exploration and lead to the investigation of new multidisciplinary fields, like landslide monitoring [6], peat thickness estimation [7], prediction models for trees' growth [8], radioelement distribution in weathered materials [9], and precision agriculture [10]. Concurrently, the potentialities of the AGRS technique in the sector of homeland security have been widely explored in terms of feasibility of real-time identification of anthropogenic radionuclides on top of the natural background [11]-[15] and of merging and comparing results from multiregional AGRS campaigns performed in the framework of intercomparison exercises [16]. In the light of environmental contamination assessment, the detection of anthropogenic radionuclides emitting low energy gamma rays (e.g., <sup>137</sup>Cs and <sup>131</sup>I) together with the employment of new unmanned aerial vehicle devices, characterized by different detection performances compared with standard acquisition systems, are reawakening the effort in estimating detectors efficiencies and minimum detectable activities [17]-[19].

In order to address the AGRS new challenges, an adequate understanding and knowledge of the background spectral components is mandatory for processing airborne gamma-ray spectrometric data. Indeed, independently from the specific application, from the employed aircraft and from the particular radionuclides under investigation, cosmic radiation is an everpresent component: the better it is characterized, the easier its identification would be. In this context, this paper provides insights that are significative for multiple disciplines due to the diagonal nature of the topic of cosmic radiation in the environment.



Fig. 1. Picture of the Radgyro, the autogyro dedicated to multispectral airborne acquisitions, used for the AGRS surveys over the sea.

Galactic cosmic ray particles, with energies extending up to few  $10^{20}$  eV [20], [21], are produced outside the Solar System and are constituted by a nucleonic component (98%) and electrons (2%). The nucleonic component is primarily made up by protons (~85% of the flux) and alpha particles ( $\sim$ 12%), with a remaining fraction comprising heavier nuclei [22]. In entering the earth's atmosphere, these particles collide with atoms of air constituents, giving rise to cascades of secondaries, including neutrons, pions, muons, and gamma radiation. In airborne gamma-ray spectroscopy, counts collected in the range 3-7 MeV allow identifying the gamma component of cosmic rays, as the end point of gamma rays of terrestrial origin corresponds to the <sup>208</sup>Tl emission at 2.614 MeV. This information can be used not only for predicting the cosmic background in the  $^{40}$ K,  $^{214}$ Bi, and  $^{208}$ Tl photopeak energy windows but also for assessing the cosmic radiation dose to the human population.

The annual effective dose rate due to cosmic ray exposure averaged over the world's population has been estimated to be 0.38 mSv/y by United Nations Scientific Committee on the Effects of Atomic Radiation [22], although recent efforts have been done in order to give more accurate evaluations on the basis of advanced cosmic-ray fluxes calculation and refined grid databases of population and terrain elevation models [23]. These estimations take into account the amount of time people spend indoor (80% of the day) and the mean thickness of the walls acting as a shield for the cosmic radiation. Cosmic dosimetric measurements are generally focused on the assessment of air crew members exposure. There are also regional measurement campaigns addressing the question of outdoor population dose exposure [24]–[26]. In this context, the calibration of an airborne gamma-ray detector for the assessment of cosmic dose rates can provide a supplementary technique for the cosmic exposure assessment with respect to in situ measurements.

In this paper, we present the results of an  $\sim$ 5-h AGRS survey over the sea dedicated to the measurement of the gamma radiation originating from the aircraft materials and cosmic rays, which constitute a background source for the estimation of the gamma radiation of terrestrial origin coming from  $^{40}$ K,  $^{214}$ Bi (eU), and  $^{208}$ Tl (eTh). The AGRS nongeological

background radiation is investigated with 17 612 1-s measurements in a wide range of elevations (77–3066) m. The acquisition of spectra over water at a number of different heights indeed provides a way to split the constant contribution coming from the radioactivity of the aircraft from the height dependent contributions associated with cosmic radiation and, if present, with atmospheric radon [27]. Moreover, we study a linear calibration curve that allows converting count rates into the electromagnetic shower component of the cosmic effective dose (CED<sup>EMS</sup>) based on two cosmic ray dosimetry software tools: CARI-6P [28] and Excel-based program for calculating atmospheric cosmic ray spectrum (EXPACS) [29]. A procedure for the calculation of cosmic effective dose to human population (CED) is finally proposed.

# II. INSTRUMENTS AND METHODS

# A. Experimental Setup, Survey, and Data

Airborne gamma-ray surveys over the sea were carried out with the Radgyro (Fig. 1), a prototype aircraft dedicated to multispectral airborne measurements. The Radgyro is an autogyro specifically designed to host a large network of sensors that are able to investigate simultaneously and independently the electromagnetic spectrum in a variety of spectral ranges, from thermal infrared (13 000–7500 nm) to gamma radiation ( $3 \cdot 10^{-3}$ –4 ·  $10^{-4}$  nm). The high autonomy and payload (3 h for a 120-kg equipment weight), the modularity of the acquisition system, together with the possibility of time correlating the information coming from the different sensors, make the Radgyro a unique aircraft in the field of proximal remote sensing.

During the surveys, the Radgyro position is recorded every second by a GPS system composed of 2 u-blox EVK-6T antennas [30]. Gamma radiation is measured with the AGRS\_16L system, a modular scintillation detector hosted in the central region of the aircraft hull and composed of four 10 cm  $\times$  10 cm  $\times$  40 cm NaI(Tl) crystals, for a total detection volume of 16L. Each detector has a 1-mm thick stainless steel shielding and is coupled with a photomultiplier tube (PMT) base that receives the voltage supply from a power unit shared among all the sensors mounted on the aircraft. The signals are acquired in list mode (event by event) with the DT5740 module by CAEN S.p.A.

AGRS raw data are acquired event by event separately for each of the four NaI(Tl) detectors: each list mode file contains the time stamp of a given energy deposition (in units of digitizer clock) together with the corresponding acquisition channel. The list mode files are cut offline for each detector in order to produce 1-s acquisition spectra that subsequently undergo an energy calibration procedure. The latter is performed by determining with a Gaussian fit the positions of the prominent <sup>40</sup>K and <sup>208</sup>Tl photopeaks in 600s spectra acquired on the ground before the take off. A linear function is then fitted to the photopeaks' positions for estimating the energy corresponding to the first acquisition channel (keV) and the gain (keV/channel). Summing up the four calibrated spectra, it is possible to obtain the gamma-ray 1s spectrum acquired by the entire 16L detection volume, which has an energy end point of 7 MeV.

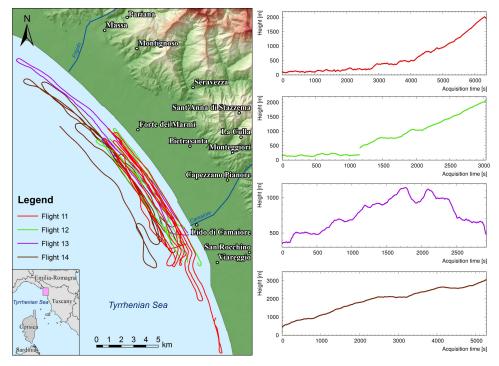


Fig. 2. (Left) Map of the effective flight lines of the surveys over the sea performed near Viareggio (Tuscany, Italy). The acquisition tracks are the ones corresponding to data points acquired at a minimum distance from the coast of 300 m. (Right) Altitude profiles for the different flights. On the x-axis, the effective acquisition time for each individual flight is reported (see Table I).

#### TABLE I

SUMMARY OF THE MAIN PARAMETERS FOR EACH OF THE FOUR SURVEYS OVER THE SEA. FOR EACH FLIGHT, THE ID, DATE, TIME, MINIMUM AND MAXIMUM ALTITUDE, AND ACQUISITION TIME ARE REPORTED, RESPECTIVELY. IN THE CASE OF FLIGHTS 11 AND 14, 83 AND 30 s HAVE BEEN CUT DUE TO SOME RADIOFREQUENCY INTERFERENCE BETWEEN THE PMT AND THE AIRCRAFT TRANSPONDER. THE LONG INTERRUPTION OF THE DATA TAKING OF FLIGHT 12 (2531 s) HAS BEEN IMPOSED BY CIVIL TRAFFIC OF THE PISA AIRPORT

Flight ID	Date	Time	z min [m]	z max [m]	Acquisition time [s]
11	30/03/2016 17:42:10 77 2019	2019	9 6370		
11	30/03/2010	19:29:43	11	2019	0370
12	31/03/2016	18:13:55	126	2070	3041
12	31/03/2010	19:46:47	120		
13	05/04/2016	11:39:53	348	1144	2924
	03/04/2010	12:28:36	540		
14	05/04/2016	16:37:16	461	3066	5277
14		18:05:43			
Global			77	3066	17612

For what concerns the Radgyro positioning, each GPS antenna produces two separate files, one containing the temporal information in terms of PC and GPS acquisition times and the second is a binary file that is processed with the goGPS software [31] for the extraction of the standard National Marine Electronics Association sentence. The mean 1-s position and altitude above sea level of the Radgyro is computed as the average of the coordinates obtained from the single GPS receivers. As both the radiometric and positioning data are acquired with the same PC, the computer time stamp is used for the synchronization of the different devices [30].

Airborne gamma-ray background calibration surveys were performed in a series of four flights over the Tyrrhenian Sea close to Viareggio (Tuscany, Italy) with typical horizontal and vertical velocities of  $\sim\!\!20$  and  $\sim\!\!0.8$  m/s, respectively. In order to avoid taking into account gamma-ray signals potentially spoiled by ground radiation, gamma-ray measurements acquired at a distance from the coast less than 300 m have been excluded from the analysis. In Fig. 2, the effective paths of the different flights are shown, which correspond to a total acquisition time of 17 612 s and an explored range of altitudes going from 77 to 3066 m. In Table I, a summary of the main parameters related to each of the four flights is shown.

According to the purpose of the experiment, the flight paths have been planned with the aim of investigating the entire reported range of heights with enough statistics for well constraining the analysis of the altitude-dependent gammaray cosmic component. This strategy, together with the flight conditions and the nonfeasibility for the Radgyro to hover at a given elevation, allowed us to collect the elevation flight statistics shown in Fig. 3.

# III. THEORETICAL MODELING AND DATA ANALYSIS

Airborne gamma-ray spectroscopy measurements are affected by background radiation, which can be considered as radiation not originating from the earth's surface and which has to be removed during data processing. The three major sources of background radiation are cosmic background, instrumental plus aircraft background, and atmospheric radon (222Rn).

The cosmic gamma background resulting from the interaction of cosmic secondary radiation interaction with the air, the aircraft, and the detector materials is foreseen to monotonically increase with increasing altitude. Concerning the energy dependence, the cosmic-induced gamma-ray energy spectrum is expected to have a polynomial dependence with

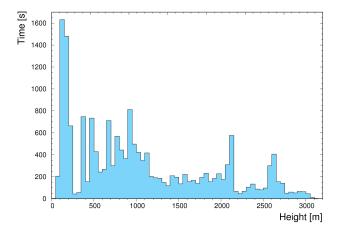


Fig. 3. Histogram describing the effective overall temporal statistics: the data taking time at a given survey altitude are shown, with an altitude binning of 50 m.

# TABLE II

FIT PARAMETERS OF THE CR ENERGY DEPENDENCE MODELED WITH (1) FOR TWO SPECTRA MEASURED AT 2100 AND 2650 m FOR, RESPECTIVELY, 870 AND 550 s. FOR EACH MEASURED SPECTRUM, THE FIT HAS BEEN PERFORMED IN THE FEW, IN THE CEW, AND IN THE CEW PLUS THE  $^{40}$  K,  $^{214}$  Bi, and  $^{208}$  Tl Photopeaks

z range [m]	Eit anargy range	$(a \pm \delta a)$ [cps]	$b \pm \delta b$	$(c \pm \delta c) [cps]$
z range [m]	Fit energy range	$(a \pm a)$ [cps]	U ± 0U	$(c \pm bc)$ [cps]
	FEW	$0.73 \pm 0.10$	$-1.62 \pm 0.40$	$0.02 \pm 0.03$
2050 - 2150	CEW	$0.44 \pm 0.42$	$-1.11 \pm 1.60$	$0.00 \pm 1.40$
	CEW + $^{40}$ K, $^{214}$ Bi and $^{208}$ Tl photopeaks	$0.54 \pm 0.04$	$-1.49 \pm 0.05$	$0.02 \pm 0.01$
	FEW	$0.90 \pm 0.11$	$-1.53 \pm 0.33$	$0.02\pm0.04$
2600 - 2700	CEW	$0.62\pm0.61$	$-1.14 \pm 1.66$	$0.00 \pm 1.87$
	CEW + $^{40}$ K, $^{214}$ Bi and $^{208}$ Tl photopeaks	$0.71 \pm 0.05$	$-1.45 \pm 0.03$	$0.02 \pm 0.01$

respect to gamma-ray energy [32]. The count rate (CR) energy dependence of the cosmic component is reconstructed according to a polynomial function having the following expression:

$$CR(E) = aE^b + c (1$$

where E is the gamma energy in megaelectronvolts and a, b, and c are constants for a spectrum measured at a given altitude. The energy dependence of the CR has been estimated by fitting the measured spectrum with the above model function both in the 0.8–7-MeV energy range and in the 3–7-MeV energy range, called, respectively, the full energy window (FEW) and the cosmic energy window (CEW). A third fit has been performed using as input data points the measured CRs in the CEW, plus the three points corresponding to the estimated CRs due to cosmic radiation in the  $^{40}$ K,  $^{214}$ Bi, and  $^{208}$ Tl photopeak energy windows (see Table III), which have been determined on the basis of the linear regression parameters reported in Table V.

In Table II, the results of this analysis in two different ranges of altitudes are reported. In both cases, radiometric data have been acquired above 2000 m, where the presence of atmospheric radon is negligible (see Section IV). Fig. 4 shows an example of background airborne gamma-

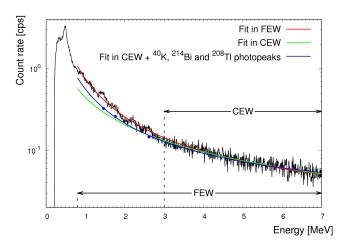


Fig. 4. Gamma-ray spectrum composed of 870 1s spectra acquired in the elevation range 2050–2150 m (black solid line). The red solid line shows the fitting curve obtained using as model function (1) and as energy fitting range the FEW. The green solid line shows the curve obtained by fitting the measured spectrum in the CEW. The blue points correspond to the CRs in the KEW, BEW, and TEW associated with the cosmic induced background and obtained on the basis of the linear relation having as parameters the ones reported in Table V. The blue solid line is the result of the fit of the measured spectrum in the CEW and of the blue points.

ray spectrum measured with the AGRS 16L together with the three curves resulting from the different fitting procedures. From this exercise, it is possible to evince that the fitting of the measured spectrum is dependent on the energy range chosen, as the spectral shape under reconstruction contains different pieces of information in the CEW and in the FEW. Using only the CEW for constraining the cosmic spectral shape from one side assures the pure cosmic nature of the counting statistics, but on the other side, the sole reconstruction of the spectral high energy tail prevents a correct estimation of the curve slope in the low energy range as emphasized by the large uncertainties on the best fit parameters. By fitting in the FEW, the steep behavior at low energies is reproduced: however, in this case, the measurement under reconstruction contains not only the cosmic contribution to the signal but also the signal coming from the equipment radioactivity and in particular from the <sup>40</sup>K, <sup>214</sup>Bi, and <sup>208</sup>Tl decay series. On the other hand, the idea behind the third fitting approach is to reinforce the fit performed using the sole count rates in the CEW with the addition of three relatively well-separated points corresponding to the cosmic CRs in the <sup>40</sup>K, <sup>214</sup>Bi, and <sup>208</sup>Tl photopeak energy windows. Among the abovementioned three strategies, this is the one providing the most reliable estimation of the cosmic spectral shape in the FEW.

Instrumental and aircraft backgrounds correspond to the constant gamma signal generated by trace amounts of K, U, and Th contained in the detector materials and ancillary equipment, together with the aircraft material itself. <sup>222</sup>Rn, the only gaseous daughter product of the <sup>238</sup>U decay chain, can escape from rocks and soils and, considering its 3.8-day half-life, can accumulate in the lower atmosphere. Its gamma-emitting daughter nuclei <sup>214</sup>Bi and <sup>214</sup>Pb can attach to airborne aerosols and dust particles, giving rise to the atmospheric radon background gamma signal [33]. The determination of the K, U, and Th ground concentrations during an airborne

#### TABLE III

Energy Windows for Natural and Cosmic Radiation Used for the Background Calibration of the  $AGRS\_16L$  System.

THE LAST TWO COLUMNS REPORT FOR EACH ENERGY
WINDOW THE MEASURED CR FOR GAMMA-RAY
SPECTRA ACQUIRED AT THE ALTITUDE

RANGE 2050–2150 AND 2600–2700 m, RESPECTIVELY

Energy	Emission	Energy	Measured CR [cps]	Measured CR [cps]
Window	line [keV]	range [keV]	(2050 - 2150) m	(2600 - 2700) m
KEW	1460	1370 - 1570	12.2	15.0
BEW	1765	1660 - 1860	8.7	11.1
TEW	2614	2410 - 2810	8.8	11.9
CEW	/	3000 - 7000	41.9	54.8

gamma-ray survey relies on the estimation of the background corrected CRs recorded in the  $^{40}$ K,  $^{214}$ Bi (eU), and  $^{208}$ Tl (eTh) photopeak energy windows, called KEW, BEW, and TEW, respectively (see Table III).

Aircraft and cosmic background calibration flights are usually performed offshore for a typical altitudes range of 1500–3000 m above the ground level in order to avoid the contamination from terrestrial radiation and radon decay products [34]. In this scenario, as the instrumental background is supposed to be constant and the gamma cosmic background is expected to exponentially increase with increasing height, the measured CRs in the *i*'th energy window during a calibration flight over the sea is predicted to follow the subsequent equation:

$$n^i(z) = A^i e^{\mu^i z} + B^i \tag{2}$$

where  $n^i$  is the CR in the *i*'th energy window (with i = KEW, BEW, TEW, and CEW)  $A^i$ ,  $\mu^i$ , and  $B^i$  are constants [27], [34].

The CRs in the natural radionuclides' energy windows are expected to be linearly related to the count rate in the CEW, as stated in the following equation:

$$n^i = a^i + b^i n^{\text{CEW}} \tag{3}$$

where  $n^i$  is the CR in the i'th energy window (with i = KEW, BEW, and TEW),  $a^i$  is the aircraft background CR in the i'th energy window,  $b^i$  is the cosmic stripping ratio (i.e., the cosmic background CR in the i'th energy window normalized to unit counts in the CEW), and  $n^{CEW}$  is the CR in the CEW. The parameter  $a^i$  is the expected CR for null cosmic CR and therefore represents the constant background component generated by the Radgyro and by the detectors' materials. Determining these linear functions for the natural radionuclides energy windows allows correcting the CRs measured at a given height during regional AGRS surveys for the aircraft- and height-dependent cosmic ray backgrounds, provided the monitoring of the CR in the CEW.

Equation (2), as well as (3), holds in the absence of any terrestrial and atmospheric radon radiation component. A potential radon contamination in any case would act on the CRs in the KEW and BEW but not on the CRs in the TEW and CEW as they are not affected by the lower energy gamma emissions of radon daughter nuclei. The presence of a radon background component in the measured CRs can be generally

identified as a breakdown of the linear relationship between the cosmic and the <sup>214</sup>Bi CRs at low elevations [35]. The estimated CRs in the energy windows of interest have been clustered according to an altitude binning of 15 m, which is conservative with respect to the estimated accuracy on the vertical position resulting from the combination of all the altimeters present on board of the Radgyro [30]. The CRs used as input for the background modeling are therefore estimated summing all the input CRs acquired in the same elevation bin and dividing by the number of 1s spectra entering the summation.

The parameters of the exponential curves  $A^i$ ,  $\mu^i$ , and  $B^i$  have been determined via the minimization of the  $\chi^2$  function

$$\chi_{\text{exp}}^{2} = \sum_{j=1}^{\text{nbin}} \left[ \frac{n_{j}^{i} - (A^{i} e^{\mu^{i} z_{j}} + B^{i})}{\sigma_{n_{j}^{i}}} \right]^{2}$$
(4)

where nbin is equal to the number of elevation bins entering the  $\chi^2$  minimization,  $n_j^i$  is the average CR obtained for the j'th elevation bin in the i'th energy window,  $z_j$  is the average elevation obtained for the j'th elevation bin, and  $\sigma_{n_j^i}$  is the one sigma uncertainty associated with the counting statistics, corresponding to the square root of the total counts recorded at  $z_j$  in the i'th energy window divided by the acquisition time at  $z_j$ .

The objective  $\chi^2$  function to be minimized for determining the linear curve parameters has instead to be built taking into account not only the statistical error associated with the quantity  $n^i$  but also the uncertainty on the "independent variable"  $n^{\text{CEW}}$ . Therefore, the adopted definition for the  $\chi^2$  function is

$$\chi_{\text{lin}}^{2} = \sum_{j=1}^{\text{nbin}} \frac{\left[n_{j}^{i} - \left(a^{i} + b^{i} n_{j}^{\text{CEW}}\right)\right]^{2}}{\left(\sigma_{n_{i}^{i}}\right)^{2} + \left(b^{i} \sigma_{n_{i}^{\text{CEW}}}\right)^{2}}.$$
 (5)

Monitoring the CEW in principle can be used for estimating the CED to human population. Gamma-ray spectrometers for dosimetric measurements are generally calibrated by exposing them to certified radiation fields, which can be collimated beams at irradiation facilities, calibrated radioactive point sources with known activities covering both high and low energy ranges, or calibration pads generally made of concrete and doped with radionuclides of known gamma dose rates [36]–[38].

In the last decades, various codes devoted to the calculation of the aircraft crew's exposure to cosmic radiation have been developed on the basis of Monte Carlo techniques, analytical solutions, and empirical data fitting [39]–[41]. Since most of them are user friendly and well tested, their adoption for the calibration of an AGRS detector for cosmic dose estimation can be a valid option with respect to traditional characterization procedures. The popular software CARI-6P allows calculating the different components of the cosmic effective dose received by an individual at typical cruise altitudes by relying on analytic calculations of particle transport through the atmosphere [28]. The EXPACS dosimetry tool permits to model the fluxes of different cosmic particles in the lower atmosphere thanks to air shower simulation performed by particle and heavy ion transport code system [29].

Both codes require information on the altitude, the geographic location, and the time period, the latter related to changes in the earth's magnetic field and in solar activity. Since the count rate in the CEW measured by a gamma spectrometer during a calibration flight is related to the electromagnetic shower (CED<sup>EMS</sup>), knowing the temporal and spatial coordinates of the survey, it is possible to characterize a calibration curve, which depends on the detector and on the dosimetry software tool. Once the calibration parameters have been calculated, subsequent AGRS acquisitions can provide a direct experimental measurement of the CED<sup>EMS</sup>, which can be checked *a posteriori* with the estimation given by the dosimetry code.

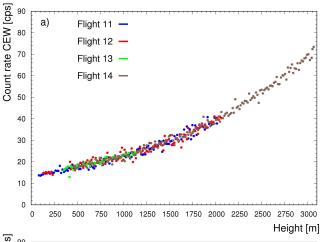
# IV. RESULTS AND DISCUSSION

In this section, we report the results regarding the background calibration of the AGRS\_16L spectrometer performed via the analysis of 1-s gamma ray spectra acquired during a 17612-s airborne survey over the sea. For <sup>40</sup>K and <sup>214</sup>Bi, the relation between  $n^i$  and the altitude above the sea level is not guaranteed to be purely exponential down to low elevations, as the CRs in the <sup>40</sup>K and <sup>214</sup>Bi photopeaks may be contaminated by the presence of atmospheric radon. As already mentioned, this potential contamination also translates in a deviation from a purely linear relation between  $n^i$  and  $n^{CEW}$  at low elevations. The concentration of <sup>222</sup>Rn in the atmosphere can change considerably according to the different diffusion conditions. Nevertheless, above 1000–1500 m, mean <sup>222</sup>Rn concentrations of the daytime atmosphere drop sharply to values compatible with zero (around  $2\pm 2 \text{ Bg/m}^3$ ) and then slowly reduce further with height until they reach  $0.3 \pm 0.4$  Bq/m<sup>3</sup> above 3000 m [42]. In our analysis, the CRs in the KEW and in the BEW are conservatively studied only for altitudes greater than 2000 m.

Fig. 5(a) shows the experimental CRs in the CEW, distinguished by color according to the different flight IDs: the homogeneity of this partial data sets assures that there are no systematic effects related to the different acquisition times. Fig. 5(b) shows the experimental data for the CRs in the CEW obtained from the entire data set, with the superimposed curve resulting from the minimization of the  $\chi^2$  function described by (4). The values of the fitting curve parameters are reported in Table IV.

The 1.12 reduced chi-square value denotes a good agreement between the model function and the experimental data. Although the parameters A and B in the CEW (Table IV) are affected by uncertainties having different order of magnitudes, at the nominal 100-m survey height of an airborne survey, the two uncertainties separately produce approximately the same variation on the estimated CRs, which is below 3%. Thanks to the high acquisition statistics and to the wide range of investigated altitudes, the fit well constraints the value of the  $\mu$  parameter entering the exponential dependency, which is estimated with an uncertainty of 2%.

Fig. 6 shows the experimental CRs in the TEW evaluated on the entire data set, together with the best fit exponential curve, whose parameters values are listed in Table IV. Also in this case, the reduced chi-square value reflects the high



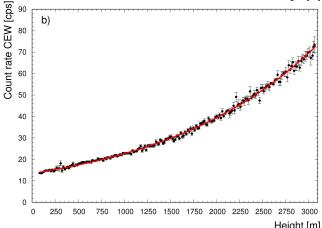


Fig. 5. (a) CR in the CEW as a function of the altitude for the four different flights carried out during the background calibration survey over the sea. Data from different flights sit on top of each other, excluding systematic effects associated with the different acquisition times. (b) CR in the CEW obtained from the entire data set (black points) as a function of the altitude with the superimposed exponential fit function (red solid line). Each point populating the global data set has been obtained by clustering with an altitude binning of 15 m the spectra measured in that specific height range, disregarding any flight ID classification.

data quality as well as the goodness of the model function in interpreting the measured CRs. The impact of the fitting parameter uncertainties on the estimated CR is negligible for what concerns  $\mu$  while the uncertainties on A and B in the TEW individually give rise to a 5% variation of the predicted CR at 100 m.

For both the CEW and the TEW, the minimization of the  $\chi^2$  functions defined by (4) has been performed over the whole altitude range, corresponding to 200 height bins having a 15-m width. In both cases, it is possible to recognize the presence of high statistics experimental points for height values below 200 m and around approximately 900, 2100, and 2650 m, which reflect the time flight statistics illustrated in Fig. 3. As a result of the definition of the objective  $\chi^2$  function, the discrepancy between the fitting function and the data is minimum in correspondence of the experimental points having the smallest statistical uncertainty.

In [34] and [33], an analogous study of the CR in the TEW as function of altitude is shown: this kind of reconstruction is carried out in both cases with a NaI spectrometer having 33.6 L

TABLE IV

Fit Parameters of the Model Curve Formulated by (2) Describing the CR Dependence With Respect to the Elevation for the CRs Measured in the TEW and in the CEW. The Last Column Reports the Value of the Reduced  $\chi^2$  Obtained at the End of the Minimization Procedure

Energy Window	$(A \pm \delta A)$ [cps]	$(\mu \pm \delta \mu) [\mathrm{m}^{-1}]$	$(B \pm \delta B)$ [cps]	Reduced $\chi^2$
TEW	$2.4 \pm 0.2$	$(5.5 \pm 0.2) \cdot 10^{-4}$	$1.6 \pm 0.2$	0.94
CEW	$11.4 \pm 0.3$	$(5.9 \pm 0.1) \cdot 10^{-4}$	$2.0 \pm 0.4$	1.12

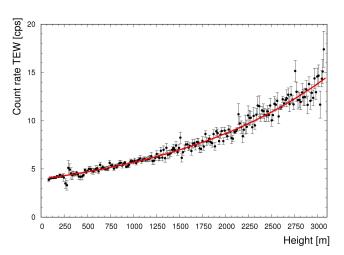


Fig. 6. Plot of the experimental CR in the TEW as a function of the altitude (black points) together with the corresponding fitting curve (red solid line).

volume, which precludes the possibility of a direct comparison with the results of this paper. However, from a qualitative point of view, it emerges that the  $\mu$  coefficient entering the exponential dependence (and essentially quantifying the rate of increase of the counting statistics) is for the three cases in the range  $(4-6) \cdot 10^{-4}$  m<sup>-1</sup>. Previous studies focused on a different altitude range, from around 1500 to 4500 m: in this framework, this paper demonstrates that the CR both in the CEW and in the TEW maintains its exponential behavior down to tens of meters above sea level.

The analysis of the exponential trend of the CRs with respect to the altitude could have been done in principle also for the CRs in the KEW and in the BEW, restricting the fitting domain to the range of altitudes greater than 2000 m. However, as the slope of the CR increase with respect to the altitude is small in the 2000–3000-m height domain, fitting in the 2000–3000-m height domain would suffer the lack of the low altitude tail, producing incorrect extrapolations down to sea level. This point can be a trigger for a deeper investigation, as it can potentially be a way for exploring the content of <sup>222</sup>Rn in the lower atmosphere [35].

Fig. 7 shows the experimental data with the superimposed linear curve resulting from the minimization of the  $\chi^2$  function described by (5), where the number of bins is equal to 200 for the TEW and is equal to 72 for the KEW and the BEW. Table V lists the fitting parameters together with the associated uncertainties and the reduced  $\chi^2$  value, which is almost one for all the three energy windows. In the perspective of using the linear relations for applying the window analysis

# TABLE V

FIT PARAMETERS OF THE MODEL CURVE FORMULATED BY (3) DESCRIBING THE DEPENDENCE OF THE COUNT RATES IN THE KEW, BEW, AND TEW WITH RESPECT TO THE CR IN THE CEW. THE LAST COLUMN REPORTS THE VALUE OF THE REDUCED  $\chi^2$  Obtained at the End of the Minimization Procedure

Energy Window	$(a \pm \delta a)$ [cps]	(b $\pm$ $\delta$ b) [cps/cps in CEW]	Reduced $\chi^2$
KEW	$3.7 \pm 0.4$	$0.20\pm0.01$	1.00
BEW	$2.0 \pm 0.4$	$0.16 \pm 0.01$	1.02
TEW	$1.58 \pm 0.04$	$0.179 \pm 0.002$	1.02

method [43] to airborne gamma-ray spectra, the uncertainties estimated in Table V are relevant for attempting an evaluation of systematics associated with aircraft and cosmic background corrections. With the hypothesis of flying at 100-m height, the mentioned background CR is  $(6.5 \pm 0.5)$  cps in the KEW,  $(4.3 \pm 0.6)$  cps in the BEW, and  $(4.1 \pm 0.1)$  cps in the TEW.

For the CR in the TEW, as both the exponential and linear curve reconstructions have been performed, it is possible to check the consistency of the obtained results according to the existing relations among the fit parameters. On the basis of the expected value of the CRs in the CEW and in the TEW at zero altitude, it is also possible to establish the following relationship among fit parameters:

$$A^{\text{TEW}} + B^{\text{TEW}} = a^{\text{TEW}} + b^{\text{TEW}} (A^{\text{CEW}} + B^{\text{CEW}}). \quad (6)$$

Adopting the parameters reported in Table IV, one can calculate the left-hand side of (6), which corresponds to (4.0  $\pm$  0.4) cps. The right-hand side of the equation can be estimated using the parameters listed in Tables IV and V, which provide a count rate of (4.0  $\pm$  0.2) cps. The perfect agreement gathered from this analysis is an important internal consistency check of the goodness of both the exponential and linear model function in interpreting the experimental data.

Equation (6) describes the sum of the constant aircraft CR plus the minimum cosmic CR component, corresponding to the one determined at zero altitude. As the right-hand side of (6) can be calculated not only for the TEW, but also for the KEW and for the BEW, it is possible to estimate the minimum detectable CRs for the three energy windows of interest. These counting statistics can be naively converted to equivalent K, U, and Th abundances homogeneously distributed across an infinite flat earth by means of sensitivity coefficients obtained from a dedicated ground calibration campaign on natural sites. According to this approach, it is possible to estimate that the AGRS\_16L detector cannot measure K, U,

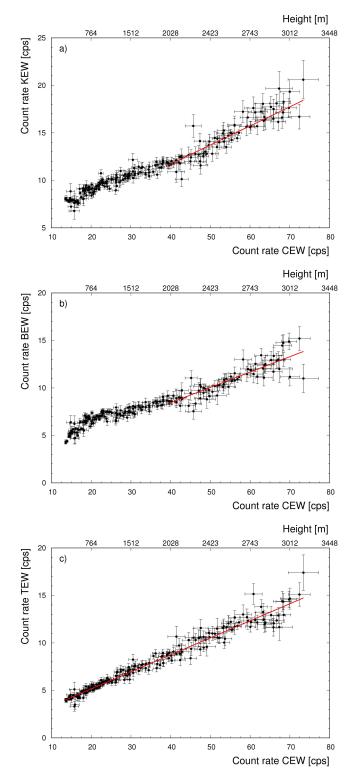


Fig. 7. The experimental CRs (black points) in the (a) KEW, (b) BEW, and (c) TEW as a function of the CR in the CEW, together with the corresponding fitting curve (red solid line).

and Th concentrations lower than  $0.05 \cdot 10^{-2}$  g/g (15.7 Bq/kg), 0.4  $\mu$ g/g (4.9 Bq/kg), and 0.8  $\mu$ g/g (3.2 Bq/kg), respectively. In Fig. 8, the CED<sup>EMS</sup> calculated with the CARI-6P and EXPACS dosimetry tools shows an evident linear relation with the measured  $n^{\text{CEW}}$  values. By fitting the scatter plots with

$$CED^{EMS} = a_{CED^{EMS}} + b_{CED^{EMS}} n^{CEW}$$
 (7)

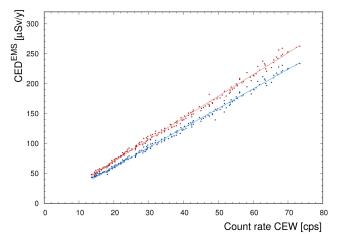


Fig. 8. CED<sup>EMS</sup> obtained by running the CARI-6P (blue points) and the EXPACS (red points) software with fixed location (Viareggio,  $43^{\circ}56'\text{N}-10^{\circ}14'\text{E})$  and fixed date (March 31, 2016) corresponding to the data taking conditions versus the experimental CR in the CEW. The linear fitting curves [see (7)] have best fit parameters equal to  $a_{\text{CEDEMS}} = (-4.16 \pm 0.59)~\mu\text{Sv/y}$  and  $b_{\text{CEDEMS}} = (3.26 \pm 0.02)~\mu\text{Sv/(y} \cdot \text{cps)}$  for CARI-6P (light blue solid line) and  $a_{\text{CEDEMS}} = (-1.67 \pm 0.67)~\mu\text{Sv/y}$  and  $b_{\text{CEDEMS}} = (3.62 \pm 0.02)~\mu\text{Sv/(y} \cdot \text{cps)}$  for EXPACS (light red solid line).

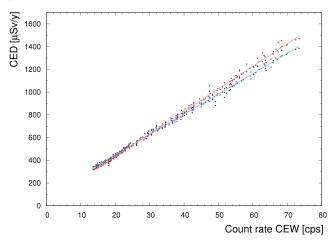


Fig. 9. CED obtained by running the CARI-6P (blue points) and the EXPACS (red points) software with fixed location (Viareggio, 43°56'N–10°14'E) and fixed date (March 31, 2016) corresponding to the data taking conditions versus the experimental CR in the CEW. The linear fitting curves [see (8)] have best fit parameters equal to  $a_{\rm CED} = (90.9 \pm 3.1)~\mu {\rm Sv/y}$  and  $b_{\rm CED} = (17.9 \pm 0.1)~\mu {\rm Sv/(y} \cdot {\rm cps})$  for CARI-6P (light blue solid line) and  $a_{\rm CED} = (36.6 \pm 3.4)~\mu {\rm Sv/y}$  and  $b_{\rm CED} = (19.9 \pm 0.1)~\mu {\rm Sv/(y} \cdot {\rm cps})$  for EXPACS (light red solid line).

an excellent (more than 0.99)  $r^2$  coefficient of determination has been obtained in both cases. On the basis of the  $a_{\rm CEDEMS}$  and  $b_{\rm CEDEMS}$  parameters reported in Fig. 8, the AGRS\_16L detector is calibrated for future measurements of CED<sup>EMS</sup>. Although the described calibration method is clearly model dependent, the average discrepancy among CED<sup>EMS</sup> estimations is  $\sim 10\%$ , which is not so far from the typical uncertainties obtained with traditional methods.

For fixed detector and dosimetry tool, the slope and intercept parameters of (7) are not expected to vary significantly for different geomagnetic latitude and solar activity. On the other hand, the total CED also comprises a muon and a neutron component (respectively, dominant at sea level and at high altitudes), together with additional minor contributions due to protons and He and heavy ions. In the Appendix, we study the

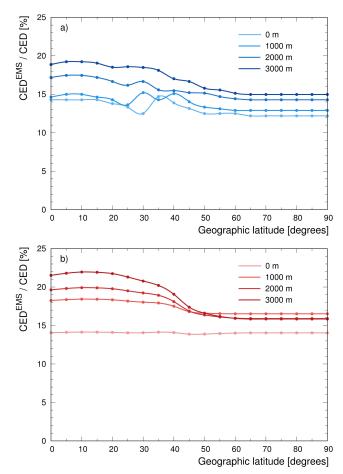


Fig. 10. CED<sup>EMS</sup>/CED ratios as a function of the geographic latitude calculated for a medium solar activity (March 31, 2016) and for four different altitudes (0, 1000, 2000, and 3000 m) using the (a) CARI-6P and (b) EXPACS dosimetry tools.

relation between CED and  $n^{CEW}$  (see Fig. 9): in the temporal and spatial domain of our data taking, a linear relation between these two quantities is clearly observed for both CARI-6P and EXPACS calculations. Since the CED varies with geomagnetic latitudes and solar activities, the obtained linear curve parameters change for different data taking conditions. However, in the typical altitude range of AGRS surveys (z < 200 m), the maximum variation of the CED due to solar activity rarely exceeds 5%. In Fig. 10 of the Appendix, the ratio CED<sup>EMS</sup>/CED is shown as a function of the geographic latitude for four different altitudes, for a medium solar activity. As expected, the CED<sup>EMS</sup>/CED ratio increases with increasing altitude, going from  $\sim$ 14% at 0 m to  $\sim$ 17% at 3000 m. A rule of thumb that can be formulated is that the ratio CED<sup>EMS</sup>/CED~0.15, where it has to be kept in mind that changing location, solar activity, and dosimetry tool could bother this estimation.

# V. CONCLUSION

This paper illustrates the results of an ~5-h airborne offshore survey dedicated to the AGRS\_16L detector calibration for the gamma background signal originating from cosmic radiation and equipment radioactivity and for the assessment of cosmic effective dose to human population. This airborne campaign has been conducted with the Radgyro, an ultralight aircraft dedicated to multispectral airborne surveys,

and has the peculiarity of having investigated a wide range of altitudes above sea level (77–3066 m). The acquisition of 17612 1s spectra over the sea at different altitudes allowed to separate the background count rate into a constant aircraft component and a cosmic component exponentially increasing with increasing height.

A statistical analysis has been performed to determine the parameters that linearly relate the count rate (CR) in the energy windows associated with the K, U, and Th photopeaks and the counting statistics recorded in the CEW in which no event coming from terrestrial radioactivity is expected. By monitoring the CR in the CEW and by applying the obtained linear relations, it is possible to calculate for every airborne gamma-ray spectrum the background CRs in the photopeaks of interest that need to be subtracted prior the implementation of the height and stripping corrections before finally convert corrected elemental CRs to ground abundances. Minimum detectable K, U, and Th abundances have been inferred from the minimum detectable CRs in the KEW, BEW, and TEW, which correspond to the overall background CRs at zero altitude. On the basis of ground sensitivity coefficients, it is possible to assess that the minimum detectable abundances of the AGRS 16L detector are  $0.05 \cdot 10^{-2}$  g/g,  $0.4 \mu$ g/g, and  $0.8 \mu g/g$ , for K, U, and Th, respectively.

For the CRs in the CEW and in the TEW, the exponential increase in counting statistics with respect to the altitude has been reconstructed, providing as argument for the exponential function a  $\mu$  coefficient of  $6 \cdot 10^{-4}$  m<sup>-1</sup>, which is comparable with the values published in [34] and [33]. Moreover, the analysis of the CRs in the TEW highlighted a perfect internal consistency among linear fit and exponential fit parameters. The exponential analysis for the CRs in the KEW and in the BEW was unfeasible due to the application of a low altitude cut to the data set (z > 2000 m), which allowed to exclude potential contamination caused by atmospheric <sup>222</sup>Rn. This point, however, deserves a deeper investigation as deviations from purely exponential/linear behaviors could in principle be used to quantify the atmospheric <sup>222</sup>Rn abundance at different elevations [35].

The AGRS\_16L has also been calibrated for assessing the electromagnetic shower component of the cosmic effective dose (CED^{EMS}) to human population using as calibrating reference the dose rate values obtained separately with the CARI-6P and EXPACS software. The relation between the CR in the CEW and the CED^{EMS} has been found to be linear. Although this approach for calibrating an AGRS detector for CED^{EMS} is clearly model dependent, the results are in agreement at  $\sim\!10\%$  level. This quality of this estimation is comparable with traditional approaches. Finally, we observed a good linear relation between the cosmic effective dose (CED) and the count rate in the CEW (Fig. 9) as well as an almost constant profile of the CED^{EMS}/CED ratios at different latitudes of about 15% for typical AGRS survey altitudes.

# APPENDIX

The purpose of this section is to investigate the possibility of inferring the cosmic effective dose starting from a direct count rate measurement performed with an AGRS detector. In Fig. 9,

we report the CED, calculated with the CARI-6P and EXPACS dosimetry software as a function of the measured  $n^{\text{CEW}}$ , together with the linear fitting curves defined according to the following equation:

$$CED = a_{CED} + b_{CED}n^{CEW}.$$
 (8)

An excellent linear relation between CED and  $n^{\text{CEW}}$  characterized by an  $r^2$  coefficient of determination greater than 0.99 is observed for both dosimetry tools.

With the purpose of testing how a change of latitude in AGRS surveys could affect the CED estimation, we reconstruct the CEDEMS/CED ratios along a meridian at different altitudes. In Fig. 10, we show the CEDEMS/CED ratios calculated with the CARI-6P and EXPACS dosimetry software as a function of the geographic latitudes in the 0-3000-m range. In both cases, it is possible to observe that the ratio generally increases for increasing altitude and that it reaches a plateau for latitudes greater than 50°. For varying solar activities, the calculated CEDEMS/CED profiles follow the same trends with a negligible variation with respect to the medium solar activity scenario of Fig. 10. Finally, as the CEDEMS/CED profile is reasonably smooth in the typical AGRS altitude range (z < 200 m), this evidence adds a point in favor of the presented method for the estimation of the CED using direct gamma-ray measurements.

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