

1 The CLAS12 RICH readout electronics:
2 design, development and test

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Introduction

179 **Motivation, Goals, State of the Art** The new generation of RICH
180 detectors anticipates challenging requirements for the readout systems such
181 as high granularity, high rates, low dead time and low power consumption.
182 In addition, readout systems should often match severe constraints in terms
183 of mechanical fit, material budget, radiation hardness and must have enough
184 flexibility to adapt to different experimental conditions. The current focus is
185 on readout electronics for large arrays of multi-anode single photon sensors.

186 It is worth to mention that the technological development of the lat-
187 est year, with unprecedented rate, has been pushed not only by physics or
188 astrophysics but also from other fields. Among these, are the medical field,
189 where imaging techniques derived from physics are used to reach high spatial
190 resolution and sensitivity, and the electronics industry, that created highly
191 integrated application specific circuits and programmable hardware allowing
192 high specialization and high flexibility at the same time.

193 The main objective of this thesis is to provide a description of the recently
194 developed multi-channel front end electronics for the RICH detector of the
195 CLAS12 experiment at JLab. The cutting edge technology is now at the
196 picosecond level and many asics are available to readout pulse shapes like
197 in a real time oscilloscope. The RICH electronics is rather based on mature
198 technology and well tested components to match the time constraints for
199 installation and assure a reliable running for few years of operation without
200 the need of access for maintenance. The RICH electronics were developed
201 in a compact and modular way, to be able to serve small installations (i.e.
202 for R&D) as well as large-area detectors, and complemented by a flexible
203 software easy to interface with different environments.

204 **Guide to Chapters** Chapter 1 is an introduction to the scientific frame-
205 work in which the thesis is conducted. It presents the motivations and the
206 general layout of the RICH and its electronics requirements for its read-
207 out. Chapter 2 follows with a detailed description of design at hardware,
208 firmware and software level. Once the system has been presented, Chap-

209 ter 3 and Chapter 4 are dedicated to the performance characterization and
210 single photoelectron response optimization. Chapter 5 is about real condi-
211 tion testing in radiation environments and for Cherenkov light detection and
212 measurements. Finally the Chapter 6, in addition to the conclusion of the
213 entire work, presents few promising extension application where the designed
214 readout electronics can be used.

215 **Personal Contribution of the candidate** The author has contributed
216 from the beginning to all the phases of the project. He studied the exper-
217 imental constraints and provide solutions for the electronics resources, as
218 outlined in chapter 1. He contributed with stimulating ideas to the design of
219 the electronics and suggested improvements for reducing the interference be-
220 tween analog and digital lines and increase the number of resources on board,
221 as described in chapter 2. He develop the software library for run control,
222 data storage, parsing and analysis. The software suite is suitable for simple
223 testing stand-alone setups as well as for the real large-scale experiment and
224 was used in all the phases of the electronics development: pulser and laser
225 test benches, irradiation and beam tests. He realized the automatic protocol
226 for the tile validation, characterization, calibration and use, as detailed in
227 chapter 3. He tested the performance with photo sensors, as discussed in
228 chapter 4. He was in charge of the readout system in all the experimental
229 campaigns performed during the project, as described in chapter 5.

230 Chapter 1

231 The CLAS12 RICH Project

232 One of the world leading laboratories for the study of nature at nuclear and
233 sub-nuclear scales is Jefferson Lab (JLab) where the Continuous Electron
234 Beam Accelerator Facility (CEBAF) has been recently upgraded to reach 12
235 GeV energy and access smaller scale of investigations. A new experimental
236 hall was built and the three pre-existing halls renewed their spectrometers
237 to refine the performance on the extended energy range. The CEBAF Large
238 Acceptance Spectrometer (CLAS12) in Hall-B, that is receiving beam for
239 the first time during the writing of this thesis, is going to use in few months
240 an innovative RICH detector to improve its particle identification capability.
241 This chapter introduces the RICH detector, its role in CLAS12, and the
242 motivation that inspired the construction of the counter. After a general
243 description of the detector layout and components, the specific requirements
244 for the RICH readout electronics are discussed. The definition of the services
245 (power supply, DAQ, gas system, cooling and interlock) and their routing in
246 the experimental hall are also outlined being part of the present work.

247 1.1 Scientific objectives

248 Lepton Deep-Inelastic Scattering (DIS) is the basic tool for determining the
249 fundamental structure of matter, in particular of the nucleon, the founding
250 block of our observable physical world. Experiments using high energy lep-
251 ton beams have successfully tested the theory of Quantum Chromodynamics
252 (QCD), which describes the strongly interacting matter in terms of the basic
253 quark and gluon degrees of freedom. The successful prediction of the scale
254 dependence of the parton distributions, which were introduced to describe
255 the complex structure of the nucleon, has been one of the great triumphs of
256 perturbative QCD.

257 Nevertheless surprising phenomena have been observed that are await-
258 ing an explanation since decades, i.e. the small fraction of the nucleon spin
259 generated by the parton spin and the single-spin asymmetries in hadron in-
260 teractions. Lately they have been related to the complex parton dynamics
261 into a confined object (nucleon) and an increasing interest has been focused
262 on the partonic transverse degrees of freedom. These studies can be com-
263 pleted only in conjunction with the flavor information that can be accessed
264 by exploiting particle identification in the final state. RICH detectors are
265 powerful and sophisticated instruments to provide excellent hadron identifi-
266 cation and may have an impact in several flagship investigations planned at
267 CLAS12.

268 1.1.1 Nucleon structure: towards a 3D image

269 One of the most surprising results of thirty years of explorations in Hadronic
270 Physics, is the evidence that only an unexpectedly small fraction, about a
271 quarter, of the proton's spin can be ascribed to the contribution of quark
272 and antiquark spins. This finding has triggered a vast experimental and
273 theoretical activity aiming at clarifying the role gluon and parton orbital an-
274 gular momenta play for a complete description of the proton spin structure.
275 New concepts as Transverse Momentum Dependent (TMD) parton distribu-
276 tion and fragmentation functions, which go beyond the historical collinear
277 approximation, are a key to unravel the intricacies of the parton dynamics
278 inside a confined object like the nucleon. They can shed light on the possible
279 connections between the parton orbital motion and the spin of the nucleon,
280 which cannot be described with standard (e.g. collinear) parton distribu-
281 tions. TMD distributions together with the so-called Generalized Parton
282 Distributions (GPDs) provide for the first time a framework to obtain infor-
283 mation towards a genuine multi-dimensional momentum and space resolution
284 of the nucleon structure [1]. This knowledge will likely have an important
285 impact to other fields of nuclear and high-energy physics [2].

286 The mapping of GPDs and TMD distributions and the deduction of a
287 three-dimensional image of the nucleon is a major focus of the hadron physics
288 community and constitutes a milestone in the physics program of the JLab
289 12 GeV upgrade [3].

290 While GPDs can be probed in hard exclusive processes where the nucleon
291 stays intact and the final state is fully observed, TMD distributions are most
292 successfully measured in Semi-Inclusive Deep-Inelastic Scattering (SIDIS).
293 In SIDIS experiments, at least one hadron is detected in the final state in
294 addition to the scattered lepton. These experiments are the most powerful
295 tool for obtaining direct flavour-dependent information about the nucleon's

296 quark structure. In particular, they provide unique access to the elusive
297 strange quark distributions. Pioneering polarized semi-inclusive DIS exper-
298 iments have revealed surprising effects in various different kaon production
299 observables, which deviate from the expectations based on a u -quark domi-
300 nance for the scattering off a proton target. The peculiar kaon results point
301 to a significant role of sea quarks, and in particular the strange quarks. For
302 almost all kaon observables, the deviation from the expected behavior is most
303 pronounced in the kinematic region around $x_B = 0.1$ (x_B being the Bjorken
304 scaling variable), which is well covered by CLAS12. In order to fully explore
305 the power of SIDIS experiments, pion, kaon and proton separation over the
306 full accessible kinematic range is indispensable.

307 Measurements with kaons in semi-inclusive and hard-exclusive processes
308 will be crucial in understanding the underlying dynamics behind spin-orbit
309 correlations in hard processes and accomplishing the CLAS12 program of
310 studies of the three-dimensional structure of the nucleon.

311 1.1.2 Effects of nuclear matter

312 Besides the exciting new aspects of nucleon structure investigation, a very
313 interesting pattern of modifications of parton distribution and fragmentation
314 functions in nuclear matter has been observed in lepton-nucleus scattering,
315 which generated an intense experimental and theoretical activity. The under-
316 standing of quark propagation in the nuclear medium is essential for the in-
317 terpretation of high energy proton-nucleus interactions and ultra-relativistic
318 heavy ion collisions. Lepto-production of hadrons has the virtue that the
319 energy and momentum transferred to the struck parton are well determined,
320 as it is “tagged” by the scattered lepton. The nucleus can be basically used
321 as a probe at the fermi scale with increasing size or density, thus acting as a
322 femtometer-scale detector of the hadronization process. Theoretical models
323 can therefore be calibrated in nuclear SIDIS and then applied, for example, to
324 studies of the Quark-Gluon-Plasma in ultra-relativistic heavy-ion collisions.

325 The experimental results achieved over the last decade demonstrate the
326 enormous potential of nuclear SIDIS in shading light on the hadronization
327 mechanisms. For all observables investigated so far, a very distinct pattern of
328 nuclear effects was observed over various different hadron types. However, the
329 existence and relative importance of the various stages, like the propagation
330 and the interaction of the original parton, the color-neutralization and the
331 final hadron formation, are far from being determined unambiguously.

332 In this panorama, JLab with its high beam intensity and the usage of a
333 large variety of nuclear targets will provide data in a kinematic region that
334 is very suitable for studying nuclear effects. The ability of performing a fully

335 differential analysis is a key to disentangle the various different stages of
336 hadronization. The capability of identifying pions, kaons and protons over
337 the whole kinematic range of interest will be crucial for gaining insights into
338 the space-time evolution of the hadronization process.

339 1.1.3 Search for exotic mesons

340 The phenomenology of hadrons and in particular the study of their spectrum
341 led more than forty years ago to the development of the quark model, where
342 baryons and mesons are described as bound systems of three quarks and
343 of a quark-antiquark pair, respectively. In addition to these states, which
344 have been experimentally observed and extensively studied, phenomenologi-
345 cal models and lattice QCD calculations suggest the existence of exotic con-
346 figurations such as hybrids (qqg), tetraquarks ($qq\bar{q}\bar{q}$) and glueballs. The
347 experimental verification of the existence of such exotic states would signifi-
348 cantly deepen our knowledge about the dynamics of QCD.

349 A very attractive method to identify exotic mesons is through strangeness-
350 rich final states, where kaons from the decay of the involved ϕ -meson are usu-
351 ally high energetic. Kaon identification over the whole accessible momentum
352 range would hence provide unique capabilities for the study of strangeonia
353 and the search for exotic mesons.

354 1.2 CLAS12 particles identification

355 The exciting physics program of Hall-B at JLab, aiming at a detailed investi-
356 gation of the three-dimensional structure of nucleons, is based on the unique
357 features of the upgraded CEBAF and CLAS12 spectrometer:

- 358 • high beam energy and intensity,
- 359 • high beam polarization,
- 360 • longitudinally and transversely polarized proton and deuterium targets,
- 361 • variety of nuclear targets,
- 362 • large acceptance, multipurpose spectrometer,
- 363 • excellent particle identification.

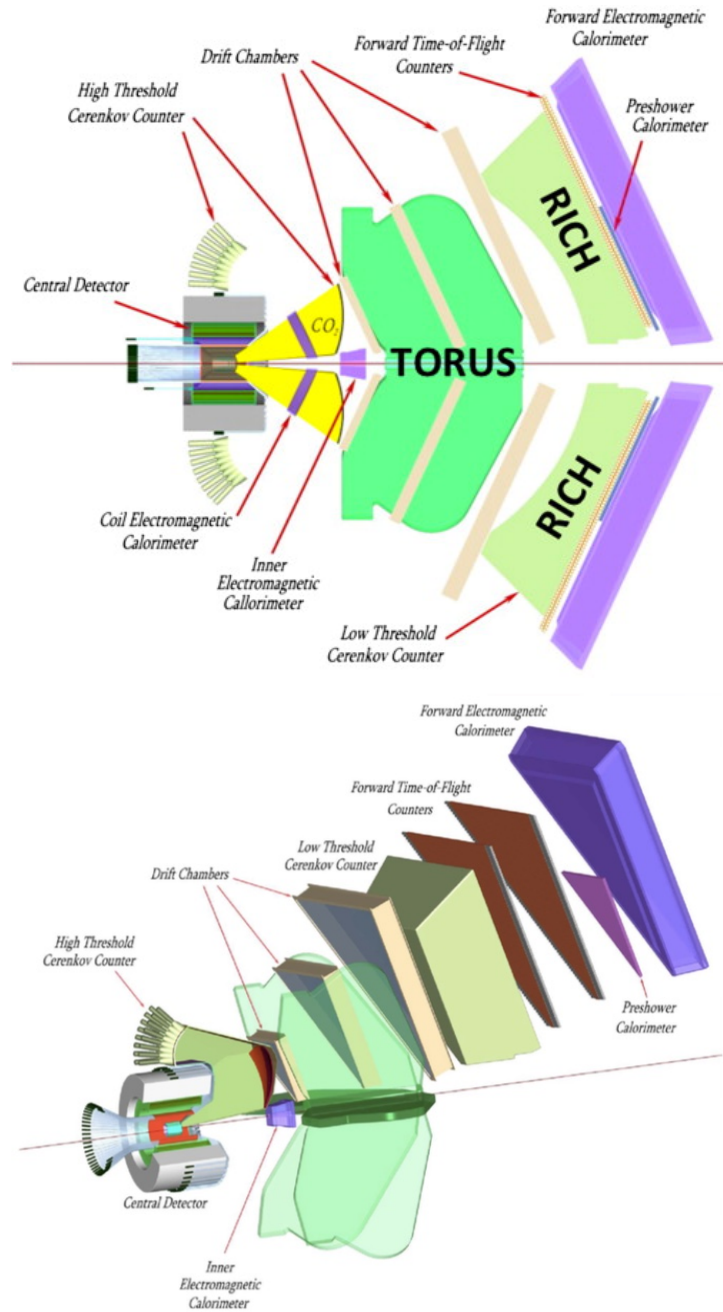


Figure 1.1: Detection elements that constitute the CLAS12 spectrometer. The target is located inside the Central Detector. In the exploded view, only one out of six sectors of the Forward Detector is shown.

364 **1.2.1 The CEBAF Large Acceptance Spectrometer**

365 CLAS12 is designed to operate at a luminosity of $10^{35} \text{ cm}^{-2}\text{s}^{-1}$ and offers
 366 an almost complete coverage of the final state phase space. The detector,
 367 shown in figure 1.1, consists of two parts, a Forward Detector (FD) and a
 368 Central Detector (CD). The CD, with its high-field (5 T) solenoidal magnet,
 369 surrounds the target and is used to detect recoiling particles at large angles.
 370 It comprises a barrel tracker, a time of flight system for charged particle
 371 identification (TOF) and a neutron detector. The FD detects charged and
 372 neutral particles in the polar angle range between 5° and 40° . It is based on a
 373 2 T superconducting toroidal magnet and it is organized in 6 sectors (wedges)
 374 to cover the full azimuthal angle extent. It includes a tracking system made
 375 of a vertex tracker and three regions of drift chambers (DC), the second of
 376 which inside the toroidal field. Baseline particle identification is accomplished
 377 by two gas Cherenkov detectors for electron/pion separation (High-Threshold
 378 Cherenkov Counter, HTCC, and Low-Threshold Cherenkov Counter, LTCC),
 379 a forward Time-Of-Flight (FTOF) system for hadron identification and a
 380 preshower and electromagnetic calorimeter to help in electron identification
 381 and to detect neutral particles.

382 **1.2.2 Baseline PID**

383 Hadron identification in the FD is obtained by combining the information
 384 of HTCC, LTCC and FTOF. As will be shown in the next paragraphs, by
 385 using only these detectors no sufficient separation of kaons from pions can
 386 be achieved in the momentum range relevant for SIDIS reactions. However,
 387 they may give essential contributions in specific kinematic regions and should
 388 be accounted for in the general CLAS12 hadron identification strategy.

389 **Forward Time of Flight (FTOF)**

390 The FTOF system is composed by two panels of plastic scintillators, one
 391 with 15 cm wide bars and the other with 6 cm wide bars. Combining the
 392 two panels, the time resolution ranges between 45 and 80 ps, depending on
 393 the polar angle of the particle, i.e. the bar length. As the distance between
 394 the target and the FTOF system is about 650 cm, a good separation of pions
 395 and kaons is achievable only in the low momentum region below $3 \text{ GeV}/c$.

396 **High Threshold Cherenkov Counter (HTCC)**

397 The HTCC counter is a circular shaped detector designed to separate elec-
 398 trons from pions with high efficiency. It is composed by a CO_2 radiator and

399 large photomultipliers with quartz window. About 16.5 photoelectrons (p.e.)
400 are expected in average for an electron track, with small dependence on the
401 polar angle. Kaons and protons are always below threshold and cannot be
402 detected. For pions, the number of p.e. goes from 0 at the threshold of about
403 5 GeV/c up to 12 at the maximum 10 GeV/c momentum. Inefficiency in their
404 estimation can be calculated using Poisson fluctuations on the number of de-
405 tected p.e. For example, with a minimum number of 3 p.e., a 3σ confidence
406 level may be obtained only above $P = 7.8$ GeV/c and a 4σ separation may
407 be obtained only in the extreme region of the momentum distribution.

408 **Low Threshold Cherenkov Counter (LTCC)**

409 The LTCC counter is made by a C_4F_{10} gas radiator with a pion thresh-
410 old at 2.7 GeV/c momentum and a number of p.e. about a factor of two
411 smaller than the HTCC. Protons are below threshold for momenta up to
412 about 9 GeV/c. As done for HTCC, the LTCC pion inefficiency can be es-
413 timated using Poisson statistics. A 3σ separation may be achieved above
414 7.7 GeV/c only with a minimum cut at two photoelectrons. Such a low cut
415 however may lead to incorrect identification due to possible background hits.
416 The required 4σ cannot be obtained over the whole momentum range.

417 In conclusion, the CLAS12 baseline instrumentation can not provide ad-
418 equate kaon identification in the momentum range between 3 and 8 GeV/c.
419 By replacing at least one sector of the existing LTCC with a RICH detector,
420 hadron identification can be extended to the full momentum range reaching
421 the wide coverage required by SIDIS analyses.

422 **1.2.3 Impact of RICH**

423 The addition of a RICH detector would significantly enhance the particle
424 identification capabilities of CLAS12 [4] and make Hall-B an ideal place for
425 obtaining flavour separated information about the complex multi-dimensional
426 nucleon structure. The novel TMDs and GPDs will be uniquely explored in
427 the valence kinematic region where many new, intriguing aspects of nucleon
428 structure are expected to be most relevant. Furthermore, as pions greatly
429 outnumber the other hadrons at nearly all kinematics, the RICH detector can
430 tremendously reduce the backgrounds for the detection of unstable particles
431 with at least one charged decay product different from a pion, hence opening
432 a new window for studying exotic mesons with strangeness contents.

433 The main objectives of the physics program for CLAS12 with a RICH
434 detector are:

- 435 • *The role of strangeness:* Exploration of the elusive strange quark distri-
436 butions in the nucleon and search of signatures for intrinsic strangeness
437 using kaon production in unpolarized and doubly longitudinally polar-
438 ized deep-inelastic scattering off proton and deuterium targets, as well
439 as exclusive kaon-hyperon and ϕ -meson production.

- 440 • *Intricacies of parton intrinsic transverse momentum:* Study of the
441 flavour and kinematic dependence of the intrinsic transverse quark mo-
442 menta employing fully differential analyses of pion and kaon production
443 in unpolarized deep-inelastic scattering off proton and deuterium tar-
444 gets.

- 445 • *Nucleon imaging in transverse momentum space and the role of spin-*
446 *orbit correlations:* Mapping of the full set of leading and subleading
447 TMD quark distributions via the extraction of spin and azimuthal
448 asymmetries for pions and kaons from deep-inelastic scattering off un-
449 polarized, transversely and longitudinally polarized proton and deu-
450 terium targets.

- 451 • *Gluon imaging in coordinate space:* Study of the transverse spatial
452 distribution of 'valence-like' gluons from hard exclusive ϕ -meson pro-
453 duction.

- 454 • *Effects of nuclear matter:* Investigation of quark propagation through
455 cold nuclear matter via nuclear hadronization and transverse momen-
456 tum broadening employing pion and kaon production in deep-inelastic
457 scattering off a variety of nuclear targets.

- 458 • *Dynamics of QCD and the search for exotic mesons:* Study of exotic
459 meson configurations via the tagging of strangeness-rich final states in
460 quasi-real photoproduction.

461 1.3 RICH mechanical overview

462 In order to minimize the impact on the existing CLAS12 baseline detectors,
463 RICH modules have been designed to replace (part of) the six LTCC sectors.
464 A first sector is currently under construction and will enter the experimental
465 hall in September 2017 just before the starting of the data-taking of the exper-
466 iments. A second sector is foreseen to create a symmetrical setup to support
467 transverse polarized target studies. Figure 1.3 shows a three-dimensional
468 simplified view of the proposed geometry for the RICH modules.

469 In this section the main components of the new RICH will be reviewed
 470 starting with a brief introduction on Cherenkov effect and ending with the
 471 description of the innovative hybrid geometry adopted. The radiation detec-
 472 tion elements and the specification for the readout electronics are presented
 473 in the next section.

474 1.3.1 Principle of Cherenkov detectors

475 The first observation of the Cherenkov effect dates back to 1926 by Mallet.
 476 Eight years later Cherenkov, ignoring Mallet's work, observed again the ef-
 477 fect, ascribing to it a nature different from the fluorescence known at that
 478 time. Only in 1937 the phenomenon was definitively understood: Frank
 479 and Tamm developed the theoretical part and Cherenkov published a paper
 480 having completed the experimental study.

481 On a quality level the effect can be described as follows:

- 482 • a charged particle that travels in a medium polarize at every instant
 483 the region around,
- 484 • polarized molecules or atoms behave as elementary dipoles,
- 485 • at small propagation speed (compared with the speed of light in the
 486 medium) a complete spherical symmetry exists along the trajectory
 487 that neutralize any field created by single dipoles in their relaxation,
- 488 • as the velocity increase the symmetry starts to break in the direction of
 489 the traveling particle while still exists in the transverse plane. In this
 490 case the waves produced by the dipoles has a destructive interference
 491 and the field remains null at large distances,
- 492 • when the speed of the particle exceed the phase speed of light in that
 493 medium, it happens that the waves emitted by the dipoles are aligned
 494 in phase along appropriate directions or, in other words, photons are
 495 emitted.

496 By using classical optics (Huygens principle) is possible to demonstrate
 497 that light is produced at a precise angle, depending on the particle speed β (in
 498 c units) and on the refractive index n of the radiator medium. Neglecting the
 499 small contribution of recoil effect on the emitting atom or molecule, photons
 500 of wavelenght λ are effectively emitted along the surface of a cone with semi
 501 aperture θ_c , with

$$\cos \theta_c = \frac{1}{\beta n}. \quad (1.1)$$

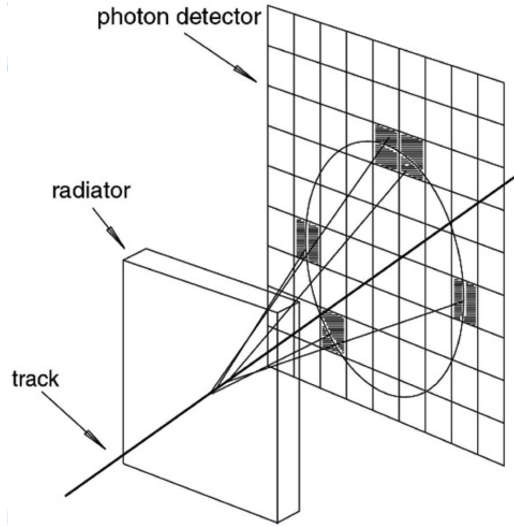


Figure 1.2: Cherenkov light imaging principle

502 Quantitatively, the number of photons of wavelength λ produced by a
 503 charged particle ze in a material of thickness $L \gg \lambda$ and refractive index n
 504 (homogeneous) is expressed by the relation:

$$\frac{dN_\gamma}{d\lambda} = \frac{2\pi\alpha z}{\lambda^2} L \left(1 - \frac{1}{\beta^2 n^2(\lambda)} \right). \quad (1.2)$$

505 The emitted radiation intensity thus depends on the wavelength through the
 506 factor λ^{-2} and indirectly by the dispersion relation of the refractive index.
 507 The latter is less than one in the X rays region (apart from atomic and
 508 molecular shell resonances), so the emission is concentrated mostly in the
 509 visible region and especially in the ultraviolet portion of the electromagnetic
 510 spectrum.

511 Considering that the speed of a particle depends on its mass and momen-
 512 tum, the Cherenkov emission can be used to distinguish between particles
 513 that are traveling below or above the speed of light in the medium. Detec-
 514 tor based on this working principle are widely used in Nuclear Physics since
 515 many years and are called Cherenkov counters or Threshold detectors.

516 Alternatively, by knowing the momentum by other means and measuring
 517 the position of photons with a photosensitive surface, it is possible to recon-
 518 struct the speed of a particle, and thus its mass, from the aperture of the
 519 Cherenkov cone. Such kind of detectors were first proposed by T.Ypsilantis
 520 and J.Seguinet in the late 70s [5] and are known as Ring Imaging Cherenkov
 521 detector or RICH as the photons form a ring that is the intersection between
 522 the Cherenkov cone and the photosensitive surface. The principle of RICH

523 detectors is presented in figure 1.2.

524 If for a Threshold Cherenkov counter the goal is simply to collect the
525 largest number of photons produced by the radiator, in a RICH the position
526 of each photon hit has to be measured with an adequate technique in order
527 to reconstruct the emission angle of the Cherenkov radiation. Thus in a
528 RICH not only the number of detected photons is important, but also the
529 resolution on position and direction measurements, i.e. the error that affects
530 the mapping between photon hit position and emission angle. The number of
531 detected photons depends on many factors, the main ones are the efficiency
532 of the photon detection (quantum and collection efficiency of the sensor) and
533 the efficiency of transportation (radiator transparency and, if used, mirror
534 reflectivity). The single-photon resolution on the Cherenkov angle depends
535 in general on the uncertainty of the photon emission point along the radiator,
536 the chromatic dispersion of the radiator, the photosensor pixel size and the
537 quality of any optical element (i.e. focusing mirrors). The global error on
538 the Cherenkov angle (cone aperture) is of course reduced by the square root
539 of the number of detected photons.

540 Though first realizations were limited by technology, from the 90s RICH
541 technique has become a mature instrument to identify high energy particles
542 in the fields of Physics, Astrophysics and Medicine.

543 1.3.2 RICH module layout

544 According to the CLAS12 Forward Detector geometry [3], the RICH modules
545 occupy the space between the last drift chamber and the time of flight detec-
546 tors, at a distance of 5.5 m from the interaction point, covering the scattering
547 angles between 5° and 25° . Basically the RICH layout reproduces the LTCC
548 external shape, sharing the same size, position and anchoring brackets. It
549 consists in a trapezoidal box with a major base of about 4.3 meters, a height
550 of 3.8 meters and a depth of 1 meter. To follow the projective geometry of
551 the experiment, it is tilted by 65° with respect to the vertical.

552 The frame is constituted by different pieces, robust on the lateral sides
553 in the shadow of the torus coils, but lightweight on the entrance and exit
554 panels inside the CLAS12 acceptance. Stiffening elements allow to sustain
555 the instrumentation without significant deformation. The latter have been
556 designed after a detailed study of the detector installation operation in the
557 experimental hall. The RICH will be assembled vertically in a clean room,
558 tested and transported horizontally and finally anchored in the Forward car-
559 riage by rotations involving all the degrees of freedom. The total weight
560 is around 1000 kilograms, mostly due to the thick aluminum frame. The
561 elements in acceptance are made by lighter carbon fiber.

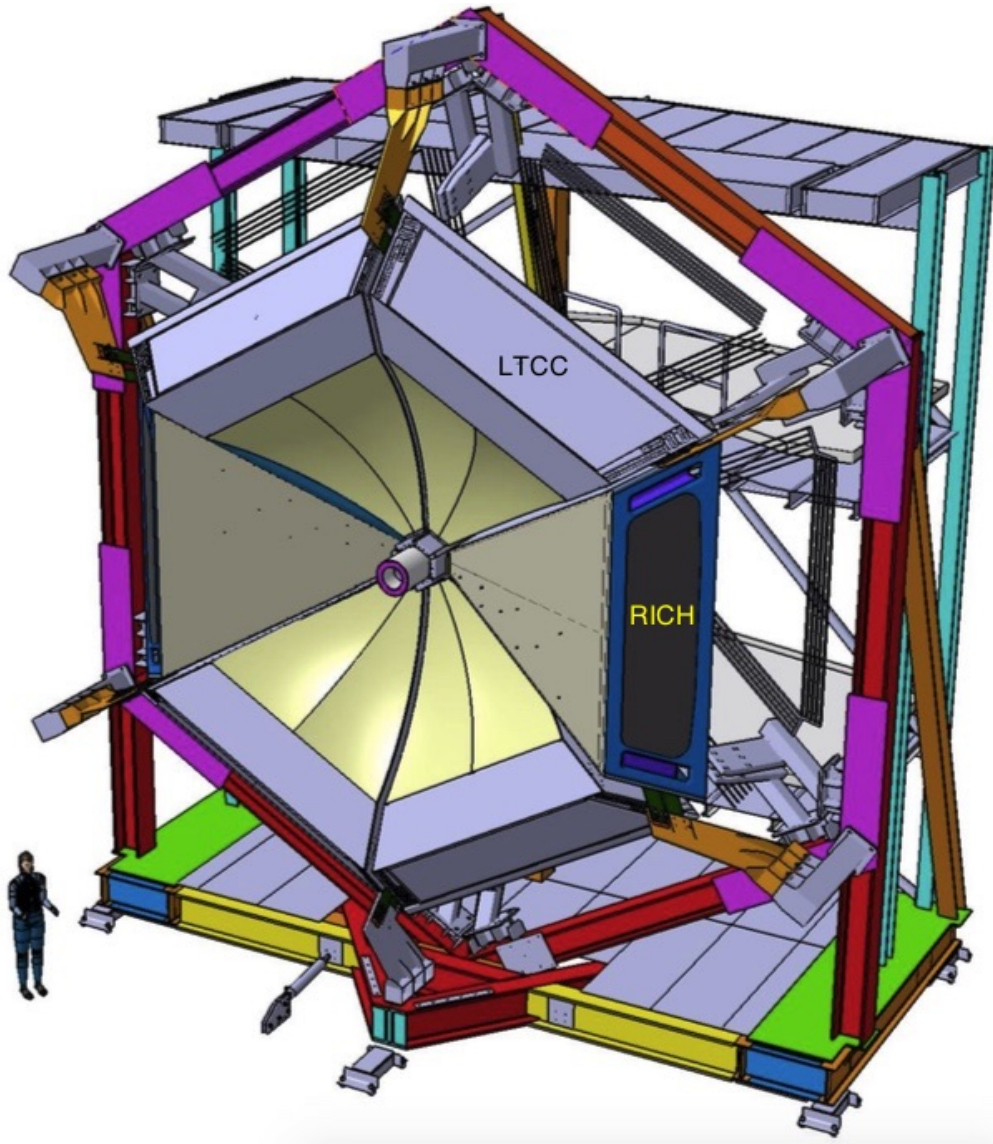


Figure 1.3: CLAS12 forward carriage layout including two RICH sectors replacing LTCC modules for Kaon asymmetry study. Other subsystems have been removed for clarity.

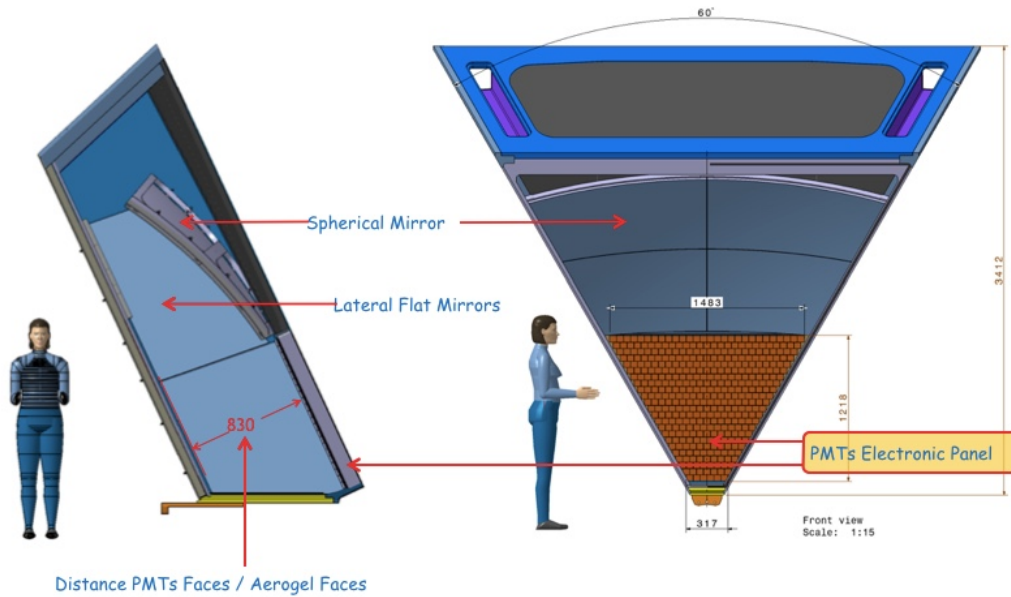


Figure 1.4: RICH Module

562 The detector can be schematically divided in two blocks. A passive,
 563 mostly empty, volume to produce and focus the Cherenkov light and an active
 564 element in charge of detecting the photons and reading the information out.
 565 For its position, before the TOF and the calorimeter, the RICH is also subject
 566 to material budget limitations. A LTCC has a total thickness of $0.032X_0$,
 567 while the FTOF scintillator bars have a thickness of $0.12X_0$ and the pre-
 568 shower calorimeter a total thickness of $5X_0$. We will take these numbers as
 569 a reference, however one should take in mind that, as will be discussed in
 570 the following, most of the RICH material budget will be constituted by the
 571 photodetectors, that will be located at small polar angles, where the particles
 572 have on average higher momentum.

573 1.3.3 Hybrid geometry

574 The hadron kinematic distribution expected at CLAS12 is depicted in Fig. 1.5.
 575 For momenta below $2.8 \text{ GeV}/c$, the FTOF system provides the required π/K
 576 identification. The RICH is designed to extend the hadron identification to
 577 higher momenta by exploiting a hybrid optic configuration as described in
 578 the following. In order to reduce the area to be covered with photon detectors
 579 from several squared meters to about 1 m^2 , and thus to decrease costs and
 580 material budget, an innovative hybrid geometry solution has been developed
 581 using aerogel as radiator material [6].

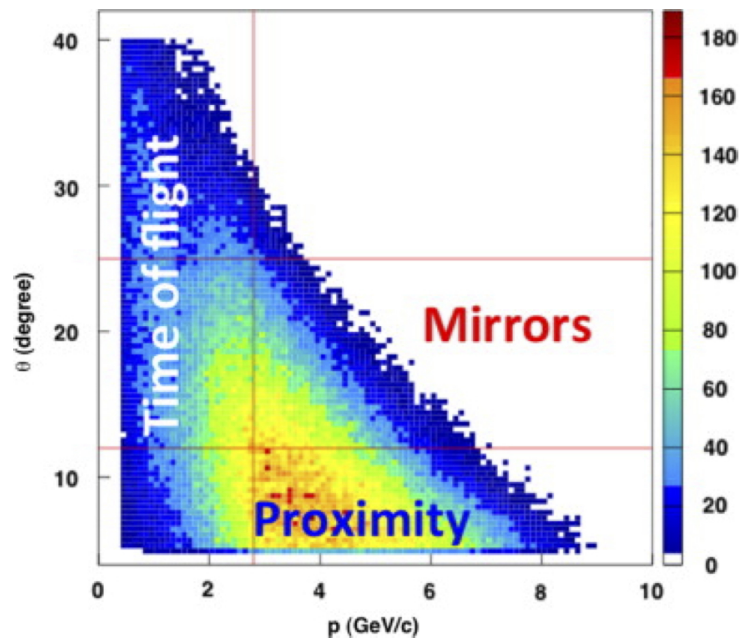


Figure 1.5: Hadron polar angle versus momentum distribution expected in CLAS12. The hybrid geometry RICH extends the hadron separation capability above 3 GeV/c momenta providing almost full polar angle coverage. The HTCC complements the π/K separation at high momenta (above 8 GeV/c).

582 Proximity Focusing

583 For forward scattered particles (scattering angle $\theta < 13^\circ$) with momenta $p =$
584 $3 - 8 \text{ GeV}/c$, a proximity imaging method is used as shown in figure 5.2. The
585 Cherenkov photons produced by their passage through the radiator material
586 hit the photosensitive surface directly. A similar geometry has been already
587 proposed for the Hall A JLab with CsI photocathodes and perfluorohexane
588 radiator [7]. In the case of CLAS12 RICH the error on the emission point is
589 maintained small by a 1 meter expansion gap.

590 Mirror Focusing

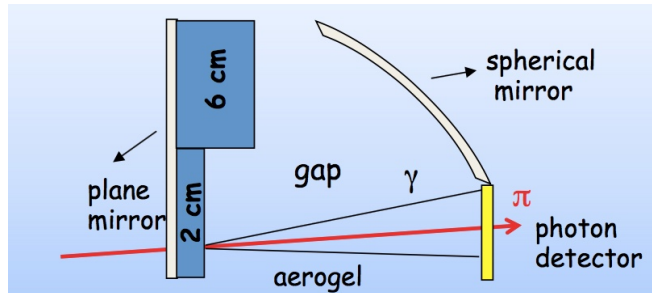
591 For particle with larger scattering angles $13^\circ < \theta < 25^\circ$ and intermediate
592 momenta of $p = 3 - 6 \text{ GeV}/c$ the Cherenkov light is reflected back by a
593 spherical mirror and then redirected on the detection surface by a planar
594 mirror as shown in figure 1.7. This solution has been adopted to match the
595 peculiar geometry of the CLAS12 experiment, derived from the torus layout,
596 and implies a double passage in the radiator material and a consequent higher
597 probability of attenuation and scattering. The longer path of the photons
598 allows the use of ticker aerogel bricks to increase the photon yield without
599 significant decrease of the angular error.

600 This implies a double reflection for large polar angle particle tracks, thus
601 impose an excellent mirrors quality and radiator transparency in the visible
602 and ultraviolet region to minimize photon scattering contribution to the an-
603 gular resolution. It implies also a timing resolution at the level of 1 ns to
604 distinguish by arrival time the hits from directly imaged rings and the ones
605 obtained with double reflection. The mixed cases where part of the ring is im-
606 aged directly and part is reflected can be identified during the reconstruction
607 to maximize the efficiency.

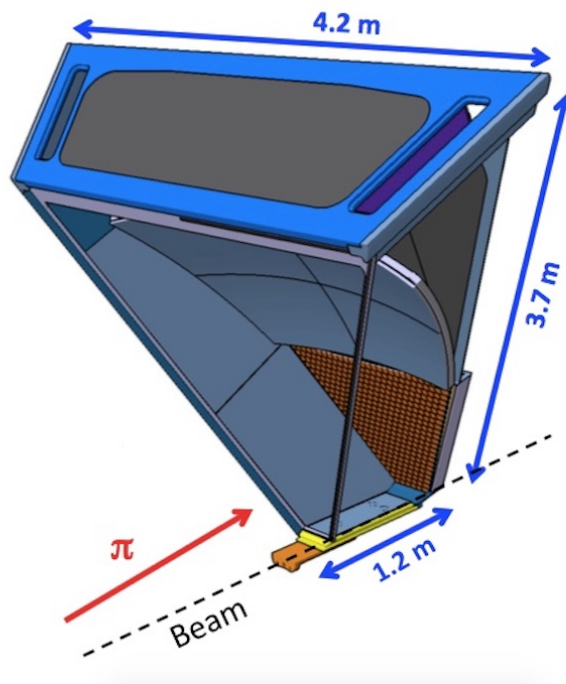
608 1.3.4 Aerogel radiator

609 An excellent angular resolution in the few GeV/c regime, from $3 \text{ GeV}/c$
610 up to $8 \text{ GeV}/c$, is a challenging requirement for a RICH. To achieve good
611 performance in a compact volume (the available expansion gap is about 1
612 meter) the radiator must have a refractive index in the interval $1.03 - 1.05$,
613 a value that can be obtained with high pressure gas mixtures or aerogel.

614 Aerogel has been preferred because of an easier maintenance and a smaller
615 impact on the design of other detector elements. It is a solid material made
616 of nanometer-scale silica grains filled with trapped air, used in its industrial
617 form as an insulator with excellent physical and chemical properties. The

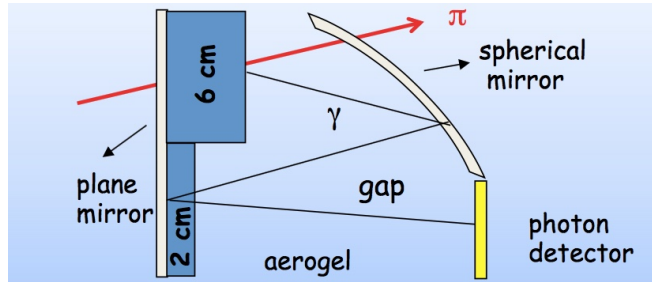


(a) Principle Scheme

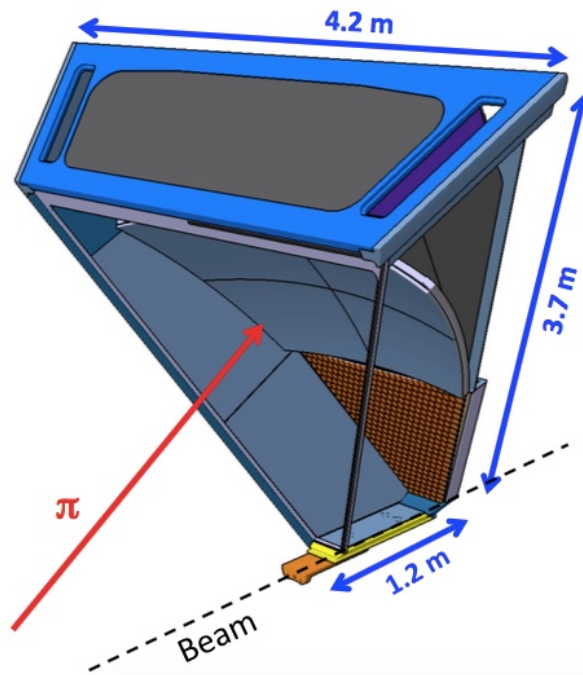


(b) Mechanical Drawing.

Figure 1.6: Proximity Configuration. The Cherenkov cone is directly imaged by the photosensor array for particle with small polar angle trajectories. In the mechanical drawing, the entrance panel with aerogel bricks and the planar mirror, as well as one lateral panel have been removed for clarity.



(a) Principle Scheme



(b) Mechanical Drawing.

Figure 1.7: Mirror Configuration. The Cherenkov light produced by particle at large polar angles is reflected by a mirror system, and reach the photosensor array after two passages in the aerogel.

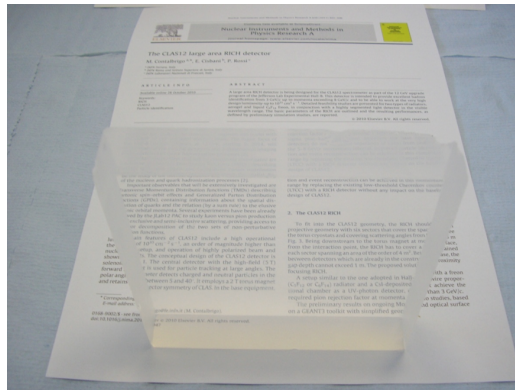


Figure 1.8: Aerogel Tile sample

618 density, i.e. the ratio between silica and air, is adjustable during the fabrica-
 619 tion process and determines the refractive index. When properly produced
 620 it exhibits an excellent optical transparency in the visible light regime. In
 621 the near-UV regime however, a significant Rayleigh scattering is unavoidably
 622 generated by the microstructure of the material. During the components val-
 623 idation campaign [8] in 2011-2012, it has been demonstrated that an aerogel
 624 with refractive index $n=1.05$ could meet the CLAS12 RICH specifications.

625 Aerogel has already been used for the upgrade of HERMES, Belle and
 626 LHCb, AMS [9]. As previously mentioned, the thickness of the bricks con-
 627 tributes to the angular resolution through the uncertainty of the photon
 628 emission point¹, during the above mentioned prototype study phase, it was
 629 demonstrated that the CLAS12 RICH can obtain the desired $\pi - K$ separa-
 630 tion using 2 cm of aerogel for the proximity focusing configuration and a
 631 6 cm aerogel in the reflected case (see Section 5.1). Aerogel is a solid and
 632 robust material, but is friable and must be managed with particular care.
 633 In addition the production process of the aerogel adopted for the CLAS12
 634 RICH is hydrophilic, thus requires a continuous flux of nitrogen at normal
 635 pressure to avoid that moisture and impurities could penetrate the bricks
 636 altering their optical quality. As a consequence all the feedthrough elements
 637 and in particular the electronics panel frame must be light tight and for this
 638 are equipped with custom seals.

¹A possible strategy to overcome the uncertainty due to brick's thickness is to use successive thin layers of aerogel with different refractive indexes to align the rings. This technique is called Focusing Aerogel RICH reported in [10] and [11]

639 1.4 The RICH active area overview

640 Given the quality of the radiator element and the one of mirrors system,
641 two elements remain crucial for the minimization of the emission angle re-
642 construction. They are the number of detected photons per track and the
643 uncertainty on hits position. A large photosensitive surface with high granu-
644 larity, minimum dead space and excellent single photon readout capabilities
645 is the solution designed for the CLAS12 RICH detector. This active area is
646 arranged on a 1 squared meter trapezoidal electronic panel tessellated with
647 compact detection units that play an essential role in the overall perfor-
648 mances.

649 The choice of light sensor model, the mosaic idea conceived to minimize
650 digital resources and cabling, together with a general layout of the detector
651 services are presented in this section. Specific requirements for the readout
652 system are summarized and explained in the last paragraph.

653 1.4.1 Photon detectors

654 The RICH module will be located in CLAS12 outside of the toroidal magnetic
655 field. However, by extrapolating the existing field map calculations, a weak
656 residual fringe of less than $300 \mu\text{T}$ is expected in the position where the
657 Cherenkov photons will be directed. Looking at the present position sensitive
658 photon detection technology, this field does not appear a serious concern,
659 neither for solid state detectors nor for vacuum tubes [12].

660 Different solutions have been considered for the instrumented area during
661 design phase few years ago. For example, matrices of SiPM are compact
662 and robust devices, insensitive to magnetic field, but high costs and high
663 background emission per unit of surface limit their use. In addition they are
664 quite prone to radiation damage [13, 14]. Very promising are also the Large-
665 Area Pico-second Photo Detectors (LAPPD)[15], based on micro-channel
666 plate photomultiplier technology and combining the best advances in material
667 science and front end electronics (e.g. PSEC project [16]). LAPPD represent
668 really the next generation of single photon detection , as the name of the
669 development consortium created in the 2009 suggests, but few more years
670 are required before it became commercially available.

671 The only affordable solution for the RICH photodetectors has been the
672 flat-panel Multi-Anode Photo Multipliers Tubes (MAPMTs). They offer the
673 required single photon sensitivity, a high packing factor, important to mini-
674 mize the risk of photon loss and can be placed side by side to create a large
675 detection surface with minimum dead space. Among the different types,
676 Hamamatsu H8500 and H12700 models are the best compromise in terms

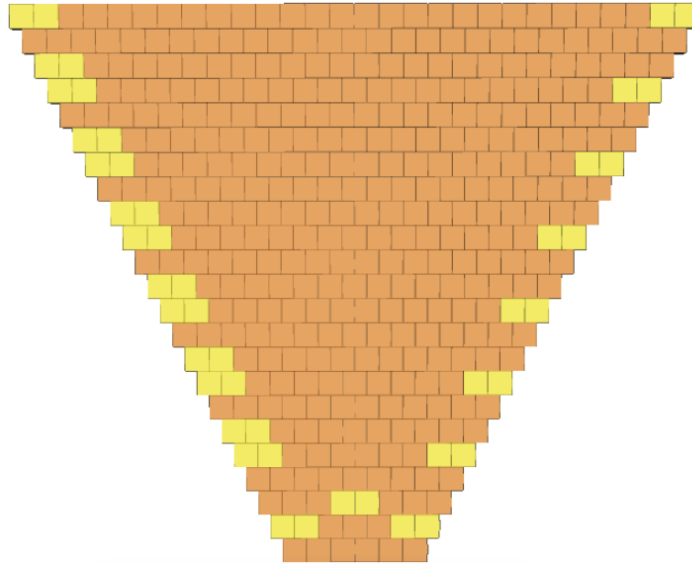


Figure 1.9: Electronic Panel Front View. The photon detection surface will be composed by H8500 and H12700 MAPMTs for a total area of about 1 squared meter, 25024 pixels and only 1 mm gap between sensors.

677 of costs and performance. They are 8×8 pixel matrices with $52 \times 52 \text{ mm}^2$
 678 dimensions and have a high fraction of useful (sensitive) area, close to 90%
 679 of the surface. The bialkali photocathode, deposited on a borosilicate glass
 680 window, gives a high quantum efficiency (around 30%) compared to other
 681 materials. The electron amplifier is done with metal channel dynode struc-
 682 ture and is provided with a voltage divider without the need of developing
 683 a custom base for the MAPMT. Pixel pitch is 6mm, thus more than the
 684 minimum requirement derived from RICH simulation of 1 cm. For both
 685 models the high voltage bias for optimum performance is between 10 and
 686 11 hundreds volts. The older H8500 model was originally developed, about
 687 ten years ago [17], for position sensitive scintillation counting and have been
 688 successfully adopted for PET [18] and mini-gamma cameras [19] in molecular
 689 imaging studies. Although not explicitly designed for single photons many
 690 studies confirmed the validity of its use at single photon level, for example one
 691 from Glasgow University [20]. In response to explicit demand from high en-
 692 ergy physics groups the Hamamatsu company has developed a single photon
 693 dedicated H12700 model that is substantially a specialization of the H8500
 694 with higher first stage accelerating field and revised collection geometry [21].

695 Figure shows 1.9 a schematized view of the final assembly, with 391
 696 MAPMT, positioned on a trapezoidal shape that cope with the mechani-
 697 cal constraints. The total number of pixels is 25024 to be acquired with the

698 dedicated electronics.

699 1.4.2 Electronic panel

700 Since 25k pixels is a quite big number for a standard approach (with the
701 electronics far away on a patch panels or positioned at the service racks) an
702 *on-detector* solution has been developed for the MAPMT readout. Indeed
703 even shrinking the set of candidate front end ASIC to the ones implement-
704 ing a differential input circuit, mechanical considerations about the cable
705 encumbrance have determined the choice of *custom* proximity solution. In
706 facts digitization and buffering is performed just behind the sensors and the
707 use of only few fast serial links realizes the connection of the front end with
708 the data acquisition system.

709 The number of local concentration nodes has been chosen optimizing si-
710 multaneously the digital resources and the necessary power lines. This choice
711 is corroborated from the RICH prototype studies that estimated less than
712 20 photons per track hitting the photosensitive surface. All the electronics
713 hardware resources has been modeled, as a consequence, for low occupancy
714 with benefits on speed, dead time and material budget.

715 The readout is assembled around a so-called *electronic panel* that acts as
716 a septum between the inner detector volume and the experimental hall. The
717 inner volume of any RICH detector is typically filled with a purified gas to do
718 not interfere with the light propagation or affect the optical elements. The
719 panel could supply several features, from mechanical support to insulation or
720 heat transmittance. In the CLAS12 RICH, a continuous nitrogen gas is fluxed
721 to prevent moisture absorption by the aerogel radiator. The electronic panel
722 has a trapezoidal shape of about 1 squared meter area and 1 cm thickness, and
723 is made of carbon fiber reinforced polimer foils (CFRP) with a honeycomb
724 core to sustain the around instrumentation weight. Role of the support is to
725 provide a planar surface for MAPMT. This flatness is important for imaging
726 the optical flux thus the panel must resist to mechanical stress without plastic
727 deformations. Carbon fiber has been the material choice for construction as it
728 combines lightness and rigidity. Moreover it can be easily worked to creates
729 raised borders for anchoring elements and holes for printed circuit board
730 feedthrough connectors.

731 On the inner side of the panel, the MAPMTs are mounted onto tessellat-
732 ing boards to provide the sealing against air and light contamination. The
733 front-end electronics and the readout controllers are housed on the outer
734 side, where an effective cooling is possible. The boards are organized in 138
735 compact units called *tiles*. As previously mentioned the grouping is a conse-
736 quence of the optimization of the hardware lines: the panel have to receive an

737 adequate voltage supply for the bias of electronics circuits and have to send
738 out the data about its status and optical flux impinging the photosensitive
739 area.

740 MAPMTs draw typically $200\ \mu\text{A}$ at $1000\ \text{V}$ operating voltage. Commer-
741 cial HV power sources usually supply each channel with a power from 1 to
742 10 Watts. In principle tens of devices can be fed by a single channel, but
743 for robustness of the system (in case of failure and in case of current anoma-
744 lies) it is better to keep the number of devices fed by the same HV channel
745 well below this limit. Effective digital resources savings can be obtained by
746 gathering a large number of pixel readout channels on the same transmission
747 line. For simplicity of the design it was decided to have one data line for
748 each power line. Finally, for manageability and possible reuse in other appli-
749 cations, the optimum number of MAPMTs to be gathered was found to be
750 three for a total 192 channels served by a each module. For completing the
751 coverage of the trapezoidal shape of the panel there is the need to also have
752 two MAPMT units so the RICH will be served by tiles of 2 and 3 MAPMTs
753 each.

754 Cabling within the module, as sketched in figure 1.10, is conducted mostly
755 along lateral raceways to minimize the copper in acceptance. The mosaic idea
756 was exploited to create patterns within the detector cabling, as well at patch
757 panel and distribution boxes to match the RICH tile multiplicity with the
758 rack modules.

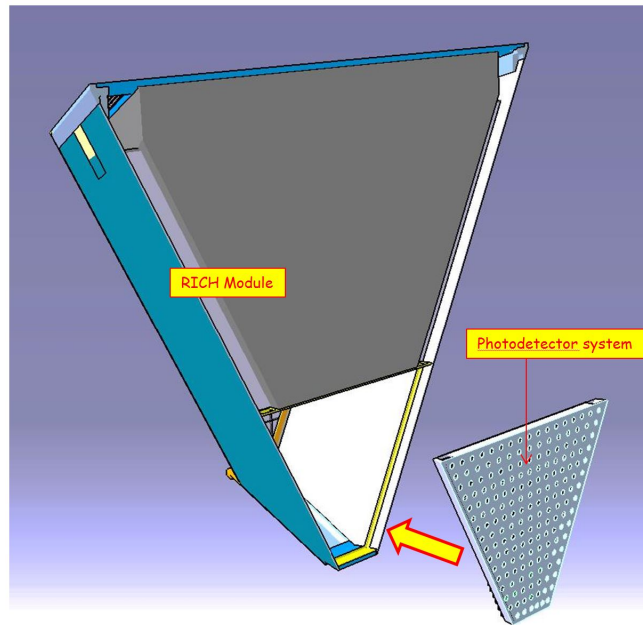
759 Within the detector the high voltage bias is distributed using small di-
760 ameter coaxial cables. For the power distribution to the electronics a custom
761 solution has been designed with sense cables that arrive at the distribution
762 box positioned on two patch panels and a tailored wire gauge to keep the
763 voltage drop within the detector below $0.25\ \text{Volt}$ thus minimizing the contri-
764 bution to heat production along the lateral raceways.

765 Transport of the event data from the front end cards to the event builder
766 network is done using a multicore optical fiber cables that serve groups of 4
767 tiles each. RICH will not be part of the trigger logic scheme of CLAS12.

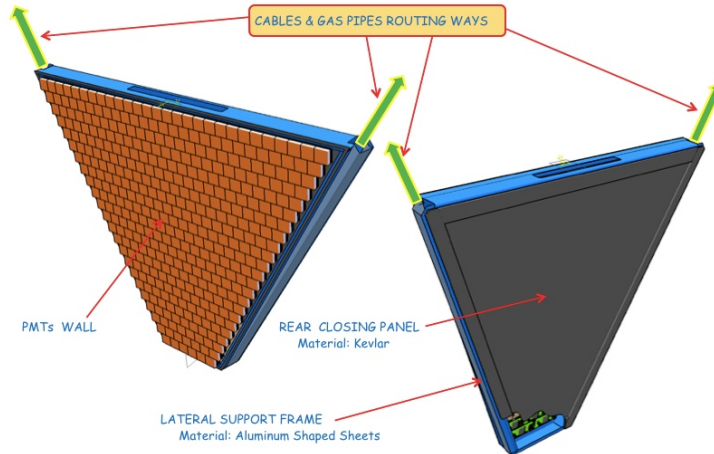
768 1.4.3 Electronic requirements

769 Having in mind the need of a complete compatibility with the general CLAS12
770 architecture, the electronics calibration, monitoring and readout systems of
771 the RICH have to be designed following general principles that are itemized
772 here and whose implementation is described in detail in section 2.2.4.

- 773 • *Simplicity*: Already existing infrastructures for data transport have
774 to be reused. RICH will interface directly with JLAB Sub System



(a) Electronic Panel Position



(b) Front and read view of the electronic

Figure 1.10: Electronics panel supporting the active area of the RICH detector. Sensors and Electronics are in the acceptance. Power and data cabling as well as and gas pipes routing is realized along later raceway in the shadow of the forward detector frame.

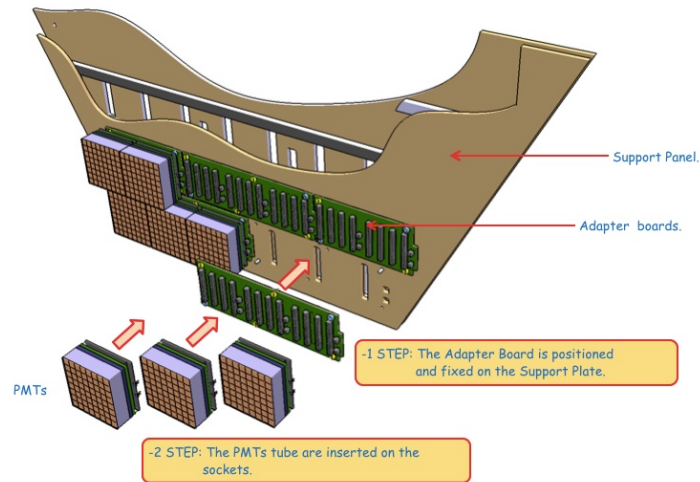


Figure 1.11: Electronic panel mounting sequence (simplified)

775 Processor Boards (SSP) that will provide synchronization signals and
 776 clock with a precision of 1 ns. The trigger for building the events is
 777 distributed by the SSP with a maximum latency of $8\mu\text{s}$ along the optical
 778 fibers.

- 779 ● *Flexibility*: Whenever possible the functions have to be implemented at
 780 software level before in-situ programmable logic. The latter of course
 781 have to be used for implementing solution that cannot be provided by
 782 non-programmable elements.
- 783 ● *Automation*: All system should be running unattended or with minimal
 784 human intervention. Automation should be minimal at the beginning
 785 but capable of being developed as the operation experience is gained.
- 786 ● *Autonomy*: RICH should be capable of running autonomously as far as
 787 possible without the need for automation systems or global infrastruc-
 788 ture. This holds for the single tile too that must have the possibility
 789 to be used independently from the others for testing, debugging and in
 790 general during all the prototype phase.
- 791 ● *Reliability*: RICH electronics should be reliable and fault tolerant with
 792 fast diagnosis tools.
- 793 ● *Low costs*: The total cost of the RICH electronics including cabling
 794 and service equipment should be as low as possible.

- 795 • *Local Running*: Local running will be extensively used during commis-
796 sioning and beam shutdown periods. Runs with or without record-
797 ing data should be possible as well as partial readout of the detector.
798 The local running should reproduce the condition of global running as
799 closely as possible and exercise the same hardware and software be-
800 coming a powerful tool for diagnostic and set-up.

801 As a conclusion of this first chapter the specific requirements for the RICH
802 detector readout electronics circuits are summarized here. Some of them are
803 related to the MAPMT signal processing, others are imposed by the data
804 acquisition system of CLAS12, others are related to small space available
805 and to the inaccessibility of the electronic panel during the long data taking
806 periods.

- 807 1. a RICH sector will have about 25k channels. The electronics must
808 provide the same amount of readout channel.
- 809 2. Electronics must be single photon sensitive. This translates to a mini-
810 mum detectable charge at the level of 1/3 of photo-electrons. Consid-
811 ering a minimum MAPMT gain of 10^6 electrons the electronics must
812 provide 100% detection efficiency for signals greater than 50fC
- 813 3. Channels shall be considered independent. In order to do this the cross-
814 talk between electronics channel must be smaller than photo-detector
815 cross-talk. A level of few % is considered acceptable.
- 816 4. The electronics shall be able to compensate the gain dispersion among
817 the anodes of the MAPMT that typically is 1 : 2 but can be as high as
818 1 : 4
- 819 5. Time precision must be at the level of 1ns in order to be able to separate
820 direct photons to from reflected ones.
- 821 6. Expected trigger rate in CLAS12 is 20kHz and maximum latency of $8\mu s$.
822 The readout shall be able to sustain such a rate with dead time smaller
823 than few % and have to keep on a local buffer event data occurred up
824 to $8\mu s$ before the trigger is issued to the front end by the logic of the
825 experiment. The probability of soft errors (data corruption) shall be
826 small or compensated by adequate parity checks.
- 827 7. RICH detector must have a minimum impact on the downstream detec-
828 tion elements like FTOF. This implies that a maximum temperature of
829 $30^\circ C$ has to be reached on the exit panel which is close to the bars and

- 830 the readout elements of the FTOF. In addition cabling material budget
831 in acceptance must give a negligible contribution compared with the
832 MAPMT array.
- 833 8. Availability must be as close as possible to 100% over the entire spec-
834 trometer life cycle. This means that all the components must tolerate
835 the radiation field present in the spectrometer volume without dete-
836 riorating the performance. Recovery operation for the configuration
837 bit stream corruption of the programmable parts have to be fast and
838 compatible with the CLAS12 operation.
 - 839 9. Detector installation in the spectrometer can be a long operation (can
840 take days). Is a fundamental requirement that the detector have tools
841 for monitoring the performance in-situ
 - 842 10. Compactness both of electronic board itself and of cabling that convey
843 signal to the external modules
 - 844 11. Maximum reliability because the cards cannot be physically accessed
845 during data taking
 - 846 12. Remote configuration and debug. Again due to inaccessibility the elec-
847 tronics must be configured and tested as much as possible through a
848 remote system

849 Chapter 2

850 Readout system design

851 2.1 Goals

852 The purpose of the project is to design an electronics module for the read out
853 of multi-anode photo-multipliers tubes (MAPMT) working at single photo-
854 electron fully compatible with the CLAS12 hardware and software architec-
855 ture. Many of these modules, disposed in parallel and tessellating a large
856 trapezoidal surface, will realize the active element of a Cherenkov light ring
857 imaging detector (RICH) dedicated to improve the particle identification sys-
858 tem in one of the JLAB experimental halls.

859 If on one side the module have a specific use, the single photon imaging
860 is a technique used in a wide range of applications that goes beyond the
861 fundamental physics studies. It's not hard to find examples of its usage in
862 human health technologies or in biology experiments, whether or not coupled
863 with the use of scintillators to shift the imaging sensitivity in the range of
864 high energy photons.

865 Our modular compact multi-channel design arrived at the end of an in-
866 tense prototype testing phase that validated all the required features for its
867 use in CLAS12 RICH, whether in terms of electronics, mechanics, thermal or
868 speed performances and could be potentially interesting to serve as readout
869 electronics in other experimental conditions. In facts to develop and fully
870 test the prototype units a small configurable setup has been adopted with a
871 standard PC directly connected to the assembly using a TCP/IP communi-
872 cation protocol. The developed software tools, that allow a full exploitation
873 the potentialities of the hardware resources and that were used for the val-
874 idating tests, will be presented in the central part of the chapter. The first
875 two sections are dedicated to the hardware resources and integration of the
876 modules in the CLAS12 environment. In the rest of the chapter the main

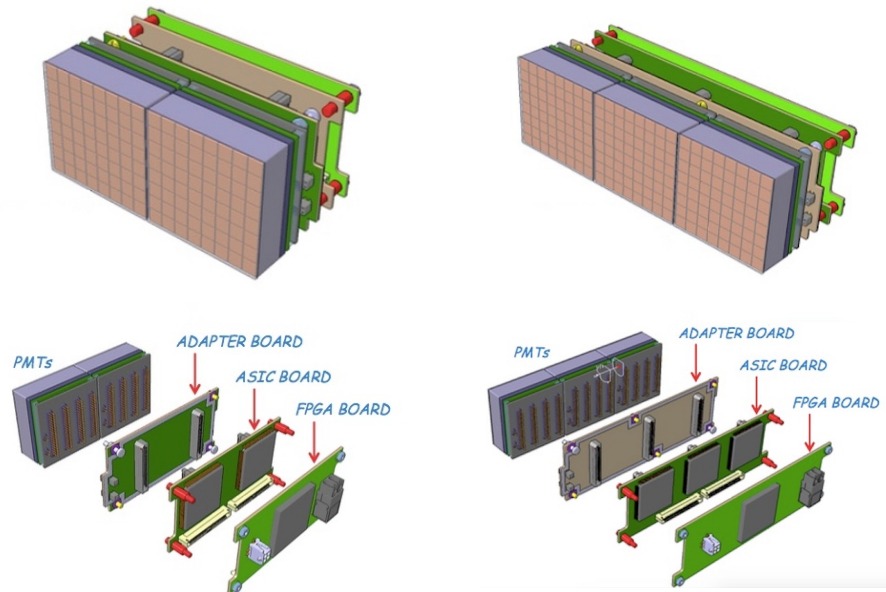


Figure 2.1: MAPMT tile assembly mechanical drawing (2014)

877 operating modes are presented showing the potentialities and the autonomy
 878 offered by the design.

879 2.2 Hardware Resources

880 Each readout unit is organized in a stack of three boards that can be opti-
 881 mized or upgraded separately. In practice this is a good compromise between
 882 geometry and cost when a limited space available impose the printed circuits
 883 to be parallel to the sensor surface and the number of routing layers cannot
 884 diverge. The use of rugged board-to-board high speed headers allow to split
 885 the functions on different printed circuit but, to operate like on a single ex-
 886 tended surface. The three boards carry out different functions that are listed
 887 below and described in the next paragraphs:

- 888 1. The ADAPTER board is for the mechanical and electrical matching
 889 with the sensor and detector geometry.
- 890 2. The MAROC board is for the digitization of current pulses produced
 891 by the single photon hits.
- 892 3. The FPGA board is for configuring, controlling the front end circuits
 893 and interfacing them with the data acquisition node

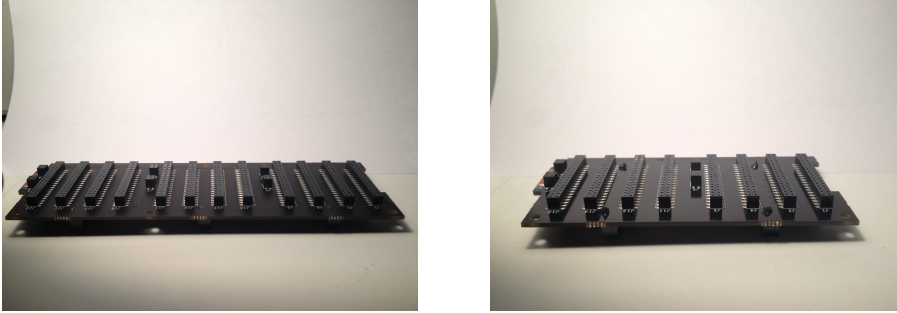


Figure 2.2: ADAPTER board, 2 MAPMTs variant, INFN Genova, 2014

894 The assembly have to be provided with power and data cables only resulting
 895 in a very compact photon detection module tailored for MAPMTs as shown
 896 in figure 2.1. Because of the modular design the units are called *tiles* and can
 897 be in principle used to tessellate arbitrary large surface or more complicated
 898 geometries.

899 2.2.1 The ADAPTER board

900 The task to match light sensors with the front end electronics is a crucial
 901 element for any readout system, including the ones in which these two el-
 902 ements are embedded in the same device. Considering the CLAS12 RICH,
 903 the adaptation should also accounts for MAPMT mechanical support, high
 904 voltage distribution, optical and gas sealing.

905 A passive feedthrough board, called ADAPTER, has been designed to
 906 be mounted on the inner side of the electronic panel. The board comes in
 907 two variants, to house 2 or 3 MAPMTs respectively as shown in figure 2.2
 908 Shape is rectangular with dimensions that replicate exactly the MAPMT
 909 base providing a minimum dead space between sensors. A small extrusion
 910 and a correspondent cut off had been necessary to achieve a 1 mm gap be-
 911 tween MAPMTs in all directions when mounted on the panel because of the
 912 dedicated high voltage pins of the MAPMT socket. The ADAPTER receives
 913 one high voltage power line and distributes it to groups of MAPMTs (2 or 3
 914 depending on its version). The HV connector is a 3 pin model polarized with
 915 a two 10 M Ω resistors that keep the voltage between adjacent pins always at
 916 one half of the MAPMT operating voltage.

917 As specified by the manufacturer, there is no light sealing in the MAPMT
 918 base so the ADAPTER boards are painted black and surrounded by a black
 919 custom rubber sealing (Viton). As a further prevention against light trans-
 920 mission, all the mounting holes of the connectors could be eventually sealed
 921 using a small black silicon deposition after the assembly.

922 Anode signal paths are kept as short as possible to minimize their reac-
923 tance. Special attention has been taken to map neighbor anodes in separated
924 readout channels. With this expedients the cross-talk introduced by the elec-
925 tronics can be decoupled from the one of the multi-anode sensors.

926 2.2.2 The MAROC board

927 In a modern readout system each detection element (pixel) is served by an
928 independent front end circuit responsible of signal processing and digitiza-
929 tion. High performance multi-channel apparatus like CLAS12 RICH make
930 often use of an ASIC coupled with a programmable device, the first pro-
931 viding a highly specialized function, the latter giving flexibility, versatility
932 and buffering resources. Among available ASICs designed for single photon
933 sensors readout (e.g. MAROC [22], CLARO [23] , DREAM [24] , etc.)
934 the choice has been placed on MAROC since its specifications fulfill all the
935 CLAS12 RICH requirements, because of the existing expertise within the
936 Collaboration and for its mature stage of design.

937 Multi Anode Read Out Chip (MAROC)

938 MAROC is a 64-channels, BiCMOS 0.35 μm integrated circuit designed by
939 Omega Group of IN2PR3-LAL (Paris, France) for the ATLAS experiment
940 to readout fast negative current pulses such as those provided by MAPMTs.
941 As shown in figure 2.3, the chip consists in a highly configurable system
942 dedicated to signal discrimination and charge measurement. The first is
943 a prompt operation with parallel binary output while the latter, offering
944 information about pulse height, is slower and serial. Each channel has a
945 preamplifier followed by two shaping sections. One, preceding a comparator
946 and called *fast channel*, is intended to produce reliable signal discrimination
947 for pulses above 50 fC, the other, called *slow channel*, offers a linear response
948 in a wide range of charge and is followed by a sample-and-hold circuit.

949 The chip can be configured using a 829 flip-flop shift register and allows
950 individual channel probing thanks to a second shift register, 64 bits wide
951 (called *static* and *dynamic* respectively). Both registers can be daisy chained
952 for saving pinout resources in case of large systems. Each individual channel
953 preamplifier is a low-offset low-impedance adjustable current mirror. Its
954 gain can be tuned in the range 0 to 4 with 8 bits resolution allowing for an
955 optimal detector gain spread compensation; once equalized each pixel injects
956 on average the same amount of current in response to single photon. An
957 embedded 10 bits DAC is employed for tuning the discrimination threshold
958 accordingly to the desired sensitivity. Two operating modes are available for

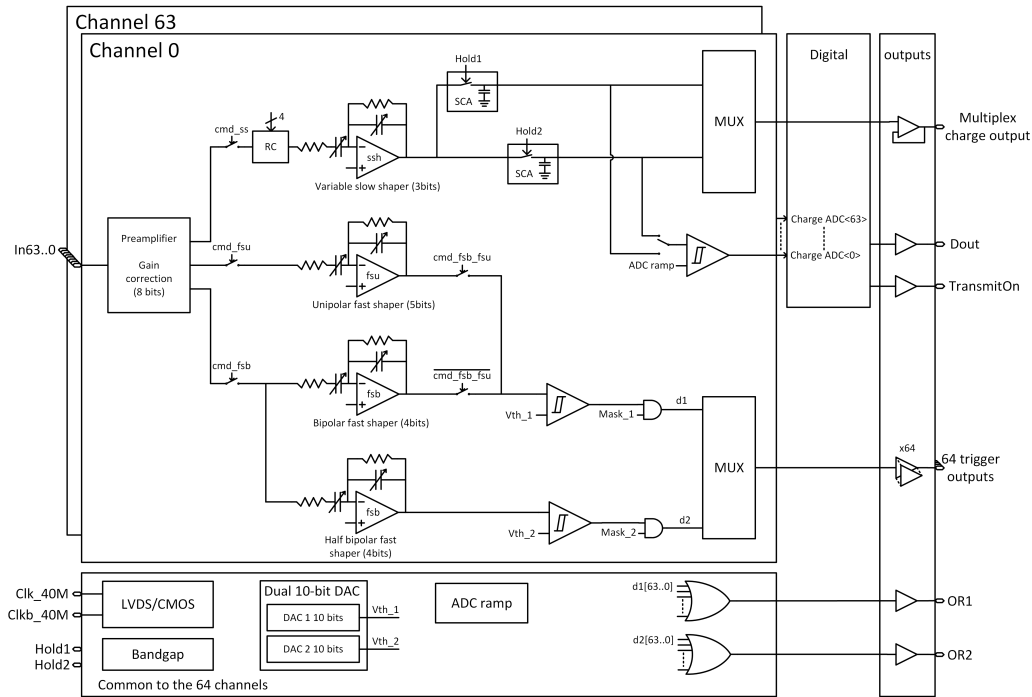


Figure 2.3: MAROC3 block scheme

Specification	Description
Version	MAROC3A
Detector Readout	MAPMT, SiPM
Channels	64
Dimension	16mm ²
Packaging	PQFP240 (240 pins)
Technology	BiCMOS SiGe 0,35μm (AMS)
Signal Polarity	Negative
Sensitivity	100% efficiency above 50 fC
Time Resolution	≤ 40ps at 1 pC
Dynamic range	up to 5pC with 2% integral non linearity
Inputs	64 analog + 1 channel test (CTEST)
Outputs	64 binary + 1 masked OR 1 charge (12 bit ADC, multiplexed)
Configuration	Individual gain, range 0 to 4 (8 bits) Common threshold (10 bits)

Table 2.1: MAROC features summary

959 the DAC, a full-scale allows to span the entire pulse height of the fast shaper
960 output while a reduced scale is intended for finer adjustments in the low
961 charge discrimination region. For optimizing the match with sensors and fully
962 exploit the dynamical range all the shaping amplifiers have a configurable
963 feedback network with peaking time 15-25 ns for the fast channel and 60-100
964 for the slow one.

965 MAROC offers great versatility not only in view of the highly configurable
966 analog processing section, but also for the options available on its digital
967 output stage. Charge is digitized by using an embedded ramp ADC with
968 adjustable resolution. The choice among 8, 10 or 12 bits is a compromise
969 between resolution and speed. Maximum conversion time is a bit less than
970 $100\mu\text{s}$ for 50 pC. The binary parallel output polarity can be selected to be
971 compatible with any subsequent stage device. A masked OR of the 64 parallel
972 discriminated outputs is also available for minimum latency triggering in case
973 of data driven acquisition.

974 In addition, a test input pin connected to the preamplifiers through a logic
975 network of switches and 2 pF capacitors (not shown in figure 2.3) can be used
976 for response characterization in absence of the detector element (CTEST) us-
977 ing an external step function generator. A summary of the MAROC features
978 is presented in table 2.1.

979 MAROC in CLAS12-RICH

980 Usually the binary output is used in imaging systems as topological trigger
981 for realizing efficient event selection at hardware level. In single photon appli-
982 cations and specifically in the CLAS12-RICH physics quality runs, where the
983 hit multiplicity per event is small and the arrival time precision has greater
984 importance than the amplitude the binary output is the primary source of
985 information about the optical flux impinging the photosensitive surface while
986 the autotrigger will be used for monitoring the sensors only.

987 The main reason to that is the CLAS12 trigger maximum latency of
988 $8\mu\text{s}$. This is a too large value the use of the MAROC slow channel (charge
989 measurement) during physics runs of the spectrometer. Since the RICH
990 technique is ultimately binary and the time precision of the MAROC is better
991 than the photosensor even if the chip was not explicitly designed for timing,
992 this choice appears perfectly adequate. Moreover the binary output have a
993 much smaller impact on data throughput of the apparatus. The price to pay,
994 in absence of any shape information, is the need of a complete knowledge of
995 the discrimination properties in order to digitize the light flux in a reliable
996 way.

997 Charge information can still play an important role in the apparatus cal-

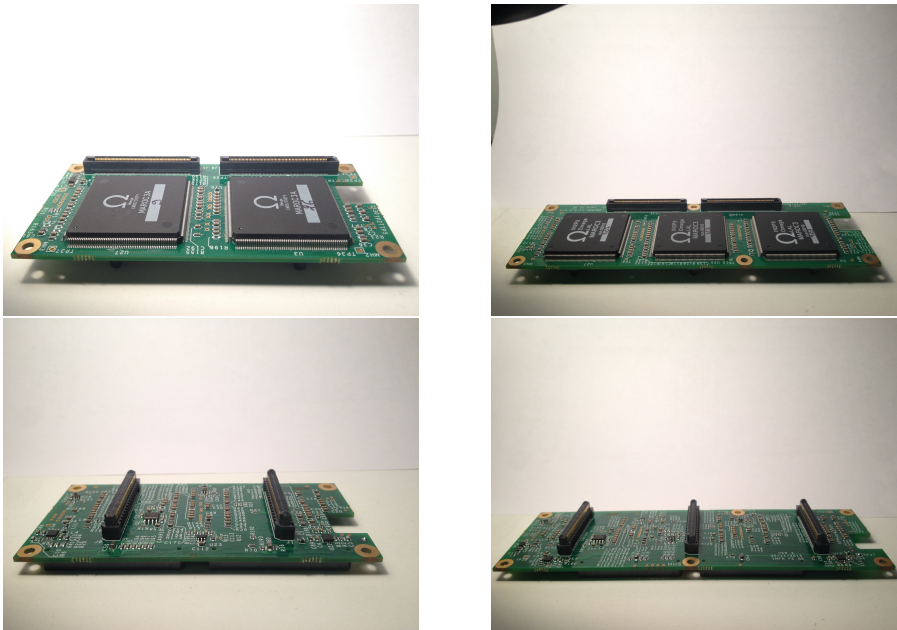


Figure 2.4: MAROC boards layout view

998 ibration. Indeed the charge measurement linearity, extended in the whole
 999 MAPMT output range, offers a powerful mean for characterizing and de-
 1000 bugging the electronic response after installation in the spectrometer. For
 1001 example, the MAROC self-triggering capability can be used for capturing
 1002 the thermal noise of the sensor (typically few tens of Hz per pixel in case
 1003 of MAPMT) and characterize the electron multiplier gain *in situ* during the
 1004 life time of the experiment.

1005 MAROC board description

1006 The board that houses the front-end chips is called MAROC board. This
 1007 is the middle element of the readout unit stack and converts the analog
 1008 signals from the sensors into digitized information for the acquisition node.
 1009 It houses voltage regulators for chip biasing and a test pulse circuit. It is
 1010 strongly coupled with the controller unit and shares with it a dense board-
 1011 to-board contact, not only for data and control lines, but also for receiving
 1012 form it the power supply. This latter choice goes in the direction of cabling
 1013 reduction and reinforces the unitary vision about signal processing: have a
 1014 compact unit dedicated to single photon acquisition with minimized external
 1015 connections.

1016 Low-voltage power supply (+5 Volts) is fed into four linear regulators to
 1017 produce stable voltage references for the on-board chips. MAROC requires

Line	Resources [Number of pins]
Power supply (+5 V)	11
Common reference (GND)	42
Binary outputs	192
OR outputs	6
ADC measurement	12
Static register	4
Dynamic register	6
Test pulse	4
TOTAL	277

Table 2.2: Usage of the interface connector between MAROC board and FPGA board, the identical pin assignment for the two front end variants allows only one firmware development

1018 standard TTL for the digital section and slightly more for the bias of ana-
 1019 log part (3.3 and 3.5 Volts respectively). The binary output levels are are
 1020 VHSTL, custom values have been chose to cope with the FPGA discrimi-
 1021 nation threshold with the minimum reliable swing (1.2 V and 0.6 V). They
 1022 are generated by two identical regulators (*TPS79501DRB*) conditioned with
 1023 different passive feedback networks. The total current absorbed is pretty
 1024 small, around 55 mA/chip or 0.86 mA/channel.

1025 Actual ASIC version used is MAROC3A, available at the moment of
 1026 design in a 240 pins Quad Flat Package¹ (*QFP240*). Inputs are bonded on
 1027 one side while binary outputs are on the opposite side. All of them are single
 1028 ended signals referred to the same ground level. Remaining pins, on the other
 1029 two sides of the package, have bias and control functions. Every ASICs is
 1030 mounted on the MAROC board with the same orientation, with the binary
 1031 outputs close to the connection with control unit. The large package, 35×35
 1032 mm², occupies a substantial fraction of the board surface. As a consequence
 1033 the space for additional components and circuit routing is limited and special
 1034 care has been taken in order to minimize cross-talks among the lines or digital
 1035 signal induction on the sensible analog inputs.

1036 The MAROC board accommodates an adjustable test pulse circuit imple-
 1037 mented with a single logic gate (*74LVC1GU06*) and a 10 bit programmable
 1038 DAC (*AD5620BRJZ*). The logic gate is driven by a standard *TTL* signal
 1039 and its output voltage can be modulated by the DAC before entering the

¹Future fabrication runs will adopt a smaller Ball Grid Array (BGA) package, 12 mm side and 0.5 pitch, helping the routing at an increased price

1040 *CTEST* pin of the MAROC where is it converted in a fast current pulse by
1041 an embedded 2 pF capacitance connected to the input preamplifier.

1042 The described MAROC board comes in two variants of 128 and 192 chan-
1043 nels sharing an identical interface with the controller. This choice permits
1044 to have only one FPGA board, with only one version of the firmware. As
1045 previously mentioned, the front-end and the controller board form a single
1046 functional unit, that is the reason why the connector between them is so
1047 important. Table 2.2 lists the amount of pins dedicated to each function.
1048 The majority of lines are used by MAROC digital outputs, power supply
1049 and common voltage reference. The other connections are dedicated to con-
1050 figuration and control lines. MAROC static registers are daisy chained on
1051 the board and thus require only 4 pins. Test pulse requires 3 lines for serial
1052 configuration of the DAC and one for driving a logic pulse. Finally 12 pins
1053 are devoted to the MAROCs internal ADC control and readout.

1054 2.2.3 The FPGA board

1055 The third element of the RICH electronic assembly is a board that acts as
1056 controller unit. It mediates the information exchange between an external
1057 data acquisition node and the front-end. Specific tasks are the translation
1058 of configuration parameters, the data aggregation and readout in response
1059 to commands or signals. The board is built around a FPGA device to allow
1060 maximum versatility in terms communication of protocol choice and features
1061 addition or debug, especially as the design evolve.

1062 The FPGA is chosen among the Artix-7 family for its low power con-
1063 sumption, small form factor and, of course, adequateness in terms of I/Os
1064 and digital resources; all attractive features when a small space is available
1065 and compactness of the entire unit is essential. The Artix-7 is complemented
1066 by a not-volatile memory (EEPROM) that stores the firmware image on-
1067 board allowing self initialization at power up.

1068 The board is provided with a small form factor optical transceiver (Fin-
1069 isar Endurance-FTE8510N1LCN duplex LC) for high speed communications,
1070 likewise performing in terms of power consumption, thus adequate to contain
1071 the board within the mechanical and thermal specifications.

1072 The use of different technologies impose the presence on boards voltage
1073 regulators and translators to provide a correct and stable reference to all
1074 the chips and use the proper signal range for signaling. An example is the
1075 transceiver that requires a 3.3 V voltage bias for its functioning and a level
1076 shifter (from HSTL - used by the FPGA- to TTL) for its configuration.
1077 Voltage regulator are also mandatory for the FPGA block RAM (1.0 V) and
1078 MGT (1.0 V and 1.2 V). The most used voltage on board is the 1.8 V for

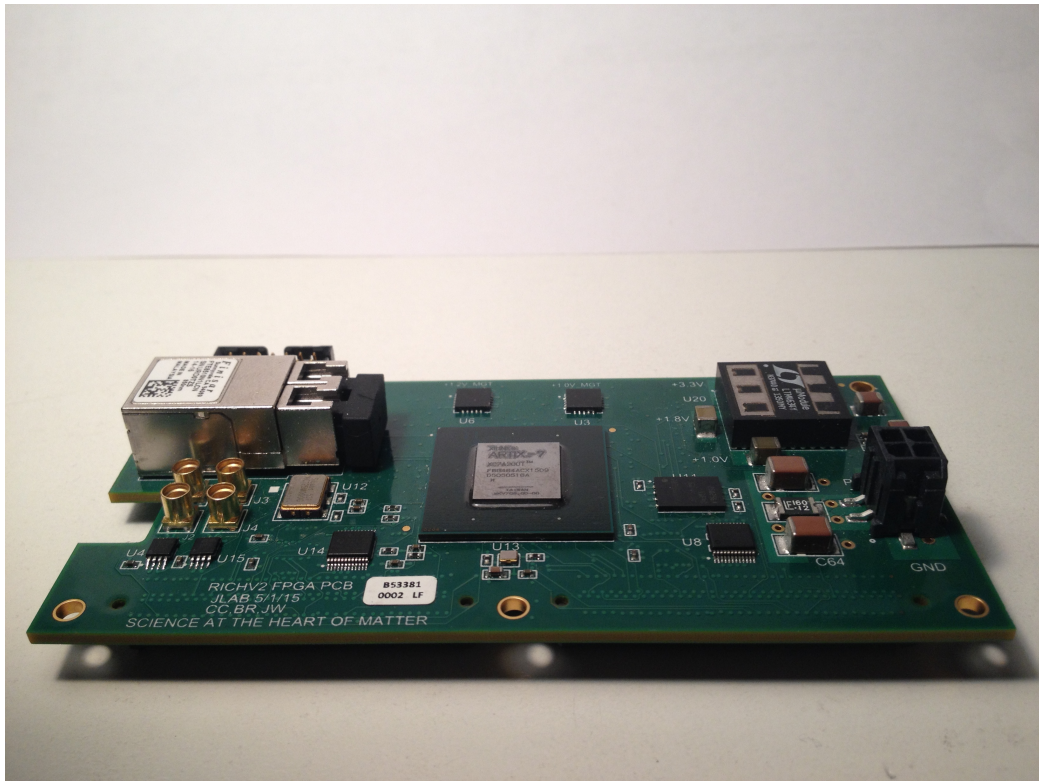


Figure 2.5: Fpga board. An optimized organization of the pin out connector allow to have one single FPGA unit to readout both tile variants i.e. 128 and 192 channels.

1079 the FPGA itself and the EEPROM. In total the current consumption of the
 1080 board is of the order of 700 mA at 5 V depending on the working condition.

1081 The board is completed by a local 250MHz clock source and a JTAG port
 1082 that allows flashing the firmware image directly on the FPGA or burning it
 1083 into the EEPROM. Four miniature micro-coaxial connectors (MMCX) allow
 1084 to interface the board with other devices for testing purposes. For example
 1085 they can be used to drive an external pulse generator or a laser unit. Their
 1086 I/O standard is TTL and have been precious to synchronize the unit with
 1087 an oscilloscope for checking the front-end response by probing the many test
 1088 points available on the MAROC boards. They are intended purely for testing
 1089 and will have no use in the final system since all the information will go over
 1090 the optical fiber link.

1091 The FPGA board has been realized with the same dimension of the 2
 1092 MAPMT variant of the ADAPTER, but it supports both versions, up to 192
 1093 front end channels with the same firmware.. Board layout is illustrated in

1094 figure 2.5.

1095 **2.2.4 Integration in CLAS12**

1096 The RICH front end electronics will be positioned inside the detector module
1097 and connected with the external through power and data connections using
1098 a couple of patch panels out of the acceptance. The readout control elements
1099 as well as the power supplies and gas system services will be positioned 15
1100 meters away from the module in the Electronics Trailer of the experimental
1101 Hall.

1102 **Readout**

1103 The RICH will enter the CLAS12 data acquisition system interfacing directly
1104 with Sub System Processor (SSP) boards that are existing JLAB modules
1105 participating in the L1 trigger logic of the experimental Hall. Five SSPs are
1106 needed for the 138 tiles of the RICH sector, they are housed in a VXS crate
1107 connected with the central controller of the experimental hall by means of a
1108 200 MB/s copper link.

1109 Each SSP is provided with 32 full duplex optical fiber link for high data
1110 transfer rate, 4GB of memory buffer and is in charge of distributing the clock,
1111 the trigger and the synchronization signals to the RICH front end boards.

1112 Considering the transmission capacity of the VXS crate and that the
1113 physics run trigger rate is 20 kHz, about 10 kB per event or can be extracted
1114 without introducing dead time. This amount of information corresponds to
1115 2.5 kwords using the current data format. As the total number of channels of
1116 the RICH electronic module a theoretical maximum occupancy of 10% can be
1117 sustained, something less including the overhead. This performance is more
1118 than adequate for the RICH application because the expected occupancy due
1119 to Cherenkov photons is less than 1% (actually 0.1%).

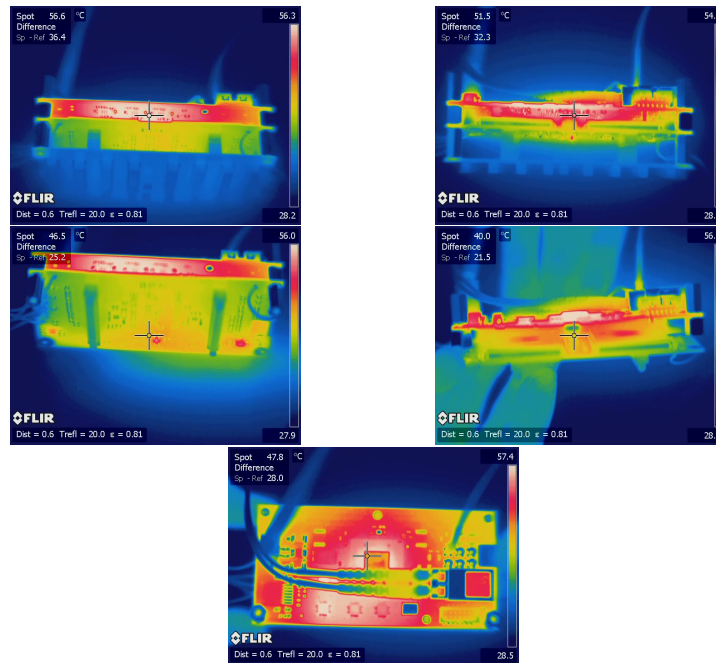
1120 For running condition different from physics run, i.e. calibration and
1121 monitoring, that could have a larger amount of data the system is adequate,
1122 accepting few percent of dead time.

1123 **Power supply**

1124 A single tile consumes around 4 Watts. An adequate voltage power supply
1125 should be provided to the electronic units. Photon sensors require an high
1126 voltage line drawing few hundreds of μA in the working range from 1000 V to
1127 1100 V that will be routed along the two services raceways with micro coaxial
1128 cables. Each controller unit requires about 1 A at 5 V, supplied by AWG20

1129 copper cables, and therefore consumes about 5 W. A remotely accessible
 1130 CAEN SYS4527 power supply will be used to drive both the low- and high-
 1131 voltages. More detail on the power distribution system can be found in the
 1132 appendix.

1133 The heat load has been carefully studied. As shown in figure 2.6 the
 1134 warmest element is the FPGA. This unit is certified to work up to 85 Celsius
 1135 degrees and may undergo damage if the temperature is greater than 125
 1136 Celsius degrees.



(a) write me fpga

Figure 2.6: Thermographic camera pictures, color scale pretty reliable.

1137 The total power dissipated at the electronic panel is about 500 Watts. To
 1138 avoid perturbation on the RICH itself and adjacent detectors, the thermal
 1139 load should be minimized and temperature controlled. The volume surround-
 1140 ing the electronics is limited and almost thermally isolated, and can not be
 1141 accessed without disassembling the RICH detector. As a consequence a reli-
 1142 able cooling system has been tailored and complemented by a sophisticated
 1143 slow control and interlock systems.

1144 **Slow Control**

1145 In addition to the distributed temperature information, dedicated tempera-
1146 ture sensors will be placed in relevant positions along the electronic panel,
1147 cooling circuit and adjacent detector. A compact-Rio control unit will take
1148 care of collecting this data together with the status of the cooling line (flow
1149 meters, pressures and air compressor status) stabilizing the temperature of
1150 the readout circuit. This module will be connected with the CLAS12 com-
1151 puter through an Ethernet interface to pass all the information to the slow
1152 control program.

1153 Supply Voltage and current values are also transmitted by SY4527 to
1154 the compat-Rio and to the CLAS12 slow control monitor. To minimize the
1155 possibility of oscillations in the feedback network due to finite propagation
1156 time, the voltages are regulated on board. For safety reason each channel is
1157 enabled only if simultaneously enabled by the control program in the data
1158 acquisition computer and by the interlock system of the front-end.

1159 In case of alteration or emergency, the compact-Rio immediately takes the
1160 programmed actions to avoid data corruption or hardware damage and the
1161 relative information is passed to the slow control monitor of the experiment.

1162 Complementary information on detector status consists not only in the
1163 power and cooling system monitors but also in the correctness of the config-
1164 uration data. Dedicated tests during the development of the readout elec-
1165 tronics assessed that a 10 years integrated fluence at full luminosity has low
1166 probability to create permanent damage to instrumentation. The passage
1167 of particles can alter the configuration register content positioned in accep-
1168 tance creating unexpected behavior. As a consequence, a system to control
1169 the correctness of the configuration data is mandatory. A dedicated check can
1170 be performed to detect and eventually correct possible bit upsetting during
1171 CLAS12 operations. It consists on the comparison of the read-back register
1172 content with the expected configuration pattern.

1173 **2.3 Firmware Resources**

1174 The firmware is the operating system of the tile assembly. It is a microcode
1175 (bitstream) describing sequential and combinatory logic operations to man-
1176 age the on board resources in a versatile way, in fact it can be upgraded
1177 without modifying the hardware resources as the design evolves. Once loaded
1178 in the configuration memory of the FPGA device, the firmware allows the
1179 information and commands to be transferred by means of read and write
1180 operations on appropriated registers.

1181 Starting from the MAROCs configuration, many features has been added
1182 to support the testing and to prepare the RICH electronics for the integration
1183 in CLAS12. At the moment of writing the following features are supported:

- 1184 • TCP/IP communication protocol
- 1185 • MAROC registers configuration
- 1186 • Counters for a quick control of the discrimination performance (scalers)
- 1187 • Pulse burst generation with adjustable frequency and duty cycle
- 1188 • Trigger logic management with internal, external or data driven modes
- 1189 • Event building with up to $8\mu s$ latency from trigger
- 1190 • Charge measurement using MAROC embedded ADC
- 1191 • Time measurement of the MAROC binary outputs with 1 ns resolution
1192 (TDC)
- 1193 • Temperature and voltage monitors
- 1194 • Module for radiation tolerance test
- 1195 • Serial Peripheral Interface (SPI) with the flash memory device

1196 The chosen Artix-7 device has 9Mbit of configuration data (bitstream
1197 length), stored on board in the associated EEPROM device and loaded at
1198 any power up. During the development a JTAG port allows to flash the
1199 EEPROM, in the case of CLAS12 the operation will be done using the optical
1200 fiber link as well as data transfer, clock, trigger and synchronization signals.
1201 For a greater robustness against bitstream corruption, multiple compressed
1202 bitstream will be held in the EEPROM device that has a capacity of 16Mbits.
1203 Periodical reconfiguration will ensure the proper functioning of the unit.

1204 **2.3.1 Event Data Format**

1205 In order to minimize the porting effort when moving from the bench to the
1206 experimental hall setup the adopted data format utilizes the same encoding
1207 scheme defined for other JLAB boards (e.g. FADC250). The word length
1208 for is 32 bits and the total event size depends on the number of channels
1209 involved.

1210 Data words are divided in two categories: the *Data Type Defining* (DTD)
1211 word that specifies the kind of information carried and the *Data Type Contin-*
1212 *uation* (DTC) word to provide additional data payload to the last specified
1213 data type. DTC words permit to span multiple words allowing for an efficient
1214 packing of various data types. The types used for the RICH event readout
1215 are:

- 1216 • Event Header indicating the start of an event and including the trigger
1217 sequential number and the identification of the FPGA device to ensure
1218 the proper alignment for the event building.
- 1219 • Trigger time specifying the time interval from the most recent global
1220 reset. It is measured by a 48 bit counter that is clocked from the
1221 250 MHz system clock. This information is essential to ensure synchron-
1222 ization and proper alignment of event fragments coming from different
1223 electronic tiles.
- 1224 • TDC hit containing the indication of the channel involved in the event,
1225 hit edge polarity and the timestamp referred to the beginning of the
1226 readout time window.
- 1227 • ADC charge with MAROC ADC values and the channel identification
1228 number.

1229 2.4 Software Resources

1230 As any in board resources should be made available to the user in a organized
1231 and friendly way, the a software library has been developed in parallel to
1232 the firmware. In this section the software for the stand-alone setup will be
1233 described. Some of this tool could be ported directly in the RICH software
1234 suite, others like the configuration database and the logbooking must be
1235 changed because of the larger environment of CLAS12.

1236 Any readout action foresees several steps: front-end configuration, start
1237 and stop of the data-taking, raw data storage on disk, log-booking, data
1238 parsing, analysis, diagnostics and plotting. The current software architecture
1239 is object oriented. A C language set of low level instructions is wrapped into
1240 custom C++ objects for an easier maintenance, upgrade and porting. The
1241 data reconstruction and analysis is performed with the help of ROOT library.
1242 Operations take place from a shell command line or by automatize small
1243 scripts. At that level any external device (e.g. laser, room temperature) can
1244 be integrated in the data stream.

1245 2.4.1 Configuration

1246 Before performing any operation with the front-end, the MAROC chips have
1247 to be configured. The FPGA unit takes care of this operation receiving data
1248 from the PC and translating them on the MAROC registers using dedicated
1249 lines on the board to board connector.

1250 In each front-end board, the MAROC slow control register are daisy
1251 chained. This solution brings advantages that go beyond the simple con-
1252 figuration:

- 1253 1. A random initialized configuration can be used to check the presence
1254 of the front-end board and determine, by the depth of the daisy chain,
1255 which board variant is connected to the controller unit. This operation
1256 is called automatic detection or discover. It iterates the configuration
1257 process and compares written and read data, avoiding the need of hard
1258 coding the board type information, .
- 1259 2. A configuration read back can be used during the short breaks of the
1260 physics run to check possible alterations of the MAROC static config-
1261 uration registers due to the passage of particles, contributing in such a
1262 way to the data quality monitor. In case any alteration is encountered,
1263 the corrected bit value can be restored and the repair action can be
1264 logged into the database.

1265 To configure the entire unit the following procedure has been adopted.

- 1266 • First the front end card variant is identified by sending random bit
1267 sequences to the shift register of each MAROC and reading them back;
1268 in case of a broken card or a not connected card the read bit are different
1269 from the written ones.
- 1270 • Once established that a card is present and working, the configuration
1271 bytes are sent.
- 1272 • Trigger logic is then defined together with the proper synchronization
1273 of the measurements. The event builder latency is regulated in order
1274 to capture the hit in an adjustable window of time, before the trigger
1275 is issued. The same have to be done with the ADC single cell sam-
1276 pling time which could be tuned to catch the pulse height amplitude
1277 at it maximum in order to have the best dynamic range for the charge
1278 measurement.
- 1279 • Event and time preset criteria for the data acquisition to stop as well
1280 as identification data are provided for a complete logbooking and to
1281 avoid overwriting (optional).

1282 An example of the configuration file is given in appendix.

1283 **Log-booking**

1284 Many control parameters have to be set and recorded to ensure the correct
1285 functioning, obtain the expected results from the readout and optimize the
1286 performance. The system parameters are managed using a local database and
1287 a C++ interface library called LIBCONFIG. The latter allows the creation of
1288 a default configuration file (.cfg) and an easy utility to export the parameters
1289 values in plain human readable text file, saving a lot of coding time and
1290 allowing for automatic log-booking at the end of the run.

1291 Before saving the configuration file to disk (.log), other run-time infor-
1292 mation can be added to the database. Examples are the number of events
1293 and duration of the run for offline efficiency and rate calculations. Other
1294 parameters, e.g. the individual channel gain, or the binary output polarity,
1295 can be used by the analysis program to interpret the data and generate for
1296 example a coherent title in the plots or used in multiple run analysis like in
1297 the case of a gain scan study. Also the sequence and logic of the required
1298 actions can be controlled by the configuration file, e.g. the kind of analysis
1299 to be performed or the kind of plot to be generated can be selected without
1300 the need of recompiling.

1301 An important functionality inserted in the software tool is the possibility
1302 of inline substitution of the default value of any parameter at run time. This
1303 gives a lot of flexibility to the program, allows automation of the operation
1304 and integration with independent devices (by dedicated shell .sh scripts).
1305 In particular it is possible to scan all the registers on the boards allowing
1306 sophisticated analysis as will be presented in next chapter.

1307 **2.4.2 Data Acquisition**

1308 **Slow Control**

1309 This is the simplest readout case because it does not require a trigger sig-
1310 nal. Data can be read at any time, written on local registers of the FPGA
1311 and transferred to the acquisition node. RICH slow control includes tem-
1312 perature, bias voltages and configuration errors conveniently measured with
1313 FPGA embedded resources. In addition, each channel is provided with a
1314 counter. Scalers have to be reset, started and latched using dedicated in-
1315 structions. This information can be used to assess the data quality and mon-
1316 itor the status of hardware and prevent any sub-optimal working condition
1317 or damage.

1318 **Event**

1319 In the Event Mode the TDC information and optionally the ADC can used
1320 for the triggered event description. This mode requires the configuration
1321 of several elements in the system. The pre-amplifier gain for each channel,
1322 and the fast and slow channel shaper response can be tuned to the input
1323 signals. A specific discriminating threshold can be defined in each chip to
1324 allow the digitization of the fast channels. ADC data can be added or not to
1325 the streamed data using an enable flag on a dedicated FPGA register.

1326 If events are built using an external trigger signal, asynchronous with the
1327 time stamp clock (250MHz), the measurements are affected by an intrinsic
1328 uncertainty equals to a clock period or of 8 ns. When using the the on board
1329 pulser (i.e. internal trigger mode), the on board clock is used both to drive
1330 the injection and to build events so the timestamp has full 1 ns resolution.
1331 The frequency, duty cycle and number of repetitions of the PULSER can
1332 be defined at run time. This signal can be used also for triggering the event
1333 builder, so that ADC and TDC data can be extracted for each injected pulse.
1334 Another use of the internal trigger is to drive external units such as laser and
1335 pulse generators. This expedient allows to achieve a timing precision of 1 ns
1336 even when using external modules.

1337 **Data Analysis**

1338 The first step is data parsing and storage into root trees. The tree structure
1339 allows a compact and ordered data organization and provides a C++ inter-
1340 face for convenient data inspection, efficient data selection and a powerful
1341 graphical interface. The following phase foresees the loop on the events to
1342 access ADC and TDC individual channel data, and the TDC hit reconstruc-
1343 tion from the leading and trailing edge information. The last step is data
1344 selection and histogramming to study the distributions, correlations and sta-
1345 tistical significant features in the data.

1346 **2.5 Example of operations**

1347 In this section a series of procedures are summarized and explained. Exam-
1348 ple of acquisition modes both for running and for testing are presented as
1349 example cases. This option has been preferred to a sequential presentation
1350 of the firmware registers and commands.

1351 The electronic inputs can be fed using a light sensor or an external pulse
1352 generator. Both signals enter the ADAPTER and reach the input pins of the
1353 front-end. Alternatively, the on board pulse generator can be used to verify

1354 and calibrate the electronic response. Several acquisition modes are possible,
1355 from the trigger free monitor to the triggered event stream. The acquisition
1356 can be triggered in external, internal and self trigger modes allowing complete
1357 studies of the detector response and sensors phenomenology.

1358 **Slow Control monitor**

1359 This acquisition mode allows to check and monitor the status of the detec-
1360 tor. It is a trigger free readout mode and can be operated during any few
1361 second break in the data-taking or as a standard alone system for electronic
1362 performance characterization. Temperature data are feed back to the air
1363 conditioning system and to the logbooking. This monitor has been used dur-
1364 ing the stress tests (radiation damage, time stability, ...) and will be used to
1365 assess the detector status during the physics run of the experiment.

1366 **Standard CLAS12**

1367 RICH operation mode is basically a time correlated reconstruction of the
1368 optical flux impinging on its photosensitive surface. The system goal is to
1369 stream hit patterns at every trigger distributed by the experiment on the
1370 fiber link with 1 ns precision. Only the TDC information will be readout to
1371 cope with the trigger latency of 8 μ s and be almost dead-time free.

1372 **Dark Monitor**

1373 In the real condition light sensors produces current pulses from the photons
1374 generated by the experiment, or from background excitation. The back-
1375 ground has two contributions. The first is due to thermal excitation of the
1376 electrons in the photo-cathode and produces single photo-electron signal.
1377 The second is produced by the passage of high energy cosmic particles
1378 and can create signal of arbitrary multiplicity and amplitude in the electron-
1379 ics.

1380 This type of data acquisition is performed in auto-trigger mode using
1381 the low latency MAROC OR outputs (see MAROC configuration) or an
1382 FPGA logic OR, generated considering the entire tile. In the latter case,
1383 a 192 bit mask allows to select which MAROC discriminated output can
1384 participate to the trigger formation. The availability of single or multiple
1385 channel triggering allows the investigation of all the phenomenology of the
1386 RICH detector. In this event driven data acquisition mode, no limitation
1387 exists from the trigger latency so both TDC and ADC information can be
1388 extracted. During the RICH life cycle this mode will allow to monitor the

1389 performance of the MAPMT in terms of gain and photo-cathode stability,
1390 i.e. monitoring the photon-sensor aging.

1391 **Internal Test Pulse**

1392 The presence of an adjustable amplitude pulse generator on board allows
1393 for detailed and systematic electronic calibrations. The linearity of response
1394 can be checked periodically and the information used to update the recon-
1395 struction algorithms like the time over threshold correction. Time stability
1396 and drift can be also checked. The information relevant for the detector
1397 calibration will be saved in the database.

1398 **External Test Pulse**

1399 For testing purposes a pulse generator with an appropriate dynamic and
1400 temporal range can be used to emulate the sensor signals in a controlled
1401 way. For example fixing the injected charge to study the transfer function of
1402 the preamplifier or creating a burst of pulses for efficiency estimations. The
1403 external pulse generator plays an important role during the development
1404 and characterization because it offer the chance of full signal path debug.
1405 For example it can be conveniently used for a cross-talk estimation between
1406 adjacent electronic channel. It provide an essential reference for absolute
1407 charge calibration.

1408 **Pedestal**

1409 The mean value and fluctuations of the baselines can be studied in the ab-
1410 sence of light signals by using a random trigger for the analog lines (ADC)
1411 and a threshold scan scalers acquisition for the binary lines (TDC).

1412 **Laser Test Bench**

1413 This mode is used for the full chain characterization of tiles before the de-
1414 tector installation in the experimental hall. Based on the stability of the
1415 picosecond laser pulse, it allows a measurement of the absolute detection ef-
1416 ficiency, to verify the discharge property and equalize the response of each
1417 channel.

1418 **Conclusion**

1419 Custom RICH readout electronics has been introduced together with the
1420 elements necessary for the integration of the RICH module in CLAS12. The

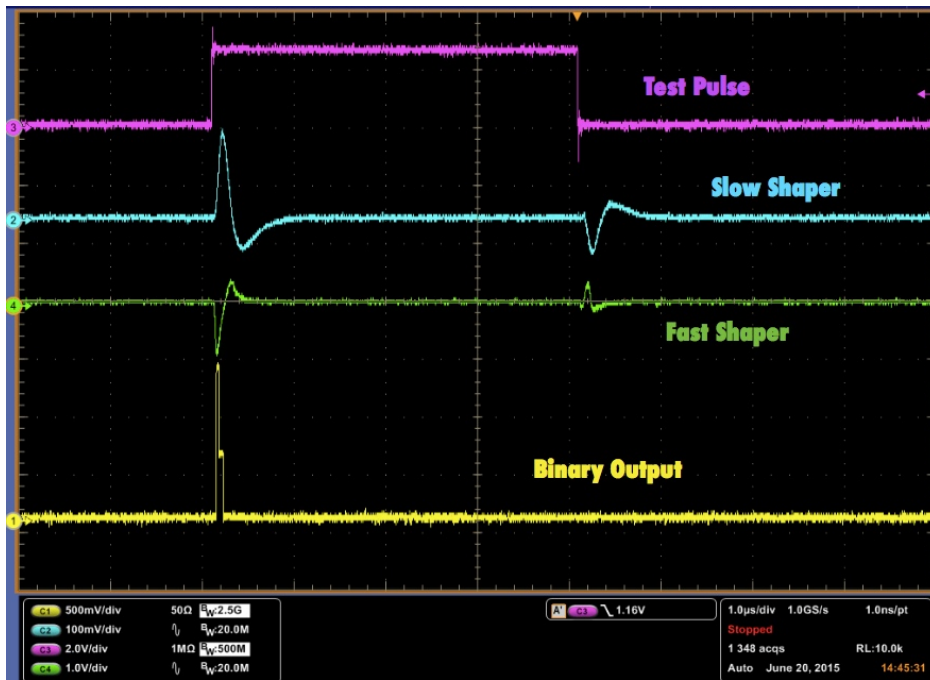


Figure 2.7: Signal processing debug at the oscilloscope

1421 main operating modes have been presented together with their configuration
 1422 protocols, firmware and data acquisition resources and analysis tools. With
 1423 the next chapter the focus will be on test bench protocols for acceptance,
 1424 characterization and calibration of the electronic tile assemblies. Few picture
 1425 of both variants are presented in the next pages.

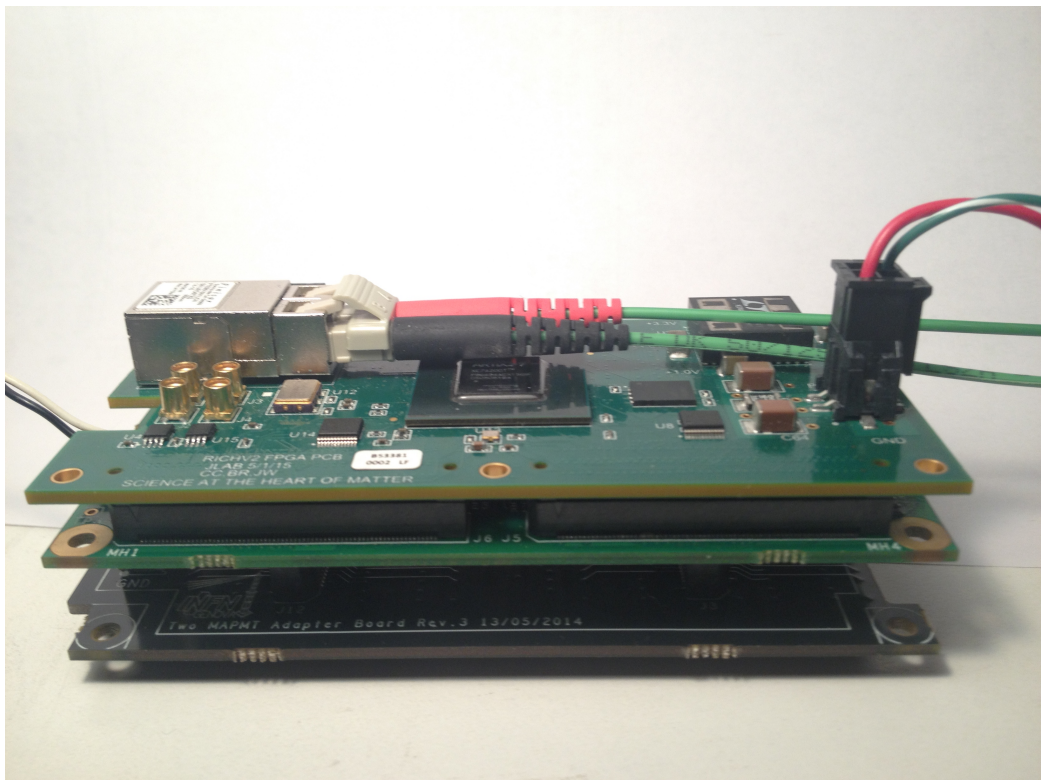


Figure 2.8: 2MAROC tile

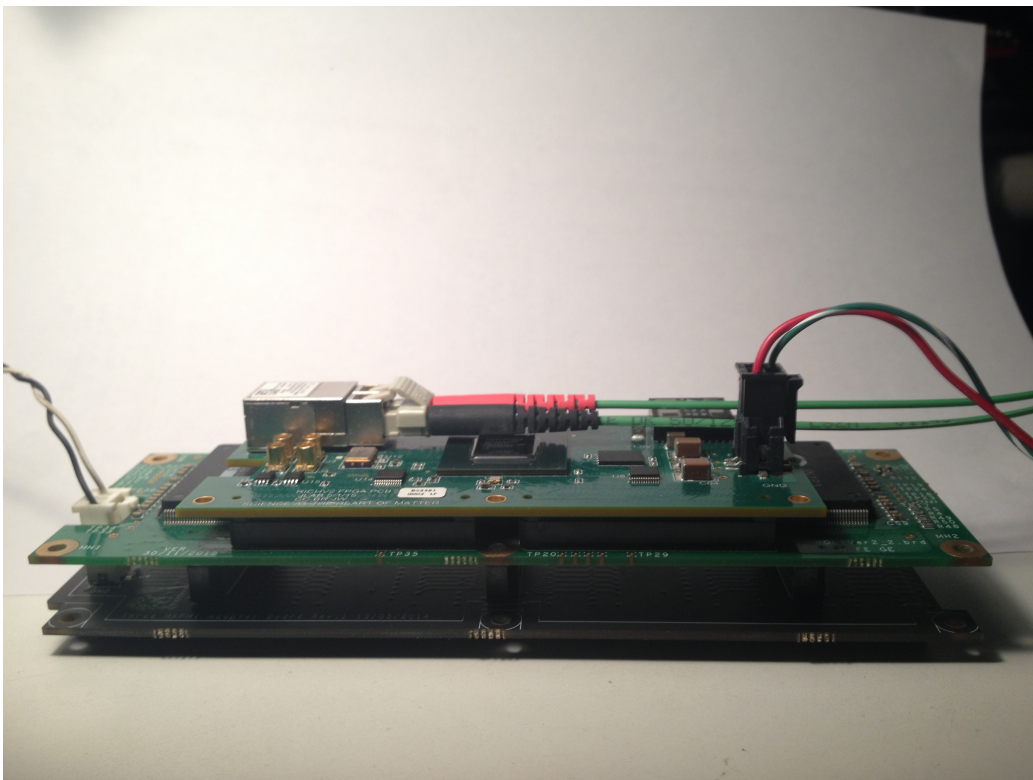


Figure 2.9: 3 MAROC tile

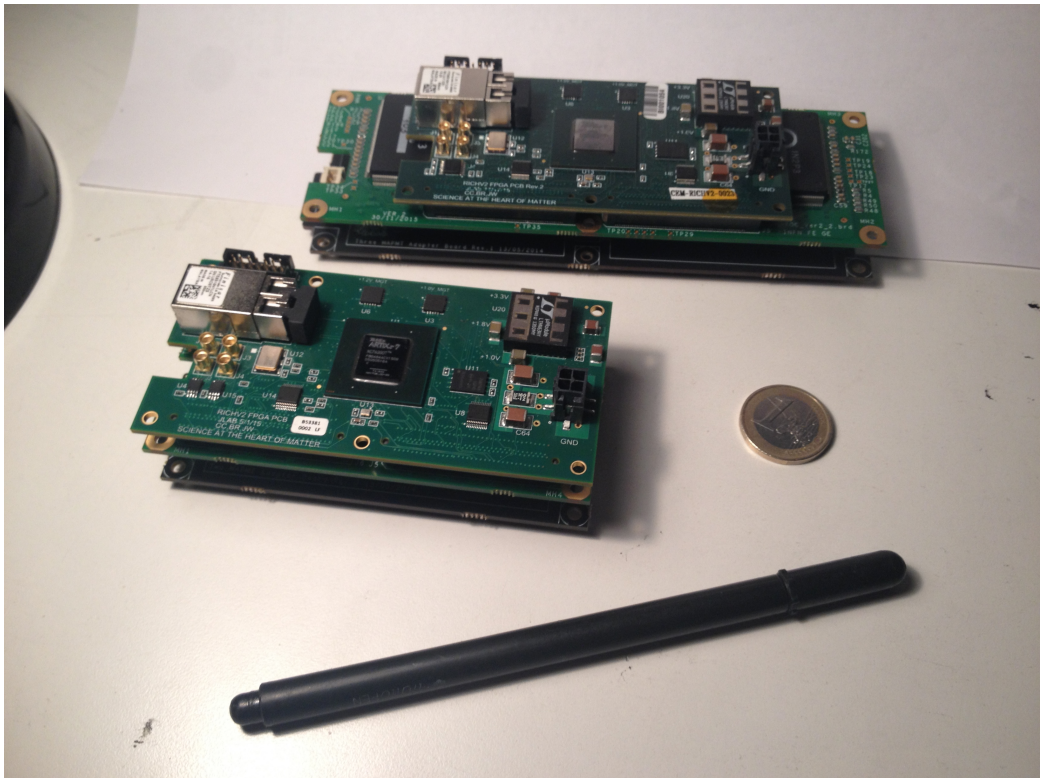


Figure 2.10: Assemblies view

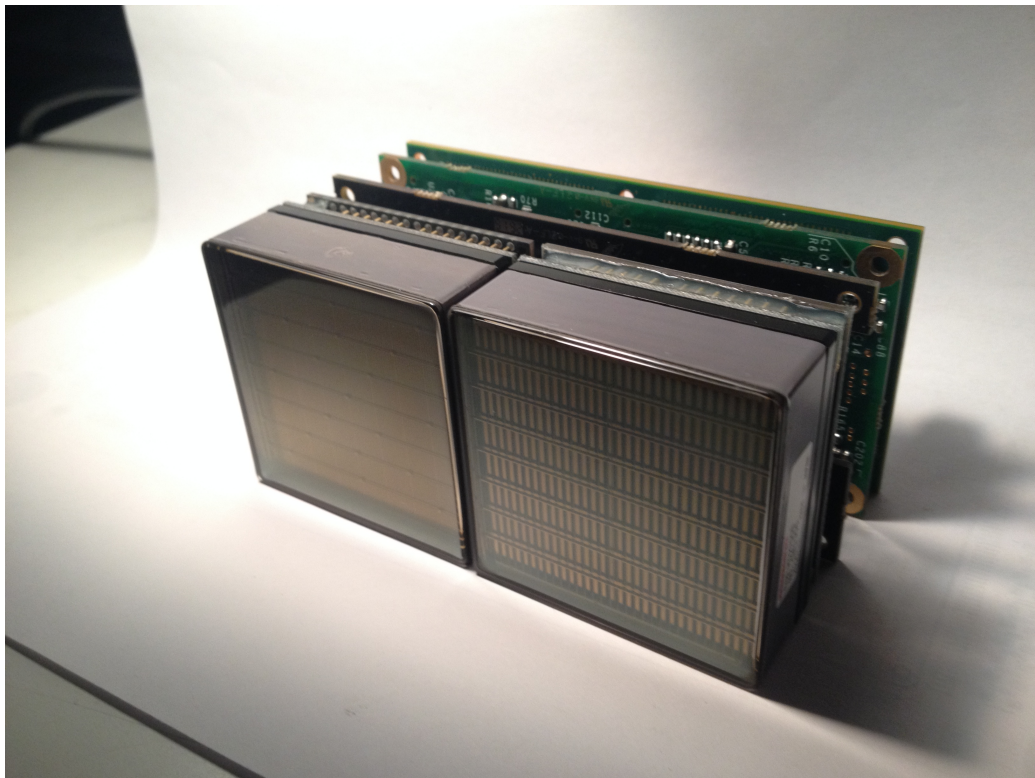


Figure 2.11: Full Tile Assembled with the two types of MAPMT, H8500 (left) and H12700 (right)

1426 Chapter 3

1427 Performance

1428 Single photon imaging requires a read out system with negligible response
1429 fluctuations to do not deteriorate the intrinsic uncertainty associated with the
1430 detection of single quanta of radiation. After having presented the electronics
1431 design the focus turns now on the performance characterization. A precise
1432 knowledge of the system is in facts essential to optimize the signal processing
1433 with an appropriate choice of the front end parameters, compensate the
1434 non uniformity on the individual channel basis and correct offline the data
1435 depending on the signal intensity.

1436 Tests and protocols presented in this chapter have been developed for the
1437 RICH application in the safe environment of a laboratory where realistic but
1438 controlled conditions have been reproduced using adequate pulse generators.
1439 In particular a bench unit has been adopted in combination with a single
1440 channel injector to provide a stable reference for calibration and explore the
1441 limits of the response at single photon equivalent excitation level. The on
1442 board adjustable pulser have been studied in parallel to develop systematic
1443 procedures for *in-situ* channel monitoring independently from the light sen-
1444 sors.

1445 The chapter presents first this basic validation tests and the protocols
1446 to produce an effective channel equalization by means of the charge mea-
1447 surements available on the front end chip (MAROC embedded ADC) Then,
1448 with increasing complexity, the discrimination sensitivity as a function of the
1449 threshold is presented with results that demonstrate a clean single photo-
1450 electron (SPE) capability down to few tens of *femtoCoulomb* (fC). In this
1451 region special attention has been given to crosstalk that can be an issue in
1452 the discriminator performance if not adequately treated. The last argument
1453 presented is a timing correction that allow to effectively suppress the spurious
1454 hits without losing counting efficiency. It exploit the time over threshold
1455 information to improves significantly the time precision in the charge range

1456 spanned by MAPMTs.

1457 **3.1 Preliminary validation**

1458 Before using a MAROC card as front end element, some of its basic fea-
1459 ture have to be validated by means of electrical checks. The correct current
1460 absorption as well as voltage bias of all the chips mounted on the printed cir-
1461 cuits board and all the configurable voltage levels can be validate separately
1462 from the data readout by direct measurements in DC mode. The results
1463 can be compared with the expectation by the data acquisition program in
1464 order to accept the board or rejecting it and later understand which part
1465 of the assembly is broken or badly mounted. Such kind of automation tests
1466 are essential for the validation of the mass production (150 cards) and have
1467 been manually operated on the first prototypes. A special validation board
1468 was designed during the summer of 2016, produced in two samples to test
1469 both versions of the MAROC cards, is presented here. It offers the chance to
1470 study the stability and temperature dependence of the on board DC levels,
1471 providing an independent data set on the RICH front end electronics.

1472 **3.1.1 Acceptance**

1473 In preparation of the first mass production¹ a DC voltage test board has been
1474 designed and implemented at INFN-Ferrara for quick acceptance operations.
1475 This passage is important for three reasons: the production quality can be
1476 estimated by measuring the frequency of bad mounting and infant failure of
1477 the parts; the safe compatibility of the MAROC boards with the rest of the
1478 RICH electronics can be assessed verifying the absence of abnormal current
1479 absorption; it is a chance to collect an independent data set on the voltage
1480 levels generated on boards including pedestals and threshold characteristics.

1481 The board depicted in figure 3.1 has been therefore designed to probe
1482 not only the basic bias, but also to access the many test points that were
1483 included in the design for debugging purposes. It consists in a pattern of
1484 needles connected to a microcontroller with a builtin 10 bit ADC for data
1485 acquisition. The needles position is derived from the mechanical drawings
1486 of the MAROC boards in a way that allow to probe the test points when
1487 the two board are aligned. In fact it replaces the ADAPTER in the RICH
1488 assembly stack leaving unaltered the possibility to use the FPGA to program
1489 the chips. The microcontroller configuration data are held in a small non

¹In the second part of February 2017 a gran total of 25k channels will be produced for the first RICH module of CLAS12 using 400 MAROCs on 150 boards

Name	Value	Unit
Absorption (128 channels)	110	mA
Absorption (132 channels)	165	mA
VDDA	3512	mV
VDDD	3280	mV
VHST1 High	1208	mV
VHSTL Low	648	mV
CTEST DAC	1244	mV

Table 3.1: Voltage regulator test results for the two pre-production boards

1490 volatile memory and basically describe the ADC operations using a USB
 1491 communication protocol with a standard PC. The power can be supplied
 1492 from the USB port directly or by an independent module using a jumper.

1493 At the moment of writing only two production-quality boards have been
 1494 tested (320 channels, 5 MAROCs, 1.25% of the gran total production). The
 1495 most elementary information collected is reported in table 3.1. It comprises
 1496 the regulated voltages for the MAROCs, the bias of the on board injector's
 1497 DAC (an *AD5620* part) and the total absorbed currents. Values are expected
 1498 to vary in a 5% range from board to board.

1499 After having assessed the correct voltage bias, the validated MAROC
 1500 boards can be configured and their basic programmable feature tested. A
 1501 RICH-FPGA board is thus plugged and connected to the PC using the optical
 1502 fiber link. A data acquisition shell script alternates configuration operations
 1503 and slow control FPGA monitors, described in the RICH software library,
 1504 with ADC measurements on individual needles. Data are timestamped using
 1505 Unix time and stored in plain text format for each tested tile. As a further
 1506 independent monitoring element, a temperature and humidity sensor, can
 1507 be added to the setup in order to have the air condition in proximity of the
 1508 boards stack recorded with the same time stamp. Three registers are scanned
 1509 and the corresponding voltage level measured, they are:

- 1510 1. the 12 bits CTEST DAC i.e. the part that is used to modulate the
 1511 charge injection in the MAROC's CTEST pin. One single chip serves
 1512 all the ASICs of the board.
- 1513 2. the 10 bit MAROC DAC. This is the portion of the MAROC slow con-
 1514 trol dedicated to adjust the signal discrimination level. The resulting
 1515 threshold is common to the 64 channels. Two operating modes are
 1516 available one for complete span and the other dedicated for optimiza-
 1517 tion in the low charge region (called coarse and fine).

Name	Average	Unit	Dispersion[%]
Pedestal ADC	1041	mV	1.05
Pedestal TDC	1935	mV	0.62
Fine threshold intercept	2326	mV	0.13
Coarse threshold intercept	2336	mV	0.13
CTEST intercept	5	mV	25
Coarse threshold slope	-2.20	mV/DAC	1.36
Fine threshold slope	-1.12	mV/DAC	3.6
CTEST slope	604	mV/DAC	0.77

Table 3.2: Mean values and dispersion of the MAROC boards quality estimators obtained with DC voltage test board and simple fit analysis. Results are narrow distributed except for the CTEST intercept due to the limited resolution of the ADC adopted (4mV)

- 1518 3. the 64 bit MAROC probe register, used to access the individual channel
1519 shaper output i.e. the ADC and TDC baseline levels.

1520 Examples of the measurements can be found in figures 3.2, 3.3 and 3.4.
1521 The microcontroller ADC resolution (10 bits over a range of about 4 Volts)
1522 is adequate for characterizing the DAC slope and the regulated voltages, but
1523 results insufficient to resolve the little spread among the pedestal levels within
1524 a chip and to determine the CTEST intercept which have to be determined
1525 by other means. Pedestals and DAC voltages are fitted with a line whose
1526 parameters, slope and intercept, are used as characteristic estimators of the
1527 chips. During the test only few points of the DAC register are acquired
1528 for contain the test duration within one minute. The average results are
1529 presented in table 3.2 for the pre-production boards.

1530 3.1.2 Stability and temperature

1531 Using the complete setup described above, with the temperature and humid-
1532 ity sensor positioned in the tile cutoff, two test have been performed to study
1533 the temperature dependence of the parameters.

1534 Test 1, fixed temperatures

1535 The first test has been conducted in a temperature controlled room at 25°C
1536 with and without a cooling fan (visible in figure 3.1).

1537 As shown in table 3.3 the presence of the fan dispersed all the irradiated
1538 heat produced by the electronics while its absence produces a higher thermal

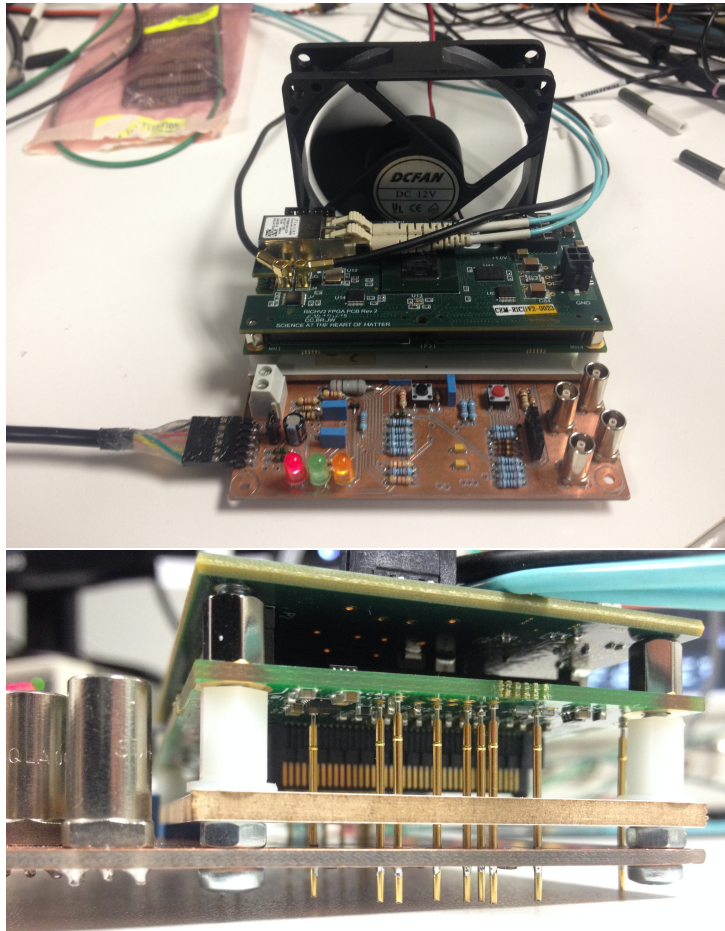


Figure 3.1: DC voltage test board setup. the MAROC board under test is positioned in the middle of the stack between the large PCB of the DC voltage board and the FPGA. The fan is used to disperse the heat produced creating a stable condition of about 25°C in the air surrounding the MAROCs. On the top picture a detail view on the needles.

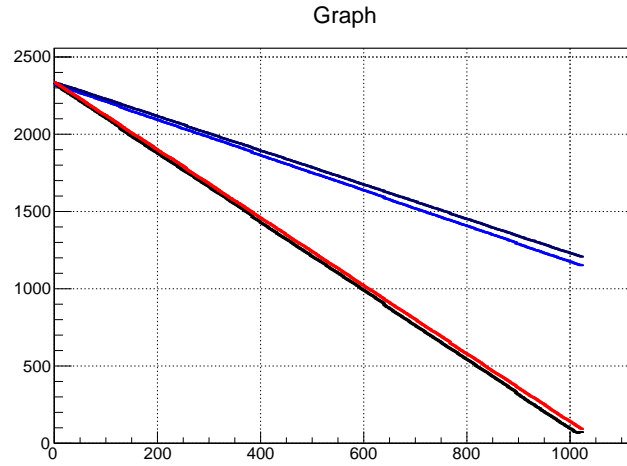


Figure 3.2: Characterization of MAROC's 12 bit DAC, common to the 64 channels and used to generate the voltage level for signal discriminating. The plot presents the output voltage in millivolts as a function of the DAC word for two chips. The different DAC operating modes have different colors, in blue the FINE mode, in red the COARSE full range mode.

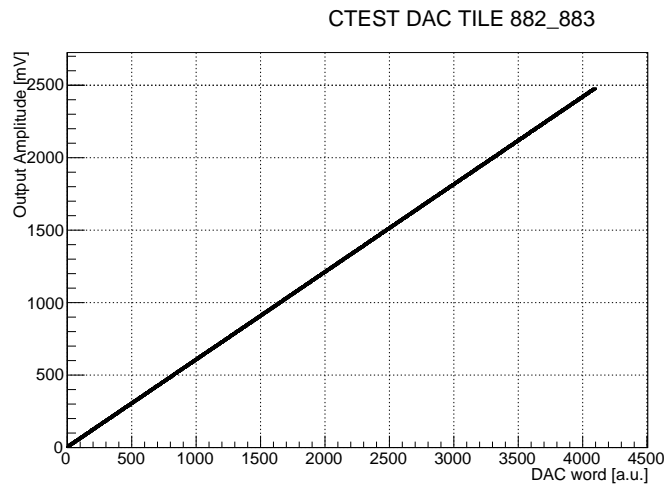


Figure 3.3: Characterization of CTEST DAC. Giving that the input CTEST capacitance of a single readout channel is 2 pF, this DAC allows to span the charges from 0 to 5pC with a precision at the level of fC and an offset of about 6 fC.

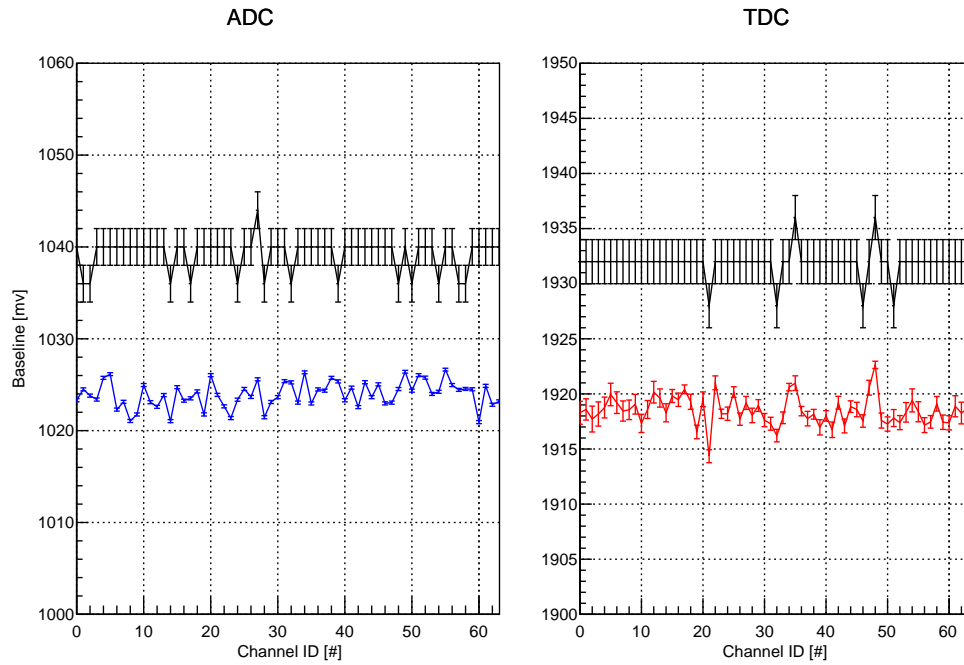


Figure 3.4: Baseline levels vs channels for chip 882. Test board measurements (in black) can be compared with TDC (red) and ADC (blue) voltage calibrated data. The latter, explained in section 3.2, have been slightly staggered for clarity.

	FPGA °C	Air °C
with fan	45	25
without fan	65	35

Table 3.3: Fixed temperature test conditions. The air around the board stack is measured with a temperature and humidity sensor positioned in the tile cut off in correspondence of the MAROC board

Name	T=25°C	T=34°C	Variation (%)
Coarse intercept [mV]	2341 (1)	2338 (1)	1.3×10^{-3}
Fine intercept [mV]	2340 (1)	2337 (1)	1.3×10^{-3}
Coarse slope [mV/DAC]	-2.223 (0.004)	-2.241 (0.004)	8.1×10^{-3}
Fine slope [mV/DAC]	-1.151 (0.002)	-1.143 (0.002)	7.3×10^{-3}

Table 3.4: Threshold Stability for MAROC 882 for the two temperature condition reproduced in test 1.

1539 field around the boards. More than a hundred iterations have been performed
 1540 in the two condition obtaining precious indications about the reproducibility
 1541 of the measurements and the order of magnitude of the temperature induced
 1542 variations.

1543 MAROC baseline behavior, for chip 882, is visible in figure 3.5 where
 1544 hotter temperature data are depicted in red while cooler are in blue. When
 1545 the temperature is fixed the values are stable well reproducible with an er-
 1546 ror of about 1mV. By comparing the mean values at of the distributions
 1547 the MAROC ADC pedestal results less sensitive to the temperature with a
 1548 gradient of $-0.1 \text{ mV}/^\circ\text{C}$ that for the TDC pedestal is $-0.8 \text{ mV}/^\circ\text{C}$.

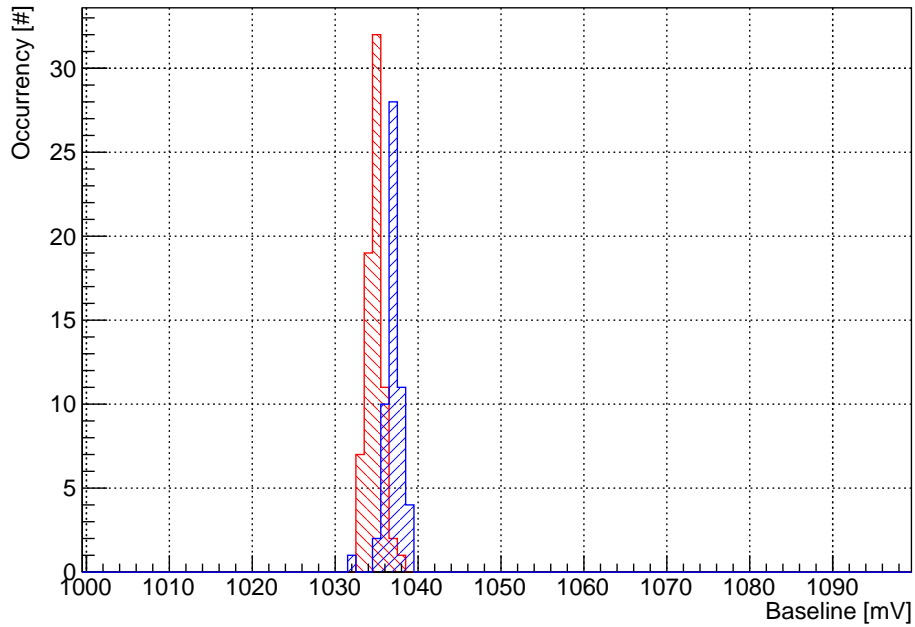
1549 Similar plot have been obtained for the other monitored parameters giv-
 1550 ing a general and wide on the MAROC board stability. If the temperature
 1551 variation are limited to few celsius degree the global performance is very re-
 1552 producible. In absolute the most stable chip is the CTEST DAC for which the
 1553 temperature fluctuation are smaller than the systematic error as presented
 1554 in the next paragraph. Because of its importance for the RICH sensitivity,
 1555 the MAROC DAC parameters are reported in table 3.4. Temperature drift
 1556 of the slope parameter could propagate, if not corrected, in the calibration
 1557 data because high value of the DAC register are used to estimate the pulse
 1558 height of the binary output.

1559 **Test 2 continuously varying temperatures**

1560 Since the air temperature condition of the tiles in CLAS12 is expected to be
 1561 around 45°C with a maximum FPGA temperature of 80°C , a larger temper-
 1562 ature span test has been conducted by wrapping the tile with a blanked. The
 1563 heat generated by the electronics was used to provoke a slow increase in the
 1564 air temperature surrounding the tile by progressively closing any aperture.

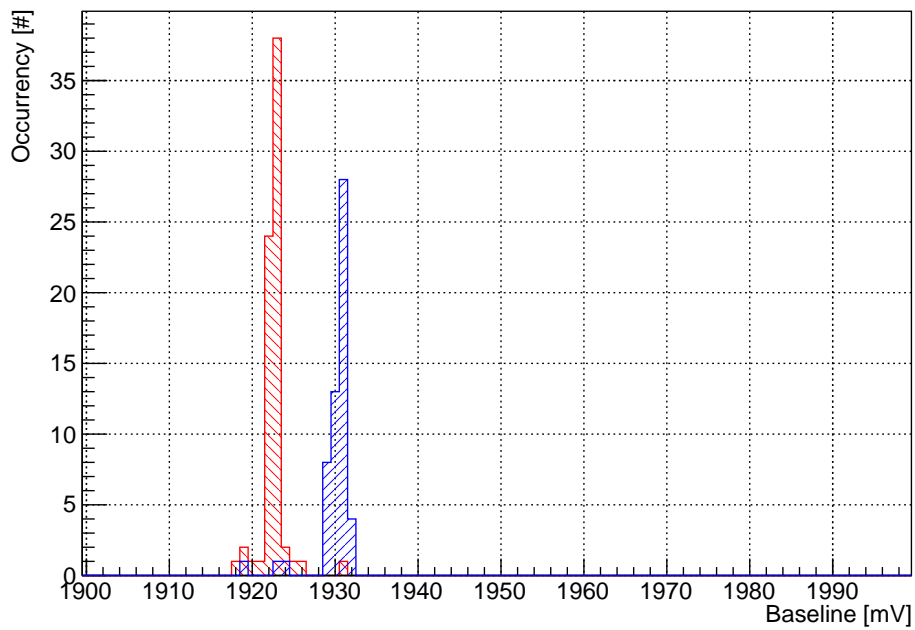
1565 Because the FPGA operating condition cannot exceed 125°C , the warm-
 1566 ing was interrupted at an FPGA temperature of 100°C , removing the blanket

ADC pedestal Temperature Stability Chip 882



(a) ADC baseline

TDC pedestal Temperature Stability Chip 882



(b) TDC baseline

Figure 3.5: MAROC baseline stability at two different air temperature 35° (red) and 25° (blue).

1567 and letting the boards thermalize again with the room temperature. FPGA
1568 and air temperature have been continuously monitored. Globally for an
1569 FPGA excursion thermal excursion from 65°C to 100°C and back, the air
1570 temperature varied (linearly) in the interval from 35°C to 69°C and the hu-
1571 midity moved from 30% to 7%.

1572 Examples of the obtained temperature dependence are shown in figure 3.6
1573 where two significant parameters, the MAROC fine threshold slope and the
1574 CTEST DAC slope are plotted against the FPGA temperature. Within the
1575 spanned range the CTEST DAC slope showed negligible thermal fluctuation
1576 compared with the measurements resolution. Its estimated value along all
1577 the spanned range is $604 \pm 5\mu\text{V}/\text{DAC}$. The MAROCs were still stable, but
1578 a little drift has been noticed on all the estimators. Something to be known,
1579 but that do not represent an issue for the detector performance.

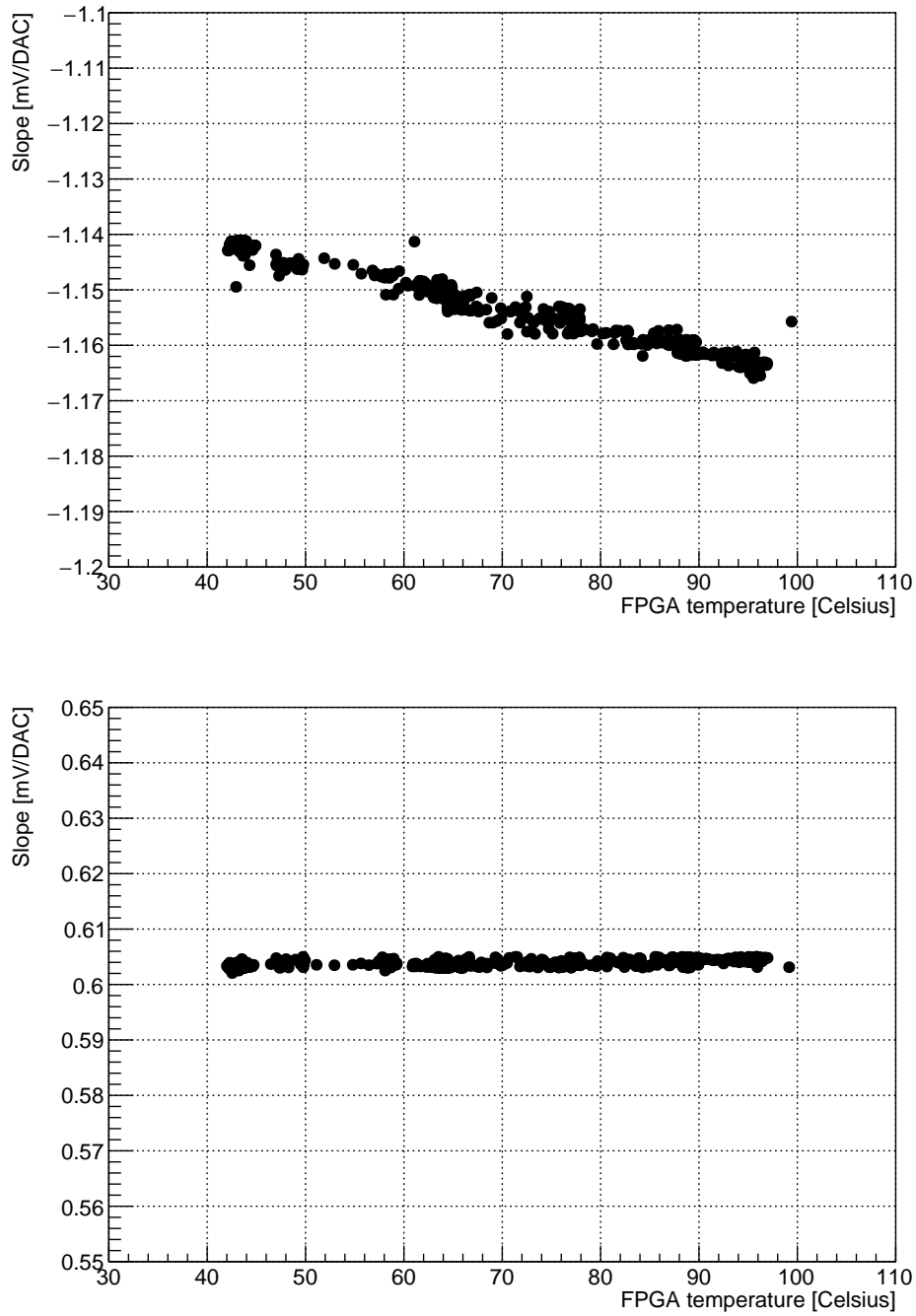


Figure 3.6: Temperature dependence of MAROC discrimination threshold slope (top) and test pulse DAC slope (bottom)

1580 3.2 Pedestal characterization

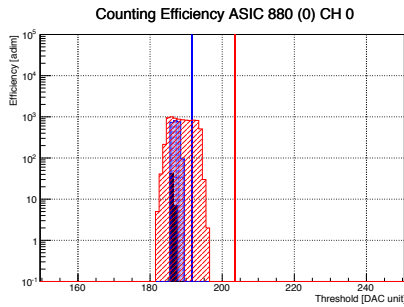
1581 The pedestal of a readout channel is its response when no signal is injected.
1582 It is a simple, but relevant, information that contributes to delineate the
1583 global performance of the measurement system. It can be described as a
1584 continuous part to which a fluctuation is superimposed. If the first represent
1585 just an offset, the second has a deep impact on the quality of measurements
1586 and its treatment is one of the most fascinating aspect of the mathematical
1587 models of physical systems.

1588 Our objective here is to describe the procedure developed for the MAROC
1589 pedestal measurement and to discuss its impact on ADC and TDC. In facts
1590 three aspects are interesting in the RICH operation, they are: the inferior
1591 limit for a clean single photon detection, the effects introduced by the in-
1592 dividual channel input preamplifier and the possible limitation due to the
1593 use of a common threshold for the 64 channels of the MAROC. More in
1594 general an accurate electronic noise characterization allows to foresee the
1595 consequences of the signal response, for superposition principle, and tailor
1596 the digitization elements accordingly. As we will be presented in the next
1597 paragraphs MAROC pedestals distribution are very narrow with a negligible
1598 contribution to both amplitude and timing performances.

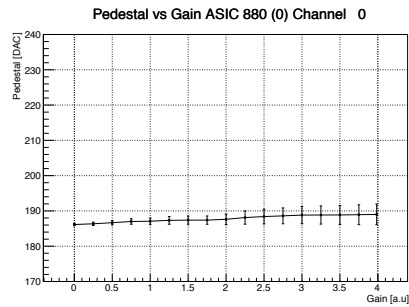
1599 3.2.1 Binary output (TDC)

1600 TDC pedestal is the DC voltage level of the fast shaper output and can be
1601 estimated with repeated data acquisitions at different comparator thresholds,
1602 here referred as *threshold scans*. The DAC register has 2.2 mV LSB.

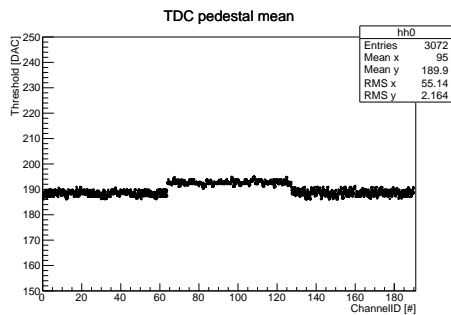
1603 An example of a reconstructed pedestal for one single channel is pre-
1604 sented in figure 3.7a where the number of logical pulses are plotted against
1605 the threshold value in DAC unit. The probability to have spurious hit is neg-
1606 ligible everywhere except a narrow region where a gaussian-like distribution
1607 appears. Measurements are done in scalers acquisition mode (i.e. without
1608 building the events) to do not saturate the readout capability. Counters are
1609 reset and latched after 100ms in order to extract the information in a small
1610 amount of time and reasonable small statistical error. The mean value of the
1611 obtained histogram corresponds to the baseline DC level while its RMS is
1612 taken as a measure of its fluctuation. No model is used because this estimator
1613 already provide good quality indication: in a register of 10 bit the pedestal
1614 the noise level is about few DAC units. More over some channels tends to
1615 have a tiny periodical oscillation superimposed to the baseline determining a
1616 flattening in the distribution. The minimum working threshold is then deter-
1617 mined for each channel as the DAC value positioned five times RMS above



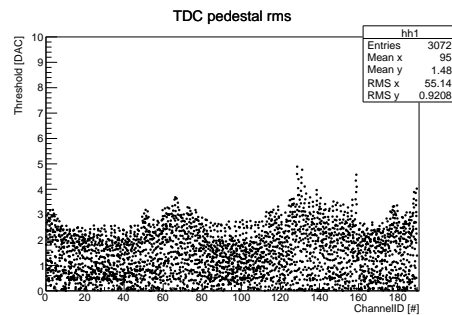
(a) Pedestal counts distribution for a single channel as a function of the threshold for three different gains



(b) Single channel pedestal as a function of the gain. Error bars are the RMS of the distribution.



(c) Mean pedestal value vs channel ID for an entire tile (3 MAROC, i.e. 192 channels). Data at different gain superimposed.



(d) As in (c). Maximum noise does not exceed 5 units. The basin shape, i.e. the correlation with the channel ID, is due to different routing length on the PCB.

Figure 3.7: TDC pedestal single channel and tile uniformity. The electronic noise is at the level of few mv even at the highest input preamplifier gain.

1618 the pedestal (vertical lines in figure 3.7a). The threshold scan is repeated at
1619 different gain from a minimum of zero and a maximum of 4. A typical curve
1620 is shown in figure 3.7b where the points of the graph indicates th mean value
1621 of the pedestal and the vertical bars are its RMS. The distribution results
1622 very narrow even at maximum gain with a small drift of few DAC channels
1623 probably due to the non perfect gaussian shape of the baseline.

1624 Since scalers (as TDC) have a parallel readout, with a single threshold
1625 scan all the channels can be characterized. This information is presented in
1626 figure 3.7c and 3.7d by merging all the data obtained at different gains as a
1627 function of the channel id. As the noise depends on the capacitance at the
1628 inputs level, few channels shows higher fluctuation because of a longer path
1629 on the board, anyway never exceeding 10 mV. The “basin” behavior can be
1630 explained in terms of printed circuit board layout: the path of first and last
1631 channels of each chip are longer in comparison to the lenght of the path used
1632 to route the central channels. This lake of equalization in the path length
1633 was unavoidable since the small space available on board and is due to a
1634 orthogonal orientation of the input connector with respect to the MAROC
1635 input pins line.

1636 Since fluctuation depends on the capacitance the pedestal estimation
1637 must be repeated when the MAPMT are plugged. Fortunately, the developed
1638 procedure is fast (few seconds for each gain setting), so the TDC pedestal
1639 estimation can be part of any preliminary operation with the hardware. It
1640 is important to notice that the pedestal mean value within a chip has a very
1641 small spread, at the same level of the single channel, therefore the use of a
1642 common signal discrimination threshold does not represent a limit for the
1643 performance. In addition the drift observed for different preamplification
1644 gain is negligible therefore the input equalization can be done with complete
1645 freedom.

1646 3.2.2 Analog output (ADC)

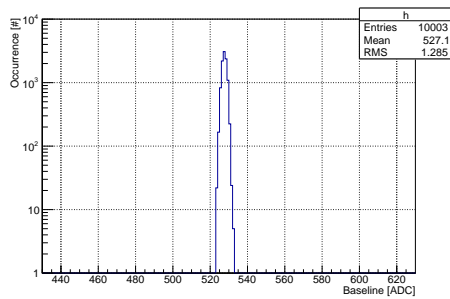
1647 ADC pedestal is the slow shaper output DC level and corresponds to the
1648 baseline of the charge measurement. In contrast with the signal discrimina-
1649 tion operation, the ADC is an instantaneous measurement of the amplitude.
1650 As a consequence, excluding the case of self trigger measurements, no thresh-
1651 old is used and no overload on the readout system can be generated. The
1652 converter is embedded in the MAROC and is a Wilkinson converter so it's
1653 speed is determined only by the ramp slope and by the amplitude to be
1654 converted.

1655 The ADC pedestal of each single channel is estimated by triggering repet-
1656 itively the event builder and histogramming the values obtained for each

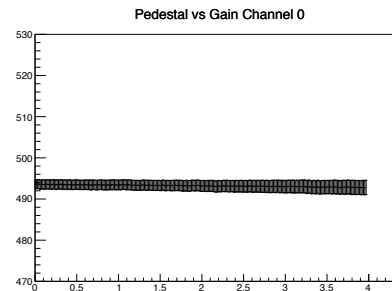
1657 channel. Since the dead time is not critical a high repetition frequency can
1658 be used together with the maximum resolution of 12bits.

1659 At fixed gain a typical plot is showed in figure 3.8a, while a fine gain scan
1660 plot is presented in the adjacent figure 3.8b, both refer to a single channel.
1661 As found for the binary lines, the pedestal of the charge measurements is
1662 narrow and almost independent from the preamplificator gain.

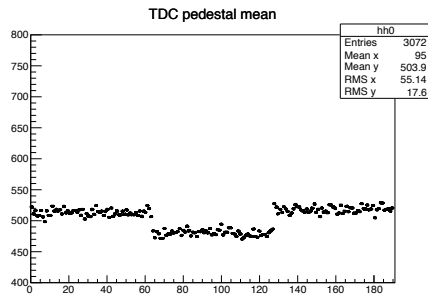
1663 Chip uniformity is visible in figures 3.8c and 3.8d for a 3 MAROC tile.
1664 A reduced spread among channel is less critical than in the TDC case since
1665 the pedestal information is used for offline software alignment. However
1666 no deviations larger then 1% have been observed among the chips of the
1667 prototype boards. Also baseline fluctuation are very uniform and small.
1668 In comparison with figure 3.7d that shows the TDC pedestal fluctuation the
1669 ADC ones are more uniform because of the longer time constants and smaller
1670 gain, reflecting a deep difference in the two system: one dedicated to pulse
1671 height measurements operating in a linear regime over a wide interval of
1672 input charge, the other with high gain for abrupt separation.



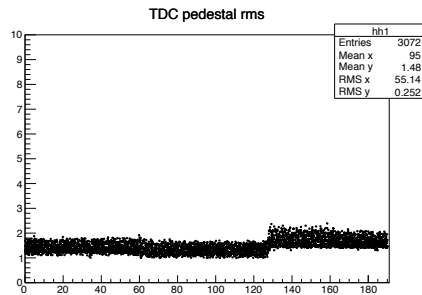
(a) Single Channel pedestal ADC measurement. RMS is about 1.3 ADC units



(b) Single Channel pedestal as a function of the gain for a single channel



(c) Pedestal Mean as a function of the channel ID of a tile. 16 gain settings are superimposed



(d) Pedestal RMS as a function of the channel ID of a tile. All the gains superimposed

Figure 3.8: ADC pedestal study. Boards final revision shows extremely small baseline fluctuation even at maximum preamplifier gain. ADC was used in 12 bit modes to have maximum resolution

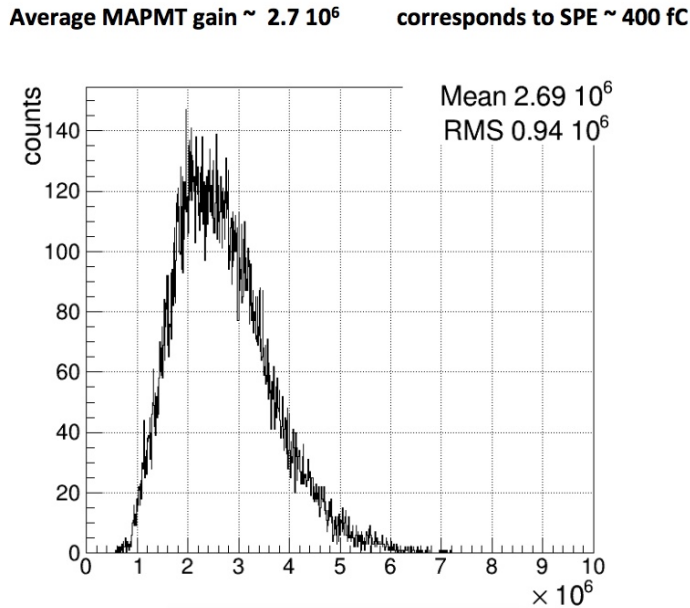


Figure 3.9: Histogram of the amplification gain of the 391 MAPMTs used for the first RICH sector construction. A single photo electron (SPE) produces on average 400 fC pulses

3.3 Charge response

1673

1674 In this section the reader will be guided through the use of ADC and TDC
 1675 readout system, their configuration, and optimization. The section is orga-
 1676 nized as a review of all the studies performed during the development phase
 1677 to validate the tile assembly as readout unit for the MAPMT. The first sec-
 1678 tion is dedicated to the single photo-electron (SPE) signal range and the use
 1679 of pulse generator as an adequate alternative to the real sensors for the study
 1680 and the optimization of the signal processing chain. A set of pulse height
 1681 measurements is used to characterize and tune up the MAROC embedded
 1682 ADC after having synchronized it with the trigger signal. All the signal
 1683 processing elements are presented with particular attention to the linearity
 1684 properties that allow an effective compensation of the pixel gain spread. In
 1685 particular the excellent sensitivity down to 10 fC is demonstrated. This fea-
 1686 ture combined with the TDC firmware precision of 1 ns perfectly satisfy two
 1687 important RICH detector requirements.

1688 **3.3.1 Dynamical range**

1689 Light sensors can be thought as light controlled current generators positioned
1690 at the input of the signal processing chain. About 25k MAPMT pixels com-
1691 pose the detection surface of the RICH with average gains that are presented
1692 in figure 3.9. Looking at the distribution a typical (reference value) pixel
1693 would produce 2.7×10^6 electrons for each detected photon or equivalently
1694 400 fC.

1695 In practice an intrinsic variability of the charge generated by each pixel
1696 is expected at single photoelectron level (SPE) due to fluctuations in the
1697 gain mechanism of the amplifying elements. Because the number of carriers
1698 increase at each stage the most important contribution to the fluctuation
1699 arises from the first stage of amplification where a single electron, emitted by
1700 the cathode, is accelerated towards the first dynode and, hitting it, generates
1701 a number of secondaries that give the start to the avalanche. Assuming a
1702 Poisson model for the secondary emission process and considering a typical²
1703 first stage gain between 5 and 10 the variance of the single pixel gain can be
1704 assumed about 3. This means that when working at SPE level the dynamic
1705 range can extend over 3 order of magnitude. Taking 400fC as a reference a
1706 conservative estimation gives a typical charge range in the interval from few
1707 tens of fC to few pC.

1708 **3.3.2 Pulse injection setup**

1709 Single photoelectron sensors are refined and delicate devices that require to
1710 be used in a completely dark environment and, in the case of MAPMTs, an
1711 high voltage source. For practical reason, the adoption of pulse generators
1712 with similar signal characteristics is very convenient in the laboratory as
1713 it allow the study of the signal processing performance in a controlled and
1714 reproducible way. Pulse generators are therefore used to adjust the system
1715 parameters and develop the protocols for response optimization. During the
1716 preliminary commissioning with the real generators,i.e. the light sensors,
1717 all the procedures can be verified in the final electronic configuration (e.g.
1718 capacitance, slew rate, jitter).

1719 An external step function generator has been adopted as a reference for
1720 the system calibration. The injection circuit is composed by a simple capaci-
1721 tor mounted at the end of a LEMO cable. The injection circuit is completed
1722 by a $10\text{ k}\Omega$ termination resistor and two pins for an effective signal injec-
1723 tion into one single channel of the ADAPTER board. The amplitude od the

²More details on the first stage secondary emission estimation for the the H8500 and H12700 models used for the RICH construction can be found in [25]

1724 voltage step across the capacitance, adjusted with the generator knob and
1725 measured with an oscilloscope, determines the amount of charge contained
1726 in the produced current spike. This is a typical scheme for testing the pulse
1727 processor chain and thanks to a proper choice of the capacitor, 1 pF in our
1728 case, allows to adjust very precisely the charge level. Namely, by definition
1729 of capacitance, 1 fC for each mV of step amplitude. The only inconvenient
1730 is that, because of the squared wave produced by the generator, two current
1731 spikes are generated with opposite polarity. Fortunately the MAROC re-
1732 sponse to positive charge injection is weak and can be easily identified at the
1733 oscilloscope. During the tests the only precaution is therefore to keep well
1734 separated in time the dummy from the true pulse by acting on the driving
1735 signal duty cycle.

1736 Different models of injector have been and will be used for board testing
1737 and validations, included multiple channel versionS, but none of them will
1738 be available *in situ* when the detector will be installed. For this reason
1739 an adjustable amplitude pulser has been designed *on board* using the same
1740 architecture of the one just described. The advantage of it is that any pattern
1741 of channels can be tested thanks to a configuration mask in the MAROC slow
1742 control register³. The disadvantage is that the actual capacitance, $C = 2 \text{ pF}$
1743 when a single channel is selected, varies with the number of channels and that
1744 a time spread between channel is observed, a still not completely understood⁴.
1745 From the name of the input pin of the MAROC, this injector is called CTEST.

1746 Both injectors, external and on board CTEST, are trigger by the FPGA
1747 internal pulser for the maximum TDC measurements precision and span can
1748 the charge interval from 5fC to 5 pC.

1749 3.3.3 Charge calibration

1750 To calibrate the on board test pulser, CTEST, the following procedure has
1751 been adopted, based on the fact that identical stimuli produces identical
1752 responses, at least in linear regimes. An external precise pulse generator
1753 and the injection circuit described in the previous section 3.3.2 is used as a
1754 reference.

- 1755 1. a CTEST value is configured and a pulse burst is started by the data
1756 acquisition program working in *configuration only* mode.
- 1757 2. The pulser signal is taken from one of the MMCX resources of the

³The on board pulser resources is shared by all the MAROCs of a front end card

⁴The MAROC test input was probably designed only for a dead or alive test of the channels, so not particular care has been putted on timing performance

1758 FPGA, delayed by $1\mu\text{s}$ to avoid pulse response pile up and used to
1759 trigger the external injector

1760 3. The fast shaper output (20-25 ns peaking time) of the selected MAROC
1761 channel is monitored at the oscilloscope in order to have both responses
1762 on the same acquisition window.

1763 4. The amplitude of the external one is adjusted until the pulse height are
1764 identical.

1765 5. The amplitude in mV as well as the programmed CTEST DAC values
1766 are recorded.

1767 The procedure is repeated for some points in the linear region of the fast
1768 shaper response and the calibration parameters are derived from a linear fit as
1769 shown in figure 3.10. Since 1 pF capacitance is used for the external injector
1770 its slope is unitary and its intercept is null by definition (blue curve). The
1771 CTEST show a similar behavior except for a little offset estimated as 5.6 fC.
1772 This is due to a few mV output of the CTEST DAC when programmed with
1773 the word zero (DAC=0)that can be also measured by probing directly the
1774 pin. Apart from this little offset it can be assumed for all practical purposes
1775 that the CTEST injector provides 1 fC/DAC resolution when a single channel
1776 is enabled.

1777 This is the first mode adopted for calibration. A second method more ad-
1778 equate for systematic studies substitutes the oscilloscope measurement with
1779 the MAROC embedded ADC and is done in two passages. First the ADC is
1780 calibrated using the external injector. Then in a second moment the CTEST
1781 DAC register is scanned until it reproduces the same ADC response. As both
1782 methods gives the same results they are considered equivalent. The only im-
1783 portant passage is to have available a data set (in mV or ADC units) obtained
1784 in response to known reference charges. As presented in the next section the
1785 time step for ADC synchronization is 8 ns (from the 250MHz main clock)
1786 and in view of a setup dependent injection delay the reconstructed waveform
1787 thus the ADC calibration can be slightly different for the two cases, internal
1788 and external. This can be compensated by using an analytical model derived
1789 from the transfer function of the shaper or by getting a finer tuning step with
1790 the addition of a a frequency multiplier firmware module.

1791 Knowing the amount of the injected charge and by repeating the opera-
1792 tion for few input charge level, the response of the system can be expressed in
1793 physical units both for the pulse height measurement and the discrimination
1794 threshold level.

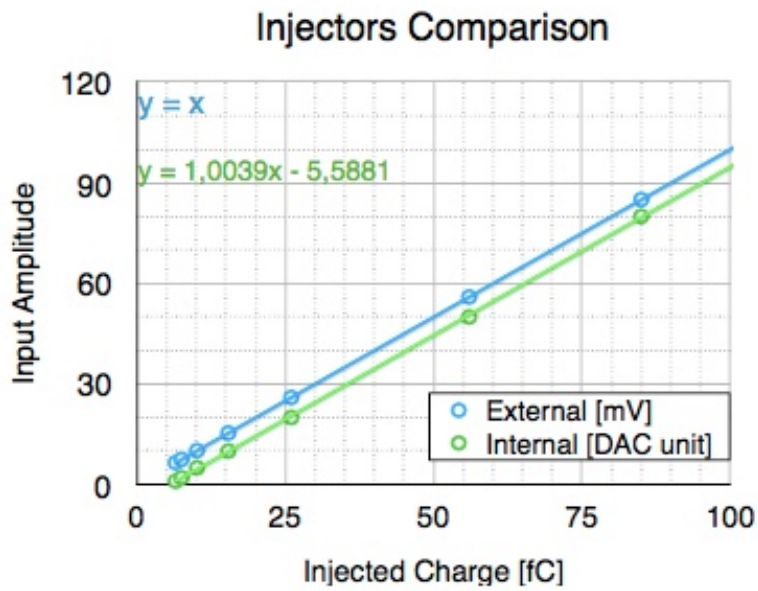


Figure 3.10: Test pulse calibration. CTEST and Anode input shows the same response in the small charge region. The little offset of 5.6 fC is due to few mV output of the DAC when programmed with DAC word = 0

1795 3.3.4 Pulse height measurements

1796 MAROC provides charge measurement thanks to an embedded Wilkinson
 1797 ADC with a ramp common to the 64 channels. When the all the amplitudes
 1798 are converted the chip its self assert a strobe line and start the serial data
 1799 readout by means of a 40MHz clock. The Wilkinson digitization can be
 1800 configured to be 12, 10 or 8 bit with impact on the speed of conversion which
 1801 maximum can take 90 μ s and in general depends on the highest amplitude
 1802 to be converted.

1803 This amplitude depends on three analog processing circuits and by the
 1804 timing of the HOLD signal that freeze the information on an analog memory
 1805 cell of 2 pF. All the necessary information is represented in figure ?? and
 1806 is described in the following paragraph. The transfer function of each block
 1807 can be adjusted using dedicated bit groups in the slow control configuration
 1808 register of the chip. To help with the tune up a fast *waveform reconstruc-*
 1809 *tion* data acquisition mode has been developed. The procedure acquires a
 1810 significant number of ADC samples in each condition, calculates average and
 1811 variance of the samples on a individual channel basis and for each distinct
 1812 HOLD delay value (given in 250MHz clock ticks units). After having consid-
 1813 ered all the possible hold values (8 bits) scans each single channel data set
 1814 recording the peak amplitude, the peak time and the zero crossing time to
 1815 determine the best parameter choice in terms of uniformity, dynamical range
 1816 and linearity. The three blocks are:

- 1817 1. *Preamplifier*: An 8 bit adjustable gain current mirror amplifier is present
 1818 at the beginning of all the readout channels for detector gain spread
 1819 compensation. The values from 0 to 255 are mapped on the interval
 1820 0 to 4. It offers a small input impedance of the order of 50-100 Ohm.
 1821 Its transfer is linear in a great input charge intervals and the overall
 1822 linearity limit can be controlled by the coincidence of the zero crossing
 1823 points. See for an example the bottom pane of figure ??.
- 1824 2. *RC Buffer* An RC buffer, configurable at chip level, is devoted to the
 1825 current-voltage conversion. By default the conversion is purely resistive
 1826 , $R = 50 \text{ k}\Omega$; various capacitances up to 3.75 pF can be added in parallel
 1827 to match the with the sensor signal slew rate and extend the linearity
 1828 of the charge measurement over a wide range of charge (up to 30pC if
 1829 needed).
- 1830 3. *Slow Shaper* A slow shaper, configurable at chip level, is available for
 1831 improving signal to noise ration thanks to a a CR-RC amplifier. The
 1832 different settings allow to varies the signal to noise ratio at different

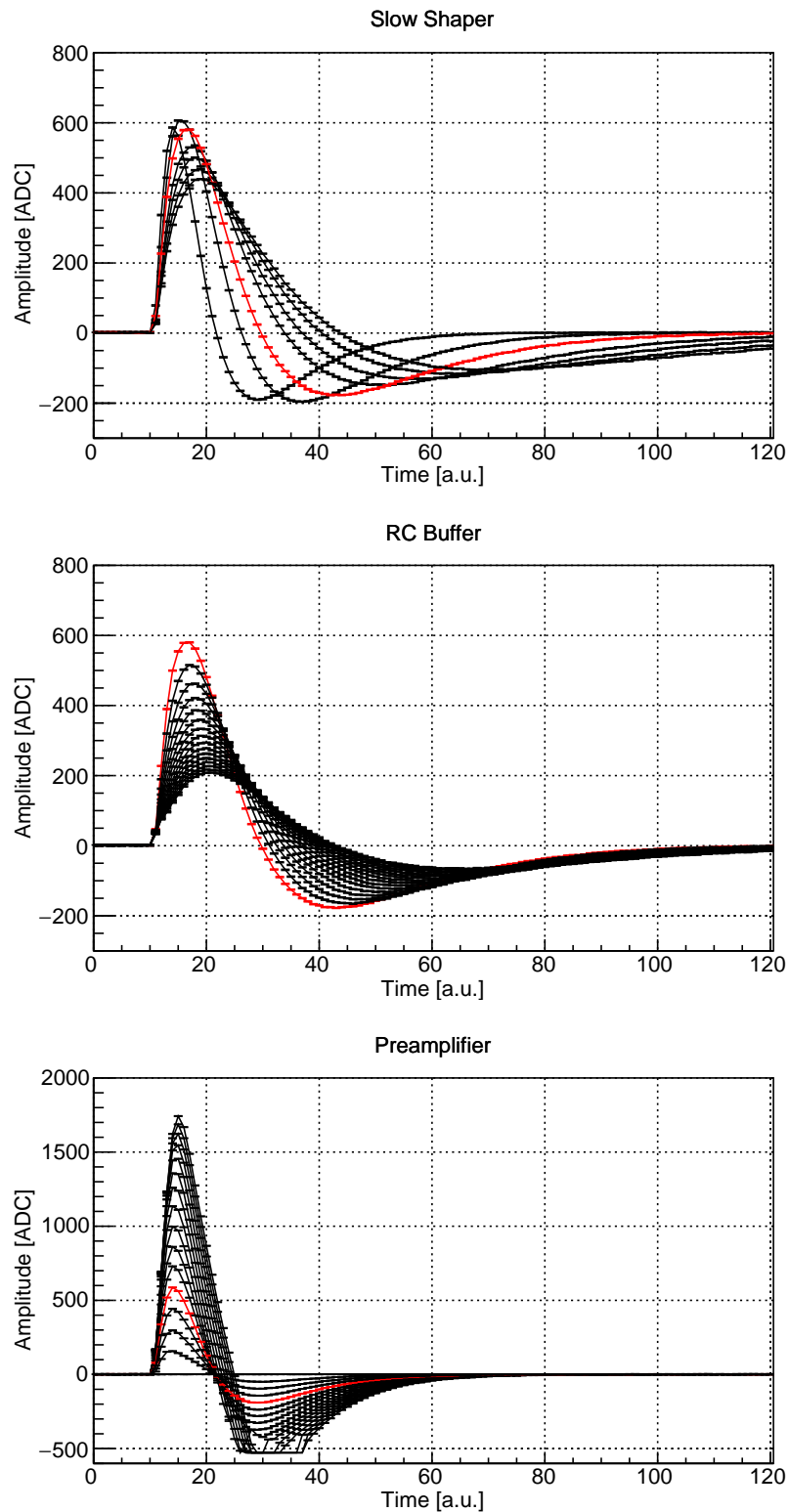


Figure 3.11: Reconstructed waveforms for different shaping parameter of the MAROC slow channel. Injected charge pretty high i.e. 825 fC to highlight the lost of shaper linearity for high preamplifier gain (bottom plot) and the possibility to attenuate using the RC buffer (central plot). In red the adopted choice guarantees an adequate linearity on the ADC data. Time is in clock tick units (8 ns).

1833 rise time settings with measured peaking time varying from 50 to 150
1834 ns;

1835 The preamplifier is in common between the slow and fast channel of MAROC
1836 in order to exploit the input equalization, verified with the ADC, to the signal
1837 discrimination. More details about these and other shaping settings can be
1838 found in appendix B

1839 Even with the slowest peaking time configuration and the poorest resolu-
1840 tion, the trigger can not be delayed more than 150 nanoseconds: any greater
1841 latency would result in a loss of the signal and a pedestal measurement. For
1842 example, the CLAS12 trigger latency is of the order of few microseconds and
1843 prevents the sampling of the signal pulses during physics runs. On the con-
1844 trary, in test conditions like electronic calibration and dark rate monitor, the
1845 trigger is available few nanoseconds after the event and useful information
1846 can be extracted from the pulse height spectra obtained at a fixed optimized
1847 delay.

1848 Another characteristic shown by the figure ?? is the small electronics
1849 noise associated with the pulse height measurements, in practice at the level
1850 of the quantization error, i.e. 1 ADC only. With such noise free condition,
1851 high quality spectra of the light sensor response can be obtained as described
1852 in the next chapter.

1853 An ADC calibration can be obtained by recording the pulse height as a
1854 function of the input charge. Results are in the range from 0.6 fC/ADC to
1855 2 fC/ADC, depending on the shaping configuration and gain

1856 The standard configuration for operating at single photoelectron level is
1857 highlighted in red in figure ?? and allows linear operation up to 2 pC.

1858 3.4 Discrimination and timing

1859 The excellent signal discrimination capability of the system is presented with
1860 particular focus the small charge region where the calibration procedure al-
1861 lows to set the working threshold down to few fC. The timing performance of
1862 the TDC algorithm implemented in the FPGA is briefly presented satisfying
1863 all the specifications. Finally the protocol for the time walk correction is
1864 presented.

1865 The MAROC parallel binary outputs are obtained from a high gain fast
1866 shaper amplifier (with 20-25 ns peaking time) followed by a discriminator
1867 with a programmable threshold. The shaper rise time settings can be con-
1868 figured to best match the input signal to the discriminator dynamic range.
1869 The FPGA houses TDC units that process the digital information, assigning

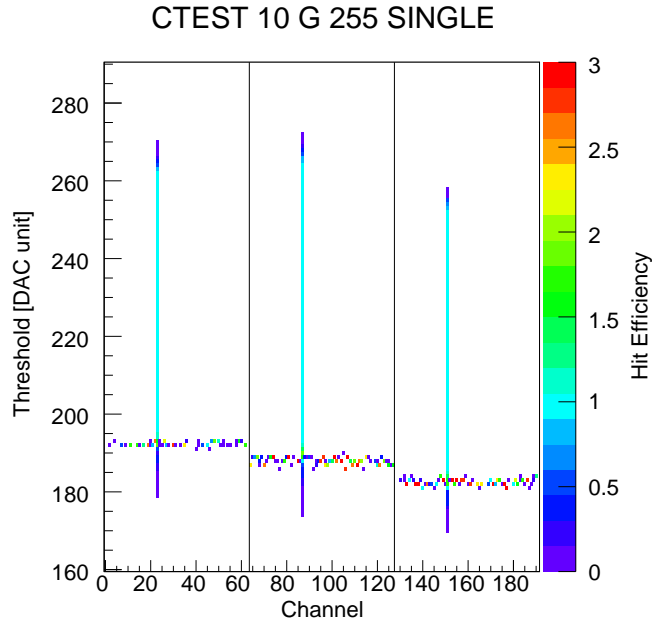


Figure 3.12: Integral pulse height spectra for 3 channels out of 192 with maximum preamplifier gain (factor 4). The step function is provided by the on board pulser (CTEST), charge level is 60f.

1870 a timestamp with 1 ns resolution to the leading and trailing edges of the
 1871 MAROC discriminator output.

1872 3.4.1 Sensitivity measurements

1873 Charge discrimination offers, as a great advantage compared to the pulse
 1874 height measurement, a smaller data size. Using this output mode indeed,
 1875 the state of each photosensitive element is specified by just one bit of infor-
 1876 mation with a natural zero suppression and a boost on the read out speed.
 1877 For experiments with a large number of readout channels and low occupancy
 1878 the benefit on rate and dead time can be significant. The binary readout
 1879 is an effective choice in single photon applications where no other infor-
 1880 mation is needed except the presence or the lack of radiation quanta in a
 1881 given time window. However once a signal from a single photon detector
 1882 has been discriminated, some information is lost (like the pulse height), as
 1883 it is transformed into a logic pulse equal in amplitude to any other logic
 1884 pulse. Actually, some information about the shape can still be conveniently
 1885 extracted from the logic pulse as it is contained in its duration, called *time*
 1886 *over threshold*.

1887 A MAROC fast channel is composed by three active analog blocks devoted
1888 to signal amplification, shaping and discrimination. The first element is in
1889 common with the slow channel and its linearity has been demonstrated in
1890 section ???. Here the focus is on the fast shaper and integral discriminator to
1891 find the optimum working point in terms of sensitivity and time resolution.
1892 Figure ?? shows the measured discrimination voltage level as a function of
1893 the 10 bit adjustable threshold for the two available operating modes. The
1894 full range can be spanned using the standard resolution mode (2.3 mV/DAC,
1895 in blue) while for a finer tuning in the low charge region a 1.1 mV/DAC step
1896 is available. In the following, data are presented in the standard resolution
1897 mode only.

1898 In order to estimate the shaping characteristics with integral discrim-
1899 inators, a threshold scan for evaluating the detection efficiency of all the
1900 channels is needed. Efficiency is defined as the number of counts divided
1901 by the total number of trigger which can be 10 thousands or more to guar-
1902 antee a statistical error below 1%. When only a single channel per chip is
1903 injected the readout introduce negligible dead time up to 100 kHz thus the
1904 data collection time for a complete is determined just by the range spanned
1905 by the threshold. Figure 3.12 is a typical 60 fC efficiency monitor plot for
1906 a 192 channel front end board. A single bipolar signal is present for each of
1907 the 64 channel MAROCs standing on a baseline positioned few units below
1908 200 DAC. The analysis program reconstruct completely the hits using both
1909 edges information being responsible of the suppression of incomplete hits(out
1910 of the window) and causing the observed inefficiency at undershoot threshold
1911 level. Thresholds too close to pedestal cause a huge amount of spurious hits
1912 from electronic fluctuation of the baseline that saturate the readout capabil-
1913 ity. As a result blank spaces of 16 contiguous channel sharing the same TDC
1914 firmware resources are present in the pedestal region. With threshold posi-
1915 tioned few units above the pedestal of each MAROC, a clean discrimination
1916 power down to few fC is obtained.

1917 Fast shaper has been designed for self-triggered charge measurements with
1918 a high gain transfer function to select all the channels participating and events
1919 thus allowing precise energy and position reconstruction in scintillation pulse
1920 analysis system. A characteristic curve is shown in figure ?? where the pulse
1921 height of the fast shaper in DAC units is plotted as a function of the injected
1922 charge. The cut off position can be changed in the range 100-200fC by
1923 adjusting the feedback network of the shaping amplifier.

1924 This kind of plots can be conveniently used to estimate the threshold DAC
1925 in physical unit. Numerical method would allow the conversion in all the
1926 dynamic range, loosing sensitivity as the charge exceed the cutoff. For sake
1927 of simplicity and because the range of interest is in the small charge region,

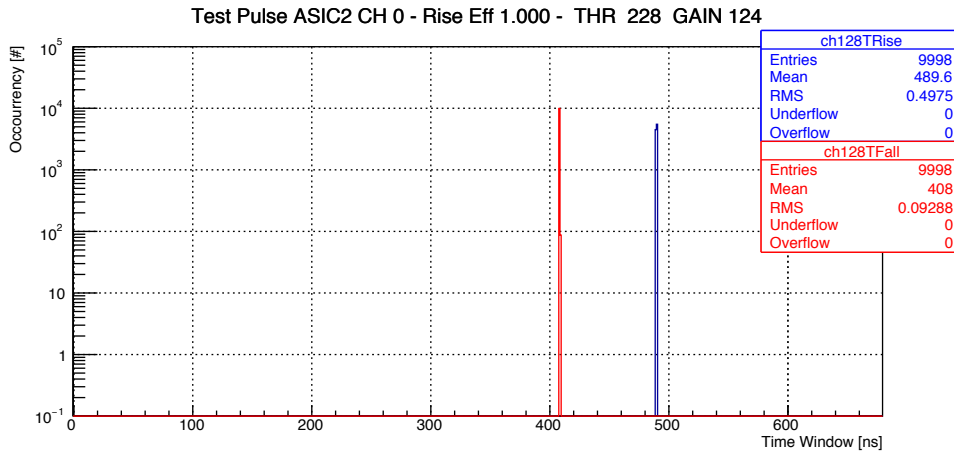


Figure 3.13: TDC response to on-board pulse generator at fixed charge

1928 below 100 fC , a linear calibration has been attempted giving 1.3 DAC/fC as
 1929 visible in figure ???. The important results is that the system exhibit an
 1930 excellent sensitivity down to 5 fC , ten times smaller than requirements.

1931 3.4.2 Timing characteristics

1932 A typical TDC spectrum for leading and trailing edges of the logic pulses
 1933 obtained with the on board pulser at fixed threshold is shown in figure 3.13.
 1934 The distributions appear as spikes in time with RMS fluctuating from 0 to
 1935 500ps depending on the phase shift with the 1 ns clock. Generally the fluctu-
 1936 ation of a logic pulse is called jitter and it is minimum for steep slopes or, in
 1937 other words is a decreasing function of the charge collected by the sensor and
 1938 occasionally by the signal processing system. In fact MAROC manufacturer
 1939 declares 40 ps jitter at 1 pC and 200 at 50 fC (PSHP14 Workshop, Frascati)
 1940 well below our sensitivity. Since the CLAS12 trigger will be distributed with
 1941 1ns precision, the firmware has been designed to do not exceed this limit.
 1942 We can conclude that the TDC system satisfies all the requirements for the
 1943 RICH use in CLAS12.

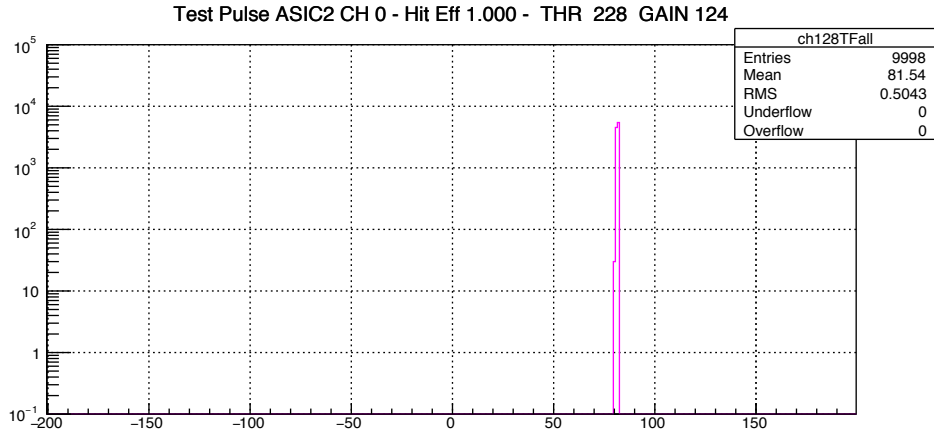


Figure 3.14: Time Over Threshold distribution for on-board pulse generator at fixed charge

1944 **Time walk correction**

1945 Edges information can be used to reconstruct the hit duration and correlate
 1946 it with the injected charge. An example is showed in figure 3.14 This oper-
 1947 ation becomes our standard allowing for logic pulse duration studies but its
 1948 completely equivalent to the study of the pulse shape by means of leading
 1949 and trailing edges.

1950 At fixed threshold the correlation between time and duration is below
 1951 the system sensitivity (1 ns). When the charge is varied a walk effect can be
 1952 observed due to the finite rise time of the fast shaper output. For studying
 1953 this effect the on board pulser is used in sliding mode allowing completing
 1954 the single channel calibration with a simple correction. Since this correction
 1955 is a precious resource when the electronic is used to read out real sensors to
 1956 optimize the timing performance, it is described in 4.2.3.

1957 **3.5 Crosstalk**

1958 In an ideal imaging system every channel is an independent signal processing
1959 element. Whether current is measured, the activity of one channel does not
1960 affect any others. In a real a multi-channel system this is not the case.
1961 Spatial proximity of the signal paths and bias lines sharing determine some
1962 level of mutual contamination that can be responsible of artifacts in the data
1963 depending on the sensitivity of instrumentation. By a punctual investigation
1964 of crosstalk effect in the MAROC board and ADAPTER board it is possible
1965 to use the this knowledge to mitigate this undesired effects.

1966 **3.5.1 External injector test**

1967 During prototyping of the mixed analog-digital front end boards many sources
1968 of coupling have been identified and fixed by deeply changing the initial rout-
1969 ing. However the spatial proximity of the input circuits was unavoidable even
1970 in the production layout resulting in some level of coupling between adjacent
1971 input lines. With the injection of a controlled amount of charge in the anode
1972 input, one channel at time, it is possible to estimate the characteristics of
1973 the signal induced on the neighbor channels and possibly reduce the impact
1974 it in the readout data quality. Few level of charge were used to estimate the
1975 amount of crosstalk in terms of a fractions of truly injected signal. Even if
1976 unrealistic condition of operation, sometimes the preamplifier input was set
1977 at its maximum value of 4, to better highlight the effect on both analog and
1978 digital measurements.

1979 **3.5.2 Estimation using ADC**

1980 ADC was used, at the beginning, in waveform reconstruction mode, to un-
1981 derstand the nature of the coupling by looking at its shape characteristics.
1982 Figure 3.15 shows an example. The two panel refers to the injected channel
1983 (top) and one of its neighbors (bottom). The spurious signal appears small
1984 and early, a clear indication of a capacitive coupling for its derivative-like
1985 aspects. We have to remember that what we are seeing here is an averaged
1986 signal of the slow shaper output, obtained with a hundred samples per hold
1987 delay so it is not a direct observation of the signal at the MAROC input but
1988 a reconstructed waveform after the shaping thus filtered by it. However the
1989 observations have a general validity. In particular:

- 1990 1. The ADC measurements, operated at fixed delay on the maximum of
1991 the injected channel waveform, will be weakly affected by the crosstalk

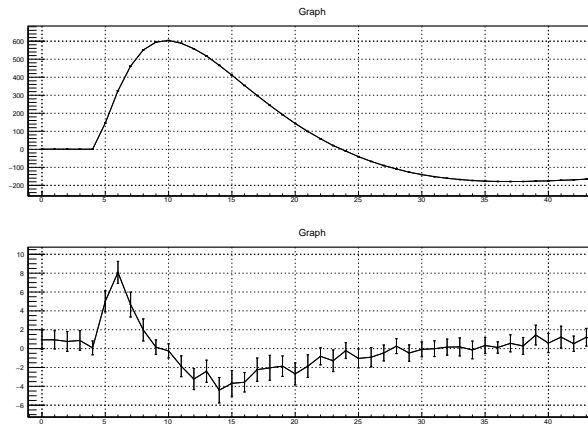


Figure 3.15: Cross talk estimation using ADC waveform reconstruction mode. Amplitude in ADC units and time in clock ticks units(8 ns)

1992 because of the capacitive coupling. Depending on the channel and
 1993 on the charge, the pulse height measured by adjacent channel will be
 1994 compatible with the pedestal or constitute a feeble shoulder of it.

1995 2. The induced signal can create ghost logic pulses on the if the binary
 1996 threshold is low (which is our desiderata). The spurious hits will be
 1997 produced earlier than the true one and will have smaller duration.

1998 3. The charge induced at input level can be estimated by measuring the
 1999 peak amplitude of the ADC reconstructed waveforms and the this quan-
 2000 tification can be used to predict the presence of spurious hits in the
 2001 binary data.

2002 Tables 3.5 3.6 reports the crosstalk estimation for few charges at SPE
 2003 level and for two representative channels.

2004 What is important to our scope is to determine the amount of charge
 2005 injected in adjacent channel because of its impact on the threshold settings.
 2006 Since a low threshold is desirable for the detection efficiency a high level of
 2007 crosstalk could deteriorate the final resolution on hit position.

2008 After a having performed a calibration for the two channel on study the
 2009 maximum ghost charge created by the readout system for true signal input
 2010 at SPE is 10 and 25fC respectively.

2011 3.5.3 Estimation using TDC

2012 For a direct estimation of the impact of the crosstalk on binary lines a thresh-
 2013 old scan can help in assessing the level of this source of noise. Due to a higher

Channel 158 SMALL Crosstalk			
Charge [fC]	Amplitude [ADC]	Xtalk left [%]	Xtalk right [%]
550	406	1.6	1.5
740	546	1.7	1.6
940	691	1.7	1.5

Table 3.5: Crosstalk quantification. Calibration factor, derived from this data is 1.368 fC/ADC so the maximum induced is at the level of 10fC charge for this channel

Channel 183 HIGH Crosstalk			
Input [fC]	Response [ADC]	Xtalk left [%]	Xtalk right [%]
180	125	4.0	3.1
350	245	3.3	2.8
516	360	2.7	3.0
680	471	3.2	3.2
825	564	3.0	3.0

Table 3.6: Crosstalk quantification. Calibration factor, derived from this data is 1.476 fC/ADC so the maximum induced charge is 25 fC

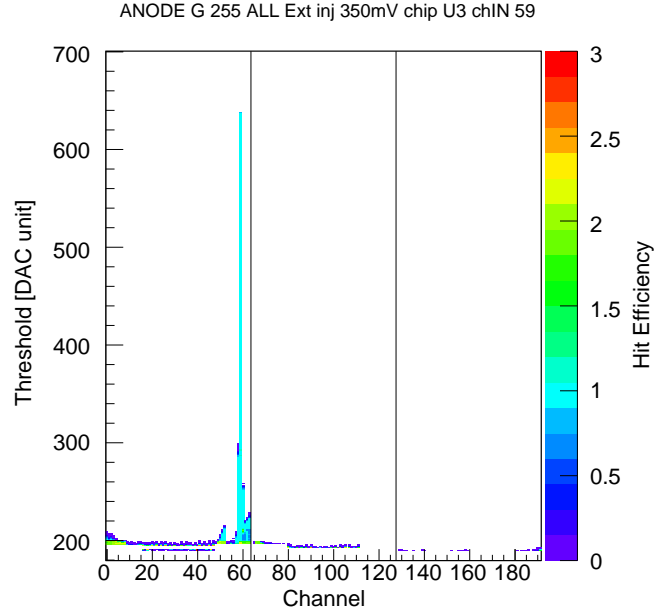


Figure 3.16: Anode input injection

2014 gain the injected channel amplitude response is typically saturate and for
 2015 quantitative estimation only the nominal charge injected can be used. On
 2016 the contrary the crosstalk signal on its neighbours is in the linear region so
 2017 the height from the pedestal expressed in fC is a direct measurement of the
 2018 induced charge level. In the case presented in figure 3.16 the external input
 2019 charge is 350 fC on channel 59 , and the first neighbours channels have an
 2020 amplitude at the level of 92 and 50 DAC units. Considering that the pre-am-
 2021 plifier gain was 4 (gainword = 255) and a calibration factor of 1fC/DAC the
 2022 crosstalk charge level is about 23fC and 12 fC corresponding to a 6.6% and
 2023 3.5%.

2024 Such level cannot be considered negligible or small. Maybe acting on
 2025 the shaping constant, at chip level, the amplitude of the induced signal can
 2026 be reduced by limitation on the band pass, but for sure other mitigation
 2027 techniques have to be put in place.

2028 As confirmation of this evidences a more systematic study with external
 2029 injector has been performed on all the channels of a tile. Three charge level
 2030 has been used 200fC, 400fC and 800fC and figure 3.17 represent the crosstalk
 2031 estimated as a function of the channel for one single chip.

2032 No evident correlation has been found apart of a complete absence of
 2033 crosstalk in correspondence of the side change in the input connector of the
 2034 MAROC board (channel 32) and a weak effect due to reduced pitch used

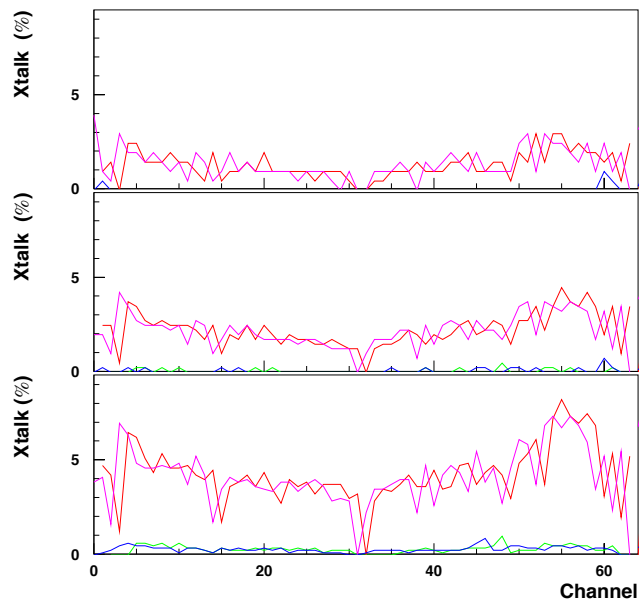


Figure 3.17: Cross talk on TDC

2035 for lines around channel 55 (0.32 mm instead of typical 0.48 mm). Also the
2036 differences in the path lengths have been investigated as a possible source
2037 of increased capacitance between channels (minimum length is 34 mm and
2038 maximum is 55 mm) but none of these parameters justifies the crosstalk pat-
2039 tern observed. Probably the highest impact is given by a non ideal matching
2040 of the transmission lines impedance with the one of the MAROC inputs. In
2041 the near future more detailed studies will help in clarify this aspect.

2042 For the moment an offline technique based on the difference in arrival
2043 time measured with the TDC has been developed and tested with encourag-
2044 ing results. It is described in section 4.2.3 and the results given in section
2045 4.2.4. In terms of impact on CLAS12 and RICH angular resolution the
2046 crosstalk hits will not have a significant impact in the data throughput since
2047 the data link can sustain even one order of magnitude TDC data without
2048 introducing dead time. In addition with a complete characterization of the
2049 channels the electronic crosstalk can be identified and suppressed also during
2050 the experiments.

2051 Chapter 4

2052 Sensor Test

2053 The complete verification of the quality of the pre-production front end
2054 boards can be obtained only by full tile testing with real sensors. In De-
2055 cember 2016, as soon as the final prototype revision was ready, a setup for
2056 the full chain has been arranged at JLab for this purpose. It will be used
2057 for tuning up the optimization procedures and derive the parameters to be
2058 inserted in the CLAS12 database before the start of RICH electronic panel
2059 assembly in the clean room.

2060 In this chapter the preliminary measurements are presented. After the
2061 setup description, example ADC spectra from the two types of the MAPMTs
2062 with the same bias will be presented and qualitatively discussed. The succes-
2063 sive section is dedicated to the TDC response and to crosstalk studies. The
2064 chapter is concluded with dark rate measurements which are the first step of
2065 the calibration procedure developed for the monitoring of the detector after
2066 the installation in the spectrometer.

2067 4.1 The Setup

2068 As completion of the validation studies of the read out electronics with step
2069 function generators and in view of the full RICH tiles characterization that
2070 will take place during 2017, a setup has been prepared at JLab by adapting
2071 a preexisting experimental apparatus. The previous system was used for the
2072 systematic characterization of the 400 MAPMTs produced by the Hama-
2073 matsu for the first RICH module and was performed with standard JLAB
2074 fADC250 boards. This data set has been used as a reference.

2075 Part of equipment was already available, tested and with software tools
2076 ready, thanks to that, the installation and an effective use of the new front
2077 end electronics was rapid. The HV power supply boards are plugged is

Tile	Number of MAPMT	X [a.u.]	Y[a.u.]
TOP	3	213	7
BOTTOM	2	199	112

Table 4.1: Laser alignment results for the diffuser setup. The final step motors coordinate for the two tiles have been obtained by scanning the position the efficiency and maximizing simultaneously the hit counts an all the MAPMTs.

2078 a CAENSYS 4527, the same mainframe that will be used for the RICH
 2079 CLAS12. An interlock system disable the MAPMT bias when the door of the
 2080 dark box is open. The laser source, a PicoQuant unit with 405nm wavelength
 2081 laser head, a set of optical density filters to reach single photon electron
 2082 condition and the step motors for moving the laser head were controlled by
 2083 the data acquisition PC. A picture of the inner part of the dark box taken
 2084 during the assemble phase is shown in figure 4.1

2085 A light diffuser has been mounted to reach a uniform illumination con-
 2086 dition almost on an area of the diameter of 2-3 MAPMTs and accumulate
 2087 statistics for the characterization without scanning pixel by pixel with a small
 2088 spot. In particular the average multiplicity measured at single photoelectron
 2089 (SPE) level was 7 hit per MAPMT with an average efficiency on individual
 2090 pixel of about 5%, thus an acceptable SPE condition. Two tiles have been
 2091 mounted on parallel slits for a total number of five MAPMT (320) channels.
 2092 The MAPMT were chosen to be representative of the entire set of light de-
 2093 tectors (shown in figure 3.9 at page 71). The top tile with a H8500 and a
 2094 H12700 at the borders and a high dark current in the middle, the bottom
 2095 one with high an low gain devices, 1×10^6 and 5×10^6 respectively.

2096 The two units were readout independently, at different time, having the
 2097 FPGA's squared wave generator driving the laser unit for minimum TDC
 2098 measurements uncertainty. The data acquisition program was adjusted from
 2099 the one developed for the electronic stand alone test with a script used to
 2100 manage all the parameter of the apparatus and a logbook file to keep track
 2101 of the slow control, the laser position, the HV used and all the front end and
 2102 read out configuration, including the identification of the MAPMTs in use.

2103 The laser alignment has been done at light intensity higher than SPE
 2104 by keeping the readout system continuously running in scaler mode, with
 2105 threshold at 0.5 SPE signal and unitary gain, and by moving the laser position
 2106 along the two independent coordinate X and Y until a uniform illumination
 2107 on the entire tile was reached. Final parameter are reported in table 4.1.

2108 As the trigger is generated by the FPGA the synchronization of the ADC

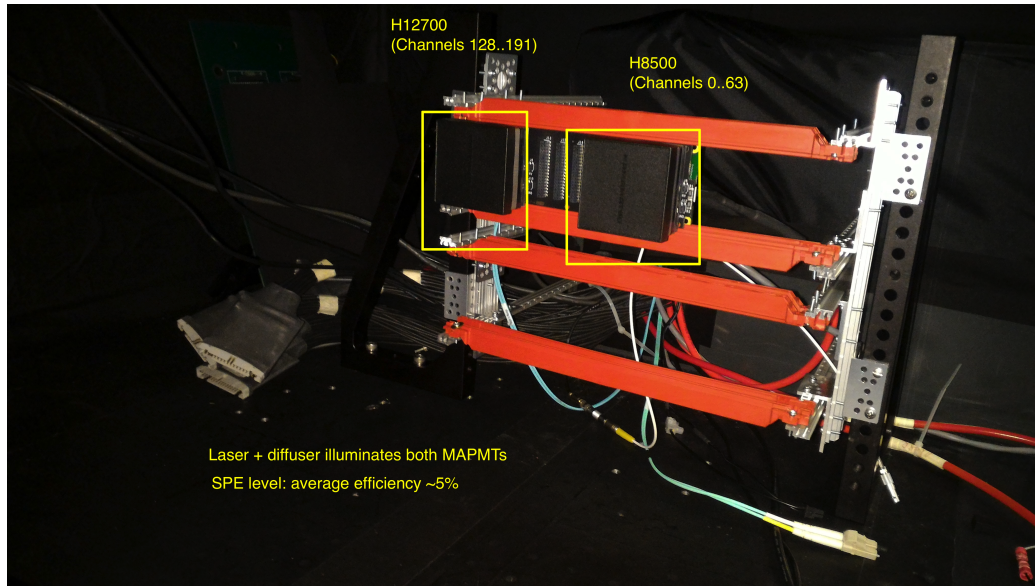


Figure 4.1: Laser characterization setup

2109 measurement and the TC window was performed using the same method de-
 2110 scribed in the 3 i.e. by a *waveform* acquisition mode for the charge measure-
 2111 ments (see figure ??) and by a large TDC window subsequently optimized
 2112 after the individuation of the recurrent peak of delays. The standard shap-
 2113 ing configuration showed satisfying performance and has not been modified.
 2114 The systematic data taking has been done instead by varying the preamplifier
 2115 gain and the high voltage bias to start the study of the MAPMT equalization
 2116 procedures.

2117 4.2 The SPE response

2118 The extraordinary possibility to access single quanta of electromagnetic radi-
 2119 ation offered by the vacuum tubes of solid state photosensor has, as counter
 2120 part, an intrinsic uncertainty due to the unavoidable fluctuations in the de-
 2121 tection and amplification gain mechanisms. The RICH front end electronics
 2122 offers good tools to access this phenomenology and develop quality cut to
 2123 have an effective hit selection for the ring reconstruction. As discussed in
 2124 section 2.2.2 the pulse height measurements will be used only for monitoring
 2125 and calibration while the signal discrimination and timing will be the readout
 2126 mode for physics quality data acquisition. In this section the performance of
 2127 both systems is reviewed based on the data collected with the two prototype
 2128 tiles and on the preliminary version of the time walk correction algorithm.

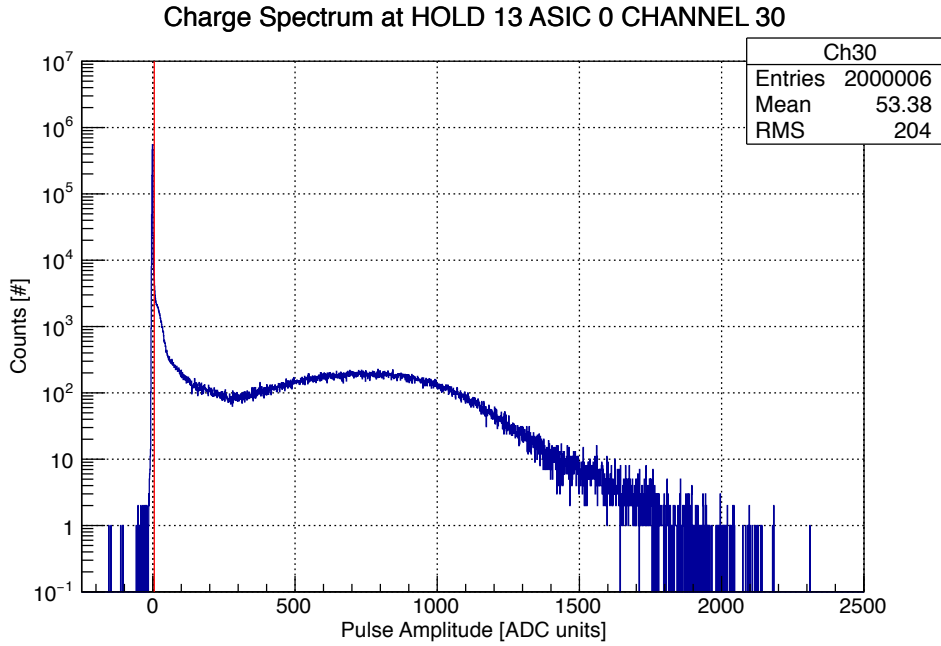
2129 4.2.1 Pulse height spectra

2130 As a first validation of the readout electronics, pulse amplitude spectra were
2131 obtained using the embedded MAROC ADC configured with its maximum
2132 resolution of 12 bits. Figures 4.2a and 4.2b show two representative pixel
2133 spectra from a H8500 and H12700 devices respectively. The first is a generic
2134 position sensitive photomultiplier available since more than 10 years with a
2135 12 stage electron multiplier and an equal voltage divider ratio i.e. the ac-
2136 celerating field of each stage is $1/12$ of the applied high voltage bias [17].
2137 Originally designed for gamma ray imaging its feasibility at single visible
2138 photon level has been demonstrated with MAROC readout in 2012 [8]. It
2139 is a good example of how the separation of the different field is a good orga-
2140 nization for didactic purposes, but that science, as all the human activities,
2141 proceeds in a way that is difficult to rigidly schematize. I like the fact that
2142 our field of fundamental research is closely connected to medical imaging
2143 with potentially tangible results for the society we live in on both long and
2144 short terms.

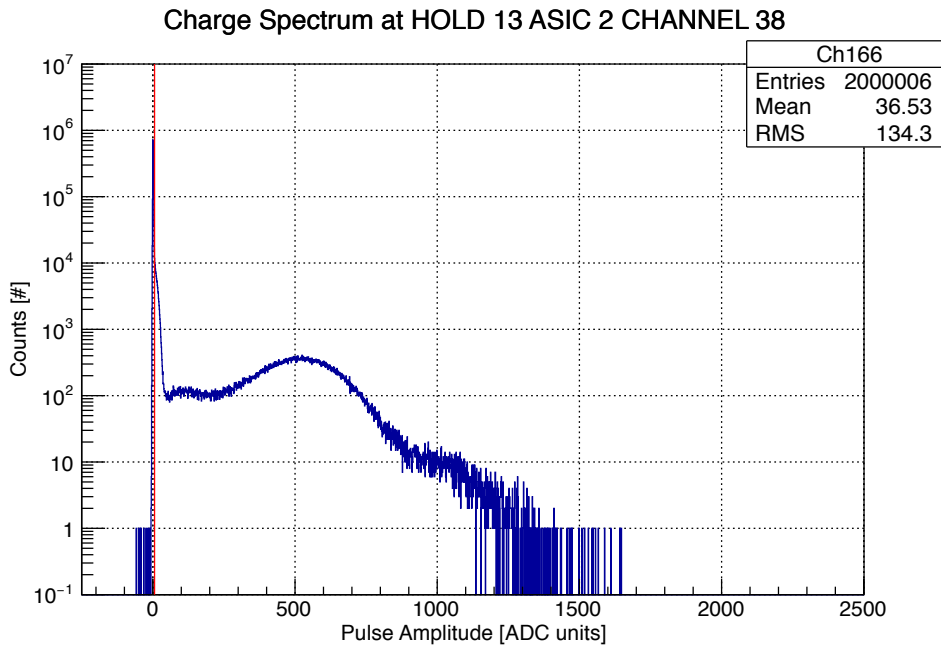
2145 The H12700 was released by Hamamatsu in 2015 as a single photon dedi-
2146 cated variant of the H8500 and made commercially available for the CLAS12
2147 RICH at the same price of the older model. The main differences between
2148 the two are a revised first stage electromagnetic lenses to better focusing the
2149 photoelectron into the electron multiplier and a reduced number of stages
2150 (10 instead of twelve). Both uses bialkali photocathode thus have the same
2151 spectral response and uses a grid electrode between the last dynode and the
2152 readout to obtain the pixelization on a matrix of 8 by 8 anodes.

2153 Looking at their spectra the specialization of the H12700 in compari-
2154 son with the H8500 appears evident. As confirmed using a new analytical
2155 model to describe the SPE spectra [25], the first dynode gain is higher and
2156 contributes to form a narrower response of the MAPMT. The higher accel-
2157 erating voltage helps also in the observation of the peculiar phenomenology
2158 of photomultipliers. In facts the well separated peak, that is visible between
2159 the pedestal and main peak, can be interpreted as direct photon conversion
2160 on the first dynode. Its smaller measured amplitude is due to the fact that
2161 there is one stage less of amplification and because its arrival time is antic-
2162 ipated since the collection stage is covered by the photon at the speed of
2163 light instead of by the electron moving of constant accelerated motion in the
2164 electrical field.

2165 This observation are just preliminary and will be systematically studied
2166 during 2017 by the CLAS12-RICH collaboration. For the moment it is good
2167 to observe the excellent single photon resolution offered by the designed front
2168 end system that allow to access the entire phenomenology of the sensors with



(a) H8500



(b) H12700 individual pixel pulse amplitude spectrum

Figure 4.2: Individual pixel pulse amplitude spectra. Even if the global gain is higher for the H8500, the specialization of the H12700 device appears evident in a better SPE. Pedestal subtraction is part of the data processing as well as the five sigma cut calculation (vertical red line) for efficiency estimation with ADC

2169 high precision. The extremely small noise pedestal presented in 3.2 allows
2170 for optimum quality data cut with a negligible fraction of signal lost (not
2171 estimated at the present).

2172 It is also nice to observe the few events with amplitude below the pedestal.
2173 They are present also when the photon sensor is powered and the laser is off
2174 and are due to the uncorrelated sampling of the dark pulses of the photomul-
2175 tiplier due to thermal excitation of the electron in the photocathode. They
2176 will be detected and characterized using the self triggering capability of the
2177 RICH electronics. As will be presented in the next section this data acquisi-
2178 tion mode has not been used yet, but some preliminary measurements have
2179 been done using scaler acquisition mode as presented in 4.2.2.

2180 4.2.2 Signal discrimination

2181 As a consequence of the late availability of the production-quality fron-
2182 tend board prototypes This thesis work does not present systematic studies
2183 with the photosensor. An overview of the preliminary measurements is pre-
2184 sented instead. Complete results will be possible only after the full produc-
2185 tion of the frontend boards.

2186 Figure 4.3 shows the detection efficiency as a function of the pixel ID of a
2187 2MAPMT tile and of the common discriminating threshold parameter. Effi-
2188 ciency is defined as the number of detected hit divided by the total number of
2189 trigger sent to the laser unit. The single photon electron regime is defined as
2190 the light intensity level that produce a negligible contribution of two electron
2191 levels at the photocathode. Since that at the quantum level of operation the
2192 behavior of a photocathode can be described as Poissonian current source
2193 with average intensity (and variance) determined by the number of events in
2194 which few photons, the estimation of the average intensity can be obtained
2195 by the number of pedestal events. Values around few percent indicates that
2196 the pulses are mostly started by a single electron or, in other words, that
2197 the probability of a pulse to be generated by two simultaneous electron is
2198 negligible.

2199 For both MAPMTs the measured efficiency is around 5% with a weak
2200 dependence on the threshold and on pixel. This tells that the charge injected
2201 in the signal processing system is on average capable to saturate the output
2202 of the fast shaper and, as a consequence, threshold can be adjusted with
2203 pretty large freedom without affecting dramatically the efficiency. Second,
2204 the presence of patterns, i.e. correlation with pixel ID, is an indication that the
2205 photocathode efficiency or the laser illumination are not uniform. In absence
2206 of systematic data we cannot assess if one or both sentences are valid. We can
2207 conclude that the readout system allow us to access very peculiar information

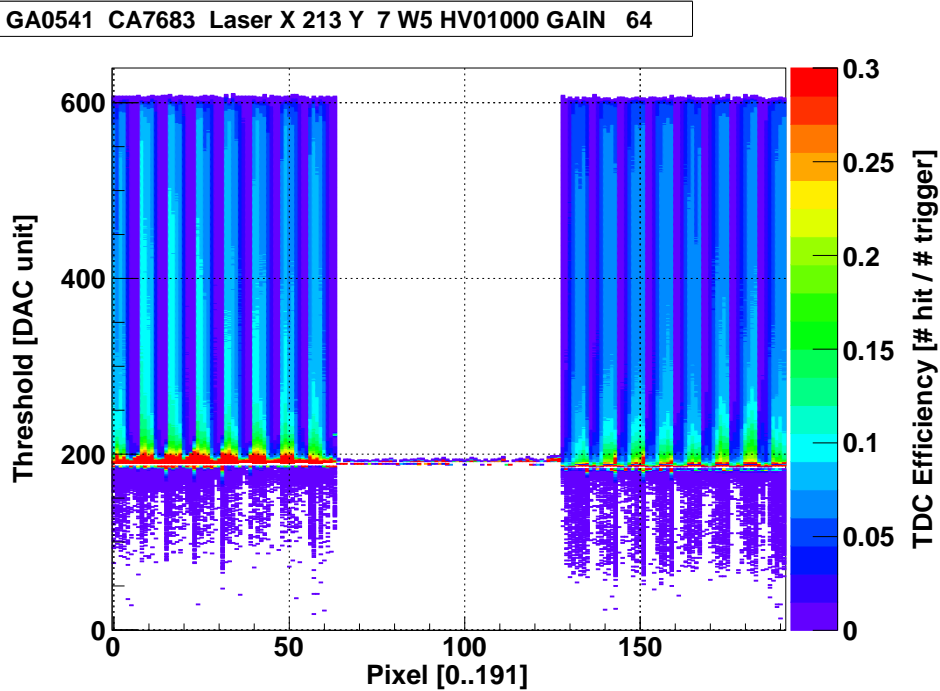


Figure 4.3: Laser detection efficiency as a function of the individual pixel of the MAPMT (x -axis) and of the common MAROC threshold y -axis. No preamplifier gain correction is applied to equalize pixels, $G = 64$ correspond to unitary gain for all the channels. TDC data (July 2016, JLab)

2208 on light illumination, with a small threshold dependence, and hopefully will
 2209 provide an excellent performance in reading out the RICH photon detection
 2210 surface.

2211 **Dark rate current monitor**

2212 A part from the verification of the data driven acquisition mode or self-
 2213 triggering, no systematic studies have been performed with this setup on the
 2214 highly variable dark current of the photomultipliers of the RICH detectors.
 2215 The low extraction work function of the photocathode material, that deter-
 2216 mines the visible spectral response, is also responsible for the vast majority of
 2217 spurious pulses that can be observed from the tubes. In facts the thermal ag-
 2218 itation and the presence of an accelerating field in the vacuum space between
 2219 photocathode and the first dynode can occasionally extract electrons from
 2220 the atoms close to the surface and generate an avalanche that is indistin-
 2221 guishable from the one originated by the photoemission. This phenomenon
 2222 give rise to the so-called dark current which is an important parameter of

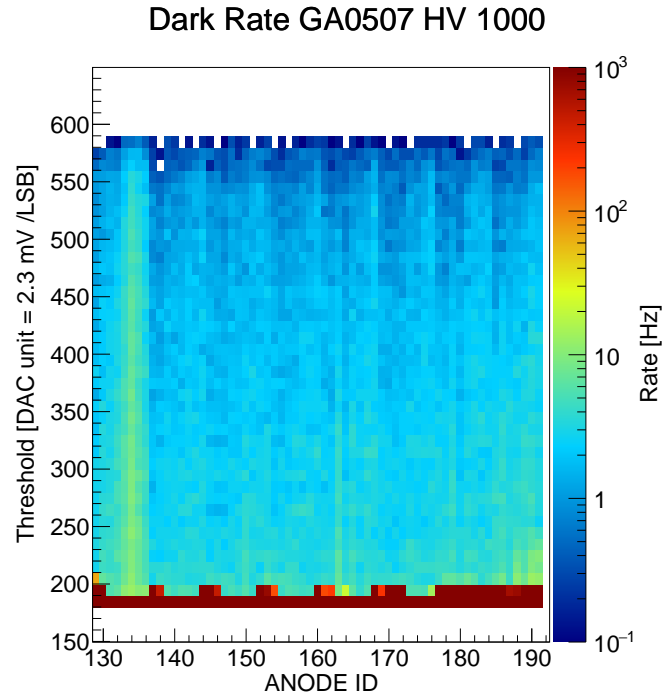


Figure 4.4: Individual channel dark rate measurement for a generic H8500 photomultiplier (scaler mode, July 2015). The MAMPT was readout by the third MAROC of the board so the pixel number has an offset of 128 channel.

2223 any devices working at single photon level. For example the PMT exhibit
 2224 an extremely smaller dark current at the level of few Hz/cm^2 in comparison
 2225 the SiPM that generates hundreds of kHz/cm^2 .

2226 In a detector such as RICH this spurious emission can occasionally be
 2227 readout together with Cherenkov light and only partially suppressed offline
 2228 using spatial and time correlation criteria. The number of expected dark
 2229 hit in the data can be calculated by multiplying the dark rate for the pulse
 2230 duration as seen by the readout system (shaped and digitized) and dividing
 2231 it by the width of the read out window. The probability is very small for
 2232 single channel, but considering that the surface is composed by about 25k
 2233 pixels few dark counts per event are expected to be normal in the RICH data
 2234 acquisition.

2235 A direct and simple measurements of the single channel dark rate has been
 2236 performed using the RICH readout electronics in scaler mode for a H12700
 2237 MAPMT and the result is shown in figure 4.4. The scaler acquisition window
 2238 was 30 seconds to have a negligible error on the measurements expressed in
 2239 Hz. Values of about 10-15 Hz, on average are pretty in agreement with the

2240 one provided by the manufacturer. The majority of the channel exhibits
2241 a dark current of few Hz while a small group of pixels show some tens or
2242 counts per second. A pattern seems to emerge similar to the one observed in
2243 efficiency measurements in figure 4.3. The pixel position for these channels in
2244 the physical device coincides with part of the first row indicating that there
2245 is a lower work extraction function in that region or, in other words, that
2246 the energy levels are slightly different considering edge pixels and central
2247 ones. This non uniformity can be accessed only using independent channel
2248 electronics and is due to the combination of the photocathode non uniformity
2249 and the collection efficiency of tube.

2250 Since the dark background is mainly composed by single photoelectron
2251 signal its acquisition in autotrigger mode using ADC will allow to accumu-
2252 late pulse height statistics and monitor the MAPMT performance stability
2253 without the use of other hardware resources (laser or led), simply exploiting
2254 the noise. The use of data driven triggering mode will be extended to higher
2255 multiplicity patterns to attempt the RICH detector calibration using cosmic
2256 rays.

2257 4.2.3 Timing

2258 In section it has been observed that the time resolution of the TDC readout
2259 respect the 1 ns requirements for fixed charge injection. This statement is
2260 no longer true if input varies in the range from few fC to 1 or 2 pC as in the
2261 real sensor case. This fact depends on shaper transfer function's linear and
2262 saturate regimes. Figure 4.5 helps in clarifying this concept very familiar to
2263 the data acquisition expert reader.

2264 By considering only the detection sensitivity we tend to think that lower
2265 the threshold better the performance can be, but this is not true for fixed
2266 threshold timing. When the input charge is smaller than few hundreds fC
2267 - in the case of fast shaper standard configuration the onset of saturation
2268 is around 150fC - the linearity of the response determines a drift in the
2269 measured arrival time of the hit. Vice versa higher the charge earlier and
2270 more reproducible is the timestamp. As a consequence the time distribution
2271 obtained from variable charge input appears asymmetric and with a broader
2272 aspect than the one obtained at fixed charge. Globally the time resolution
2273 results deteriorated.

2274 The firmware TDC module can read out both hit edges polarity with
2275 negligible impact on the data acquisition rate. This allow for the possibility
2276 to estimate the charge of a hit during the event reconstruction and apply
2277 consequently a correction proportional to charge and obtain a narrower dis-
2278 tribution for the logic pulses arrival time.

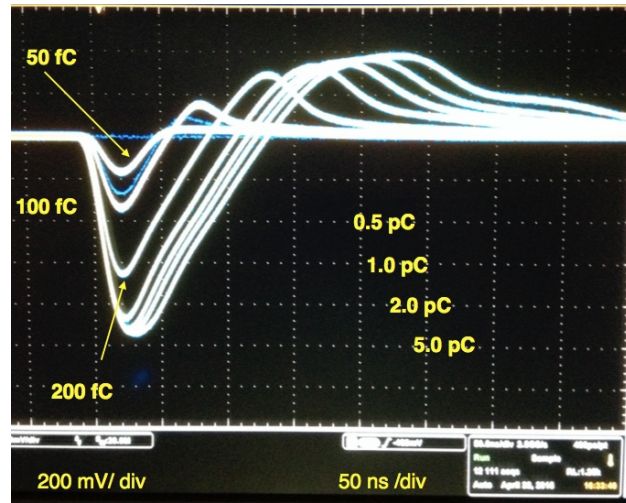


Figure 4.5: Fast Shaper Response to different charge injection. The position of the amplitude cut off can be adjusted with the a group of 4 bits in the MAROC slow control register. Depending on the feedback network of the preamplifier the gain of the transfer function can be varied roughly from 1 to 4 mV/fC.

2279 Figure 4.6 shows as an example a real case application in which the opti-
 2280 mization procedured is applied to a hit time distribution of a pixel illuminated
 2281 by the laser at single photon electron light intensity. On the bottom left pane
 2282 the raw data have an RMS of about 12 ns. The same information is plotted
 2283 in the top left plot against the hit duration. The two small spots aside of
 2284 the main one are delayed emission from the laser as it was verified indepen-
 2285 dently using an oscilloscope, they are not properties of the light detection
 2286 system and will be neglected. By using a simple two segments line model
 2287 with parameters obtained from the average on the entire MAPMT, the time
 2288 response is made independent from the charge as shown by the right column
 2289 plots. The resulting variance is decreased by a factor 10 (RMS=1.254 ns)
 2290 and the main peak has now a symmetrical shape and a fluctuation smaller
 2291 than 1 ns as specified in the requirements.

2292 The range spanned by the duration of the logic pulses generated by the
 2293 MAROC in response to this particular pixel goes is comprised in the interval
 2294 from 10 to 70 ns with a concentration of around 50 ns. This characteristics
 2295 are observed on all the other pixels of the tested MAPMTs and depends on the
 2296 charge injected in the fast shaper of the front end chip. By a proper MAPMT
 2297 gain spread compensation, operated with adjustment of the preamplifier gain,
 2298 the same curve can be used to correct the time walk of all the pixels.

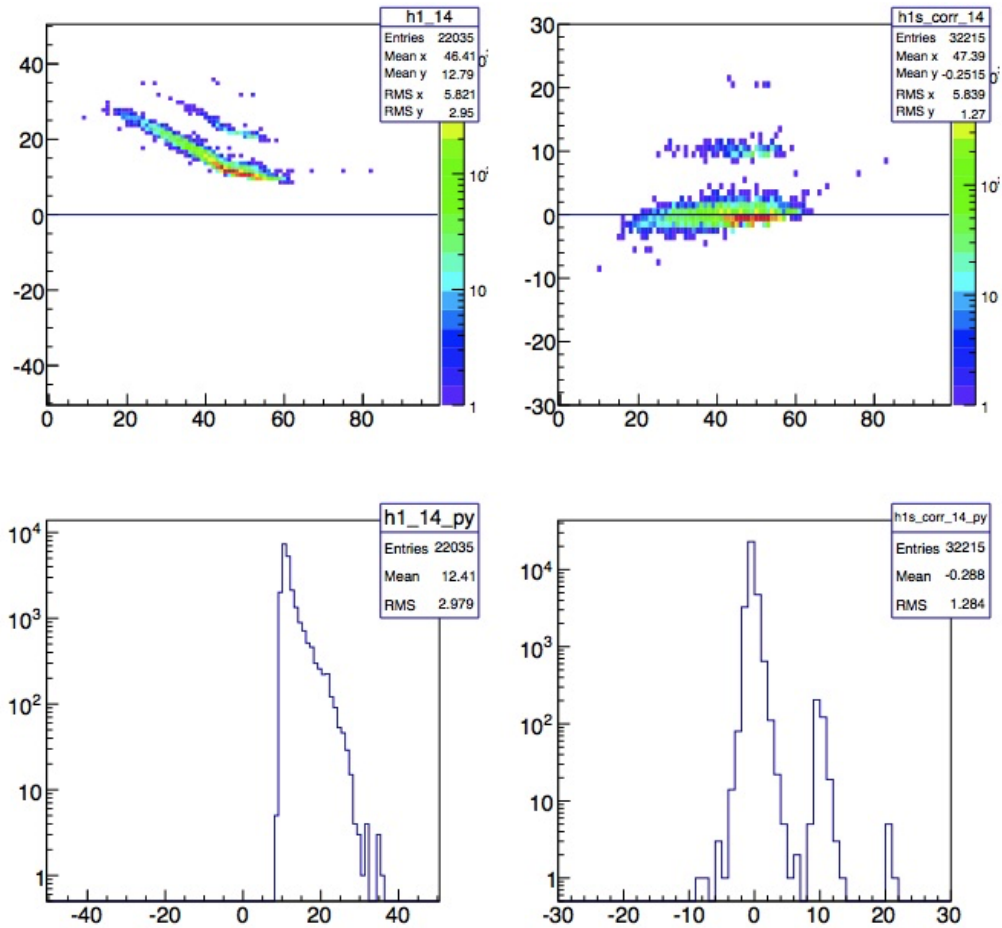


Figure 4.6: Time walk correction. Hit distribution before and after correction (bottom) in nanosecond units. Top plots show the hit time as a function of the duration i.e. the charge. The minor delayed spots are delayed laser emission as was verified independently with an oscilloscope.

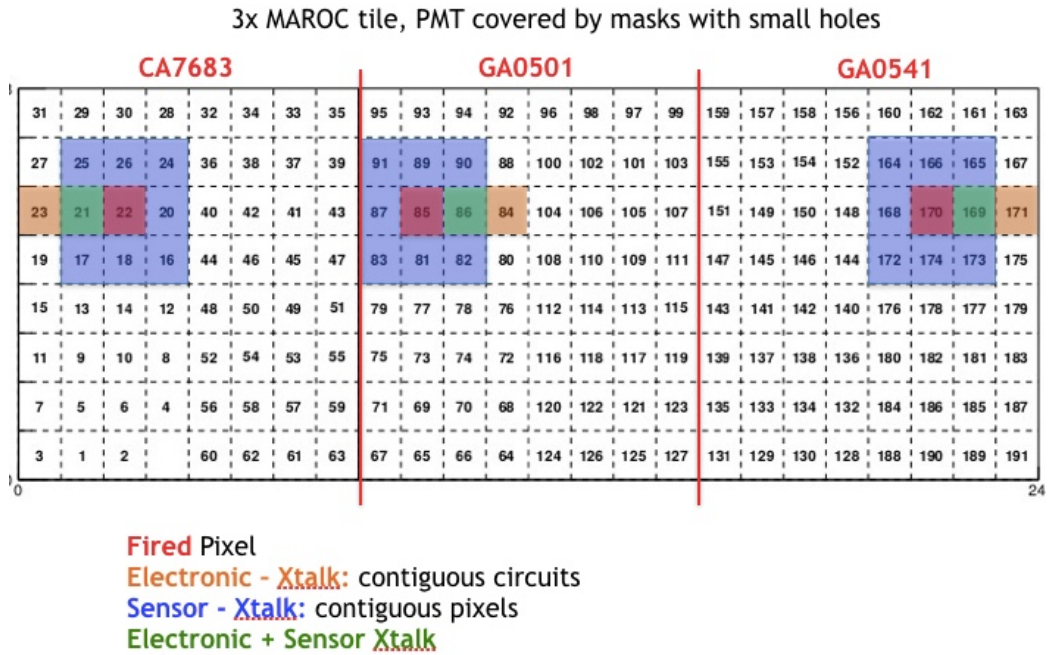


Figure 4.7: Crosstalk setup

2299 This is a first order correction. When a larger statistics, on all the tile of
 2300 the electronics panel, will be collected the best work point could be deter-
 2301 mined by adjusting the shaping constants, regulating the cutoff position and
 2302 so determining the maximum sensitivity for the walk correction algorithm

2303 4.2.4 Crosstalk study with an aperture

2304 As mentioned in section the ADAPTER board routing has been designed
 2305 with two contrasting ideas in mind: minimize the path length and map
 2306 adjacent pixel in non-adjacent readout channels. The compromise solution
 2307 privileged the first principle so that 16 out of the 64 channels of a MAROC
 2308 have one adjacent circuit that is also contiguous on the MAPMT. Figure 4.7
 2309 helps in understanding the mapping: readout channel 22, in red on the left,
 2310 has 8 neighbor pixels. Among them there is channel 21 which is adjacent
 2311 also on the MAROC board. The other contiguous readout channel, number
 2312 23, is mapped at largest distance in the MAPMT. This pattern is repeated
 2313 16 times for each MAPMTs thus one quarter of the total number of pixels
 2314 experience this condition.

2315 This aspect has been investigated by covering the MAPMT's surface a
 2316 black cardboard mask. A small aperture has been cut out to illuminate a

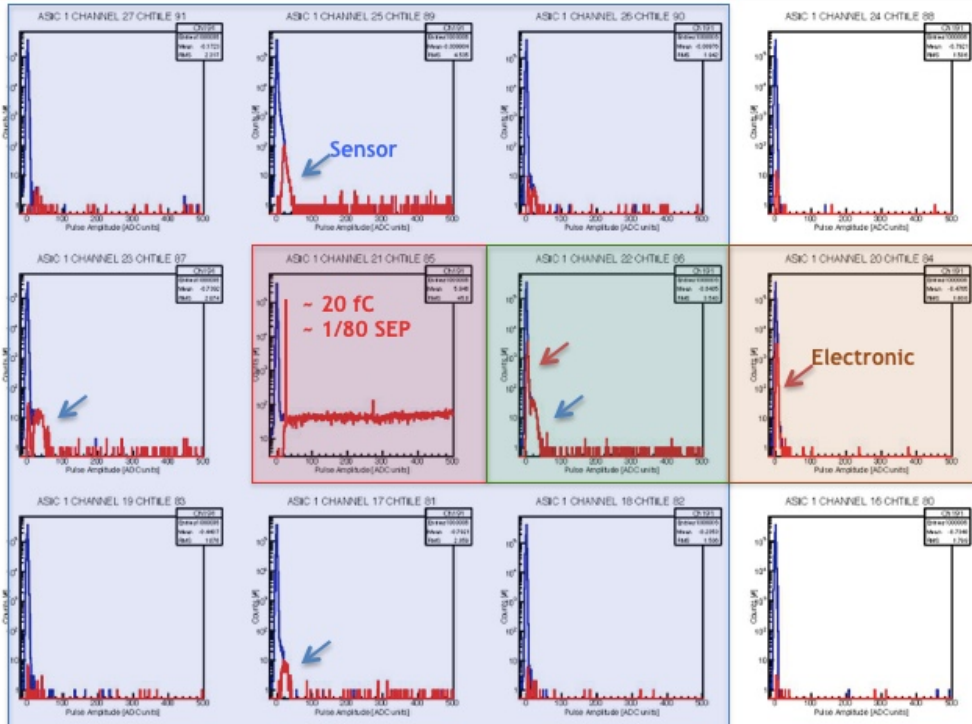


Figure 4.8: Optical and Electronic crosstalk

2317 tiny area of the photocathode, smaller than a pixel. The mask was hand
 2318 made so the aperture could be not perfectly aligned with the pixel's center
 2319 however a good single spot illumination has been obtained as shown but the
 2320 pulse amplitude spectra in figure 4.8. Data were collected using maximum
 2321 gain for the preamplifier (input charge multiplied by a factor 4) and the x-
 2322 axis in ADC units has been zoomed to show the pedestal region in detail.
 2323 On all the pixels few dark pulses are registered. On the ADC information
 2324 a second histogram in red is superimposed highlighting the digital hits seen
 2325 by the TDC. The electronic crosstalk appears as a small right shoulder on
 2326 the yellow pixel (electronic neighbor). On the other hand a more prominent
 2327 shoulder is evident on the adjacent pixels, this is the optical crosstalk given
 2328 by defects in the amplification mechanism, capacitive coupling at the anode
 2329 levels or generally speaking charge sharing effects within the position sensitive
 2330 sensor. Only one pixel colored with green background present signal coming
 2331 from both crosstalk mechanisms.

2332 From what has been presented so far in this chapter and in section 3.5
 2333 there is some regime in which the data acquisition system have to live with
 2334 crosstalk. Of course the preamplifier gain plays an important role on the pres-
 2335 ence of crosstalk in the data and its not hard to imagine that reconstruction

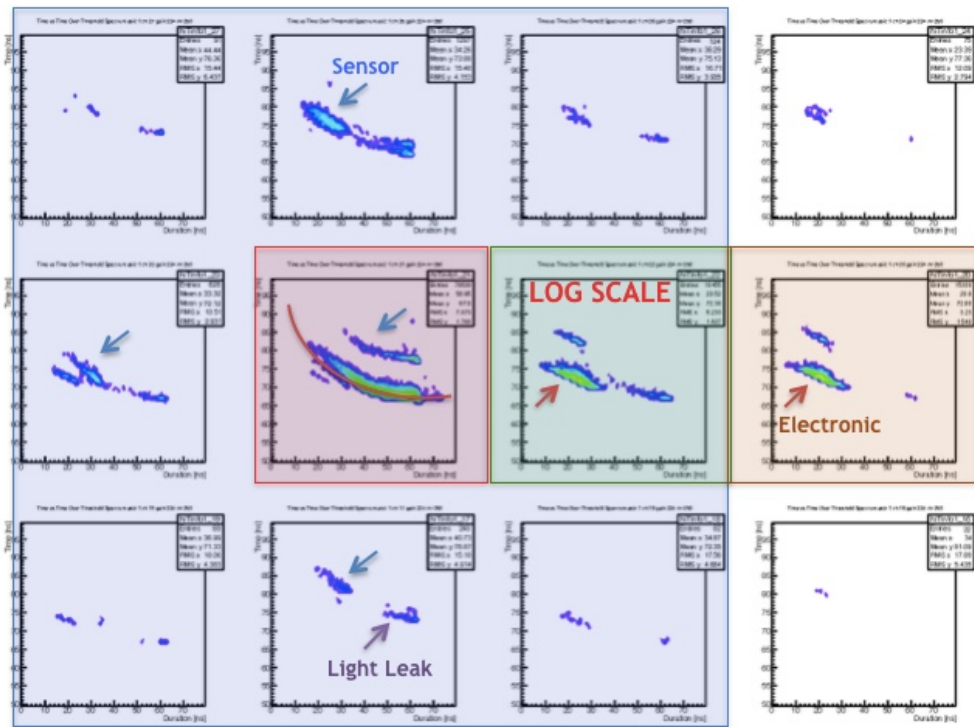


Figure 4.9: Time distribution as a function of the hit duration

2336 algorithms can play some sort of suppression to do not deteriorate the
 2337 RICH angular resolution. This correction is actually made possible by the
 2338 demonstrated (see section 3.5) capacitive nature of it. In fact the crosstalk
 2339 signal is faster than the real one and the walk correction can help in its elimi-
 2340 nation. Figure 4.9 shows the TDC measured time of arrival as a function
 2341 of the time over threshold for the same the data set. Apart from the de-
 2342 layed laser emissions two other features are clearly visible: the early spot of
 2343 small duration in the yellow box (electronic crosstalk) and the in time usual
 2344 duration spots in the pixel adjacent to the one illuminated. These last can
 2345 be interpreted as light leaks maybe due to the incident angle of the light
 2346 maybe due to the not perfectly aligned position of the aperture with respect
 2347 to center of the pixel. However as shown in figure 4.10 the walk correction
 2348 allows to select good and bad hits by an acceptance time window.

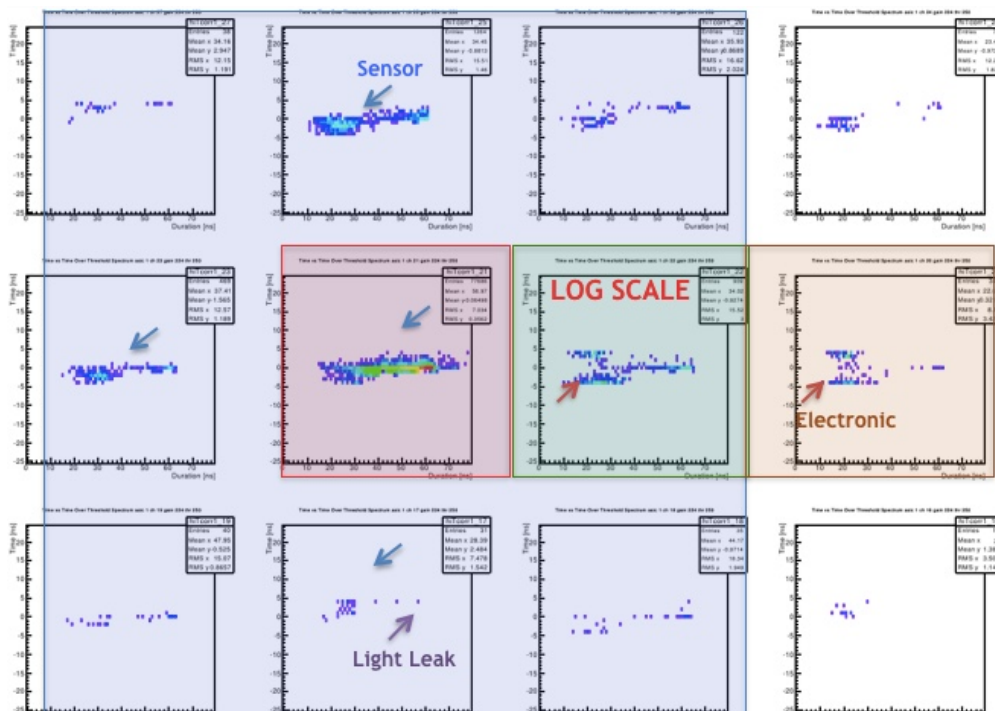


Figure 4.10: Walk corrected time distribution

2349 Chapter 5

2350 Real condition operations

2351 Real condition testing provided the necessary feedback to move new hardware
2352 from prototyping to production stage and suggested the final tune up of
2353 components in respect to the computer simulation. Here the use of RICH
2354 electronics as readout unit for Cerenkov light is reported together with results
2355 of irradiation tests performed to asses its availability over the 10 years of
2356 experiment life cycle of CLAS12. In all the tests the candidate was in charge
2357 of the front-end electronics and of the data acquisition system.

2358 A beam test was conducted in April 2016 at Fermilab in the framework of
2359 preliminary studies for the future Electron Ion Collider (EIC) facility using a
2360 120 GeV/c proton beam, it demonstrated the feasibility of the MAROC bi-
2361 nary readout for RICH detectors. Irradiation tests, performed in the end on
2362 2015 using 14 MeV/c neutrons and 662 keV gammas have been conducted at
2363 two facilities in the Rome area, the Frascati Neutron Generator (FNG) and
2364 the Italian National Institute of Health (ISS, Istituto Superiore di Sanità).
2365 Another section is dedicated to the description of the setup and of the main
2366 results of the first RICH prototype readout with a MAROC electronics im-
2367 plementation derived from medical imaging. That prototype was successfully
2368 validated in 2012 at CERN as documented in [26] and later in [8]. Sections
2369 are presented in chronological order with the recent Fermilab test results at
2370 the end.

2371 The R&D activity is still ongoing, for example with the adaptation of the
2372 RICH electronics to solid state position sensitive detectors. Latest contribu-
2373 tion [27, 28, 29] have been presented few months ago at the RICH2016 and
2374 will be soon published as part of the conference proceedings.

2375 5.1 Test beam with large scale prototype

2376 The CLAS12 RICH configuration is challenging in two major points: (i) the
2377 1:500 pion rejection factor necessary to correctly identify kaons at momentum
2378 as high as 8 GeV/c, that requires a large number of photons to refine the
2379 angle resolution and (ii) the number of photons surviving the multiple pass
2380 through the aerogel. Some aspects of these questions have been addressed in
2381 laboratory tests, as for example measurements of the optical properties of the
2382 aerogel ?? or the single photon response of the MAPMTs [20]. However, a
2383 necessary step of the project is the validation of the proposed RICH working
2384 principle in conditions as close as possible to the real measurements. For
2385 this, a campaign of test beams has been undertaken in 2011 and 2012, using
2386 hadron as well as electron beams and prototypes of increasing complexity.

2387 A first, preliminary, test has been performed in July 2011 at the T9 beam
2388 line of CERN using a simplified prototype, made by an aerogel radiator
2389 of variable thickness and refractive index, about 30 cm of gap and eight
2390 MAPMTs H8500. The charge readout was derived from a compact electronics
2391 developed for Medical Imaging[30]. This first test showed that the chosen
2392 MAPMTs in conjunction with the MAROC were actually capable to detect
2393 single photons also in the real experimental conditions and that the photon
2394 yield was sufficiently high to encourage further studies. In 2012, a test has
2395 been performed at the Frascati Beam Test Facility (BTF) in order to setup
2396 the necessary acquisition system. Finally, two new test beams have been
2397 performed using a large scale prototype specifically designed to provide the
2398 proof-of-principle of the RICH detector. These tests have been done at the
2399 T9 test beam of CERN. Results of these tests will be extensively discussed
2400 in the this sections.

2401 5.1.1 T9 experimental setup

2402 The main tests of the RICH prototype had been performed at the T9 beam
2403 line located in the East Area of the PS/SPS complex at CERN. The primary
2404 proton beam is sent to a target to produce a secondary beam. Different
2405 targets, magnets and collimators allow to select the secondary beam com-
2406 position and energy. The prototype test run hadron beams with negative
2407 charge and momentum between 6 and 8 GeV/c, the maximum range cov-
2408 ered by CLAS12. The relative population of $\pi^- : K^- : \bar{p}$ is estimated to be
2409 160 : 5 : 1 respectively. The time structure of the beam is determined by
2410 the extraction of one or more spills from the primary PS proton beam. Each
2411 spill had a duration of 400 ms and the period of the PS operation was 40 s,
2412 so that the overall duty cycle was about of few percent.

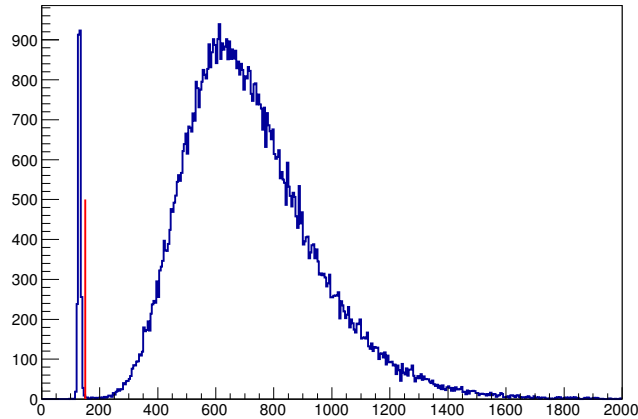


Figure 5.1: Typical ADC spectrum of the T9 Cherenkov counter. The red line indicates the offline threshold used to separate pions from heavier hadrons.

2413 In order to assess the performance of the real-scale RICH prototype under
 2414 test, the T9 experimental setup comprised several detectors for ancillary
 2415 measurements, i.e. pion tagging, tracking and triggering.

2416 A gaseous threshold Cherenkov detector (part of the T9 equipment) has
 2417 been used to separate pions from heavier hadrons by adjusting the gas pres-
 2418 sure, i.e. the refractive index. During the test it was set to have only pions
 2419 above threshold. The sample ADC shown in 5.1 shows a broad distribu-
 2420 tion due to pions and a narrow pedestal peak produced in correspondence of
 2421 below-threshold kaons and anti-protons. A standard 5σ cut (channel 150) is
 2422 used to tag pions.

2423 Beam particle's trajectories are measured through two planar Gas Elec-
 2424 tron Multiplier (GEM) chambers, installed outside of the RICH box at about
 2425 4 meters from each other, with the downstream one about 80 cm after the
 2426 MAPMT plane. Each chamber is $10 \times 10 \text{ cm}^2$ and is readout in 256 strips
 2427 for both x and y . In each event, the strip signals are sampled three times at
 2428 20 ns intervals, thus good signal are searched for by looking at peaks in the
 2429 strip ADC distributions of all the 3 samples. A good GEM hit is obtained by
 2430 any pair of signals measured with correlated amplitudes on the two planes
 2431 of one chamber. A good GEM track is reconstructed by matching one hit on
 2432 the first GEM with one hit on the second GEM. The average GEM efficiency
 2433 is of the order of 30%.

2434 Finally, two small plastic scintillators were placed at the end of the beam
 2435 line, just after the second GEM chamber, to define the trigger signal of the
 2436 DAQ system.

2437 **5.1.2 Large-area prototype**

2438 In the real detector, the particles are revealed basically in two different con-
2439 ditions:

- 2440 • for forward particles, the Cherenkov photons are directly detected by
2441 the MAPMTs;
- 2442 • for large angle particles, the photons are detected after two reflections
2443 and a double pass through the aerogel radiator.

2444 The third condition, in which part of the photons are detected directly and
2445 part after reflections, can be extrapolated from the two previous ones. There-
2446 fore the prototype must be flexible enough to be operated in two configu-
2447 ration. The RICH prototype has been build inside a large (approximately
2448 $1.6 \times 1.8 \times 1.6 \text{ m}^3$) light-tight box, with internal modular supports holding
2449 the various components, that may be inserted or removed.

2450 **Direct light configuration**

2451 Figure 5.2 shows design and realization of the prototype for direct light mea-
2452 surements. The Cherenkov light is produced on the aerogel radiator, propa-
2453 gate for 1 m inside the box and is then detected by an array of 28 MAPMTs,
2454 alternated of the type H8500C with normal glass and H8500C-03 with UV
2455 glass. The aerogel support can host tiles of approximately $56 \times 56 \text{ mm}^2$ and
2456 of different thickness. The MAPMTs are mounted on a circular support and
2457 can be radially moved to intercept the Cerenkov ring produced with different
2458 opening angles depending on the refractive index. The ring coverage varies
2459 between about 90% for a minimum MAPMT radial position of 280 mm and
2460 60% for the maximum radial position of 400 mm. The main goals of these
2461 measurements are:

- 2462 • study in details the Cherenkov angle resolution, also by varying the
2463 aerogel thickness and refractive index, as a function of the number of
2464 detected photons;
- 2465 • measure the π/K separation up to 8 GeV/c;
- 2466 • estimate the pion detection efficiency;
- 2467 • study the backgrounds, in particular from Rayleigh scattering.

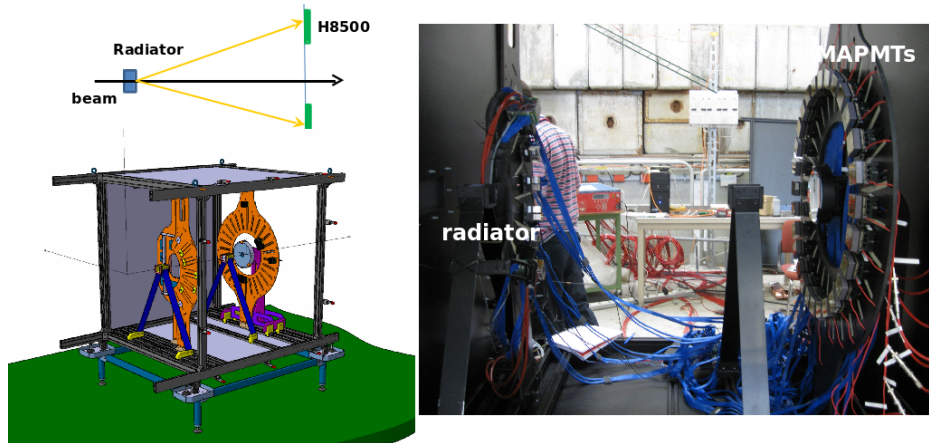


Figure 5.2: Top left: concept of the direct light measurements. Bottom left: drawing of the prototype. Right: picture of the prototype.

2468 Reflected light configuration

2469 Figure 5.3 shows the setup for the reflected light measurements. In this case,
 2470 the aerogel support is placed closer to the MAPMTs plane, a spherical mirror
 2471 reflects the Cherenkov photons back toward a system of eight squared planar
 2472 mirrors which send the light to the MAPMTs. The mirrors were made of
 2473 glass and produced by the Marcon company. The spherical mirror had a
 2474 diameter of 25 cm, a focal length of 90 cm and a hole in the center to not
 2475 interfere with the beam. The planar mirrors have a $10.5 \times 10.5 \text{ cm}^2$ area.
 2476 The MAPMTs are mounted on a support similar to the one used for the
 2477 direct light case, but optimized to better match the coverage of the planar
 2478 mirrors and the bigger Cherenkov ring radius due to the larger photon path.
 2479 The ring coverage runs from about 75% (minimal radial position of 350 mm)
 2480 to 50% (maximal radial position of 540 mm). The planar mirrors may be
 2481 moved longitudinally to optimize the total gap length with respect to the
 2482 focal length of the spherical mirror and to maximize the focusing of the light
 2483 cone. The supports of the planar mirrors are designed to allow the insertion
 2484 of tiles of aerogel, in order to study the photon yield absorption within the
 2485 aerogel.

2486 For these measurements, the main goal is the study of the depletion in

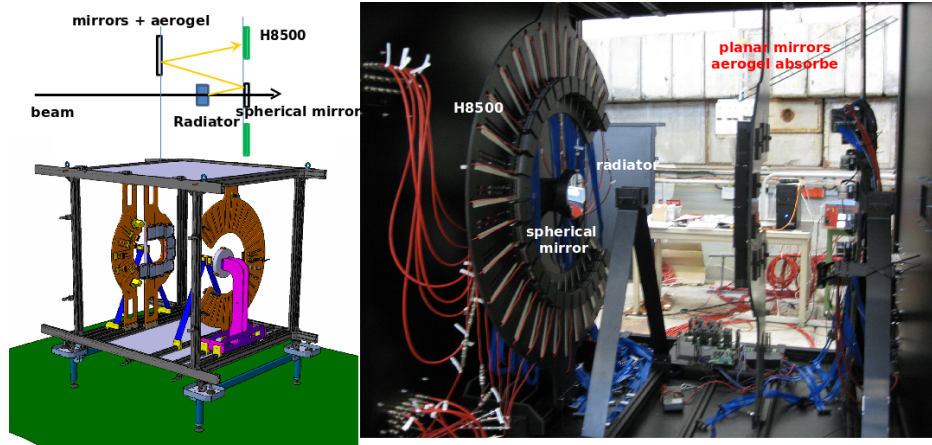


Figure 5.3: Top left: concept of the reflected light measurements. Bottom left: drawing of the prototype. Right: picture of the prototype

2487 the photon yield due to the multiple passes of the Cherenkov photons in the
 2488 aerogel, namely:

- 2489 ● compare runs without or with aerogel absorber in front of the planar
 2490 mirrors;
- 2491 ● optimize the aerogel thicknesses;
- 2492 ● compare the optical quality of different mirrors;
- 2493 ● study and optimize the photon yield and angle resolution as a function
 2494 of the characteristic of the optical elements.

2495 Additionally, one could also study the π/K separation and the detection
 2496 efficiencies. However, we must stress that the geometry of the prototype is
 2497 different from that of the CLAS12, thus the results we have obtained will not
 2498 be indicative of the final RICH performances. On the other hand, they will
 2499 be used to tune the Monte Carlo simulations, that ultimately will provide us
 2500 the expected performances of the detector.

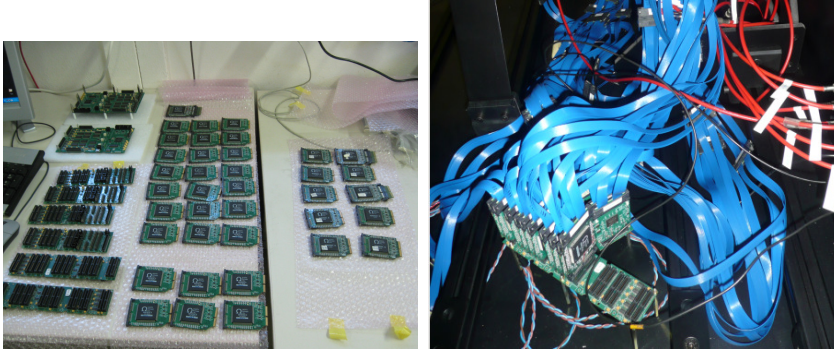


Figure 5.4: Left plot: the various components of the MAROC3 electronics. Right plot: the assembled setup installed in the RICH prototype box.

2501 Electronics and DAQ

2502 The prototype is made by a circular array of 28 MAPMTs. They are Hama-
 2503 matsu H8500, alternated of the type H8500C with normal glass and H8500C-
 2504 03 with UV glass. The sensors have a 8×8 pixel matrix for a total of 1792
 2505 pixels to be read out. The signal processing and data readout system is
 2506 based on MAROC front-end. The readout system shown in 5.4 was origi-
 2507 nally designed for medical imaging investigation with Single Photon Emission
 2508 Computer Tomography (SPECT) technique. It was adapted to match the
 2509 geometry and the working conditions of the RICH prototype, in particular
 2510 external triggering functions were added and, in view of the necessary cables,
 2511 a common noise algorithm was developed to mitigate the effect of noise
 2512 picked up over the 1 meter separation between anodes and front end pro-
 2513 cessing circuits.. Three back planes provides power supply to the front-end
 2514 cards and data connection link with controller boards. The latter, in charge
 2515 of managing the configuration and the readout, contains basically a system
 2516 of active transceiver and an FPGA that interface the system with the acqui-
 2517 sition node using a USB2.0 link. At each trigger the charge is measured for
 2518 all the channels and serially converted using external ADC present on board¹
 2519 Due to a big trigger latency, the pulses are sampled on the undershoot. This
 2520 was a sub-optimal working condition, limiting the dynamical range, but still
 2521 valid in terms of signal to noise separation.

2522 For these measurements, the main goal is the study of the single-photon

¹External ADCs were necessary for the first two revision of MAROC i.e. MAROC1 and MAROC2, because of a design issue that made impossible the use of the embedded one. The SPECT electronic implementation inherited this feature even upgraded, by the candidate, with MAROC3 chips.

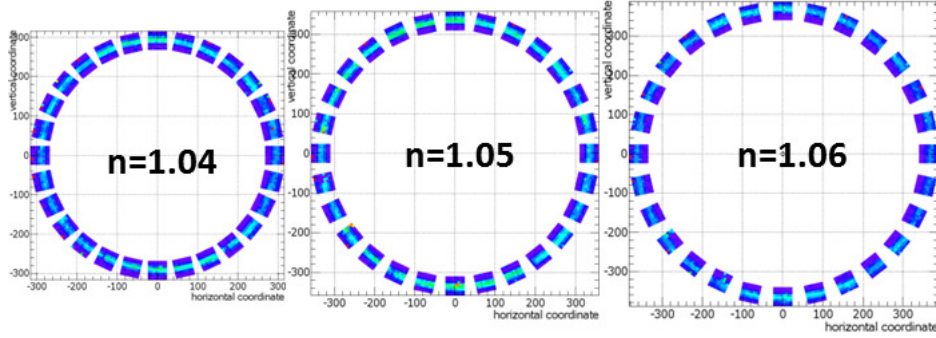


Figure 5.5: Cherenkov photon hit pattern measured with aerogel of different refractive index.

2523 detection response of the system:

- 2524 • prove the single-photon detection capability of the H8500 sensors;
- 2525 • test the analog signal processing based on the MAROC3 chip for single
- 2526 photoelectron range applications;
- 2527 • verify the ability to work in the single photo-electron regime in real
- 2528 conditions: noisy environment and large number of readout channels;
- 2529 • identify the best configuration for the Cherenkov light readout.

2530 5.1.3 Ring reconstruction

2531 In the prototype configurations, for the direct as well as for the reflected light
 2532 measurements, the expected Cherenkov photon patterns are rings centered
 2533 on the projection of the particle's track onto the MAPMTs array plane.
 2534 MAPMT hits are identified by applying a 5σ cut above the pedestal peak.
 2535 Examples of the measured hit distributions for different aerogel refractive
 2536 index is shown in Fig. 5.5.

2537 The Cherenkov rings are reconstructed by minimizing the quantity

$$S(R, X_C, Y_C) = \sum_{i=1}^{N_{pe}} [(x_i - X_C)^2 + (y_i - Y_C)^2 - R^2]^2 \quad (5.1)$$

2538 where (x_i, y_i) are the coordinates of the i_{th} photon hit, (X_C, Y_C) are the
 2539 coordinate of the ring center and R its radius. The minimization can be
 2540 performed either analytically or using MINUIT.

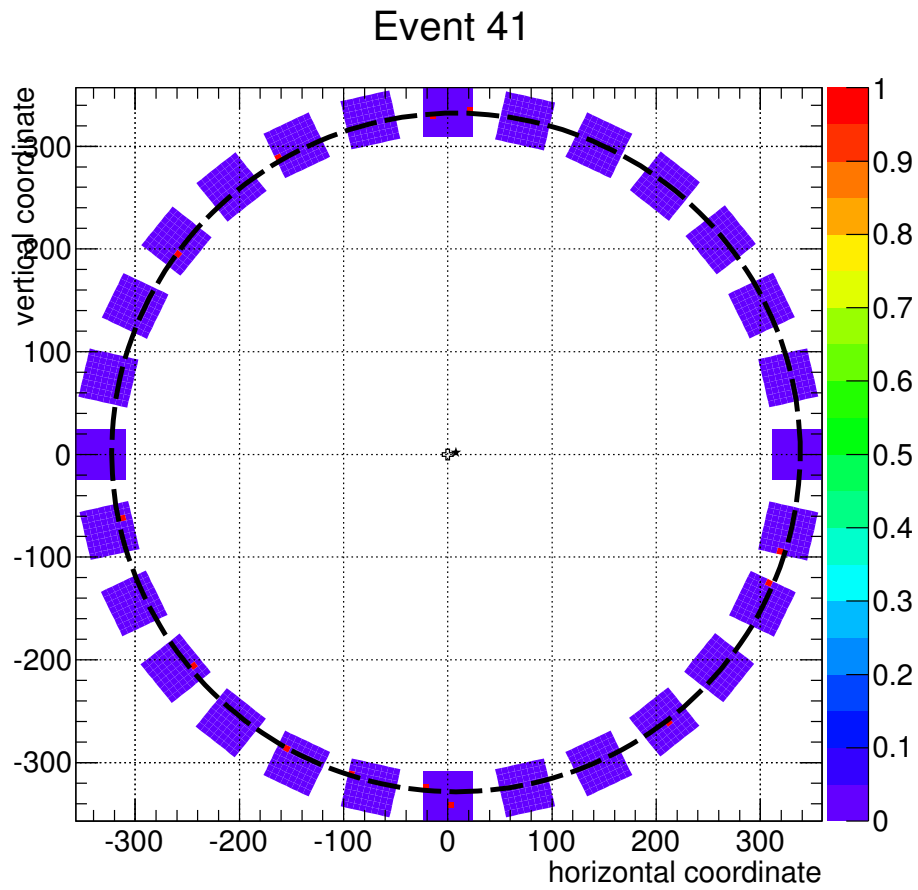


Figure 5.6: Hit distribution of one event measured with $n = 1.05$ and $t = 2$ cm aerogel. The circle show the Cherenkov ring fitted to the hits.

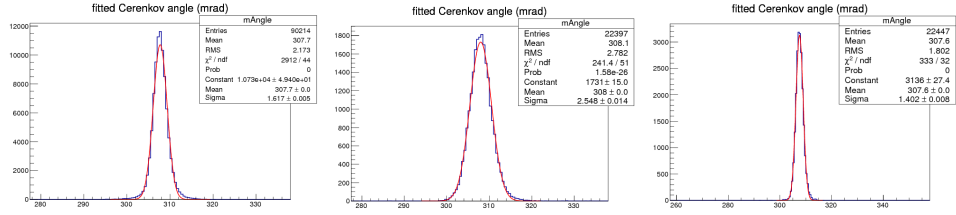


Figure 5.7: Cerenkov ring radius from the $3par$ fit (left), from the $1par$ fit before (center) and after (right) the GEM alignment procedure.

2541 The Cerenkov angle is then calculated from the known distance D be-
 2542 tween the MAPMT plane and the center of the aerogel radiator from

$$\tan(\theta_C) = \frac{R}{D} \quad (5.2)$$

2543 In the analysis, one can make the ring reconstruction using only the
 2544 MAPMTs array, fitting at the same time the center coordinates and the
 2545 radius (a $3par$ fit), or using the center information from the GEM track and
 2546 fitting only the radius (a $1par$ fit). In the latter case, a better resolution
 2547 is expected, because of the reduced number of free parameters. Figure 5.7
 2548 shows the Cerenkov angle distribution from the $3par$ fit (left, $\sigma = 2.1$ mrad),
 2549 from the $1par$ fit before (center, $\sigma = 3.3$ mrad) and after (right, $\sigma = 1.8$
 2550 mrad) the offline alignment. An improvement of more than 10% in the ring
 2551 resolution has been obtained from the $3par$ to the $1par$ fit. In principle, in
 2552 the $3par$ fit 3 hits are sufficient to reconstruct the ring, while in the $1par$
 2553 fit just one would be enough. However, with such small number of hits the
 2554 background may lead to incorrect particle identification, thus a minimum
 2555 number of 4 hits is always required.

2556 5.6 shows, for example, the hit distribution of one event measured with
 2557 $n = 1.05$ and $t = 2$ cm aerogel, together with the fitted ring. We can identify in
 2558 this event 12 good hits laying on the ring, plus one hit (in the bottom center
 2559 MAPMT) far enough from the ring that can be considered as background.
 2560 Thus, an iterative procedure has been implemented in order to suppress
 2561 background hits. A hit is considered as background if its distance from the
 2562 fitted ring is bigger than some cut. In this case, the hit is removed and a
 2563 new fit is performed taking into account only the remaining good Cherenkov
 2564 hits. The cut value has been optimized to the data. In fact, a too loose cut
 2565 will include too many background hits, thus worsening the resolution, while
 2566 a too tight cut will remove good photons, again worsening the resolution.
 2567 An optimal value of 12 mm has been found. In 5.8, the total number of

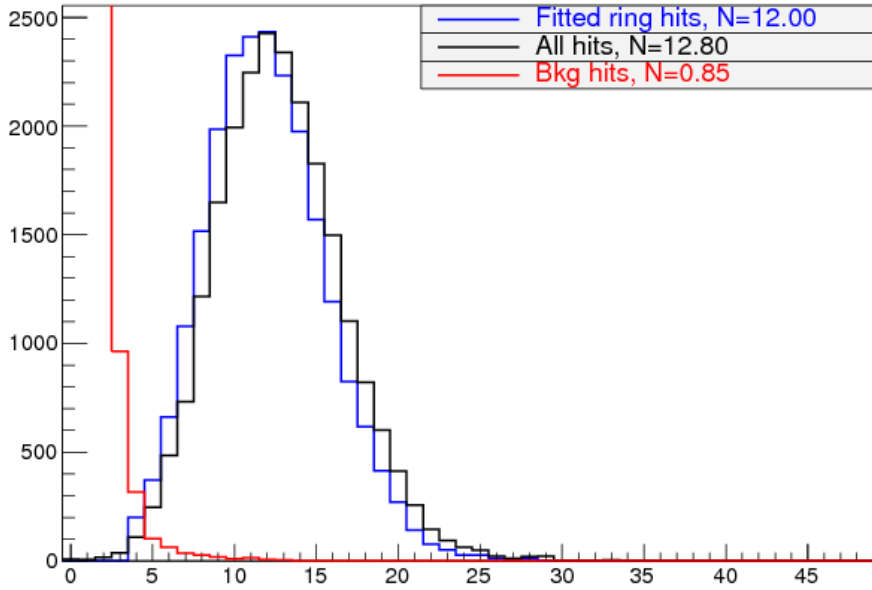


Figure 5.8: Number of MAPMT hit per event: all hit above threshold (black histogram), background hits (red histogram) and Cherenkov hit (blue histogram). Mean values of the distributions are reported in the legend.

2568 hits (black histogram), background hits (red histogram) and Cherenkov hits
 2569 (blue histogram) are shown. On average, with respect to about 12 Cherenkov
 2570 hits, we have less than 1 background hits per event. Taking into account the
 2571 total number of channels in the ring region and the loose time coincidence
 2572 provided by the ADC measurement, it corresponds to a dark count rate of
 2573 about 10^{-4} .

2574 5.1.4 Direct light measurements

2575 Because the prototype geometry is very close to the one of the CLAS12
 2576 RICH, the results provide a good estimate of the performance of the final
 2577 detector.

2578 The reference run conditions foresee aerogel with nominal refractive index
 2579 $n = 1.05$ and $t = 2$ cm thickness and beam with $P = 8$ GeV/c, the highest
 2580 reachable in the CLAS12 RICH. After GEM alignment, the Cherenkov ring
 2581 reconstruction is performed with a *1par* fit on events with at least 4 MAPMT
 2582 Cherenkov hits (after background subtraction).

2583 Pion Reconstruction

2584 Pion events are tagged by requiring a gas Cherenkov counter signal above
2585 threshold, see Fig.5.1.

2586 The left panel of 5.9 shows a scatter plot of the Cherenkov angle versus
2587 the number N_{pe} of photoelectrons. The distribution get narrower as N_{pe}
2588 increases. For a fixed number of N_{pe} , the angle distribution has been fitted
2589 with a gaussian to extract mean and width. The mean values do not show
2590 any appreciable deviation from the one obtained in the integrated fit (see 5.7
2591 right). The gaussian widths are expected to follow the statistical law:

$$\sigma_{\theta} = \frac{\sigma_{1pe}}{\sqrt{N_{pe}}} \quad (5.3)$$

2592 where σ_{1pe} is the single photon detection resolution. The latter can be de-
2593 composed into three contributions from pixel size (σ_{pix}), chromatic effects
2594 (σ_{chr}) and emission point uncertainty (σ_{emi}):

$$\sigma_{1pe}^2 = \sigma_{pix}^2 + \sigma_{chr}^2 + \sigma_{emi}^2. \quad (5.4)$$

2595 To take into account possible spurious contributions, the results in the
2596 right plot of 5.9 has been fitted with the function

$$\sigma_{\theta} = \sigma_0 + \frac{\sigma_{1pe}}{\sqrt{N_{pe}}}. \quad (5.5)$$

2597 The fit gives a constant term compatible with zero and a single photon
2598 resolution $\sigma_{1pe} = 5.90 \pm 0.03$ mrad.

2599 Identified pions correspond to those events with an angle within $\pm 3\sigma$
2600 around the mean value, with the σ given by the fit in the right plot of 5.9.
2601 The pion detection efficiency, calculated as the ratio between the number of
2602 identified pions and the number of events with a gas Cherenkov counter signal
2603 above threshold, results $\epsilon(\pi) = 98.4 \pm 0.1\%$. No beam energy dependence
2604 has been found.

2605 Kaon Reconstruction

2606 Events below the gas Cherenkov counter threshold include both kaons and
2607 antiprotons. 5.10 compares the Cherenkov angle distributions measured for
2608 these events (red histograms) with the distributions for pions (blue his-
2609 tograms) at the three beam energies $P = 6, 7, 8$ GeV/c, from left to right.
2610 The red histograms are scaled by the relative beam intensities (see Sect.
2611 5.1.1). The prominent kaon peak is separated from the pion one and, at

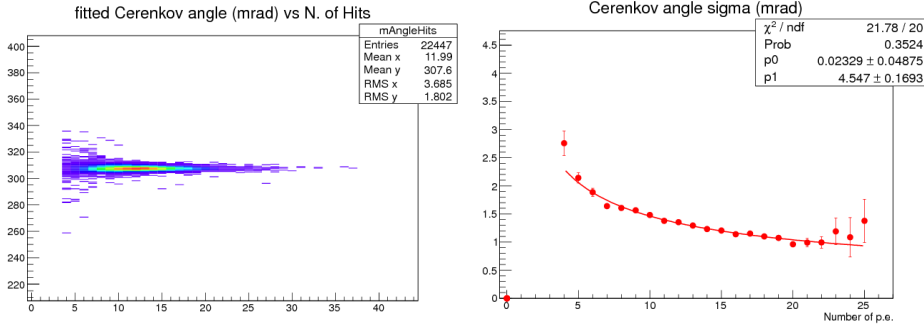


Figure 5.9: Data with $n = 1.05$ and $t = 2$ cm aerogel. Left plot: Cherenkov angle versus the number N_{pe} of photoelectrons. Right plot: gaussian width of the Cherenkov angle distribution as a function of N_{pe} .

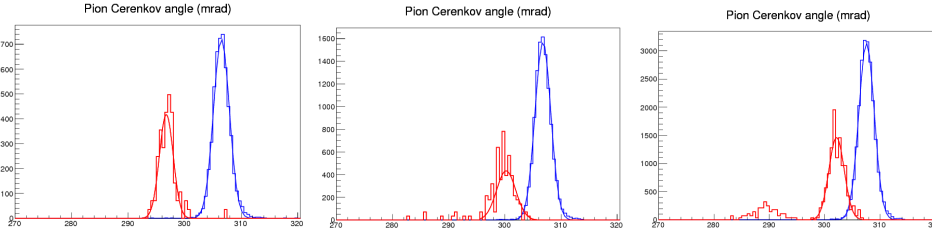


Figure 5.10: Pion Cherenkov angle distributions (in mrad, blue histograms) compared with those from events with gas Cherenkov signal below threshold (red histograms), for $P = 6, 7, 8$ GeV/c beam (from left to right).

2612 the highest energy, some tail of the \bar{p} peak, which disappears as the energy
 2613 decreases because it goes out of the MAPMTs radial coverage, is also visible.
 2614 In Tab. 5.1, the mean and width of the gaussian fits of the pion and kaon
 2615 angles are reported. The number of σ_θ separation between kaon ($R(K)$) and
 2616 pion ($R(\pi)$) Cherenkov angles as:

$$n_\sigma = \frac{\theta_C(\pi) - \theta_C(K)}{[\sigma_\theta(\pi) + \sigma_\theta(K)]/2}. \quad (5.6)$$

2617 In order to avoid the large fluctuations in the value of $\sigma_\theta(K)$ due to the
 2618 small kaon statistics, here $\sigma_\theta(K) = \sigma_\theta(\pi)$ is assumed. The obtained values
 2619 are listed in the last column of Tab. 5.1. Up to the highest momentum,
 2620 theseparation is always $n_\sigma > 3$.

P (GeV/c)	$\theta_C(\pi)$ (mrad)	$\sigma_\theta(\pi)$ (mrad)	$\theta_C(K)$ (mrad)	$\sigma_\theta(K)$ (mrad)	n_σ
6	333.47 ± 0.03	1.81 ± 0.02	322.13 ± 0.04	1.56 ± 0.04	6.3
7	333.79 ± 0.02	1.79 ± 0.02	325.79 ± 0.05	2.50 ± 0.05	4.4
8	334.80 ± 0.01	1.80 ± 0.01	328.41 ± 0.02	1.72 ± 0.02	3.5

Table 5.1: Gaussian mean and width of pion and kaon Cherenkov angles and number of σ separation, the latter computed assuming $\sigma_\theta(K) = \sigma_\theta(\pi)$.

2621 5.1.5 Reflected light measurements

2622 Though similar in the concept, this configuration is not the same as for the
 2623 CLAS12 RICH. In fact, the gap length is much smaller (about 1.3 m vs
 2624 3 m) and geometry constraints prevent to put the MAPMTs on the focal
 2625 plane of the mirrors. In addition, the relative alignment of the mirror system
 2626 with the prototype box was checked only at a few mm level and a relative
 2627 alignment of the various elements was studied off-line using Monte Carlo
 2628 simulations. As a consequence, a worse ring reconstruction resolution than
 2629 in the CLAS12 RICH was expected. Nevertheless, the prototype results can
 2630 be used to validate the reflected light concept and to tune the CLAS12 RICH
 2631 Monte Carlo simulations.

2632 The nominal configuration foresees a beam momentum of 6 GeV/c and an
 2633 aerogel radiator with refractive index $n = 1.05$ and thickness $t = 6$ cm. Data
 2634 were recorded with and without $n = 1.05$ and $t = 2$ cm aerogel absorbers in
 2635 front of the planar mirrors.

2636 Because of the difficulty in estimating the total photon path length after
 2637 the mirror reflections, the results were analyzed in terms of ring radius instaed
 2638 of Cherenkov angle.

2639 5.11 shows the number of hits per event with and without the absorbers.
 2640 The detected photons are 13.1 without absorber and 5.3 with absorber, with
 2641 a yield loss of about 60%.

2642 The ring radius reconstruction for the two measurements is compared in
 2643 5.12. The left plot compares the radius distribution measured with and
 2644 without absorbers, indicating a worsening of the resolution from $\sigma_R = 2.67 \pm$
 2645 0.01 mm without to $\sigma_R = 3.79 \pm 0.02$ mm with the absorbers. This is
 2646 largely due to the decrease in the number N_{pe} of photoelectrons. The right
 2647 plot shows the esolution as a function of the number N_{pe} of photoelectrons.
 2648 While without absorbers there are events up to $N_{pe} = 20$, with the absorbers
 2649 the maximum value with significant statistics is $N_{pe} = 11$. Nevertheless, the
 2650 fitted single photon resolution does not change significantly, since a value of
 2651 $\sigma_{1pe}^R = 9.55 \pm 0.04$ mm and $\sigma_{1pe}^R = 9.25 \pm 0.04$ mm is obtained without and

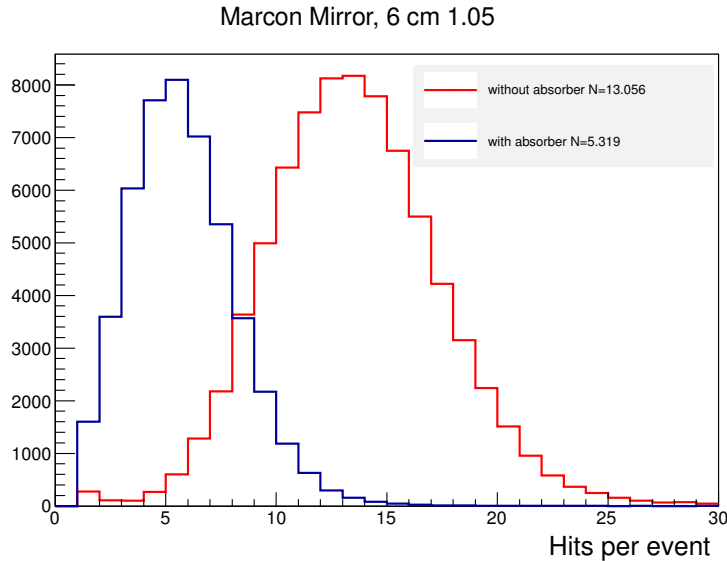


Figure 5.11: Number of hits per event for data without the aerogel absorber (red histogram) and with the 2cm aerogel absorbers placed in front of the planar mirrors (blue histogram).

2652 with the absorbers, respectively. This important result indicate that there is
 2653 no significant degradation of the Cherenkov angle resolution in the reflected
 2654 light case, in addition to the expected photon yield loss.

2655 5.1.6 Summary of the test results

2656 The test-beam measurements have proven the working principles of the CLAS12
 2657 RICH and the prototype data have also indicated the improvements that can
 2658 be reached in the final detector.

2659 In the prototype, 14 H8500 with normal glass and 14 with UV-enhanced
 2660 glass were used. The latter ones provide on average one additional photo-
 2661 electron, but they also have a worse resolution. The single photon resolution
 2662 measured with normal glass MAPMTs is in fact about 30% better than for
 2663 UV-enhanced MAPMTs and about 15% better than the one measured with
 2664 all the 28 MAPMTs. A corresponding improvement in the pion/kaon separa-
 2665 tion using only normal glass MAPMTs is expected.

2666 Another improvement is the better ring coverage (close to the limit given
 2667 by the H8500 packing fraction of 89%) anticipated in the final RICH. In
 2668 the prototype, the coverage was worse by an factor of 15 – 25%. With a
 2669 cut $N_{pe} > 7$, a gain in resolution of about 7% was obtained with a loss of

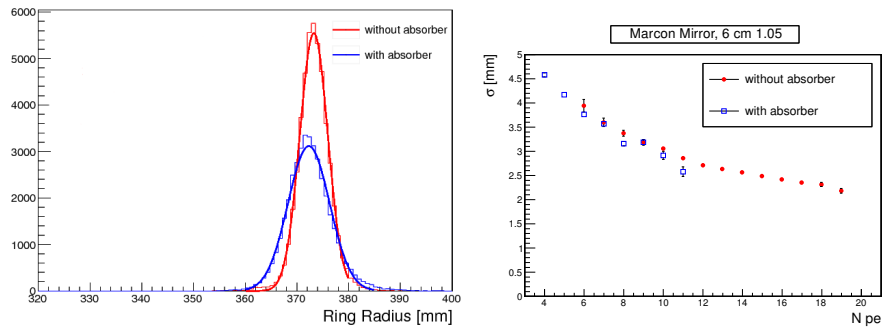


Figure 5.12: Left plot: ring radius distributions without (red histogram) and with (blue histogram) the aerogel absorber. Right plot: gaussian width of the ring radius as a function of the number of $p.e.$ for runs without (black circles) and with (red circles) the aerogel absorbers.

2670 events of only 10%. Therefore, in the CLAS12 RICH, the events with a
 2671 smaller number of Cherenkov hits can be rejected, improving the resolution
 2672 but without decreasing too much the efficiency. For the reflected light case,
 2673 the longer photon path length will help in the separation of the Cherenkov
 2674 pion and kaon rings provided that the 1 ns time resolution will be matced.

2675 In particular, the beam-test campain provided the validation of MAROC
 2676 as front-end signal processing circuit for MAPMT working at single photon
 2677 level.

2678 5.2 Radiation damage

2679 The electronics instrumentation can occasionally manifest malfunctioning
 2680 due to the passage of particles through its analog and digital circuits. A
 2681 wide range of effects is documented in literature and all of them can be ulti-
 2682 mately attributed to alterations in the electrical conductivity between nodes.
 2683 Depending on the permanent or temporary character of these alterations, the
 2684 functioning of a device exposed to radiation can be totally compromised or
 2685 still be acceptable, but with reduced reliability. For high energy physics is
 2686 therefore necessary to test the equipment to guarantee an adequate tolerance
 2687 compared to the working environment and eventually implements mitigation
 2688 strategies at all levels, hardware, firmware and software.

2689 Using CLAS12 simulation tools, the operating condition of the RICH were
 2690 estimated as a function of the polar angle and energy. From this data the
 2691 number of particles per unit area integrated in a given time interval (fluence)

investing the electronics has been calculated for gammas and neutrons. For example, considering energies in the range from 0.1 to 1MeV, the expected annual neutron fluence on the electronics panel reaches 10^{10} cm^{-2} at full luminosity and 100% duty cycle. Such a level is moderate compared with other facilities like Belle-II and LHCb-2 where the radiation is two order of magnitude higher [31, 32], but is still much higher than ordinary laboratory test conditions. Therefore a test campaign has been organized to reproduce the stress condition on the RICH electronics.

Since the MAROC front-end ASIC was designed in $0.35\mu\text{m}$ BiCMOS technology (AMS) for the ATLAS luminometer, no permanent damage is foreseen for it at this levels. On the other hand its configuration register can experience alterations that, if not detected, can led to data quality deterioration ². For this reason an estimation of the MAROC soft error probability would help in data quality optimization.

Different is the situation for the commercial grade Artix-7 FPGA device that does not have neither a specific radiation tolerant design nor a documented reliability in irradiated environment. Based on the results of similar product from the same manufacturer, Virtex and Kintex families [31, 33], it is reasonable to expect some level of logic error susceptibility in both configuration and user memory. For this component, it would be good to have an estimation of operational availability, to schedule periodical maintenance and eventually prepare counter measurements against data corruption. Also an on-field verification of the non-volatile memory stability would provide important indications for the cabling of the RICH resources, being the optical fiber the baseline solution and having the JTAG port (that would require the routing of an addition cable) as a possible recovery option.

If many studies in high energy physics and other fields exist for FPGA components, no specific documentation has been found about the small form factor optical tranceiver adopted in the RICH electronics implementation. As this component plays an essential role in the readout communication, being the data link between the controller and the front-end tile, a test of its tolerance is of primary importance.

In principle a complete radiation validation of a device requires systematic test with all types of ionizing particles, but in practice this is very time and money consuming. We limited the study to neutrons and gammas because they they exhibit by far the largest fluence and therefore provide enough indication for the operation in CLAS12. In both cases, the radiation intensity was chosen to integrate a minimum of ten year equivalent exposition at

²private communication with the MAROC designer Christoff de la Taille, during the PSHP 14 Conference.

2730 CLAS12 in a shorter period of time, then the devices were stressed longer to
2731 investigate their performance limit.

2732 The section is organized as follows: first the data acquisition setup is
2733 presented, then results at the two beam testing facility are described, finally
2734 the implication for the RICH electronics during the experiment life cycle are
2735 discussed.

2736 5.2.1 Setup and Methods

2737 Tests consist in alternating an irradiation with a registers check or a peri-
2738 odical monitoring while the bombardment is running. The primary goals
2739 are twofold: measure the stability of the digital information written on the
2740 irradiated board (soft error immunity), whether containing configuration or
2741 event data, and assess the tolerance of the entire unit.

2742 The test tile is provided with power supply and optical fiber cabling in
2743 order to keep it running during the irradiations and have the chance to de-
2744 tect memory alteration or functioning interruption. Placed in an adjacent
2745 room not exposed to radiation, the data acquisition computer stores a refer-
2746 ence copy of all the registers (master copies) and operates consistency checks
2747 when it receives instructions from the control room workstation. A separate
2748 acquisition system, provided by the irradiation facility, monitors the particle
2749 flux and manages the safety interlock system.

2750 As an example, 5.13 shows the neutron source and the tile positioning
2751 operation before starting the test.

2752 A special firmware version has been used to enhance the soft error sen-
2753 sitivity by adding two large test memories to the standard RICH firmware.
2754 The RICH logic and buffering occupy only about 25% of the FPGA resources,
2755 so this addition allows to collect statistics from a larger number of cells and
2756 determine the error immunity performance with a higher precision at a given
2757 exposure time. A summary of all the types of memory used is presented
2758 in table 5.2. Each of them can be independently accessed thanks to a ded-
2759 icated function library. SPI refers to the not-volatile memory EEPROM,
2760 the acronym stands for Serial Peripheral Interface (SPI), the communication
2761 protocol between FPGA and EEPROM devices. BRAM and REG are two
2762 kinds of memory resources inside the FPGA, the first consists in large blocks
2763 used mostly for data buffering, the seconds is small and distributed to be
2764 used for logic or small registers.

2765 The sequence of operations can be described as follows. At power cycle
2766 all the memories are initialized with a master image. During or after the
2767 irradiation the content of the memories in table 5.2 is readout and compared
2768 with the corresponding master image. Data are saved on a plain text file



Figure 5.13: Installation at Frascati Neutron Generator facility (FNG)

Memory	Size [kbit]	Usage
SPI	512000	Firmware image
BRAM	2000	Test for event data
REG	32	Test for I/O register
MAROC	2	Front end parameters

Table 5.2: Memory buffers for rad testing. The SPI refers to the EEPROM device, BRAM and REG are implemented into the FPGA to enhance the error sensitivity compared to the standard use of resources of the RICH logic.

2769 on the acquisition PC and the number of errors is printed on screen for a
 2770 real time control by the user. Files are identified with a timestamp to avoid
 2771 overwriting and allow later reconstruction and correlations.

2772 In addition to this basic, custom made, failure check test, a more so-
 2773 phisticated instrument is provided by the manufacturer (Xilinx [34]) to test
 2774 directly the FPGA configuration memory. The module is called Soft Error
 2775 Mitigation (SEM) and consists in a finite state machine (called *scrubber*)
 2776 that scans the parity bits of the memory blocks looking for inconsistencies
 2777 and attempting to repair the damaged portions. The SEM provides a deeper
 2778 look at the functional alteration and it is complementary to our observations.
 2779 Its error counting statistics can be accessed using dedicated registers that are
 2780 again not part of the RICH standard firmware. There are special cases where

2781 the bitstream repairing operation is not possible, for example when multiple
 2782 alterations leave unaltered the parity bits or when an initial error propagates
 2783 creating multiple issues that the scrubber is not able to manage. In these
 2784 cases the SEM finite state machine goes to idle state and a reset is necessary
 2785 to restart the operations. As this eventuality was not known at the moment
 2786 of the tests, in a couple of occasions some error statistics was lost, roughly
 2787 one over 50 hours in case of neutrons (2%).

2788 5.2.2 Neutron Test

2789 The Frascati Neutron Generator facility (FNG) provides a point like isotropic
 2790 source of 14 MeV/c mono-energetic neutrons exploiting the $d + {}^3\text{H} \rightarrow \alpha + \text{n}$
 2791 reaction, i.e. from the nuclear fusion of tritium and deuterium into *alpha*
 2792 and neutron. The number of neutrons produced per unit of time can be
 2793 monitored by an α particle solid state detector in the cavern and adjusted
 2794 from the control room. The comparison with CLAS12 conditions is done in
 2795 two steps. First the CLAS12 and FNG neutron fluxes are convoluted with
 2796 the damage function of Si to obtain the equivalent number of 1 MeV neu-
 2797 trons (n_{eq}) as described in the standard E722 by ASTM [35]. This gives the
 2798 equivalent number of 1 MeV neutrons per unit time that create the same
 2799 damage in Si components and allows comparison between different experi-
 2800 mental situations. Then the distance separating the sample and the source is
 2801 used to calculate the actual fluence investing the tile. A separation of 10 cm
 2802 was chosen considering that the maximum intensity provided at FNG is 10^{10}
 2803 neutrons. The CLAS12 equivalent time is calculated considering a flux of
 2804 250 neutrons $\text{cm}^{-1}\text{s}^{-1}$.

2805 Figure 5.17 shows a monitor plot in which the flux of α particles is plot-
 2806 ted as a function of the time (in hours) for the three days of testing (in
 2807 blue). The detected errors from the BRAM memory are superimposed (in
 2808 red). The verticals lines represent power cycle events, i.e. counter reset. The
 2809 beam intensity was slowly ramped up during the first day of operation for
 2810 a corresponding neutron flux never exceeding $2 \times 10^9 n_{\text{eq}}/\text{s}$ or an equivalent
 2811 CLAS12 time of 1 year at full luminosity. During the second day the electron-
 2812 ics were exposed to higher fluxes causing more frequent functional errors and
 2813 consequent reconfiguration through power cycles. At the end of the second
 2814 day a high intensity flux was used to stress the sample. This last irradiation
 2815 corresponds to 12 years of equivalent time in CLAS12. On day 3 moderate
 2816 fluxes were used again to collect systematic data and a final extremely high
 2817 neutron flux was used, increasing the beam intensity and positioning the sam-
 2818 ple at a distance of 4 centimeters from the source, thus gaining a factor 36 in
 2819 comparison with the previous flux condition. In total 65 CLAS12 equivalents

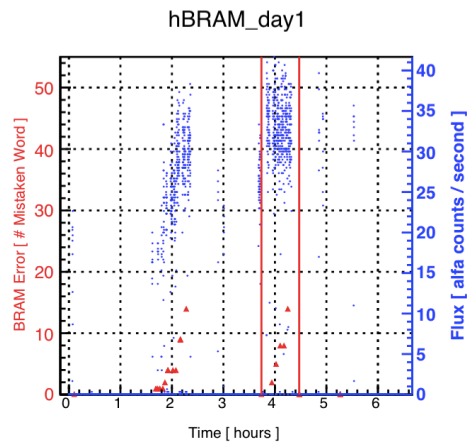


Figure 5.14: Day 1 irradiation history.

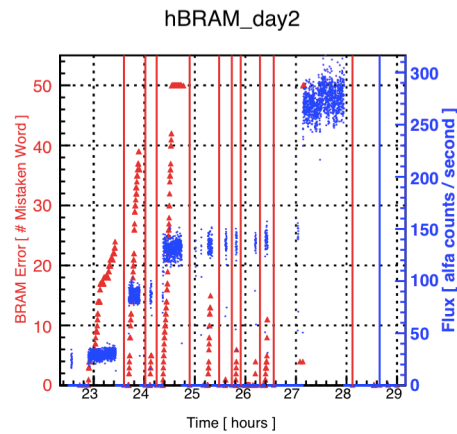


Figure 5.15: Day 2 irradiation history.

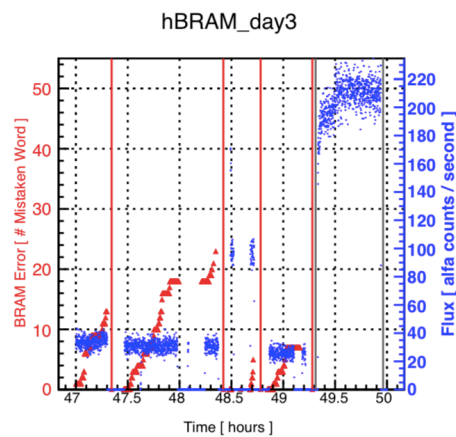


Figure 5.16: Day 3 irradiation history.

Figure 5.17: Neutron Irradiation Test. Beam intensity (in red) and BRAM error occurrences (in blue). Red vertical lines indicate reset operations (power cycles). The end-of-the-day high flux irradiation of day 2 and 3 were not error monitored.

2820 years have been reproduced and data were online monitored for 23 of them.
2821 During the unmonitored irradiation of the last day, i.e. after 23 equivalent
2822 years in CLAS12, the optical transceiver was permanently damaged and had
2823 to be replaced before executing the diagnostic on EEPROM.

2824 No error has been observed in the EEPROM memory during the whole
2825 test and after. No error has been observed in the MAROC configuration
2826 register. The total number of errors observed by the SEM controller was
2827 185. Among these, 175 (94.6%) were classified as correctable and only 10
2828 (5.4%) as uncorrectable. A similar number of errors (about 200) have been
2829 detected summing all the errors on BRAM and REG memories.

2830 In total 18 communication protocol failures were observed during the
2831 whole test due to configuration memory alteration in the portion of the FPGA
2832 that manage the TCP/IP protocol.

2833 The BRAM memory will be used for RICH data buffering and its errors
2834 have been carefully studied. Three regimes of neutron fluxes were used to
2835 study the dependence of the error probability on the beam intensity. The
2836 obtained trend is reported in 5.18. From this data no evident correlation
2837 with the flux appears and the calculated average error probability is less than
2838 10^{-8} errors/ n_{eq} for the three regimes considered. In terms of CLAS12, this
2839 means an error every 6.6 weeks per electronic tile. The absence of correlation
2840 with the flux is probably due to the poor statistics collected or simply because
2841 the dependence would become evident only by spanning different orders of
2842 magnitude. The regime between 4 and 10 millions neutrons $cm^{-2}s^{-1}$ were
2843 investigated on resident configuration data. For real events this number has
2844 to be scaled for the latency of the trigger.

2845 5.2.3 Gamma Test

2846 A gamma irradiation test has been performed at Istituto Superiore di Sanità
2847 (Italian National Institute of Health) in Rome with a ^{137}Cs source. Figure
2848 5.19 shows a picture of the irradiation machine. A bench module power
2849 supply and a PC with optical Ethernet link completed the setup.

2850 The gamma source provides a uniform irradiation over the sample with a
2851 very high dose rate compared to CLAS12. The minimum possible flux allows
2852 to reproduce one year equivalent time in just 60 seconds (4.4×10^{10} photons).
2853 For this reason short irradiations were performed at the beginning, until the
2854 estimated 10 years equivalent dose of CLAS12, corresponding to 137 Rad,
2855 was reached. Because no error or malfunctioning had been observed during
2856 this period, the sample was irradiated for many hours up to a dose of 50 kRad.
2857 The registers and flash memory did not reported any soft error during this
2858 period and only one configuration memory error were detected by the SEM

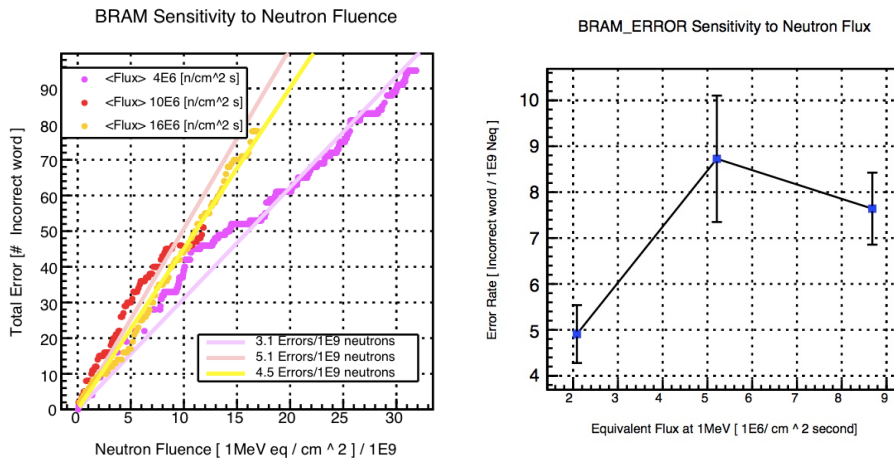


Figure 5.18: Small error probability, independent from the beam intensity

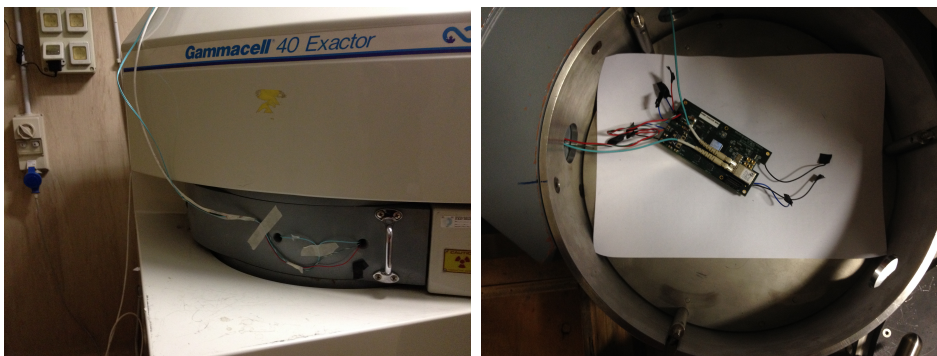


Figure 5.19: ^{137}Cs Irradiation facility. Cables entering the irradiated volume for providing data link

2859 controller around 40 kRad.

2860 Voltages and temperature were monitored, as well as the other registers,
2861 every 5 minutes as shown in 5.20. They started to drift at 46 kRad, (logbook
2862 entry 410), then the connection with the board was lost and the last 3 hours
2863 of irradiation followed without online checks. The interruption of the SEM
2864 controller did not allow to tell if the communication interruption was due
2865 to an uncorrectable error or had another origin. Looking at the plots the
2866 ADC measurement drift can be explained by a progressive degradation of
2867 the 1.8 Voltage regulator that provides the bias for the all the regulators
2868 on board. This part was the only one broken during the DC voltage test
2869 operated after the deactivation period. In absence of further temperature
2870 data it is not possible to conclude if this was due to the gamma irradiation or
2871 to an excess above the temperature limit caused by the absence of a cooling
2872 system.

2873 5.2.4 Conclusions

2874 The performed irradiation tests are not a complete qualification of the ra-
2875 diation tolerance of the front-end electronics. Only mono-energetic beams
2876 have been used and only two samples have been irradiated: one tile with 14
2877 MeV/c with neutrons, one tile with with 662 keV gamma photons.

2878 However soft errors have been observed in correlation with the integrated
2879 fluence, at least for the neutron case, thus an error immunity estimation
2880 has been possible for the RICH electronics. In addition to that, none of
2881 the programmable devices reported any hardware damage at the expected
2882 fluence level of CLAS12 neither for neutrons nor for gammas.

2883 Based on the observed soft error rate, an estimation of the event data
2884 corruption is possible. A non zero error probability has been estimated in
2885 the neutron case (10^{-8} errors/ n_{eq}). To estimate the impact on the RICH data
2886 quality, this number has to be multiplied by the total number of tiles (150)
2887 and divided by the latency of the trigger ($8 \mu s$). The latter is the time interval
2888 in which the digitized data persist in the memory elements located in the
2889 acceptance of the spectrometer, and is thus prone to corruption. Considering
2890 that, the number of errors at the expected CLAS12 fluence would be (over
2891 25000 channels), small enough that no redundant logic, i.e. parity bits,
2892 appears necessary neither in the firmware nor at software level.

2893 The EEPROM device seems to be built with a very radiation tolerant
2894 technology and demonstrated to be adequate to store the firmware image
2895 without the need to implement a JTAG recovery option.

2896 The last considerations are about configuration registers. The MAROC
2897 chip showed stable performance over the entire period of irradiation. Its

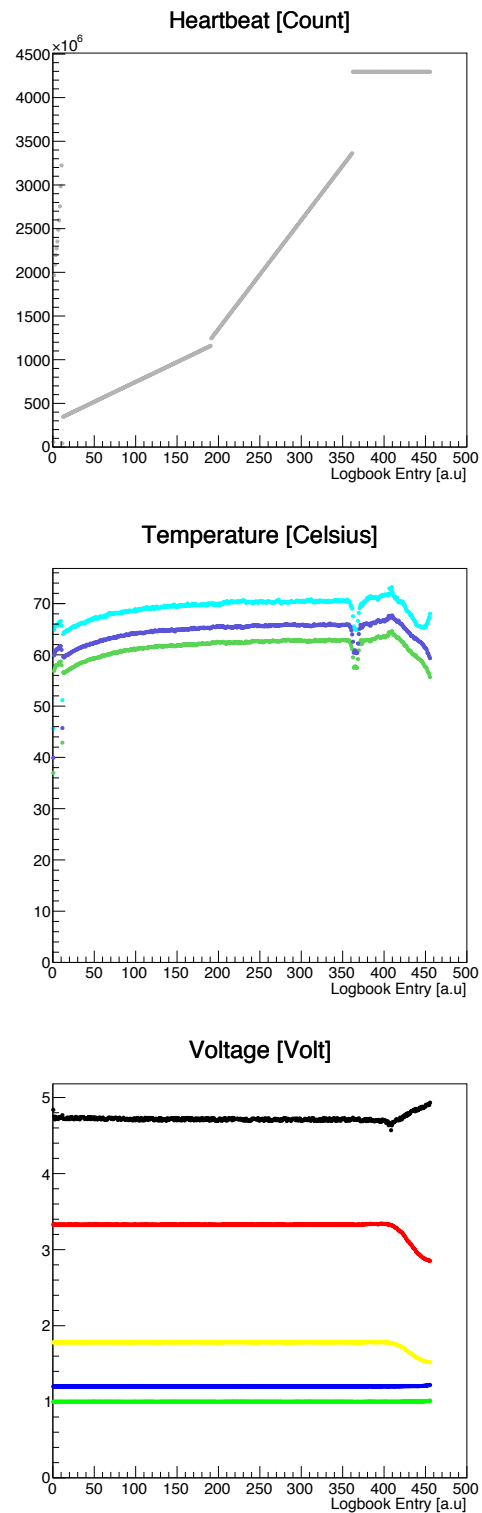


Figure 5.20: Data monitor during gamma irradiation. Soft error mitigation was in idle state since entry 360 when ADC, voltage and temperature values started a drift indicating a progressive reduction of the bias.

2898 reconfiguration is already scheduled at the beginning of each run and period-
2899 ical checks will ensure the data quality without compromising the detector
2900 availability for physics data taking (taking few millisecond every hour) In
2901 case of corruption, the FPGA firmware can be restored with a power cycle
2902 operation or via optical fiber. As both operations can be done in parallel
2903 (i.e. independently) on each tile and take only few millisecond, the system
2904 availability can be considered perfectly adequate for CLAS12 operations. As
2905 a further element of safety, a second image of the firmware can be stored
2906 (compressed) in the EEPROM in case the first get deteriorated.

2907 Finally the SEM self repairing capabilities are very interesting, but cannot
2908 be fully trusted because not all the errors can be located and repaired, and
2909 because a time interval occurs between bit flip occurrence, its detection,
2910 location and correction, so no protection is offered against the propagation
2911 of this error.

2912 **5.3 Test beam with digital readout**

2913 During the spring of 2016, the designed Cherenkov light readout system was
2914 tested in real working conditions[36].

2915 **5.3.1 Experimental setup**

2916 The test was part of the preliminary studies for the realization of a RICH
2917 detector for a new facility, an Electron Ion Collider (EIC), dedicated to the
2918 progress of the knowledge on Nuclear Physics in the next decades. JLAB is
2919 one of the site candidate for the construction of an EIC thanks to the existing
2920 expertise in accelerator and detection technologies. With the addition of a
2921 new ion source, accelerator and cooler to the JLab electron beam facility, an
2922 EIC could be realized at an elevated luminosity, up to $2 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$, and
2923 at a high center-of-mass energy, extended up to 140 GeV/c, with the use of
2924 extremely advanced magnets of 12 T field [37]. As part of the *R&D* program,
2925 a prototype of an innovative modular RICH detector (*mRICH*) has been
2926 designed, constructed and tested using the CLAS12 RICH electronics for
2927 the MAPMT readout. The *mRICH* concept is based on a array of compact
2928 RICH modules, each with a Fresnel lens to focus the Cherenkov ring on the
2929 detector plane positioned at a short distance (corresponding to the 10-20 cm
2930 lens focal length) from the aerogel radiator.

2931 The test has been conducted at Fermilab using a 120 GeV/c proton beam
2932 to exploit its narrow profile and avoid the implementation of a tracking sys-
2933 tem. The photon detection surface, composed by 4 H8500 MAPMTs, is

2934 shown in 5.21 together with the two readout tiles (for a total of 256 chan-
2935 nels). For this test no readout controller was used and the two FPGA boards
2936 were readout independently using a dual-head optical Ethernet card on a
2937 standard PC. The reconstruction of the events has been done offline using
2938 the time stamp for data alignment of the two boards. The patch panel of
2939 the *mRICH* prototype has been realized accordingly to the specifications of
2940 the CLAS12 RICH readout electronics with LC optical fiber, SHV and low
2941 voltage connectors as shown in 5.22.

2942 5.3.2 Result and Conclusions

2943 The test lasted for few days and provided the chance to validate the RICH bi-
2944 nary readout with real Cherenkov photons. 5.23 shows a typical accumulated
2945 event display monitor representing the occupancy (detected hits) along the
2946 MAPMT surface. The proton beam was incident in the bottom-left quad-
2947 rant, where the corresponding hit accumulation is due to the ionization in the
2948 photocathode glass window when the charged particles traverse the photon
2949 detection surface. The Cherenkov ring is clearly visible at the center thanks
2950 to the Fresnel lens imaging.

2951 Because of the 6 mm pixalization provided by the available H8500 MAPMT,
2952 larger than the *mRICH* design value of 3 mm, no hadron identification was
2953 attempted. Nevertheless it was possible to compare the ring position and size
2954 and the number of detected photons on the ring with the simulations, finding
2955 a close agreement with [8]. Together with a first validation of the *mRICH*
2956 concept, the test proven the single-photon detection capability of the CLAS12
2957 RICH readout electronics in conjunction with the H8500 MAPMTs. This
2958 was an important milestone since the online discrimination of single-photon
2959 signals is not a trivial task.

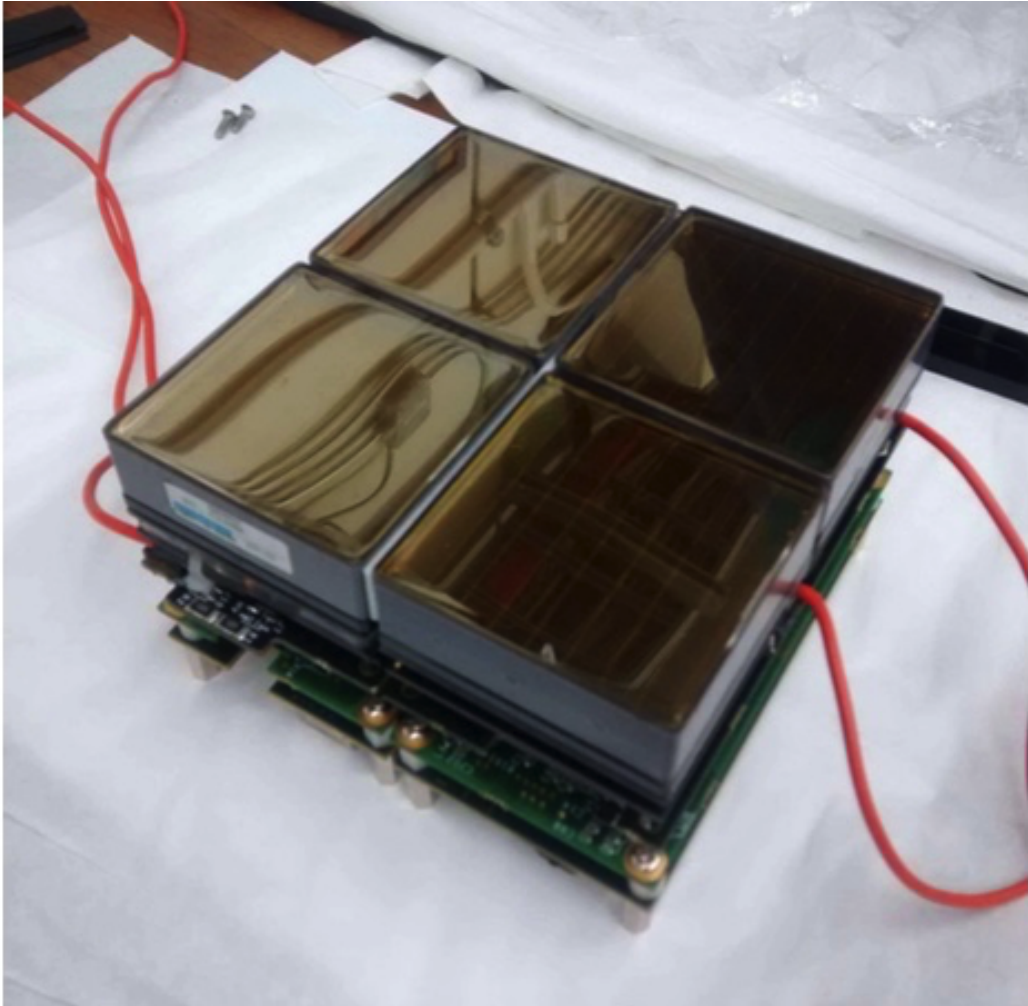


Figure 5.21: The *mRICH* prototype photon detection surface composed by 4 H8500 MAPMTs mounted on 2 side by side electronic tiles (256 pixels).

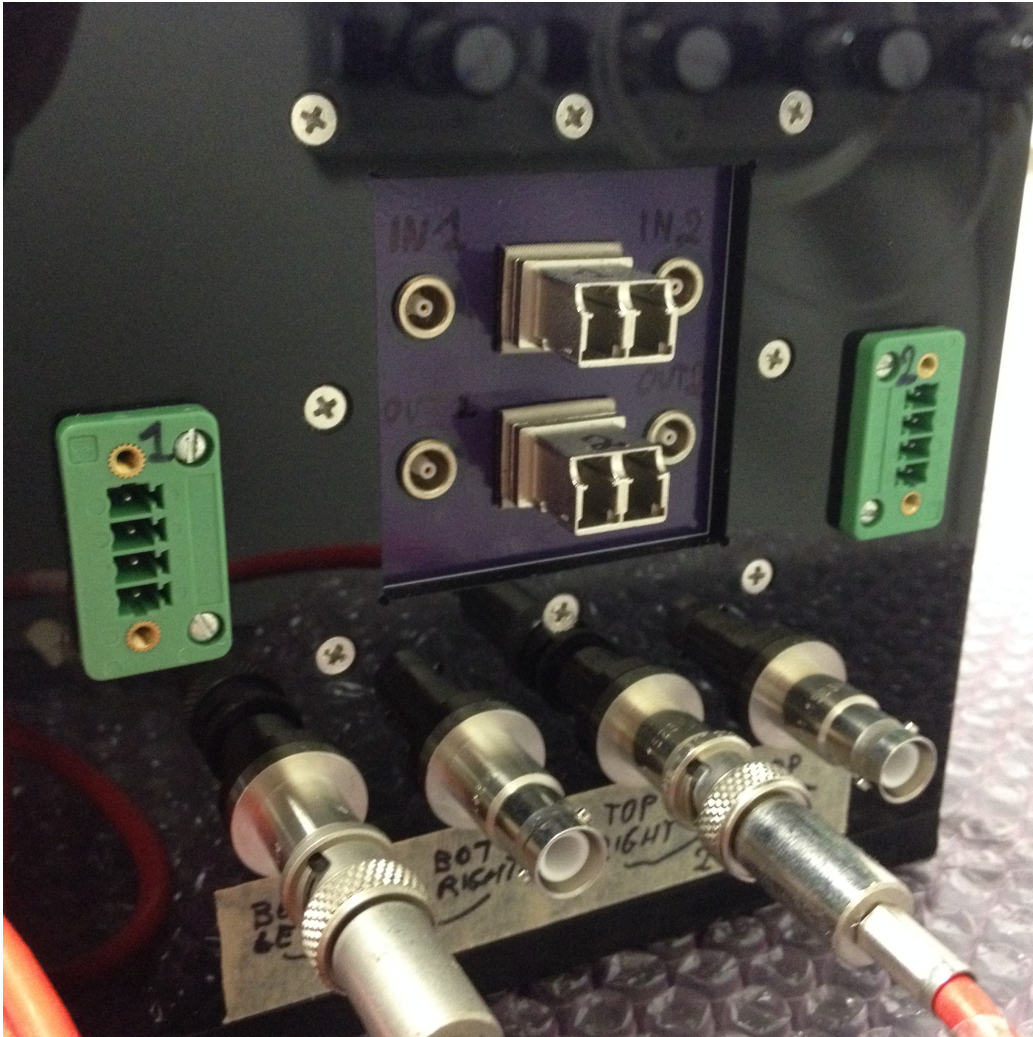


Figure 5.22: Detail view of the patch panel of the *mRICH* prototype. Two FPGA boards were connected independently to the data acquisition PC using optical fiber links and four LEMO cables were used for additional I/O resources, i.e. distributing the trigger (violet panel). Two of the four available SHV connectors were used to feed the 4 H8500 MAPMTs inside the box via the 2 ADAPTER boards. Green connectors were used for LV distribution.

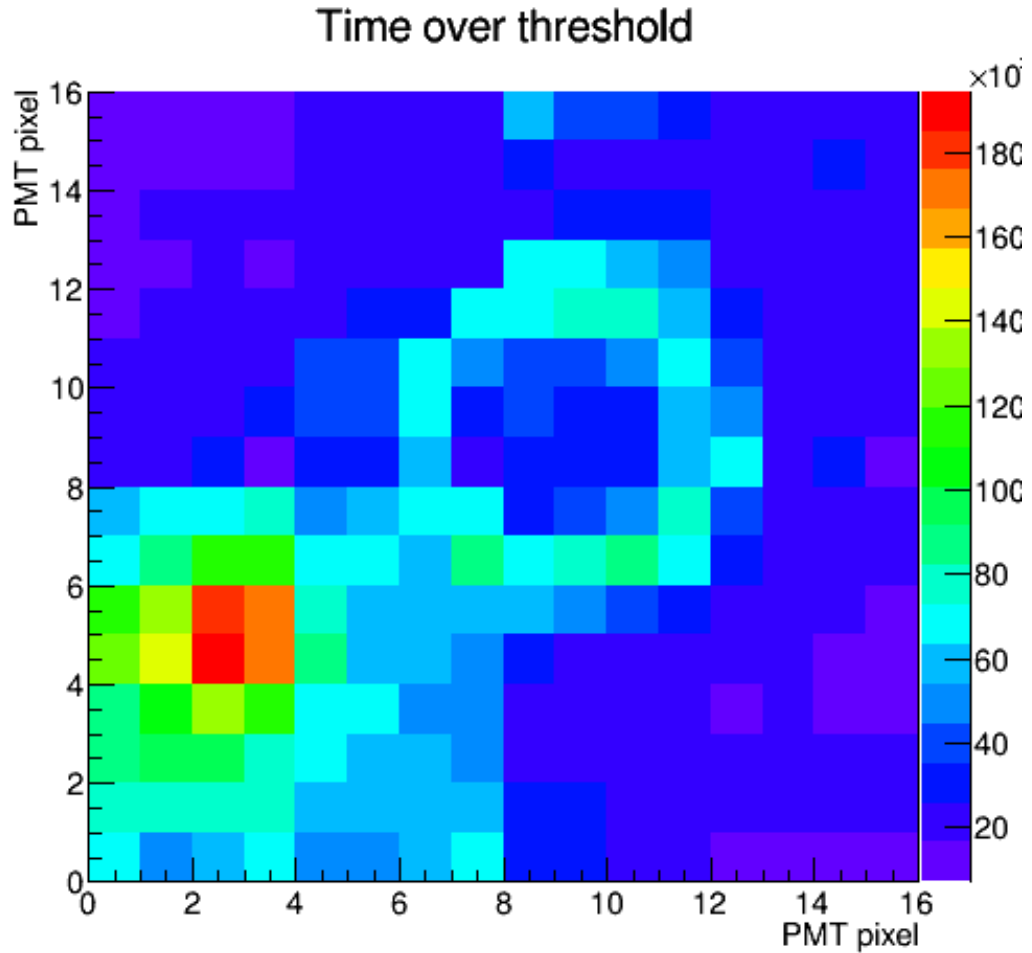


Figure 5.23: Test Beam accumulated event display. The data taking was operated in the same conditions foreseen at CLAS12, namely with an asynchronous external trigger source and only the TDC digital output recorded. The Cherenkov ring, clearly visible at the center of the image, was obtained from a 120 GeV/c protons beam traversing a 1.03 refractive index aerogel tile in the bottom-left quadrant. A Fresnel lens focused the Cherenkov ring at the center of the detection surface composed by 4 Hamamatsu H8500. The MAPMT were mounted on two RICH electronic tiles for a total of 256 readout pixels.

2960 Chapter 6

2961 Conclusion

2962 The design and the development of the readout electronics for the new RICH
2963 module of CLAS12 spectrometer has been presented together with the fully
2964 qualifying tests conducted by the candidate for the prototypes validation. A
2965 large set of tests results, with different setups, demonstrated that the pro-
2966 posed solution satisfies the project requirements with remarkable flexibility.

2967 As an outcome of the positive results obtained the mass production of
2968 the front end boards took place during the finalization of this document.
2969 Soon 160 new tiles will be ready to be characterized with the tools and
2970 protocols created and tuned up by the author and here described. They
2971 will be assembled, together with the other detector's components, during the
2972 spring of 2017 in a clean room at JLab.

2973 In the next months the functionalities developed during the prototype
2974 testing will be extended to the entire electronic panel. The procedures will
2975 be systematized and further automatized to be able to control and mon-
2976 itor the large number of channels in a timely manner. The migration of
2977 the software tools into the CLAS12 environment will be completed for the
2978 final commissioning of the detector. Cosmic rays will be used for an exten-
2979 sive commissioning phase towards the RICH detector installation planned in
2980 September 2017.

2981 In this conclusive chapter a summary of the main results is presented to-
2982 gether with a possible set of improvements. Finally, a list of adaptations to
2983 other experimental conditions, possible with minimal modifications, is pro-
2984 posed to advertise potential applications of the thesis work and to highlight
2985 the multipurpose features of the design.

2986 6.1 Results

2987 A readout electronics for the new RICH detector module of the CLAS12 ex-
2988 periment at JLab has been designed to fit into the spectrometer baseline with
2989 minimum impact. The use of the MAROC chip for the MAPMTs readout,
2990 combined with in situ programmable logic, is an effective solution for having
2991 high specialization and flexibility at the same time. With such an electronics,
2992 the RICH can be operated with a high level of automation in combination
2993 with the other detectors or autonomously from the rest of the apparatus.
2994 In particular, dedicated calibration run modes would allow to calibrate and
2995 monitor the photosensor response and compensate any potential aging. In
2996 addition, the modular approach and the compactness of the detection unit
2997 make this readout potentially interesting for other applications. Relevant
2998 features are the complete in-situ data digitization that, combined with the
2999 low multiplicity of the Cherenkov photons, will allow substantially dead-time
3000 free acquisition at the expected event rate of CLAS12 operations and the
3001 available multiplexed charge measurement useful for calibration purposes.

3002 Numerous tests have been done to verify that the system fulfills the ex-
3003 pected performance. An high resolution pulse height spectrum, a sensitivity
3004 down to extremely small fraction of the typical photoelectron signal and a
3005 time resolution adequate to separate direct and reflected photons have been
3006 proven by using both the on board adjustable amplitude test pulse and real
3007 photosensors. Many different procedures have been proposed to chose the
3008 optimum workpoint and ensure high uniformity in the performance of the
3009 whole photodetection surface. In addition, the tolerance of the front-end
3010 unit to the CLAS12 radiation environment has been proven in specific test
3011 with neutron and gamma irradiation. The tests validated the stand alone
3012 components and demonstrated an almost null probability of volatile memory
3013 corruption. The inaccessibility of the equipment and the thermal constraints
3014 during data-taking have been analysed and solved minimizing the power con-
3015 sumption of all the components.

3016 **TDC** The TDC readout system acquires both leading and trailing edge
3017 polarities and proved to be perfectly adequate for the binary readout. The
3018 1 ns resolution, required to separate direct from reflected photon hits, can
3019 be obtained in the whole dynamic range of H8500 single-photon signals by a
3020 proper offline walk correction of the discriminating time. The discrimination
3021 efficiency is excellent down to few tens of fC or, correspondingly, to less than
3022 10% of the average single-photon signal provided by the RICH photosensors.

3023 **ADC** High resolution pulse amplitude spectra allow an effective monitoring
3024 of the MAPMT performance and provide at the same time the necessary
3025 information for pixel gain equalization. Monitoring and calibration runs can
3026 use either calibrated pulses from the on board injector in internal triggering
3027 mode or MAPMT dark-count events in self-triggering mode.

3028 **PCB layout** Accounting for the constraints given by the electronic panel
3029 assembling and the MAROC package dimensions, the PCB layout has been
3030 optimized to minimize the feedback and mutual induction among digital
3031 outputs and analog inputs.

3032 **Power dissipation** The heat generated by tiles array (less than 4 Watts
3033 per unit) can be easily removed with a simple air conditioning system of 100
3034 liters per minutes.

3035 **Tolerance to radiation** Given the moderate irradiation environment of
3036 CLAS12 at the electronic panel position, specific tests qualified the hardware
3037 components for the use during all the life time of the experiment, that the
3038 data corruption probability is small and that the necessary reconfiguration
3039 are fully compatible with machine operations with a system availability close
3040 to 100%

3041 **Software Tools** A complete library of methods to configure, readout, cal-
3042 ibrate, slow-control monitor and analyze the analog and digital MAROC
3043 outputs have been developed. The software has been organized in a modular
3044 way and integrated with an automatic logbooking and easy control parame-
3045 ter selection. The tools are ready for the CLAS12 integration. The majority
3046 of the modules will be reused during detector commissioning and stand alone
3047 testing, while for the migration in the CLAS12 software environment the ob-
3048 ject oriented approach will payback in the foreseen Java language migration.

3049 6.2 Outlook

3050 In the next months a more systematic strategy for calibrating the 25000
3051 readout channels has to be developed. It should account for the fine tuning
3052 of the shaping parameters and allow an effective channel equalization. The
3053 full electronic panel should be equipped and commissioned before the first
3054 RICH module installation planned for September 2017. Methods will be im-
3055 plemented to exploit in autotrigger mode the background of the experiment,

3056 e.g. the photocathode thermal emission and cosmic rays, as a natural source
3057 of information.

3058 **6.2.1 Potential improvements**

3059 A second RICH sector is planned to create a symmetric setup for spin asym-
3060 metry study with a transversely polarized target in two years from now.

3061 Detailed studies performed at single photoelectron level allowed to acquire
3062 a lot of experience in treating small signals and developing solutions for an
3063 upgraded readout electronic circuit:

- 3064 • The addition of few more layers or the use of blind vias could help in
3065 suppressing the input circuit coupling for a crosstalk reduction.

- 3066 • The adoption of the lately available MAROC BGA package, with smaller
3067 dimensions, anticipated benefits for the board layout in terms of easy
3068 routing and placing of the components and potential upgrades to man-
3069 age a larger number of channels and cope with higher pixel density.

- 3070 • A finer step in the hold delay register (now 8 ns) could help in narrow-
3071 ing the calibration parameter distributions by having a more precise
3072 estimation of the pulse peak amplitude.

- 3073 • Timing performances can be improved using different firmware having
3074 higher power consumption as counterpart.

3075 **6.2.2 Future applications**

3076 **SiPM readout** The second RICH module could be implemented with solid
3077 state detector if they become available at a minor cost per unit of surface
3078 than MAPMTs. Feasibility study have shown that SiPM can sustain the
3079 CLAS12 radiation environment if properly cooled. As the dynamic range
3080 provided by the MAROC chip is compatible with the output characteristics
3081 of SiPMs, the same electronics can in principle be used.

3082 **GlueX DIRC** A Detector of Internally Reflected Cherenkov light (DIRC)
3083 is under construction for enhance the hadron identification capability of the
3084 GLueX experiment in Hall D at JLab. The readout is based on the RICH
3085 electronics and MAROC chip.

3086 **Electron Ion Collider** The instrumentation developed can be successfully
3087 used in different experimental condition where single photon measurements
3088 capabilities are required together with the construction of large pixellated
3089 detection surfaces. The RICH electronics is being used for the detector R&D
3090 of innovative hadron identification devices of a future EIC.

3091 **Biology and Medical Imaging** Contacts exists with JLab groups that
3092 perform PET on plants, to study the vegetable nutriment cycles, and ISS
3093 groups working on high resolution tomography for clinical and pre-clinical
3094 studies. The capability to work in the few photon regime over a large-area at
3095 affordable costs has several applications and is the subject of the CLASMED
3096 priority project of MIUR Italian Ministry.

3097 **Detector R&D** The RICH electronics will be used to perform feasibility
3098 tests of tracking particles passing a scintillating volume, within an INFN
3099 Gruppo 5 funded project.

Appendices

³¹⁰¹ **Appendix A**

³¹⁰² **Detector services**

RICH Services Block Diagram

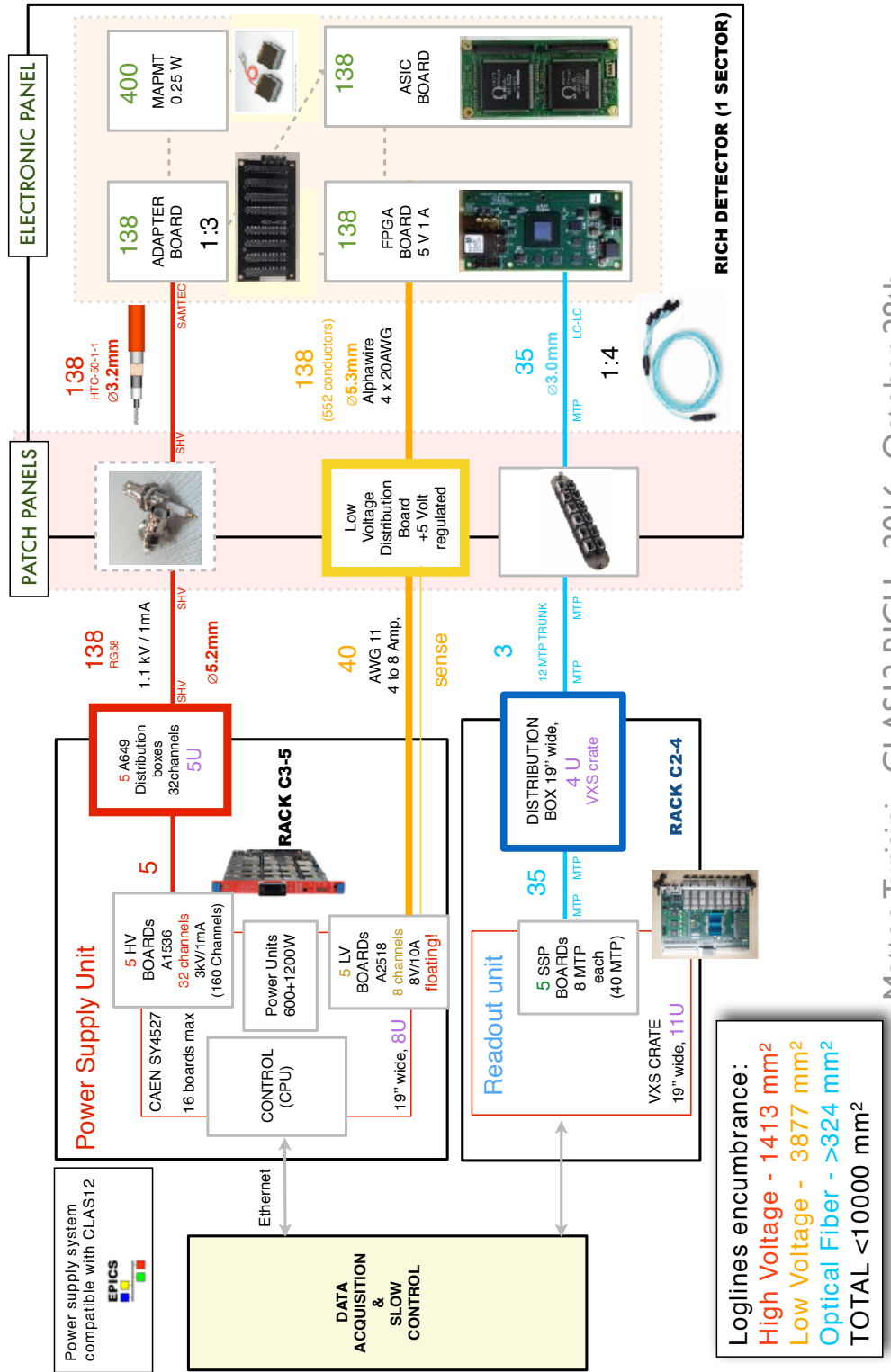


Figure A.1: RICH detector services block scheme

3103 Appendix B

3104 Configuration File

```
3105 run :
3106 {
3107     name = "tbd";
3108     address= "192.168.1.10"
3109     note = "Test_Bench_INFN-Ferrara_(Italy),_2015_August_14th";
3110     daq_mode = 1; // 0 Scaler, 1 Event, 2 Slow Control 3 Configure only
3111     source_type = 0; // 0 CTEST enables, 1 anodes
3112     trigger_source = 0; // 0 internal pulser, 1 autotrigger
3113     event_preset = 10000;
3114     time_preset = 20;
3115     id = 0; // 0 means automatic increment, N means use N as runID
3116     out = [ 18, 0 ];
3117     pulser :
3118     {
3119         frequency = 1000;
3120         dutycycle = 0.5;
3121         repetition = 100;
3122     };
3123     ctest_amplitude = 0;
3124     qmin=0;
3125     qmax=100;
3126
3127     slowcontrol :
3128     {
3129         enb_scaler = 1;
3130         enb_voltage = 0;
3131         enb_temperature = 0;
3132         repetition = 1;
3133         time_interval = 1000;
3134         verbosity = 1;
3135         savedata = 0;
3136     };
3137     tdc :
```

```

3138     {
3139         trigger_delay = 0;
3140         evtb_lookback = 110; // clock ticks units [8 ns]
3141         evtb_windowwidth = 100; // clock ticks units [8 ns]
3142     };
3143     adc :
3144     {
3145         enable_adc = 1; // useless ? to check
3146         hold_delay = 13; // actually the best hold is taken from external file
3147         waveform_mode = 0;
3148         sample_statistics = 10;
3149         disableCK40 = 0;
3150     };
3151     mapmt :
3152     {
3153         hv = -1;
3154         id = ["GA0303","", "GA0096"];
3155     };
3156     laser :
3157     {
3158         w = 5;
3159         x = 183;
3160         y = 7;
3161     };
3162     maskedOR : // SELF TRIGGER
3163     {
3164         opt = 0; //0 single channel; single asic
3165         asic = 1;
3166         channel = 3;
3167     };
3168     maroc :
3169     {
3170         id = [880,587,584];
3171         pedTDCRMS = [198,200,197];
3172         pedTDCMean = [10,2,5]; // GAIN DEPENDENT
3173         Thr = [192,200,197];
3174
3175         gain_default = 64;
3176         gain_mode = 0;
3177         gain_map_file = "../cfg/gain/gain_map";
3178         thr_default = 250;
3179         thr_map = 0;
3180         thr_map_file = "../cfg/thr/thr_map.txt";
3181         best_hold_file = "./besthold.txt"
3182         ctest_mode = 1; // 0 = disabled, 1 = single channel (ch_sel), 2 = all chan
3183         ch_sel = 3;
3184         ch_probe = 3;
3185         mask32 = 255L;
3186         cmd_fsu = 0;

```

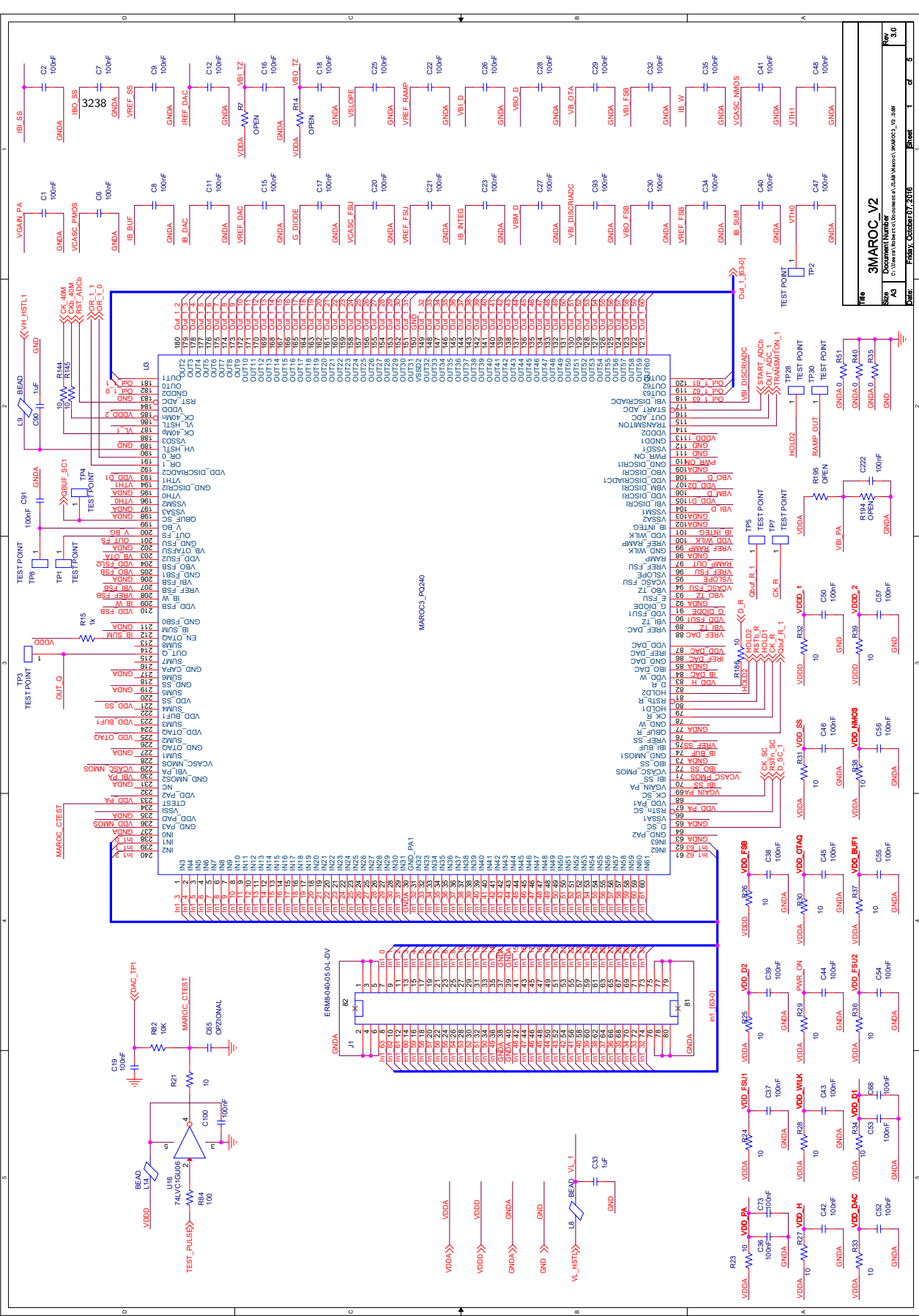
```

3187         cmd_ss = 1;
3188         cmd_fsb = 1;
3189         swb_buf_250f = 0; // RC buffer attenuation, standard 0000
3190         swb_buf_500f = 0;
3191         swb_buf_1p = 0;
3192         swb_buf_2p = 0;
3193         ONOFF_ss = 1;
3194         sw_ss_300f = 1; // Slow Shaper Configuration, standard 110
3195         sw_ss_600f = 1;
3196         sw_ss_1200f = 0;
3197         EN_ADC = 1;
3198         H1H2_choice = 0;
3199         sw_fsu_20f = 1;
3200         sw_fsu_40f = 1;
3201         sw_fsu_25k = 0;
3202         sw_fsu_50k = 0;
3203         sw_fsu_100k = 0;
3204         sw_fsb1_50k = 0; // Fast Shaper Bipolar, standard 0001
3205         sw_fsb1_100k = 0;
3206         sw_fsb1_100f = 0;
3207         sw_fsb1_50f = 1;
3208         cmd_fsb_fsu = 0;
3209         valid_dc_fs = 1;
3210         sw_fsb2_50k = 0;
3211         sw_fsb2_100k = 0;
3212         sw_fsb2_100f = 0;
3213         sw_fsb2_50f = 1;
3214         valid_dc_fsb2 = 0;
3215         ENb_tristate = 1;
3216         polar_discr = 1; // 0 = active high, 1 = active low
3217         inv_discrADC = 0;
3218         d1_d2 = 0;
3219         cmd_CK_mux = 0;
3220         ONOFF_otabg = 0;
3221         ONOFF_dac = 0;
3222         small_dac = 0;
3223         enb_outADC = 0;
3224         inv_startCmptGray = 1;
3225         ramp_8bit = 0;
3226         ramp_10bit = 0;
3227     };
3228     ana :
3229     {
3230         enable = 1; # use 0 for running daq only
3231         verbosity = 0; // 0 = nothing, 1 only header, 2 all
3232         tmin = 1; // minimum leading edge time for an hit to be filled in the hit histogram
3233         tmax = 8191;
3234     };
3235 };

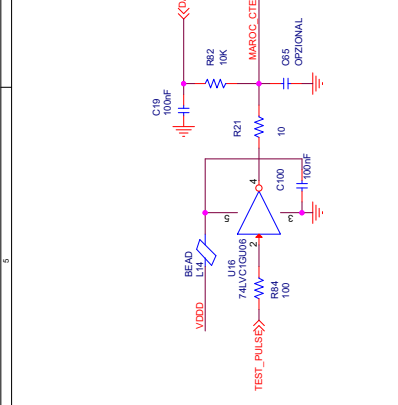
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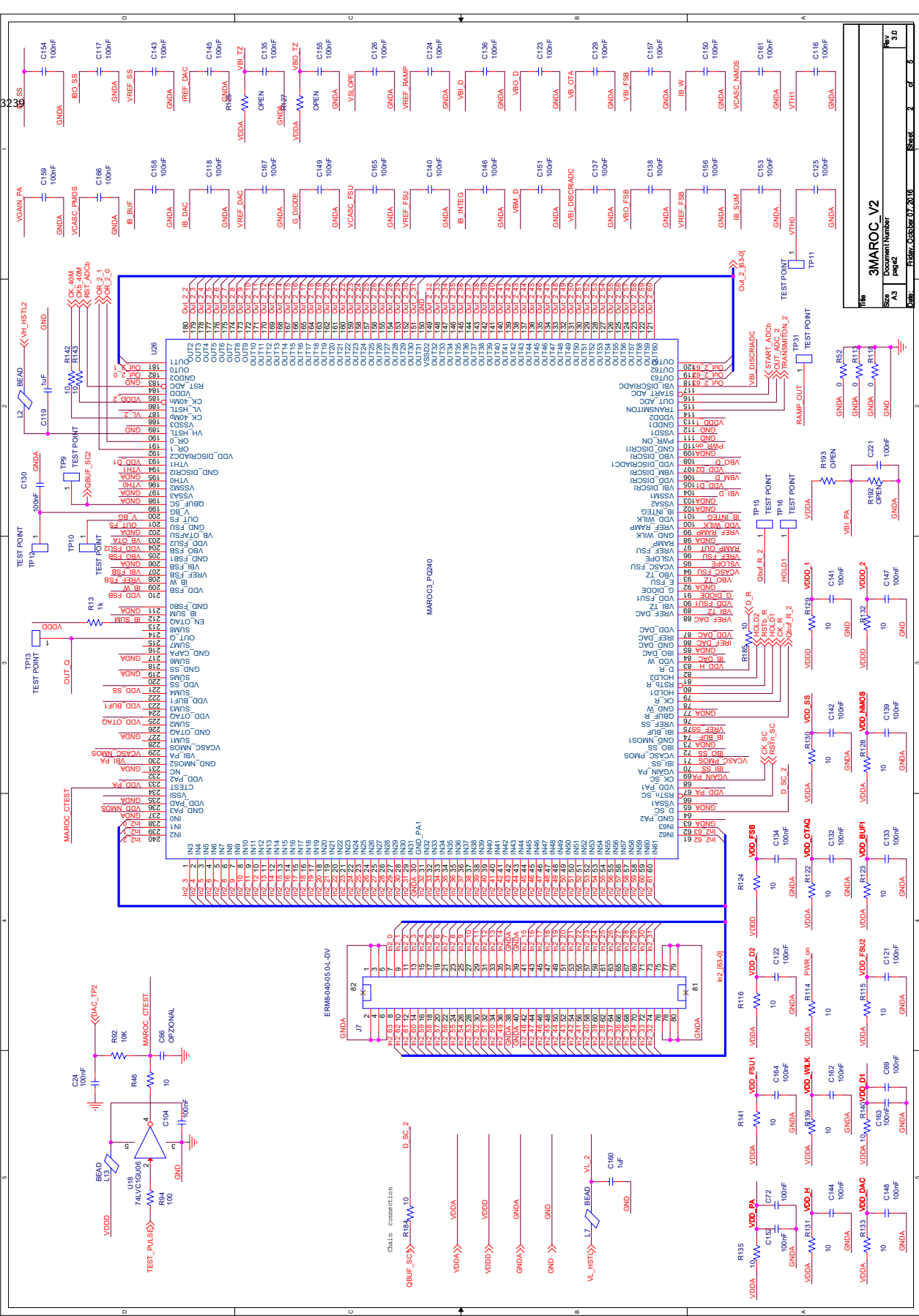

3236 **Appendix C**

3237 **MAROC board schematics**

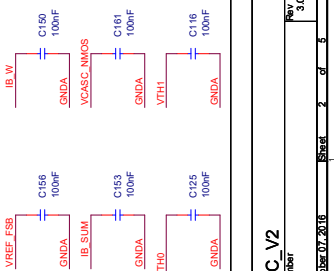
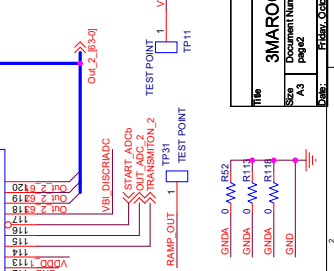
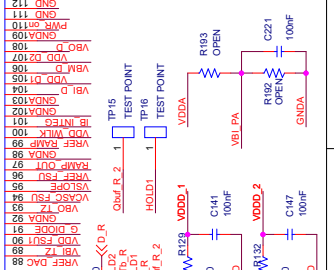
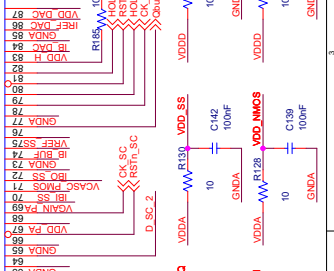
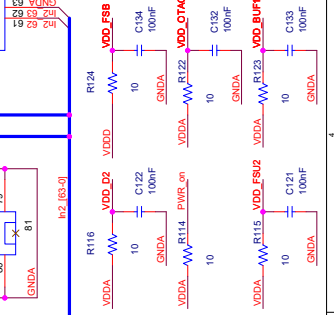
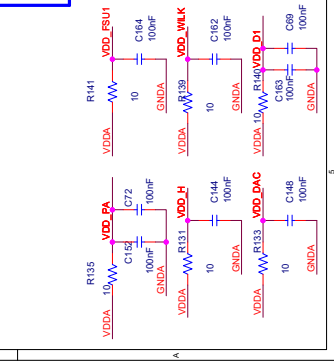
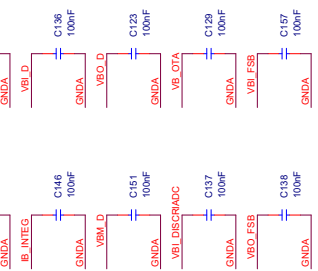
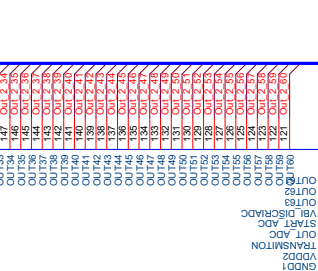
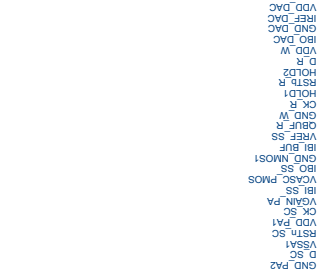
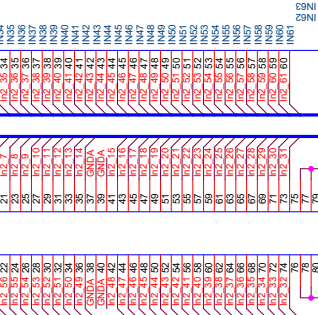
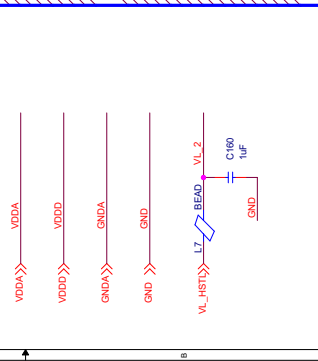
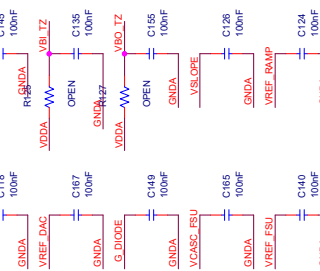
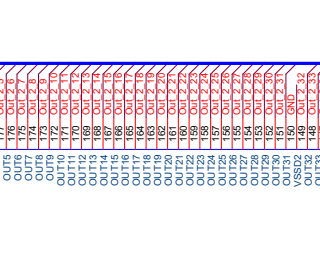
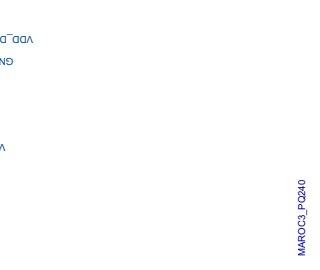
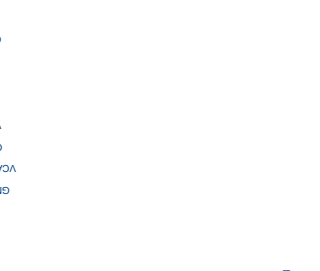
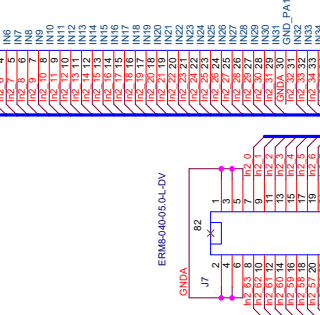
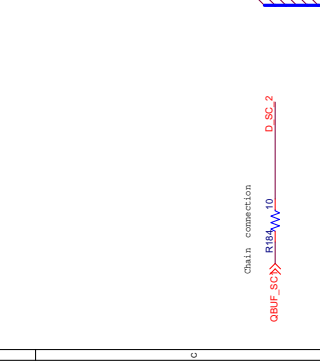
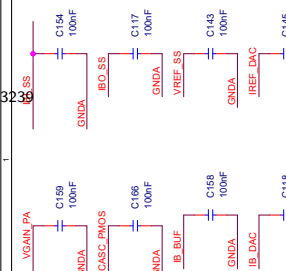
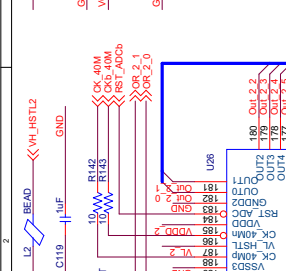
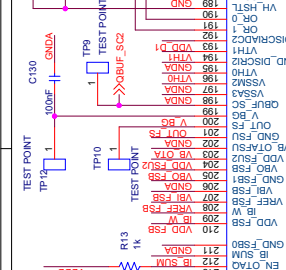
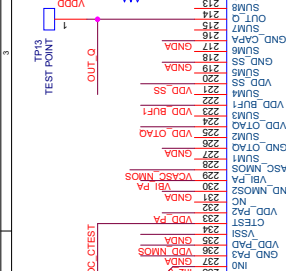
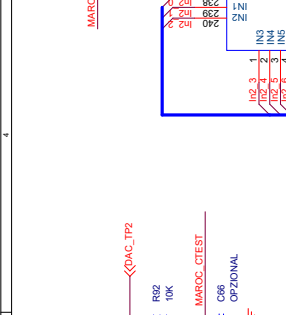
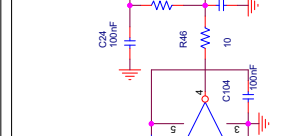
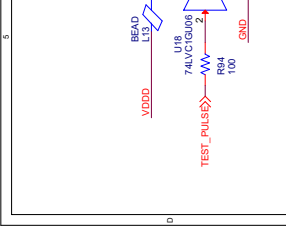
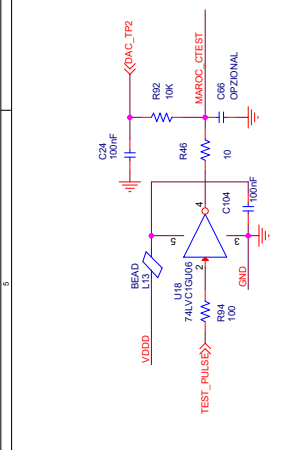


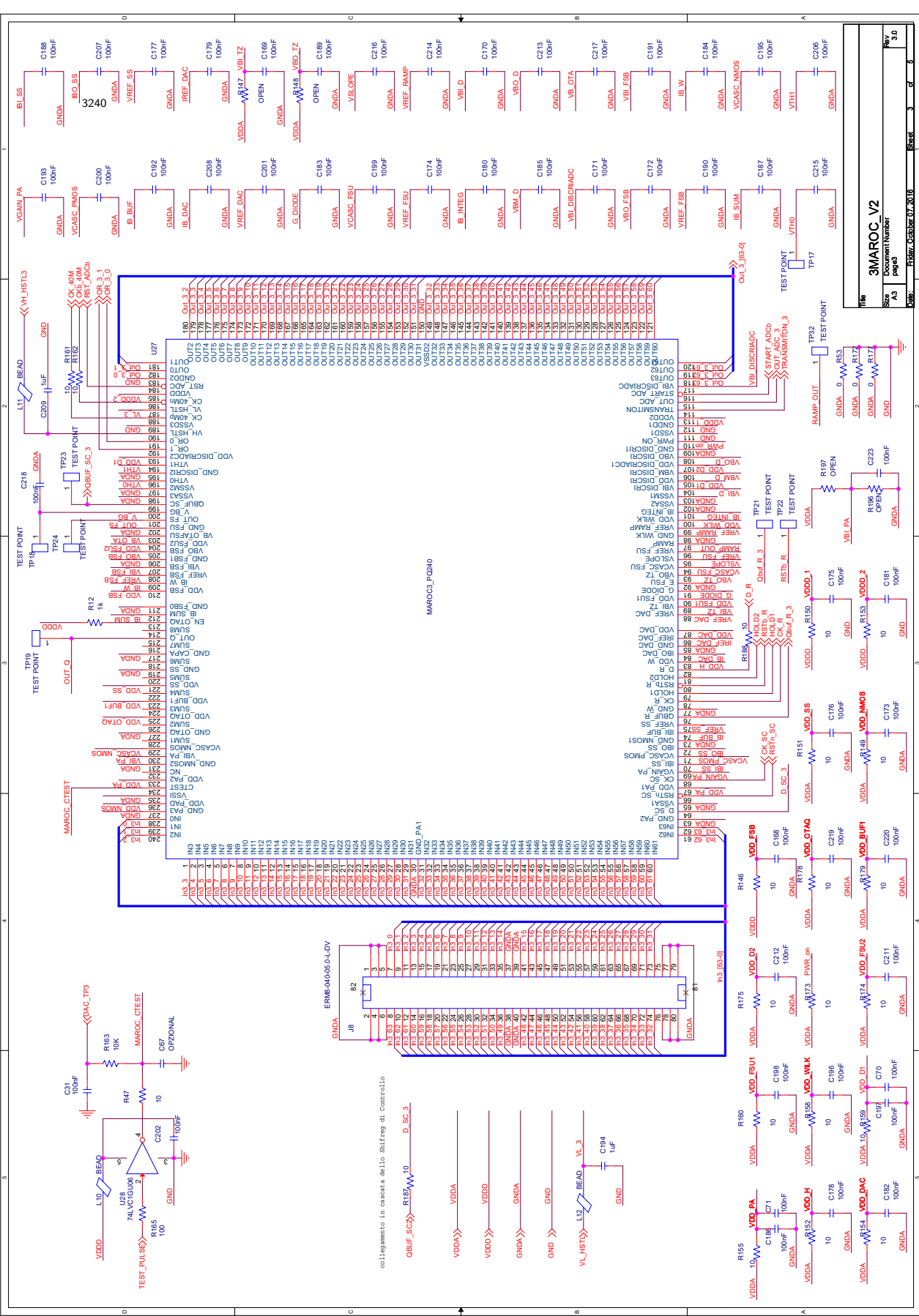
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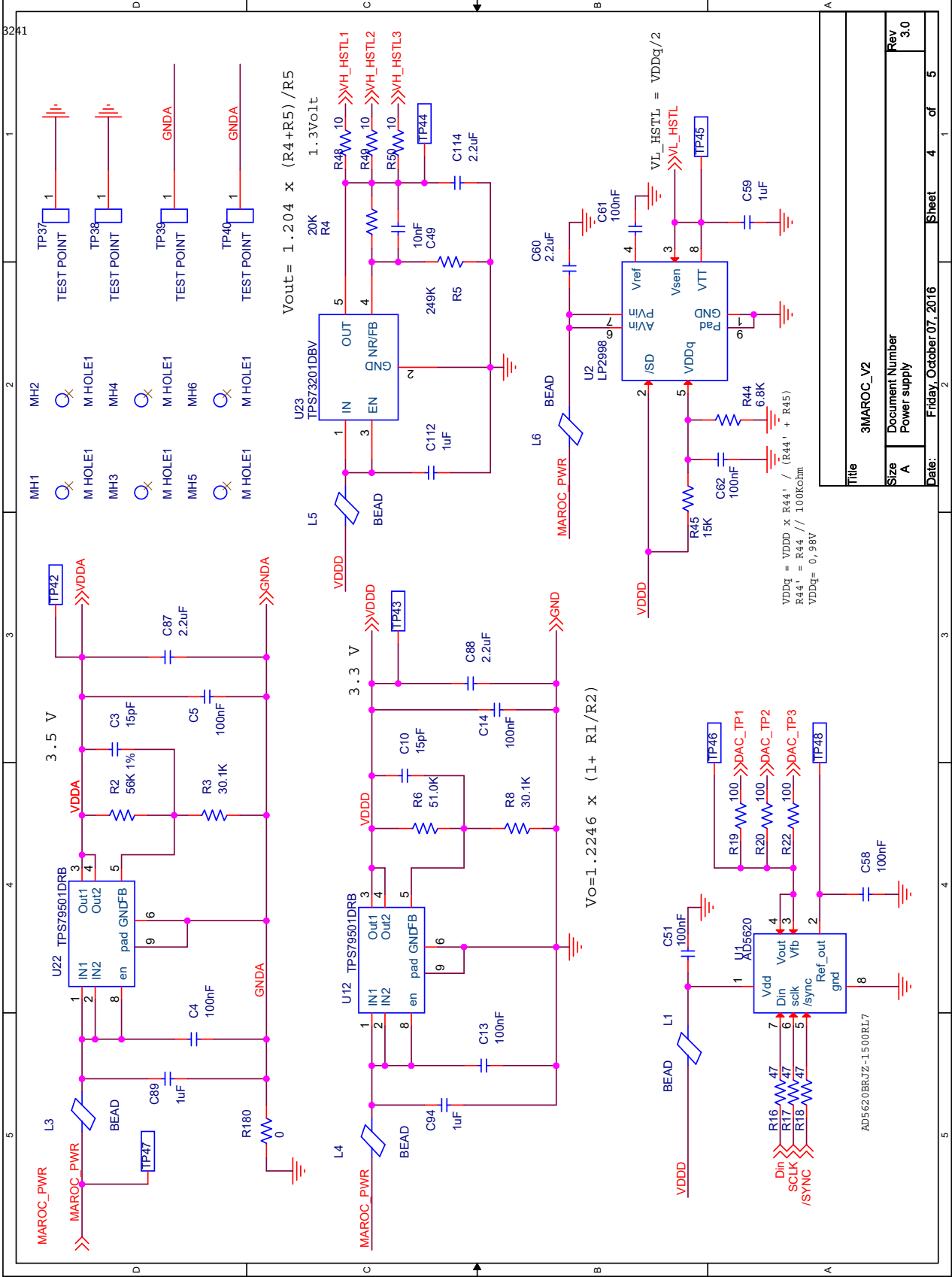


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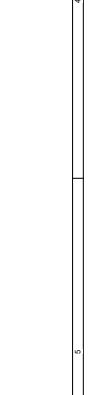
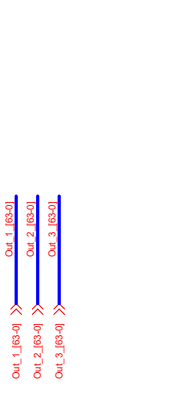
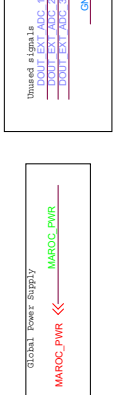
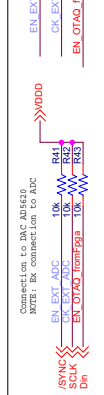
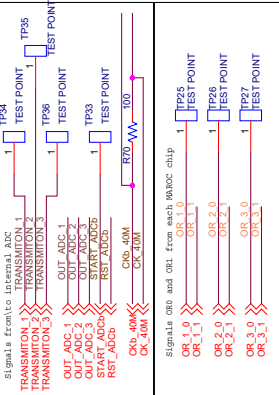
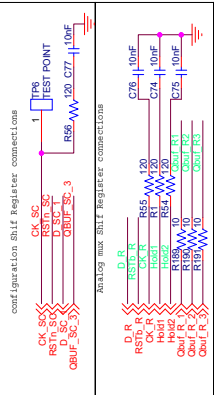
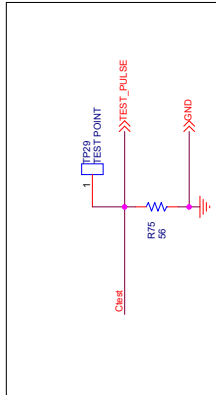
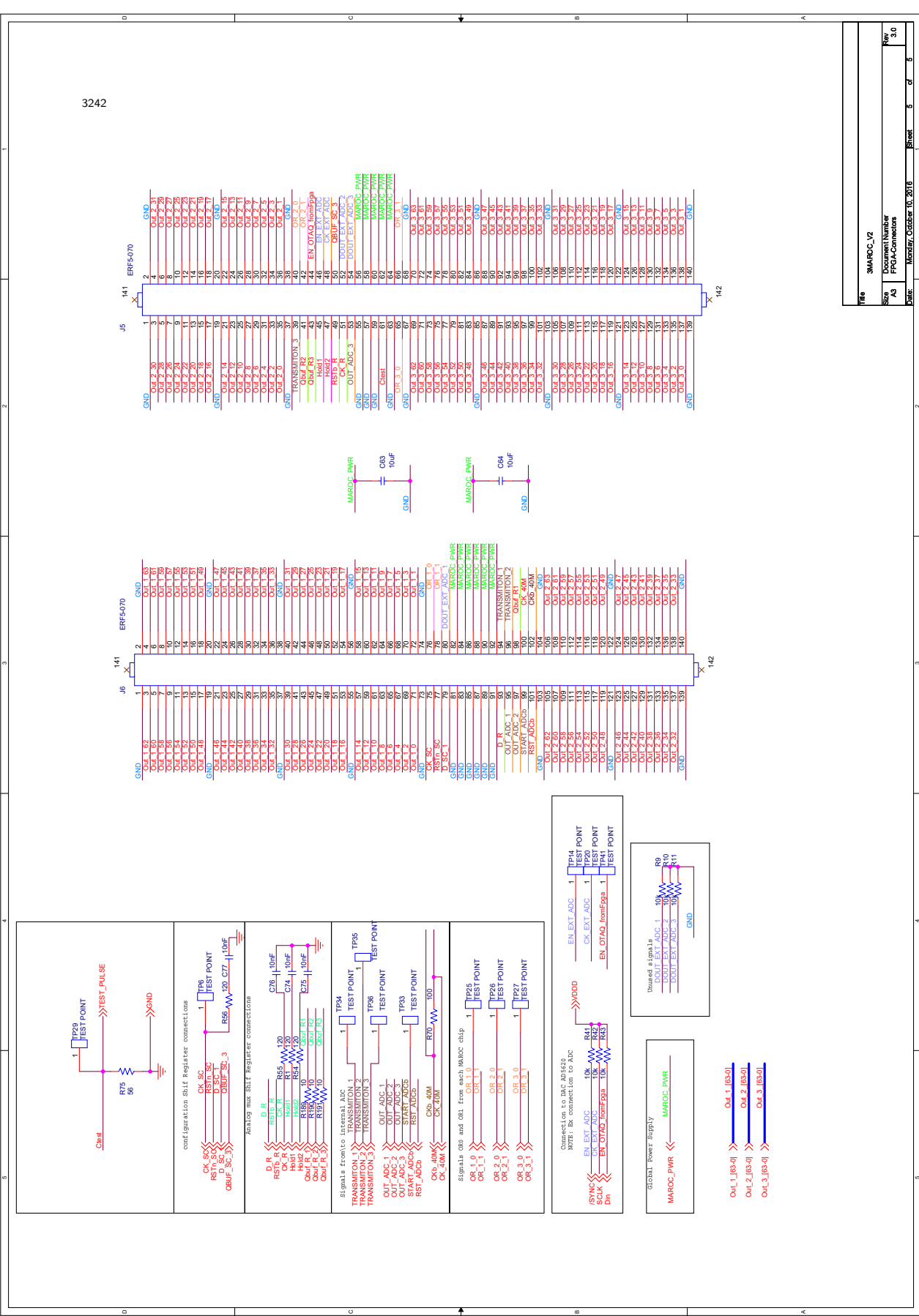


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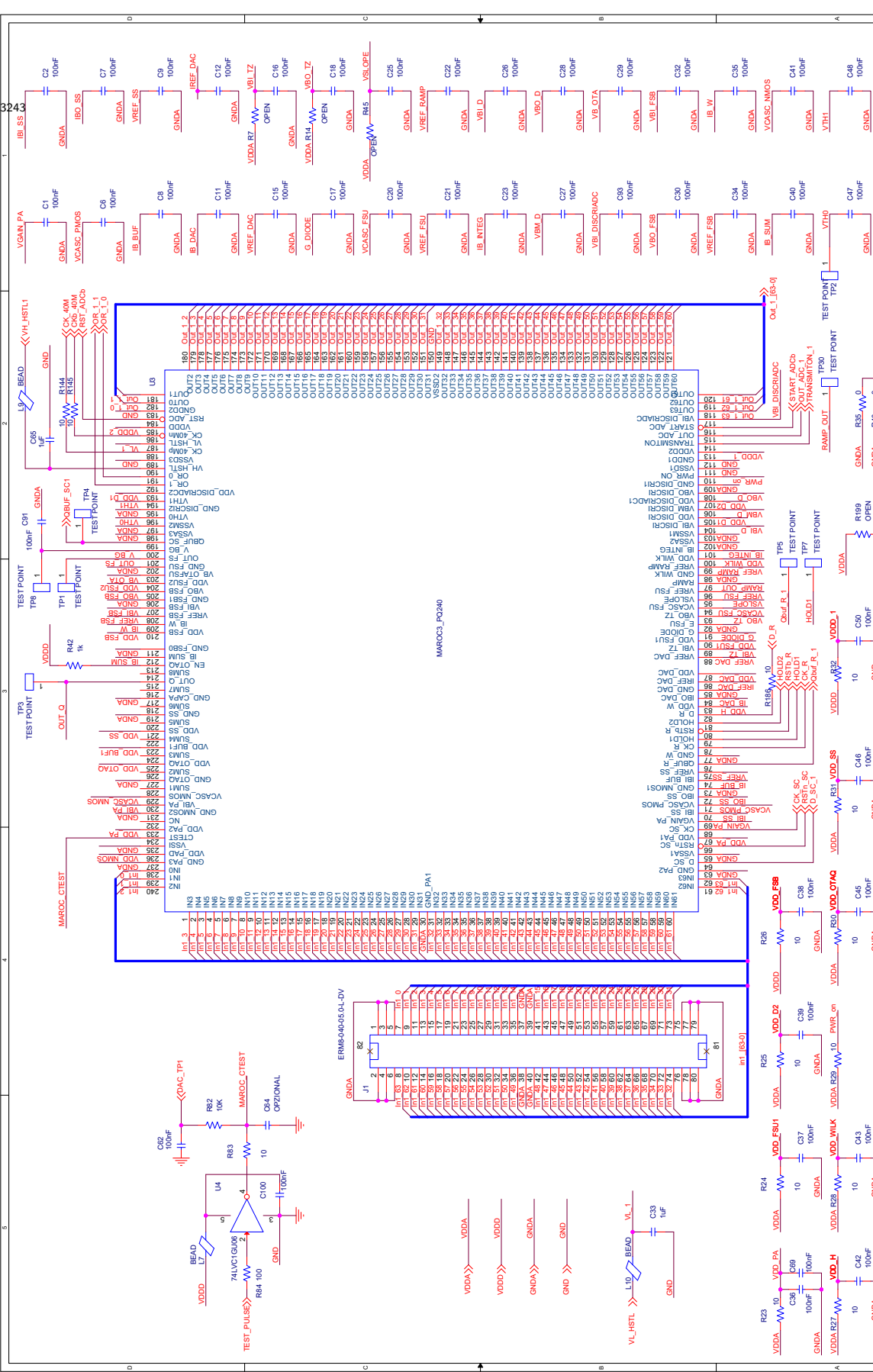
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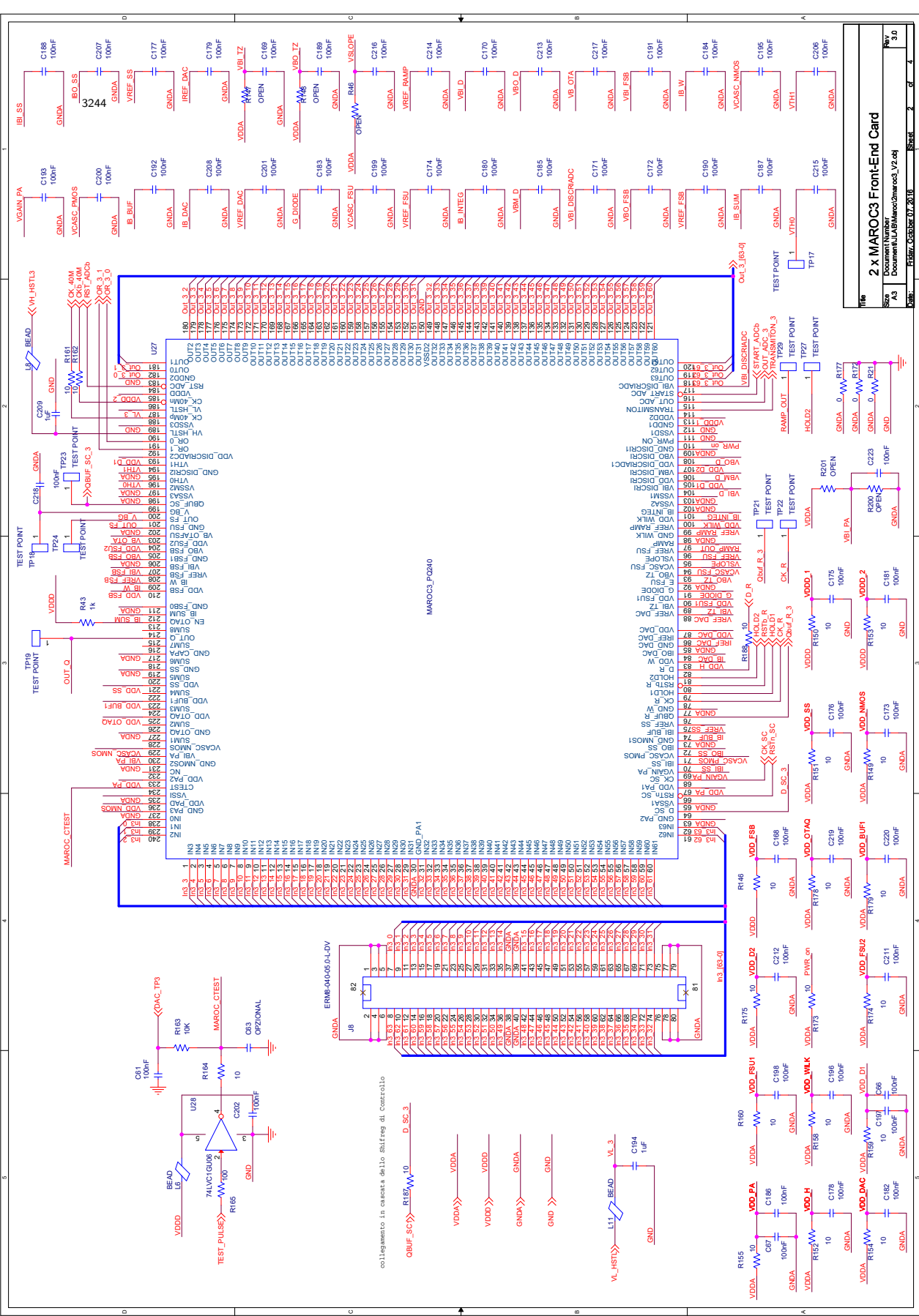
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Date		Monday, October 10, 2016	
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D. SC. 3

VDDA

VDDI

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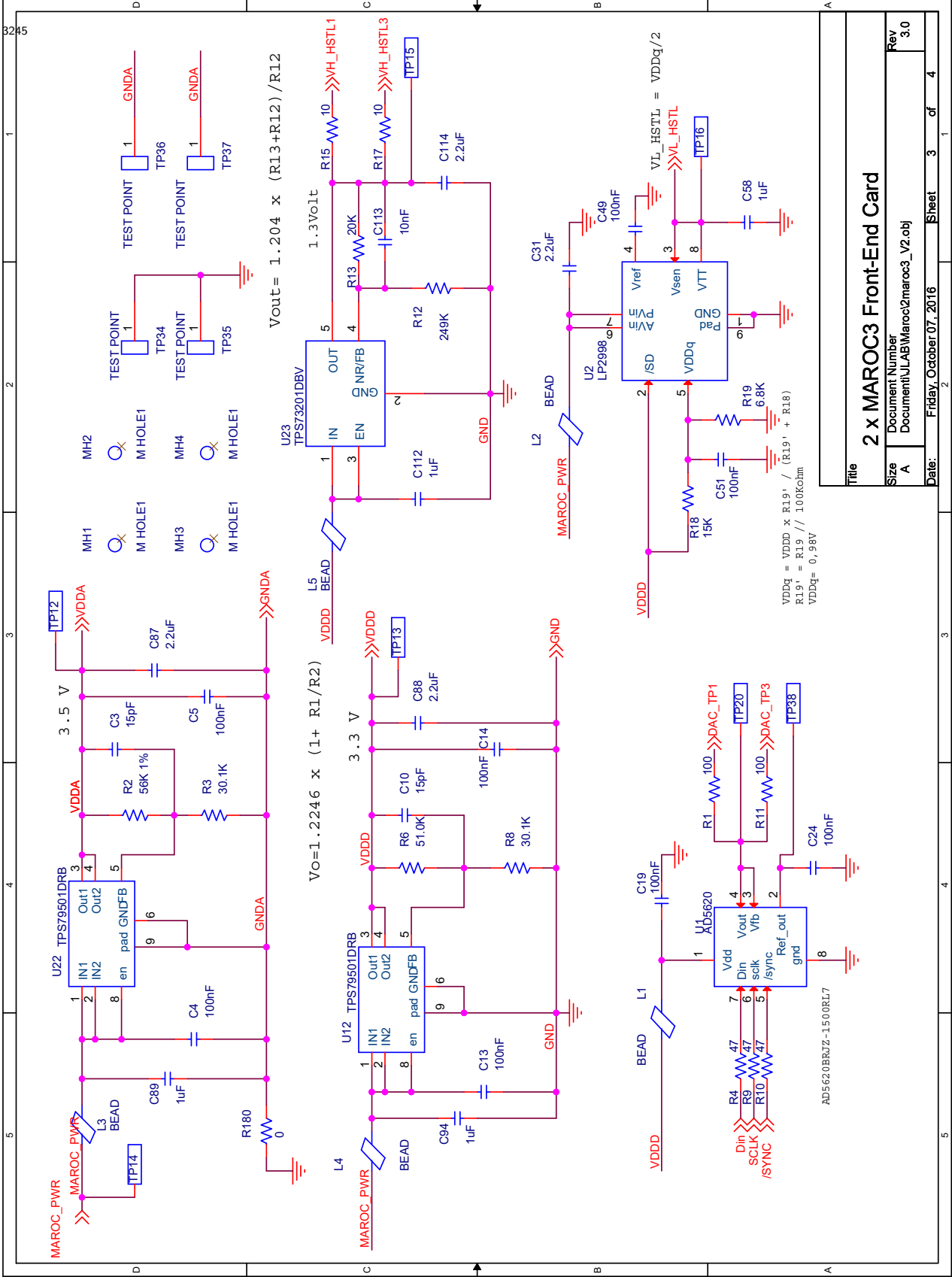
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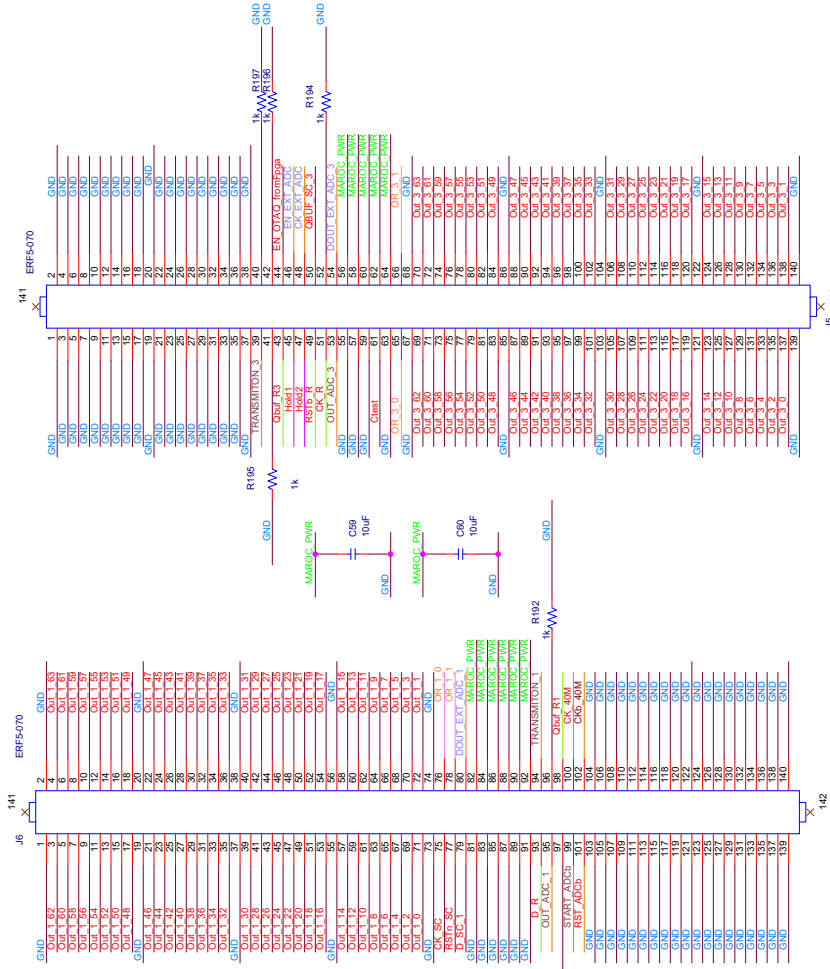
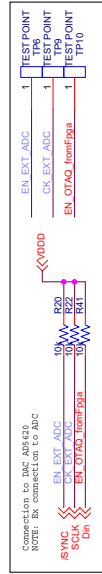
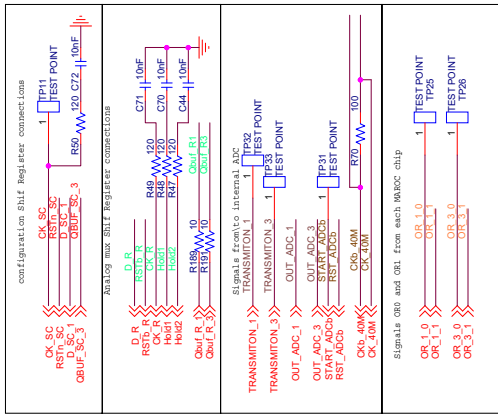
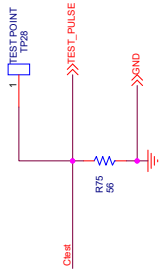
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