

Transverse spin effects in SIDIS at 11 GeV with transversely polarized target using the CLAS12 detector

(A CLAS12 experiment proposal for PAC39)

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A CLAS12 Proposal For PAC38

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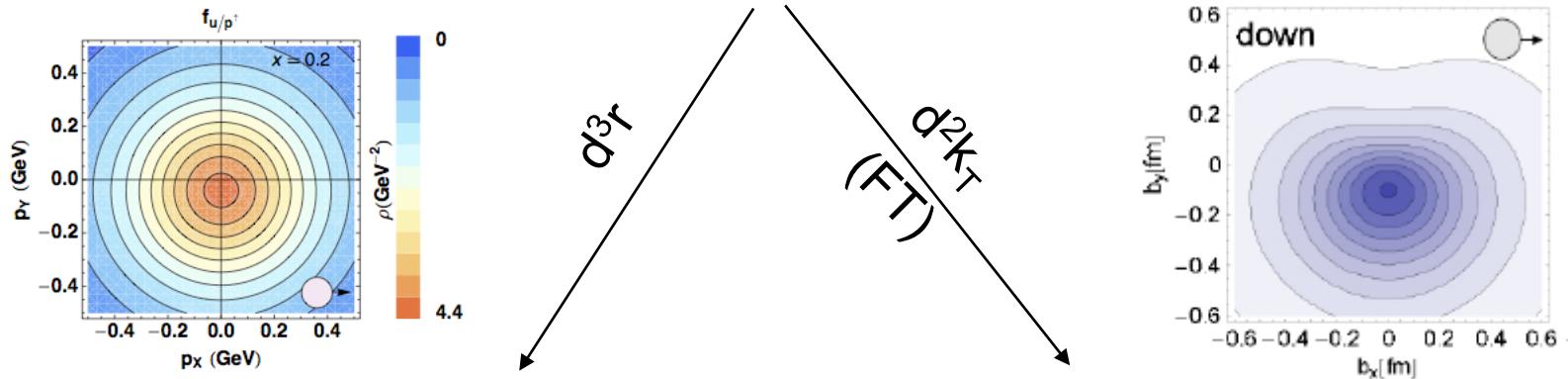
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PHYSICS MOTIVATIONS

Quantum phase-space distributions of quarks

$W_p^q(x, k_T, r)$ "Mother" Wigner distributions

Probability to find a quark q in a nucleon P with a certain polarization in a position r & momentum k



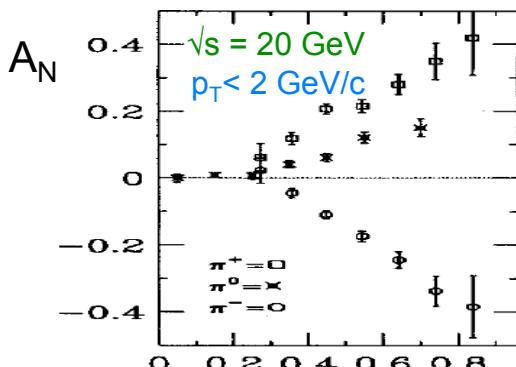
TMD PDFs: $f_p^u(x, k_T, \dots)$

Semi-inclusive measurements
Momentum transfer to quark
Direct info about momentum distribution

GPDs: $H_p^u(x, \xi, t, \dots)$

Exclusive Measurements
Momentum transfer to target
Direct info about spatial distribution

May explain SSA



PDFs $f_p^u(x, \dots)$

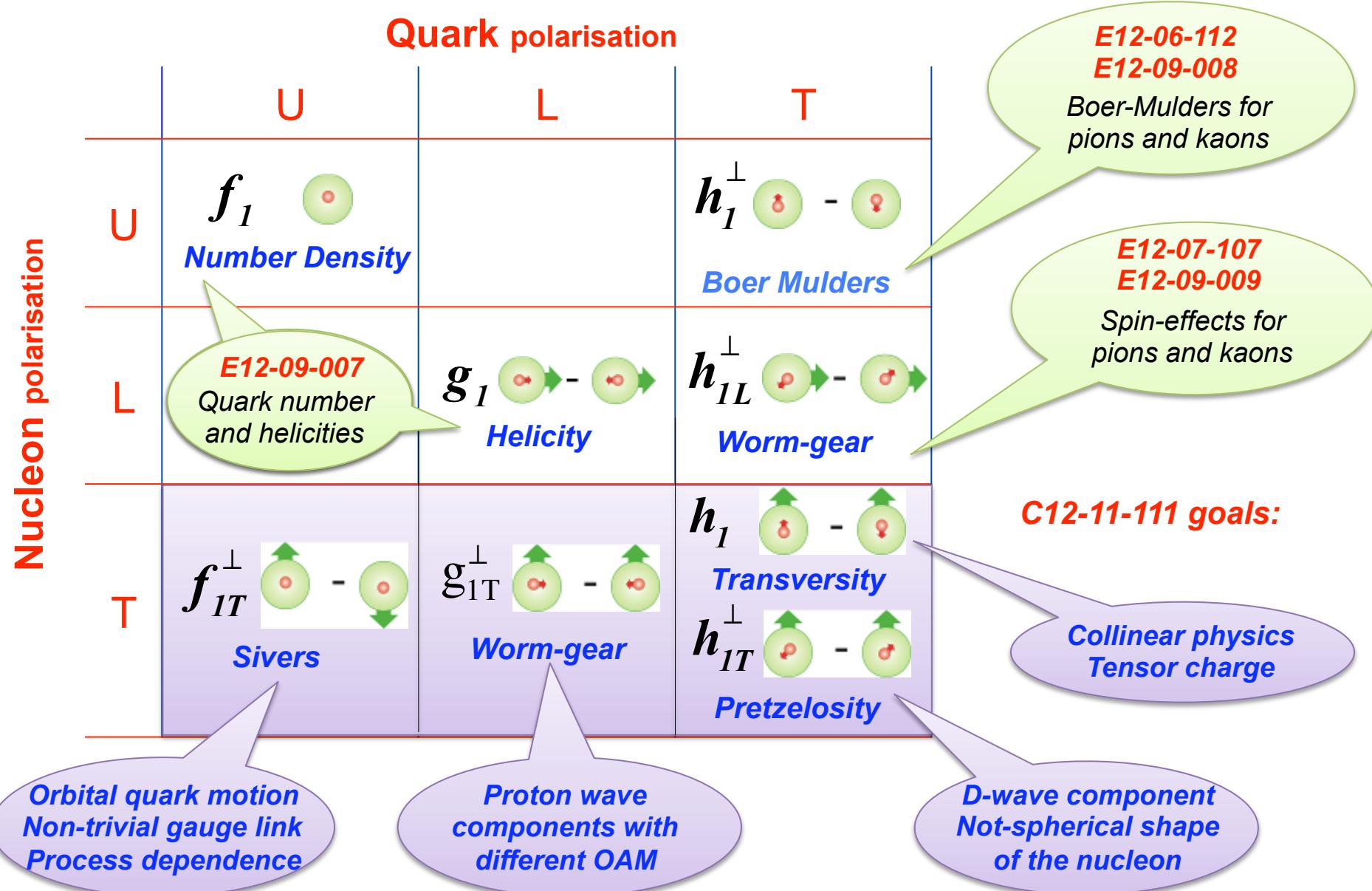
C 12-11-111
PR 12-12-009
SIDIS Physics: di-hadron with Transverse Target

May solve proton spin puzzle

$$J_q = \frac{1}{2} \Delta \Sigma + L_q = \lim_{t \rightarrow 0} \int_{-1}^1 dx x [H(x, \xi, t) + E(x, \xi, t)]$$

PR 12-12-010
Exclusive Physics: DVCS with Transverse Target

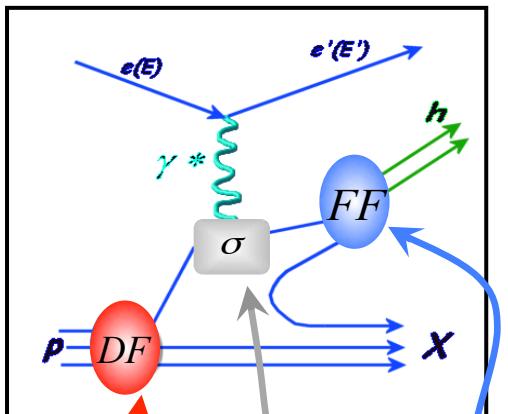
Leading Twist TMDs



The SIDIS Case

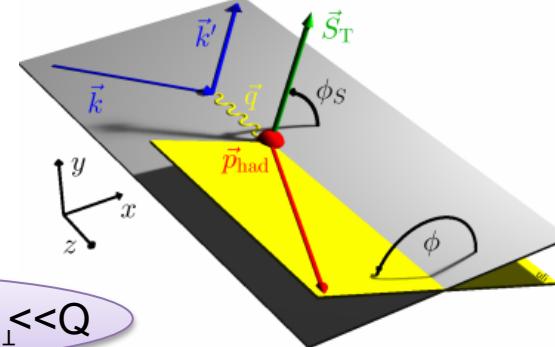
nucleon polarisation

		quark polarisation		
N/q		U	L	T
U	f_1			h_1^\perp
L		g_1	h_{1L}^\perp	
T	f_{1T}^\perp	g_{1T}^\perp	h_{1T}^\perp	h_{1T}^\perp



$$\sigma^{ep \rightarrow ehX} = \sum_q (DF) \otimes \sigma^{eq \rightarrow eq} \otimes FF$$

SIDIS cross section
(transversely pol. target):



TMD factorization for $P_{h\perp} \ll Q$

$$f \otimes D = \int_q e_q^2 d^2 p_T d^2 k_T \dots w(k_T, p_T) f^q(x, k_T^2) D^q(z, p_T^2)$$

Rich but involved phenomenology due to the convolution over transverse momentum

$h_1 \otimes H_1^\perp$

$$\frac{d^6 \sigma}{dx dy dz d\phi_S d\phi dP_{h\perp}^2} \stackrel{\text{Leading}}{\underset{\text{Twist}}{\propto}} S_T \left\{ \sin(\phi - \phi_S) F_{UT,T}^{\sin(\phi - \phi_S)} \right\}$$

$f_{1T}^\perp \otimes D_1$

$$+ S_T \left\{ \varepsilon \sin(\phi + \phi_S) F_{UT}^{\sin(\phi + \phi_S)} + \varepsilon \sin(3\phi - \phi_S) F_{UT}^{\sin(3\phi - \phi_S)} \right\}$$

$g_{1T}^\perp \otimes D_1$

$$+ S_T \lambda_e \left\{ \sqrt{1 - \varepsilon^2} \cos(\phi - \phi_S) F_{LT}^{\cos(\phi - \phi_S)} \right\} + \dots$$

The Collins amplitude

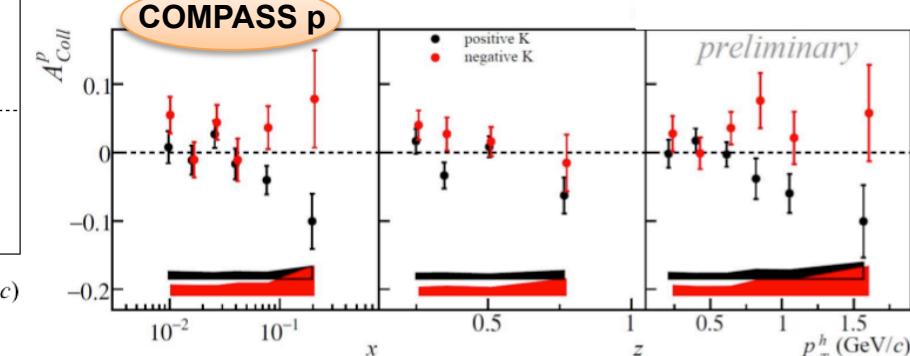
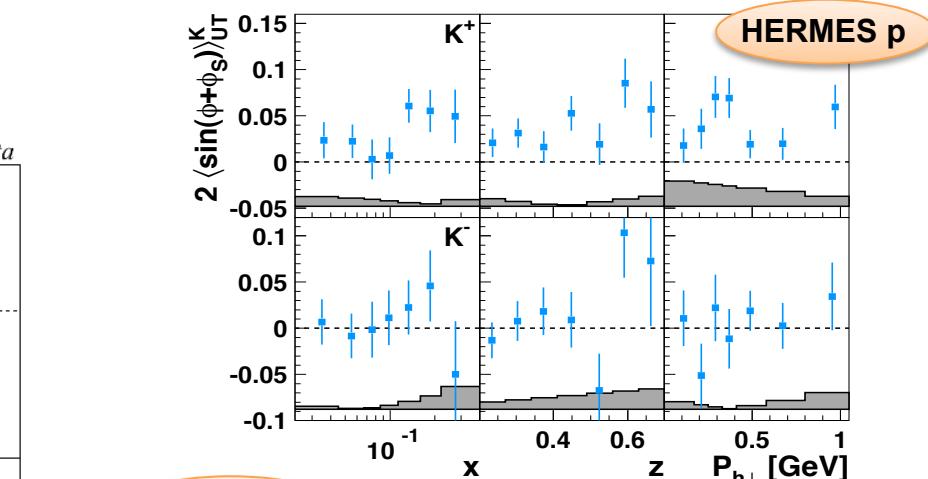
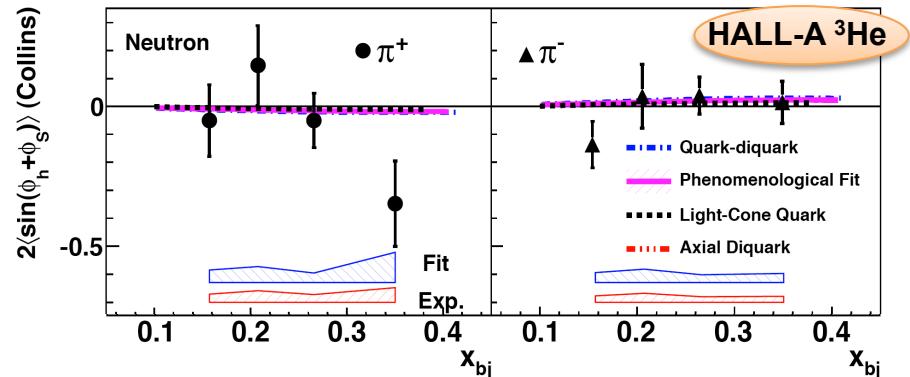
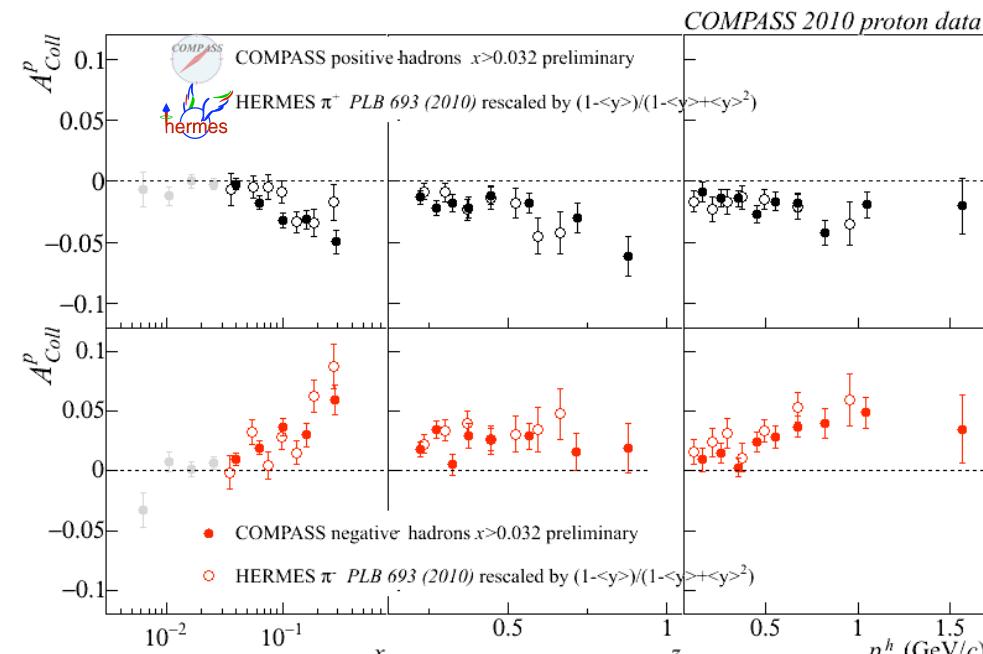
Consistent non-zero signals for pions

Opposite sign for pions reveal Collins features

Puzzle in (low-statistics) kaon signals:

K^+ amplitudes larger than π^+

K^- amplitudes are not in agreement



Transversity Signals

High-x and tensor charge



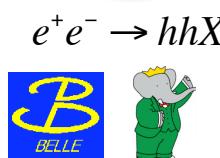
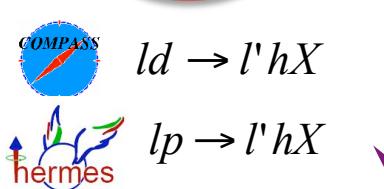
Gaussian ansatz & role of Q^2 evolution



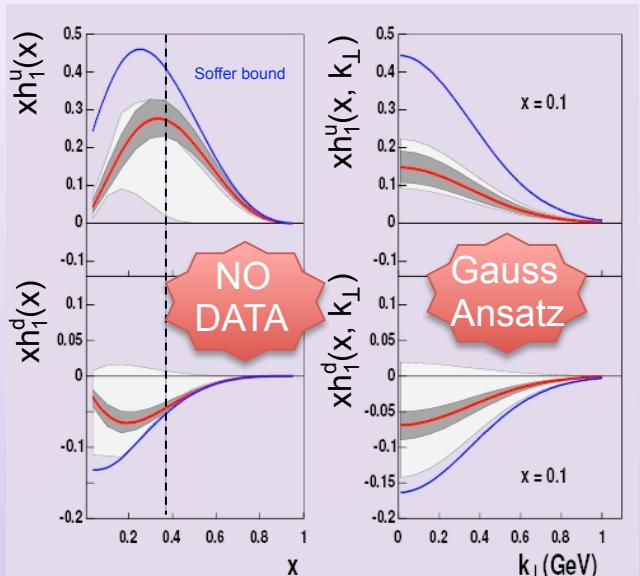
Two complementary channels to help solving issues

**PR 12-12-009
SIDIS Physics: di-hadron with Transverse Target**

$$A_{UT}^{\sin(\phi+\phi_S)} \propto h_1(x) \otimes H_1^{\perp q}(z)$$

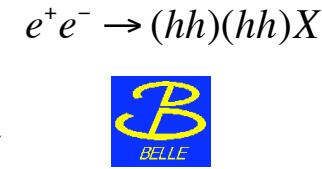
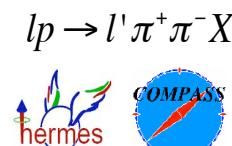


1st extraction of Transversity!

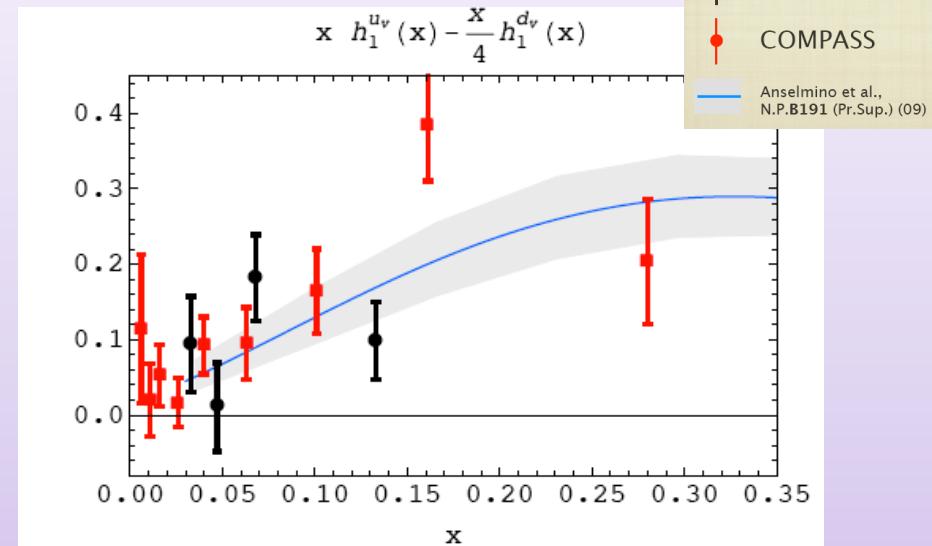


Anselmino et al. Phys. Rev. D 75 (2007)

$$A_{UT}^{\sin(\phi_{R\perp}+\phi_S)} \propto \sin \vartheta h_1(x) \cdot H_1^{\triangleleft q}(z)$$



1st collinear extraction !

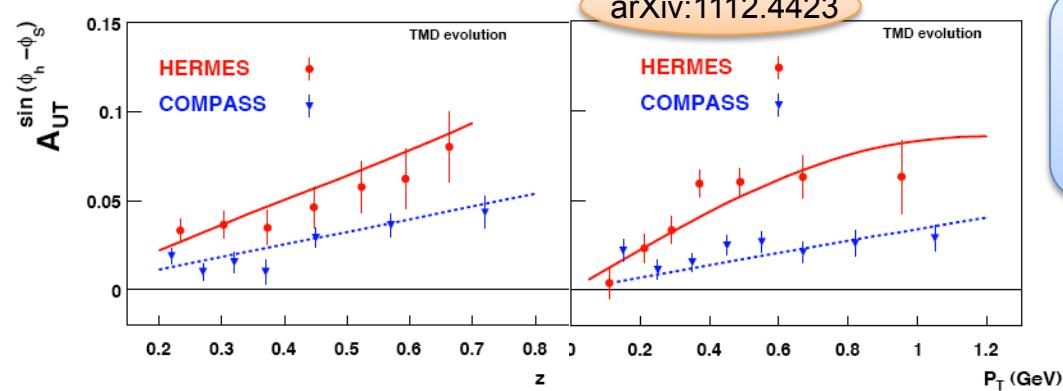


Bacchetta et al., PRL 107 (2011)

Related to quark orbital angular momentum

The Sivers effect

$$f_{1T}^\perp \otimes D_1$$



Coverage at large x and relation with Drell-Yan

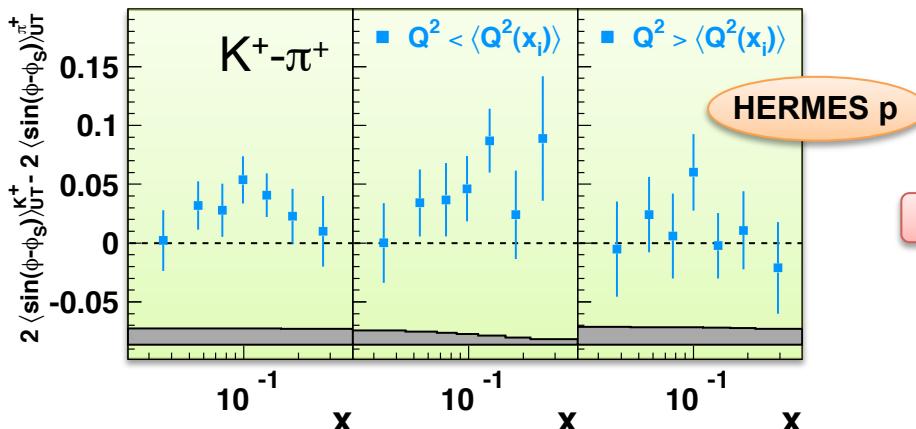
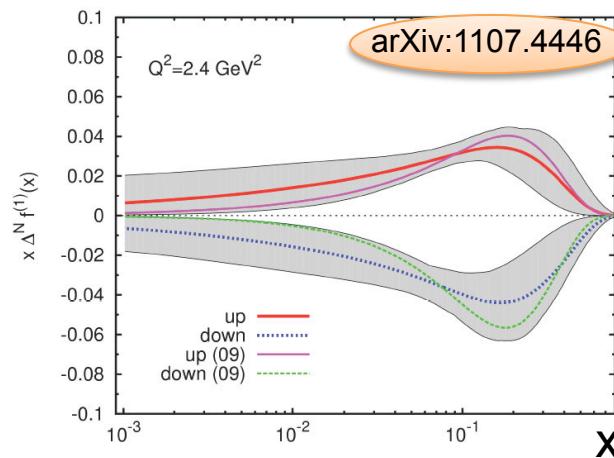
Sign change is a crucial test of TMDs factorization



Non zero signals for π^+ and K^+

Significant Q^2 evolution ?

K^+ signals larger than π^+



Coverage at large p_T and relation with twist-3 collinear approach

Sign mismatch between SIDIS and pp SSA ?

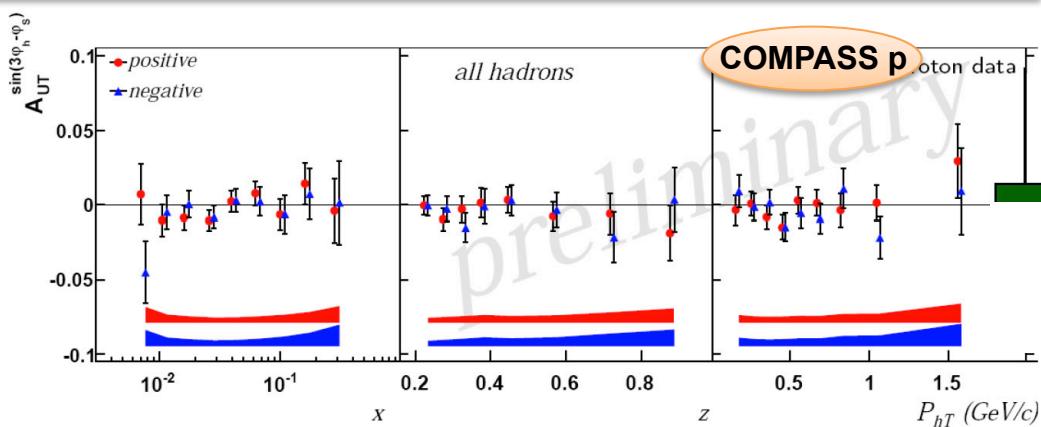
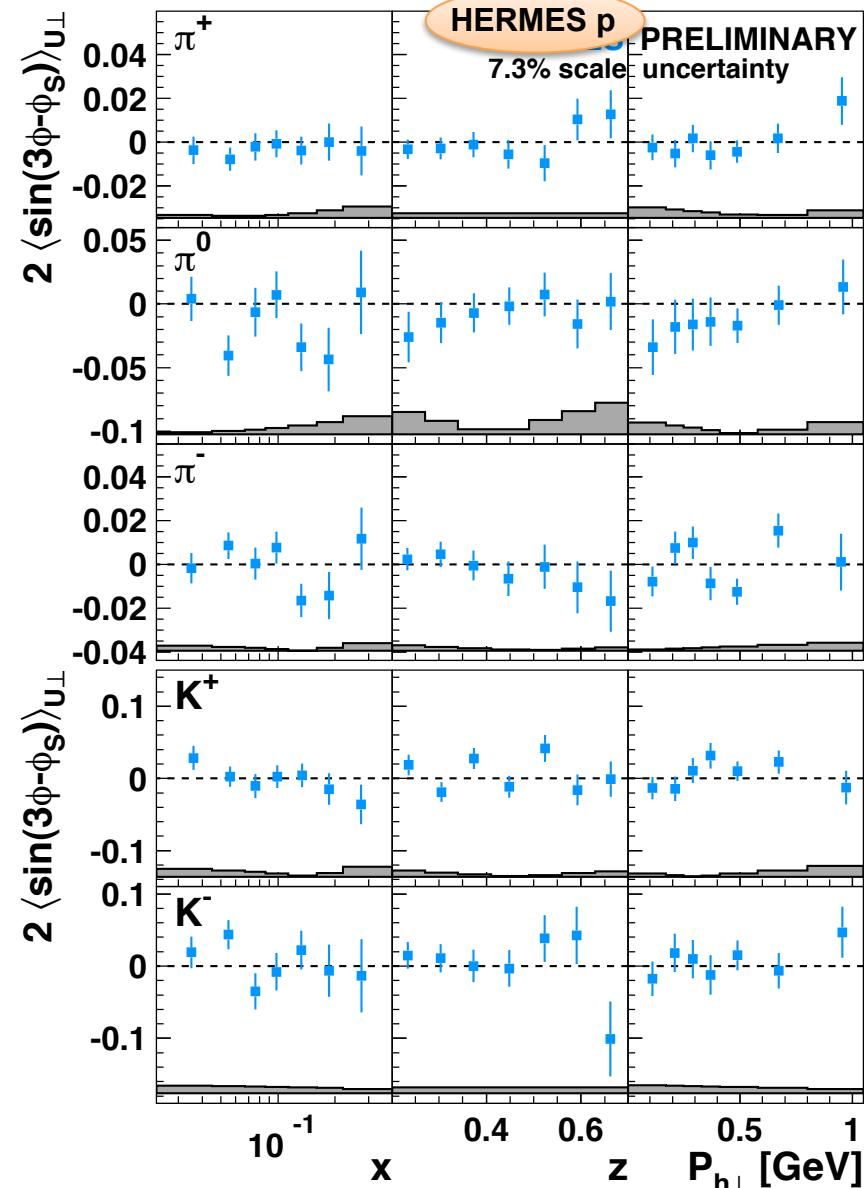


T3 correlator from pp

Sivers moment from SIDIS

$$gT_{q,F}(x, x) = - \int d^2 k_\perp \frac{|k_\perp|^2}{M} f_{1T}^{\perp q}(x, k_\perp^2) |_{\text{SIDIS}}$$

The Pretzelosity

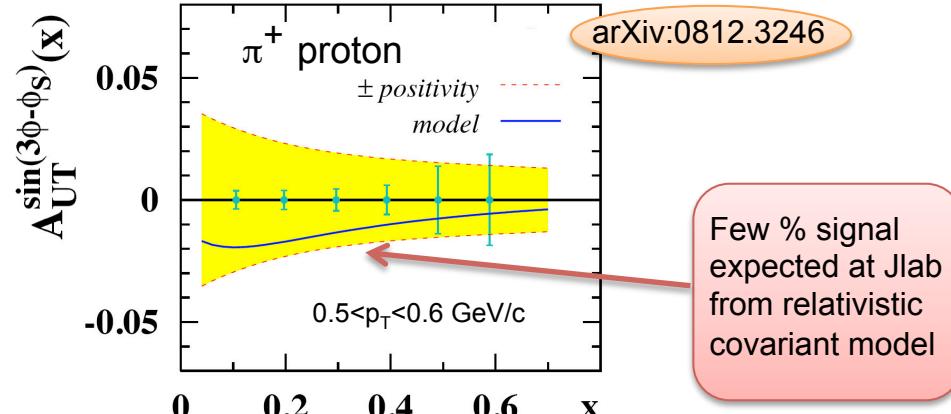


Statistical power of existing data is not enough to observe significant signals

"pretzelosity" still basically unknown

$$h_{1T}^{\perp(1)q}(x) = g_1^q(x) - h_1^q(x) \quad \text{no-gluon models}$$

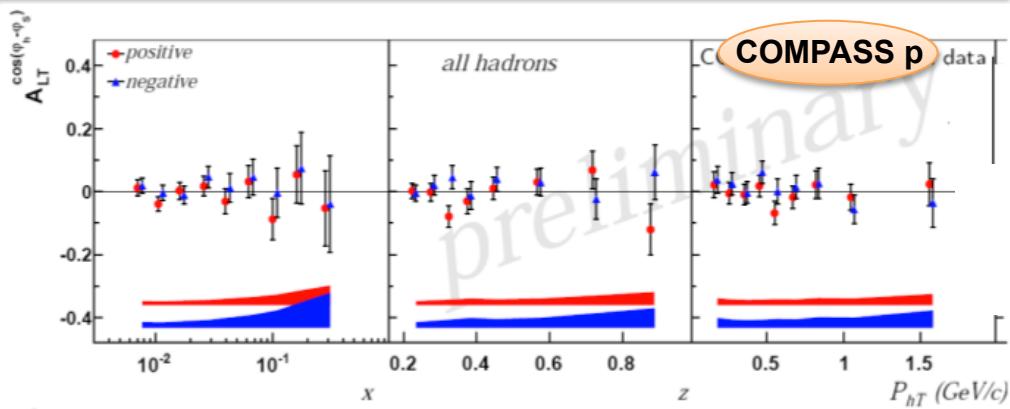
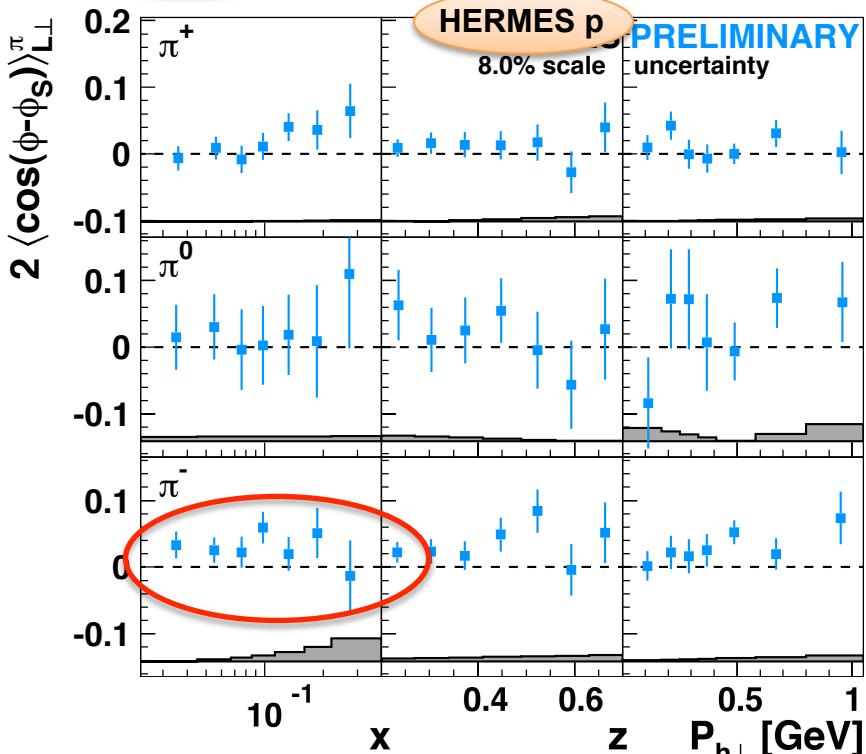
$$|h_{1T}^{\perp(1)q}(x)| + |h_1^q(x)| \leq f_1^q(x) \quad \text{positivity bound}$$



Nucleon wave components with different OAM

The Worm-gear function

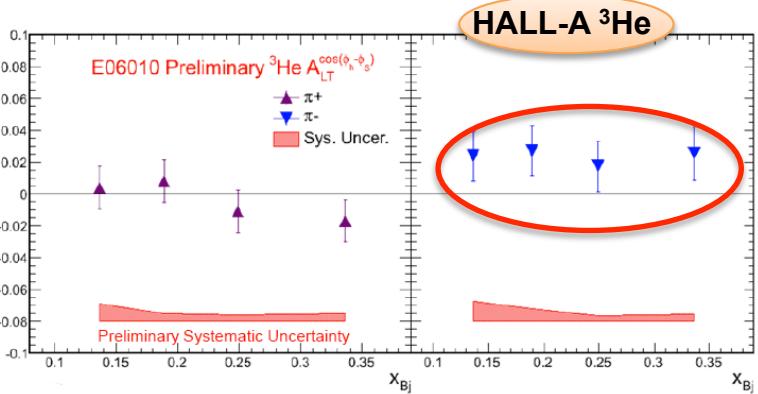
$$g_{1T}^\perp \otimes D_1$$



Statistics not enough to investigate relations supported by many theoretical models:

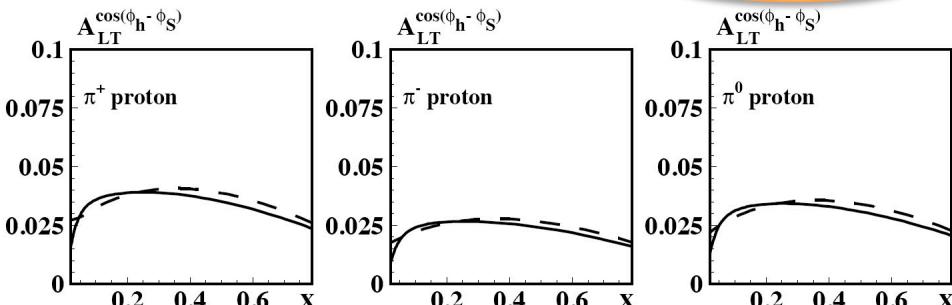
$$g_{1T}^q = -h_{1L}^{\perp q} \quad (\text{supported by Lattice QCD and first data})$$

$$g_{1T}^{q(1)}(x) \stackrel{\text{WW-type}}{\approx} x \int_x^1 \frac{dy}{y} g_1^q(y) \quad (\text{Wandura-Wilczek type approximation})$$



From constituent quark model:

arXiv:0903.1271



Honour and Duty

TMDs are a new class of phenomena
providing novel insights into the rich nuclear structure

DIS experiments get access to all PDFs and FFs, but in a convoluted way,
first generation non-zero results provide promises but also open questions

Full coverage of valence region not achieved
Limited knowledge on $P_{h\perp}$ dependences
Flavor decomposition often missing
Evolution properties to be defined
Role of the higher twist to be quantified
Universality \leftrightarrow Fundamental test of QCD



large x coverage
wide P_h acceptance
hadron ID
large Q^2 coverage
multi-dimensional analysis
complementary channels

Still incomplete phenomenology is asking for new inputs

**Crucial: completeness
flavor tagging, wide acceptance and four-fold differential extraction
in all variables (x, z, Q^2, P_T) to have all dependencies resolved**

EXPERIMENTAL SETUP

The CLAS12 Spectrometer

Luminosity up to $10^{35} \text{ cm}^{-2} \text{ s}^{-1}$

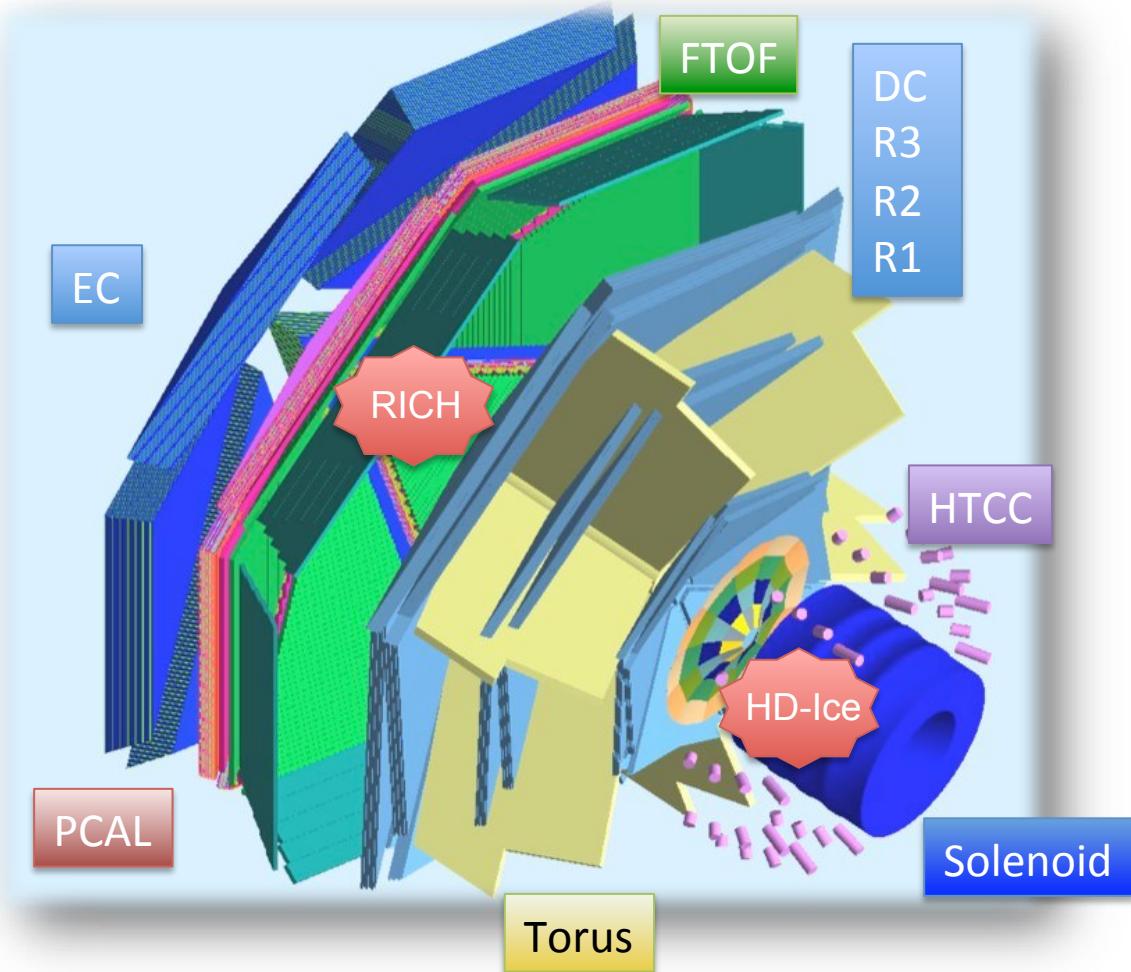
Highly polarized electron beam

H and D polarized targets

Broad kinematic range coverage
(current to target fragmentation)

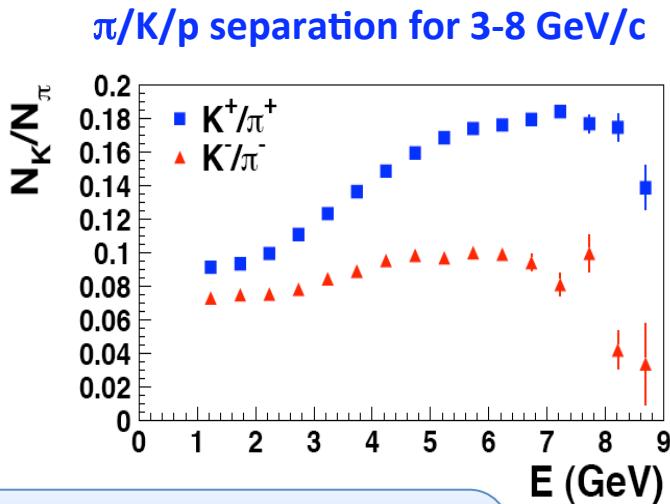
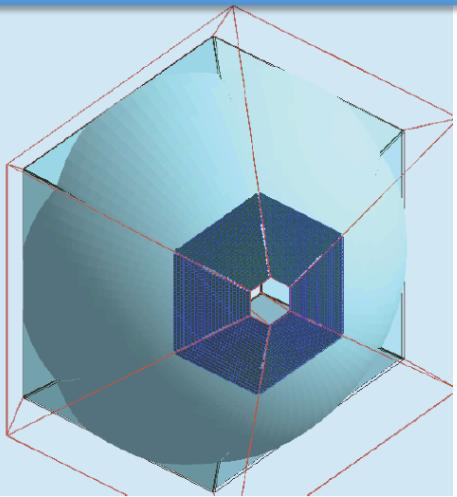
HD-Ice: Transverse Target
new concept
(commissioned with CLAS at 6 GeV
common to PR 12-009, PR 12-010)

RICH: Hadron ID
for flavor separation
(common to SIDIS approved exp.)



PAC30 report (2006): Measuring the kaon asymmetries is likely to be as important as pions The present capabilities of the present CLAS12 design are weak in this respect and should be strengthened.

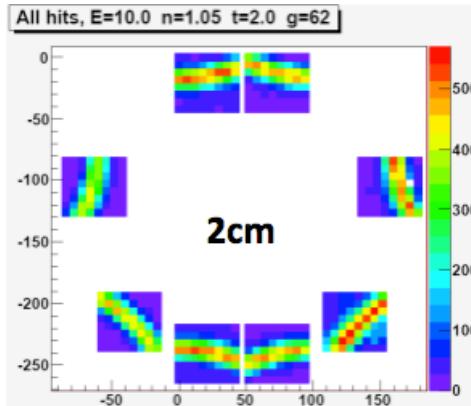
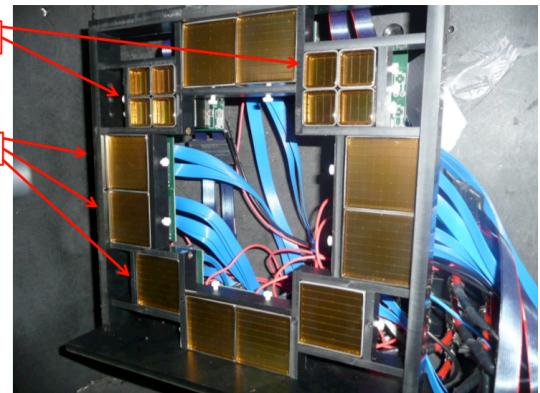
The RICH Detector



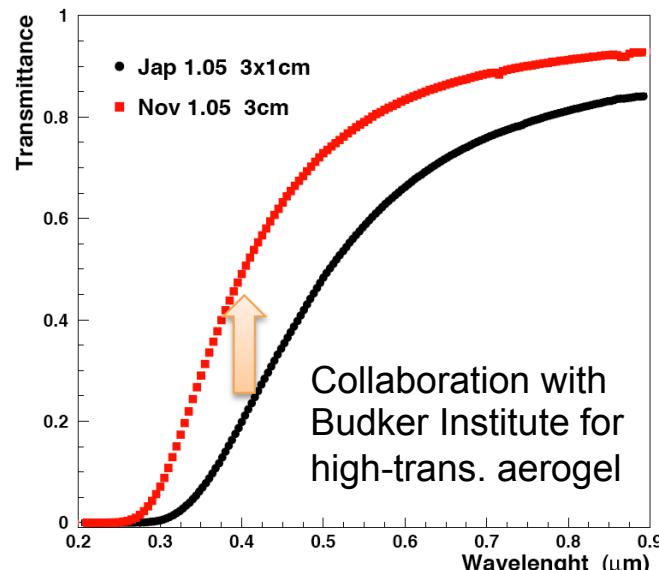
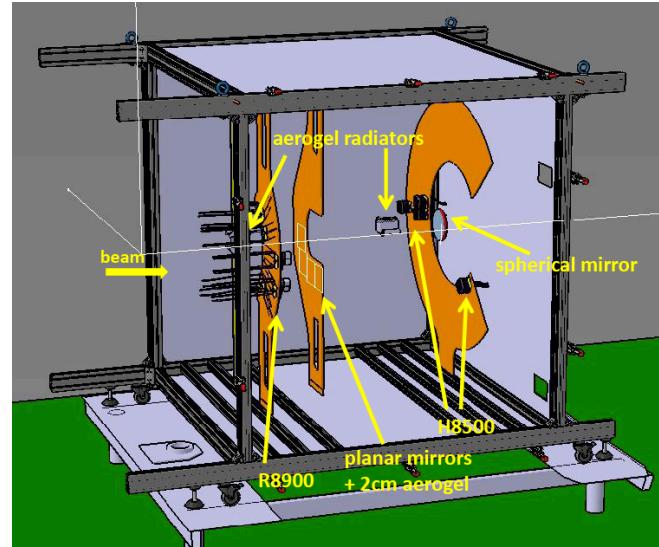
Simulation of $n=1.05$ aerogel + H8500:

- ≥ 10 p.e. for direct rings
- ≥ 5 p.e. for reflected rings
- ≥ 500 pion rejection factor @ 90% kaon efficiency

2011: preliminary test validated H8500 and N p.e.



2012: component tests & realistic prototype



Transversely Polarized HD-Ice Target

HD-Ice target vs standard nuclear targets (less luminosity for higher purity)

Advantages:

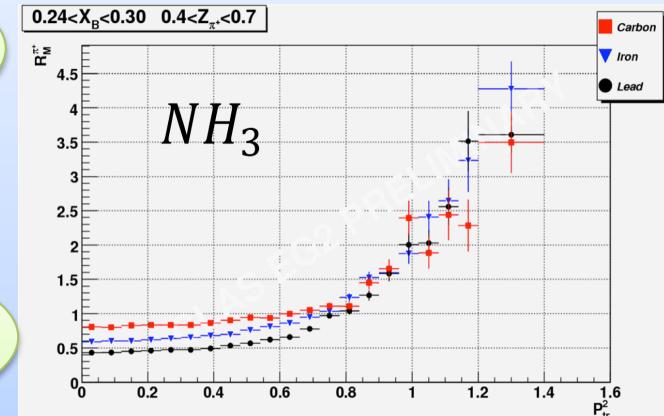
- + Minimize nuclear background
smaller dilution, no attenuation at large p_T
- + Weak holding field ($BdL \sim 0.1$ Tm)
wide acceptance, negligible beam deflection

Deuterium effect
under control
E12-06-112

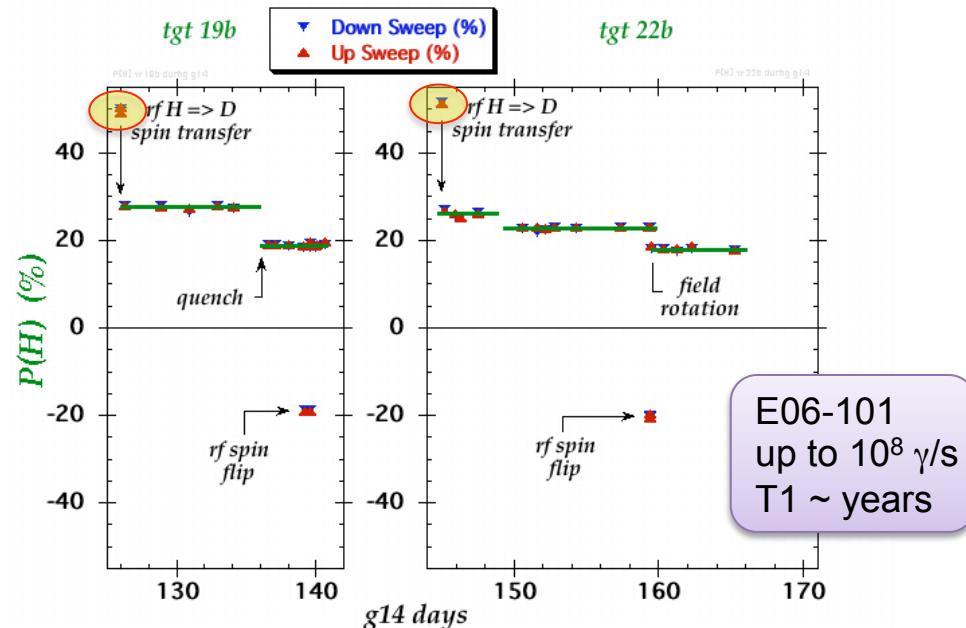
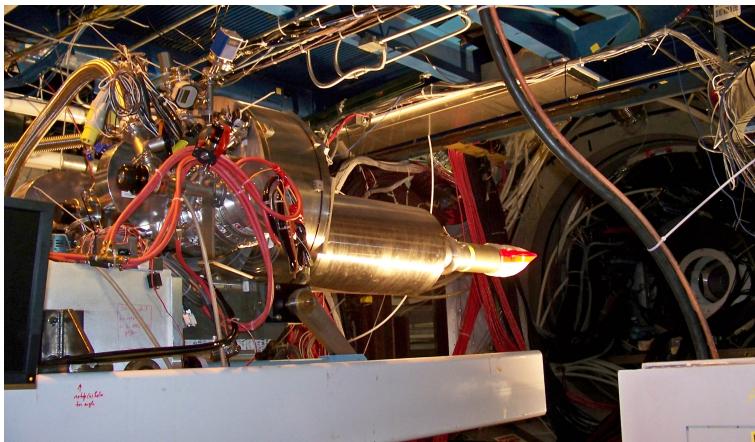
Disadvantages:

- Very long polarizing times (months)
- Sensitivity to local heating by charged beams

Suitable for di-hadron
and recoil proton
PR12-12-009/010



HD-ice ran from Nov/11 to May/12 at Jlab
with 15mm Ø × 50 mm long HD cells



PAC38 question 1: HD-Ice vs Electron Beam

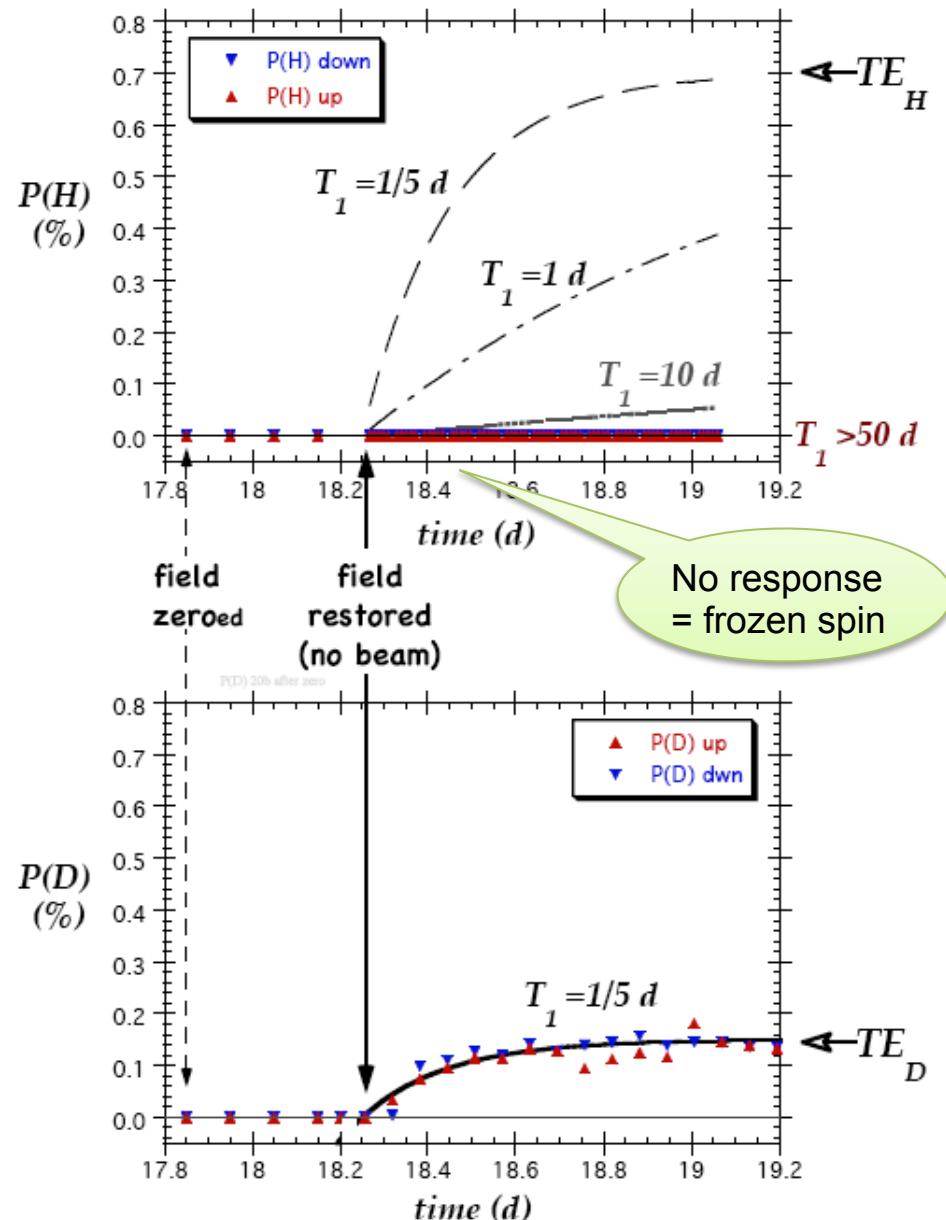
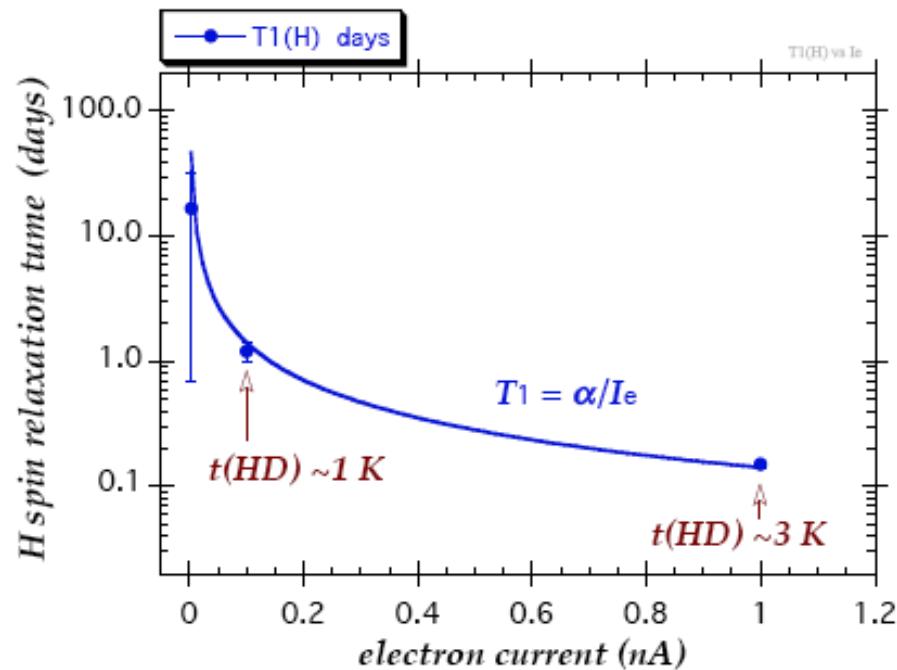
e-beam tests in Feb/12 and Mar/12

Polarization build up after rf erasing

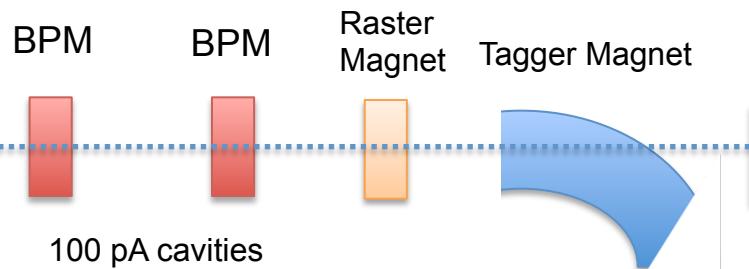
→ H polarization does not appear to suffer radiation damage with 1 nA; D does

Relaxation time during beam exposure

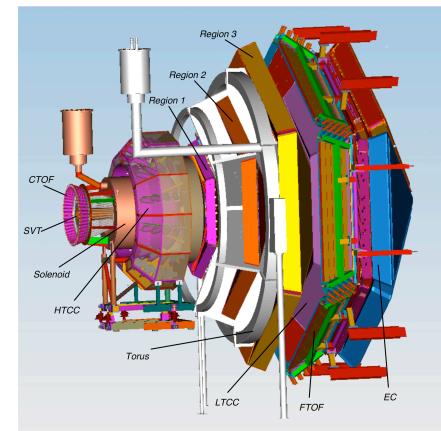
→ heat removal needs improvement



PAC38 question 1: HD-Ice vs Electron Beam

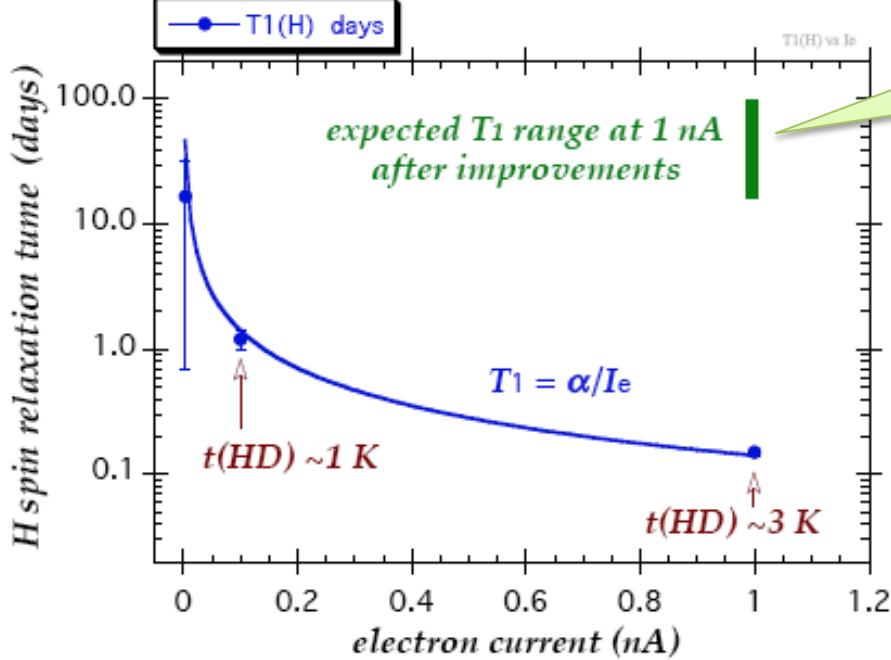


Commissioning run foreseen with early Beam (2014), before CLAS12 operations



Faraday cup

- faster raster
- larger diameter cell
- shorter cooling wires

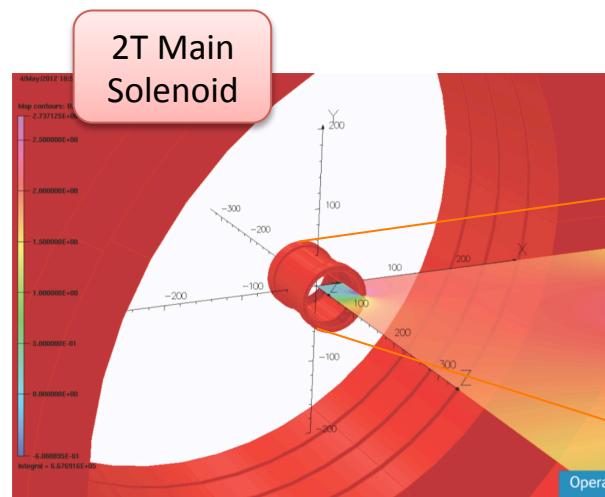


Target wider but not-longer than the existing one (5 cm)

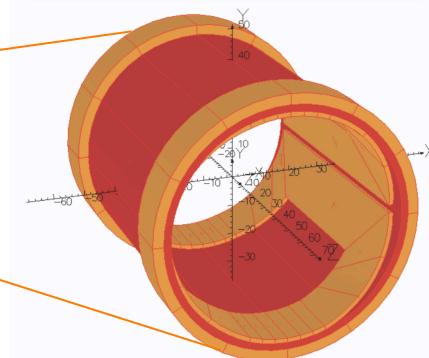
- Luminosity $5 \cdot 10^{33} \text{ cm}^2\text{s}^{-1}$ (minor impact on projections)
- Magnet configuration simplifies (reduced zero-field volume)

PAC38 question 2: Magnet Configuration

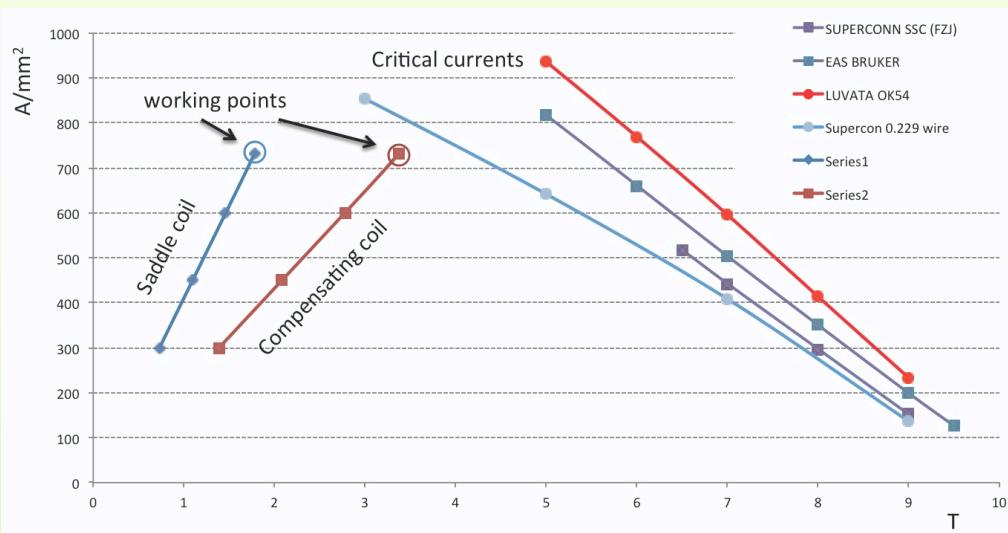
- 0.5T transverse, < 5mT long. field (@ 2T)
- Enhanced version of the existing NMR magnet system inside HD-ice cryostat
- No impact on CLAS12 central detector
- Free forward acceptance (> 35°)
- Recoiling proton detection (>0.4 GeV/c)



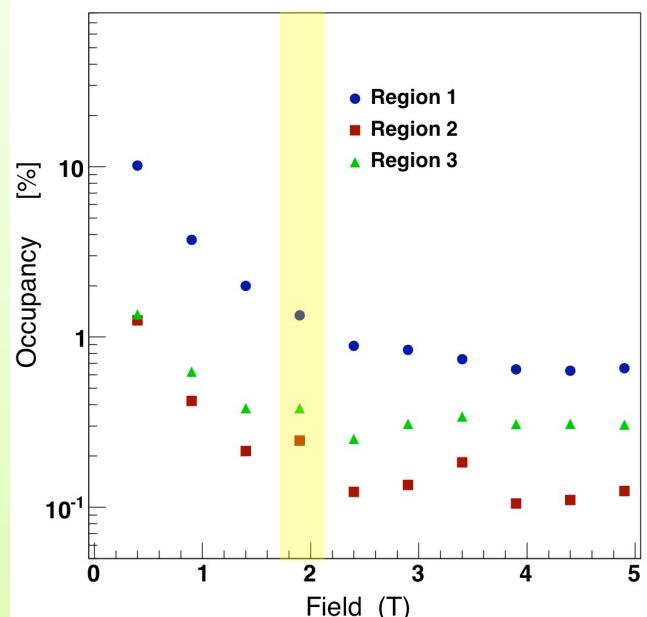
Solenoid compensation
Transverse saddle coil



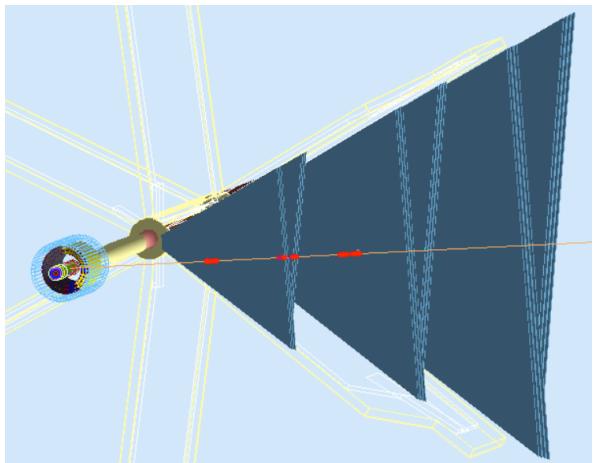
- Working point below critical current of existing SC wires
- Quench protection and static forces are not critical



- Moeller background under control

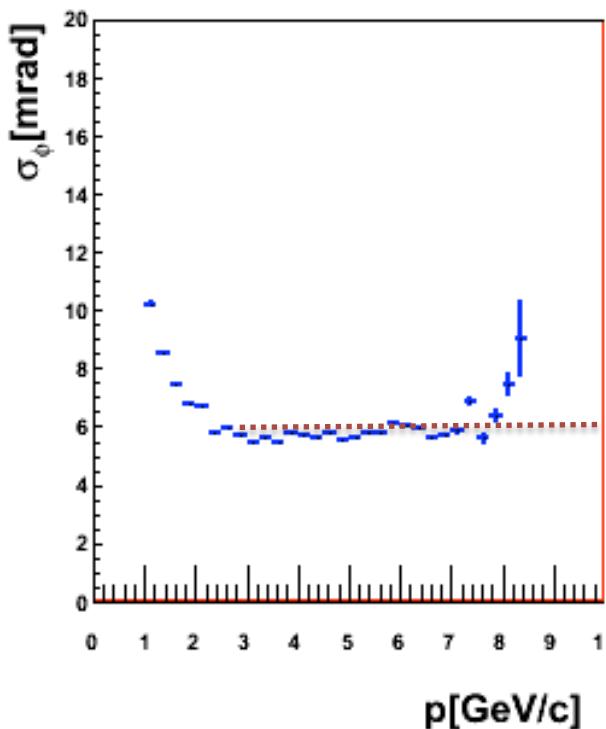
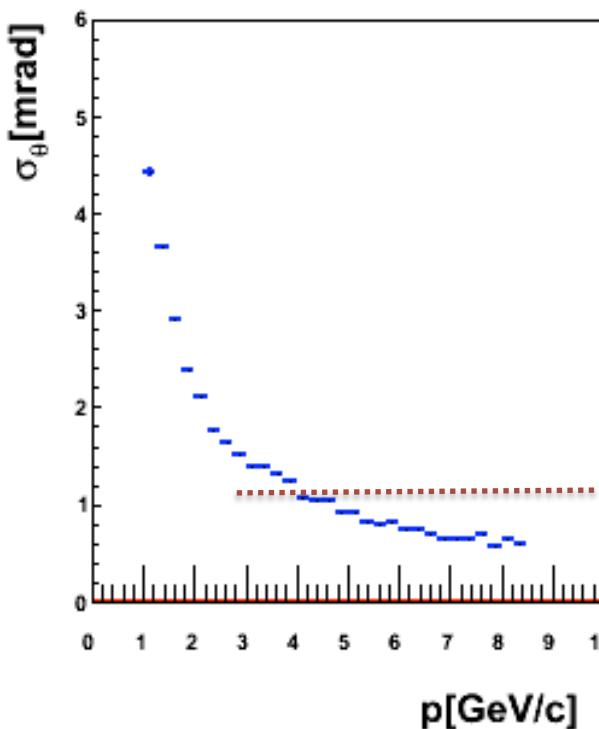
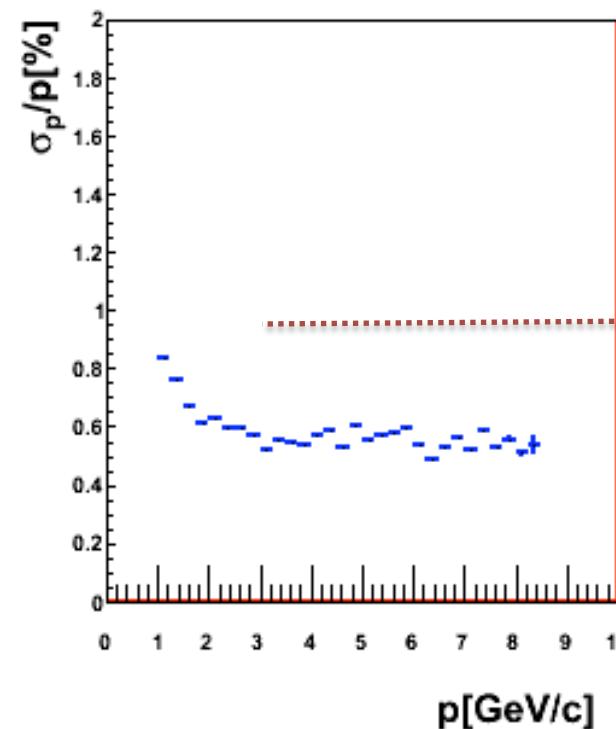
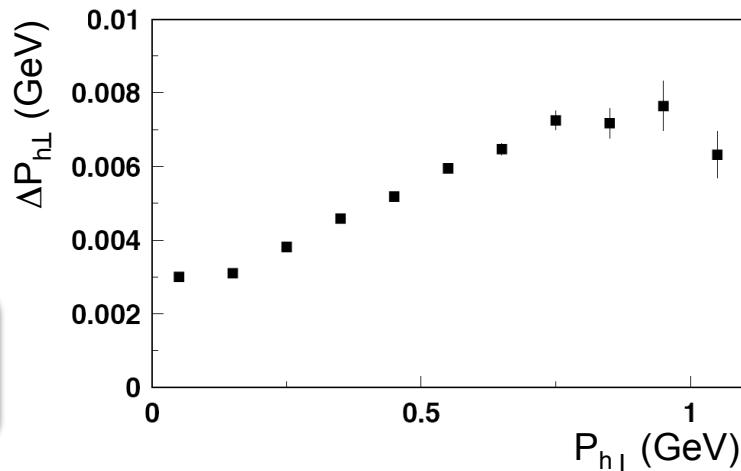


PAC38 question 3: Tracking

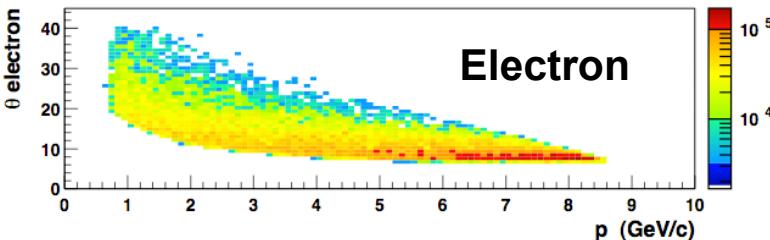


Study based on:
- Geant4 simulation
- Socrates tracking code

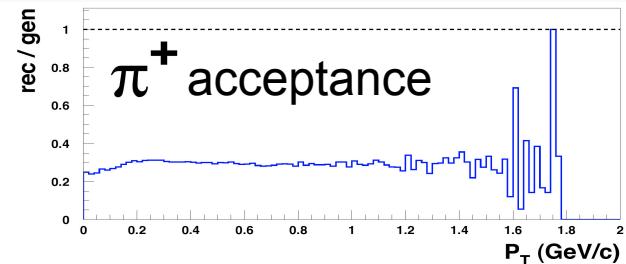
Resolutions fulfill TDR
general specifications



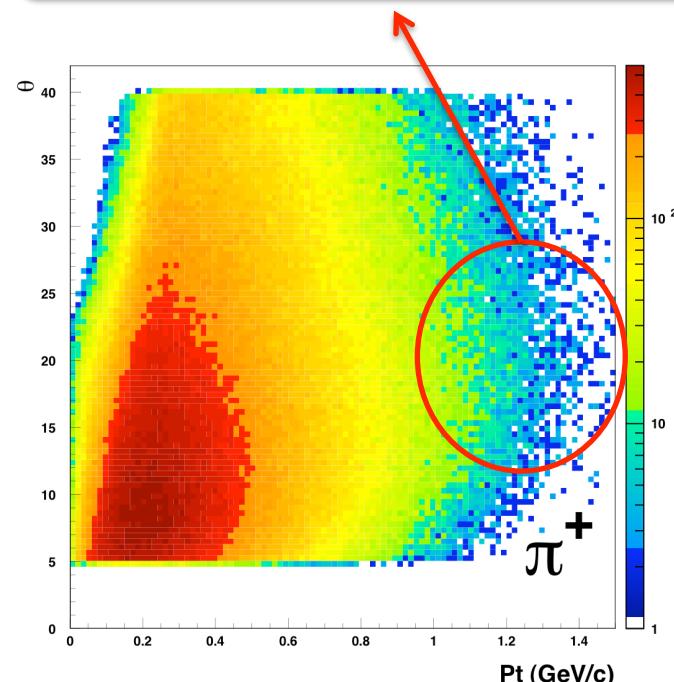
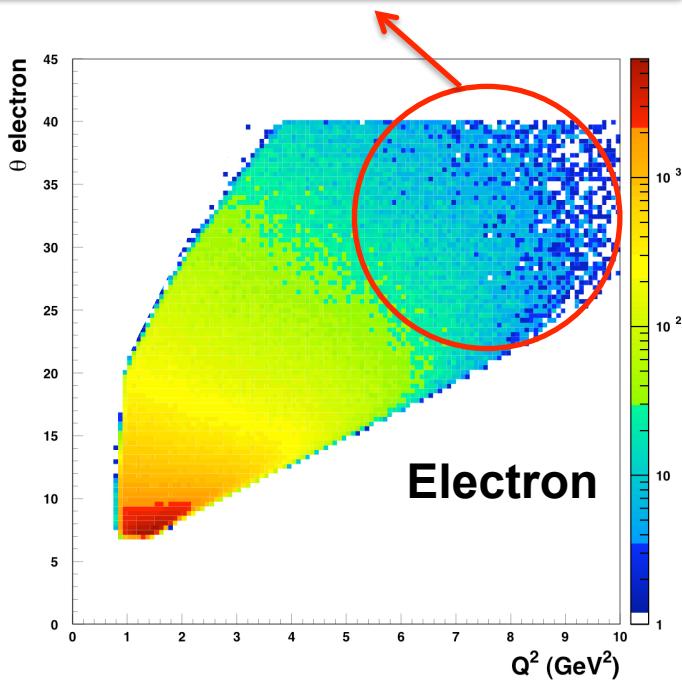
CLAS12 Kinematic Coverage



Large electron scattering angles ($> 20^\circ$)
mandatory to reach high Q^2 values



Intermediate angular range ($15-25^\circ$)
mandatory to reach high P_T values



The CLAS12 forward detector is perfectly suitable for high- Q^2 and high- p_T measurements since designed to cover up to 40 degrees angles

PROJECTIONS

Single- and Double-Spin asymmetries

- **Experiment:** CLAS12 with
HD-Ice transversely polarized target

60 % polarization and 1/3 dilution for Hydrogen @ $5 \cdot 10^{33} \text{ cm}^{-2} \text{ s}^{-1}$

RICH detector for flavor tagging

pions, kaons and protons ID in the 3-8 GeV/c momentum range

- **Event selection:**

$Q^2 > 1 \text{ GeV}^2, x > 0.05$

select DIS region

$W^2 > 4 \text{ GeV}^2, M_X^2 > 2 \text{ GeV}^2$

suppress resonances

$0.10 < y < 0.85$

for high detection efficiency and small radiative corrections

$0.3 < z < 0.7$

select current fragmentation and avoid exclusivity corner

- **Analysis:** in each kinematic bin, the relevant Fourier amplitudes (Collins, Sivers, etc) are extracted simultaneously, thanks to their specific azimuthal dependence, by a Maximum-Likelihood fit unbinned in ϕ, ϕ_S of the yields for opposite spin states

$$p.d.f. = \varepsilon(x, y, z, p_T, \phi, \phi_S) \sigma_{UU}(x, y, z, p_T) / N \times$$

Multiplicative term : irrelevant for balanced spin samples

$$\rho(P) \left\{ 1 + \dots + P \left[A^{Coll}(\lambda_{Coll}, x, y, z, p_T) \sin(\phi + \phi_S) + A^{Siv}(\lambda_{Siv}, x, y, z, p) \sin(\phi - \phi_S) + \dots \right] \right\}$$

Unpolarized terms

Other polarized terms

Systematic uncertainty

Error source	Error type	Uncertainty
Acceptance corrections	relative	2÷4 %
Radiative corrections	relative	2 %
Target polarization	relative	4 %
AI background (dilution)	relative	1÷3 %
D background (dilution)	relative	1÷4 %
Total	relative	5÷8 %

Several 10^{-3} for
0.05-0.1 typical
asymmetries

Estimates based on:

- Experience & methods from CLAS/HERMES measurements

Reduces with statistics and bin number (no long range integrations)

Benefits from the large acceptance (target fragmentation, vector meson decays)

- Current knowledge on HD-Ice target

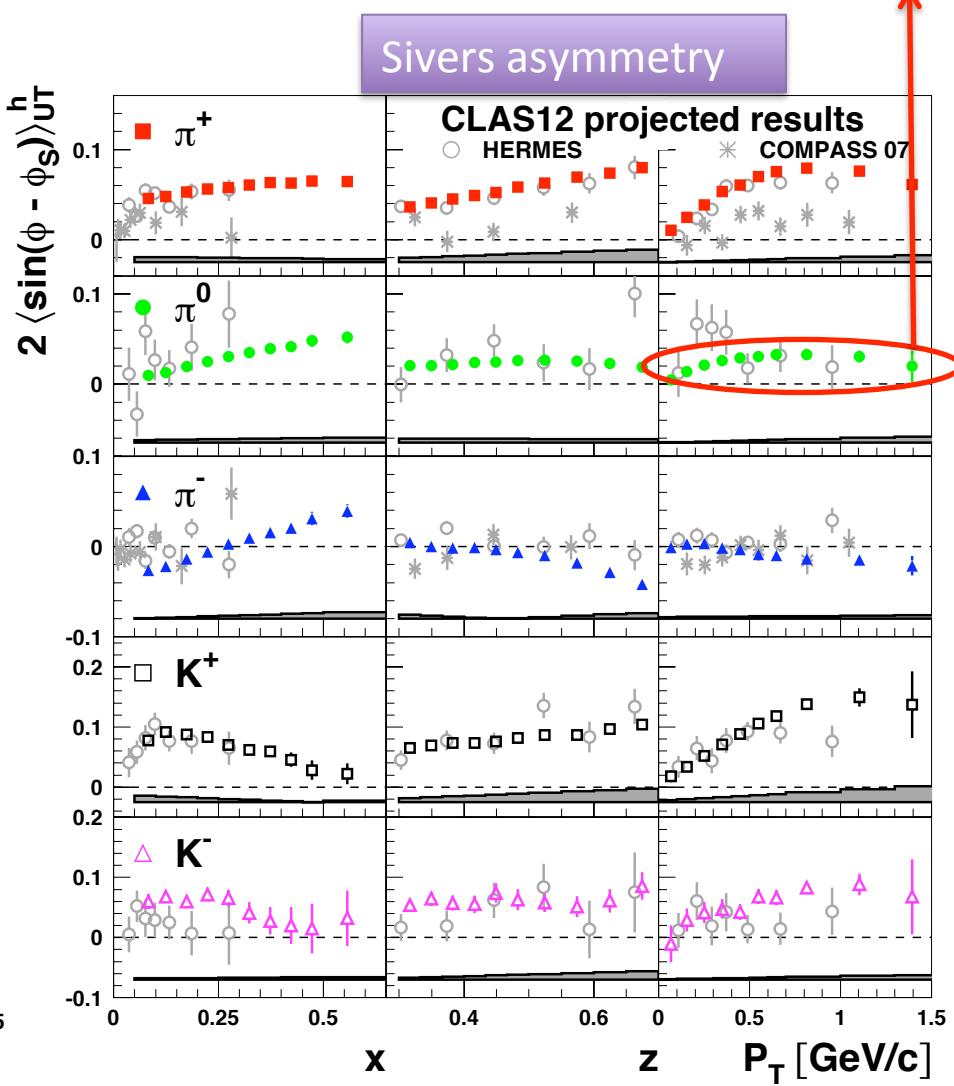
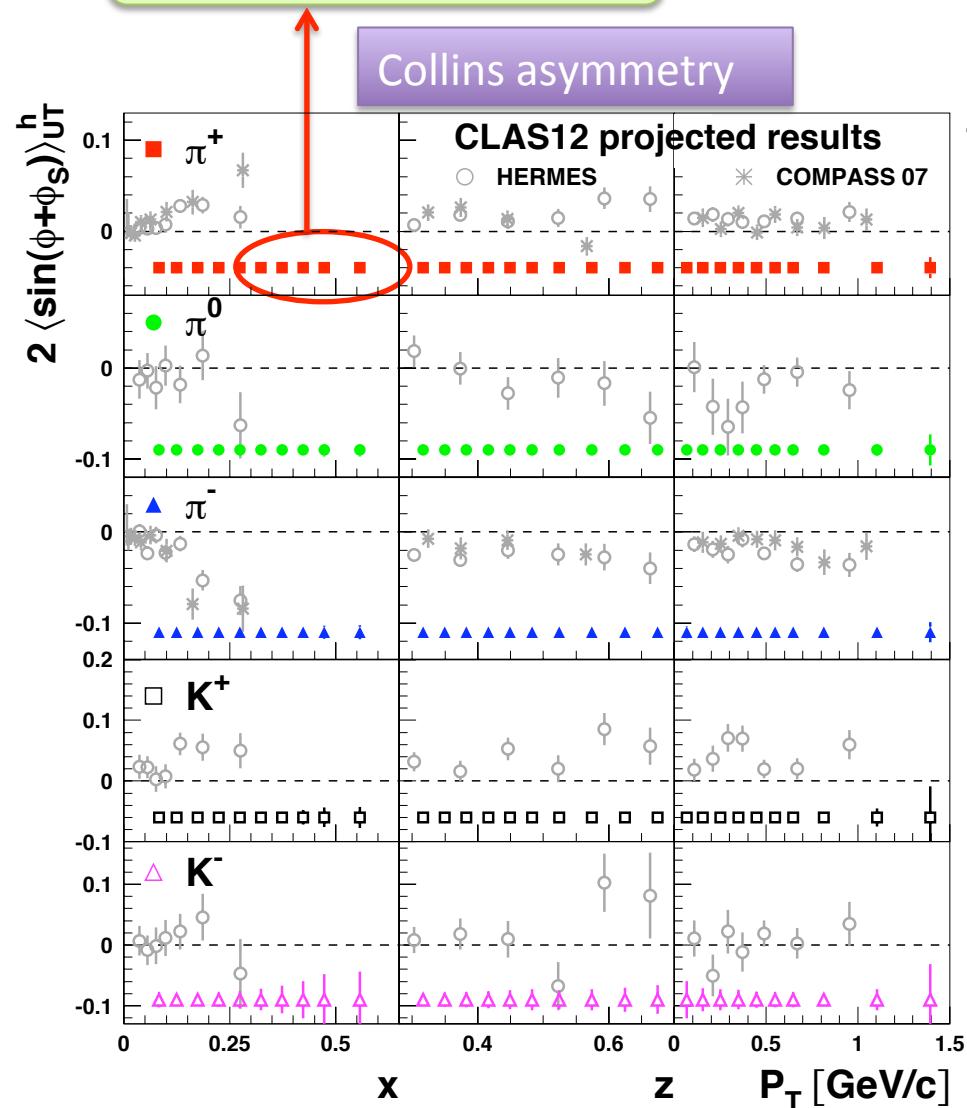
Dominated by uncertainties in transfer losses between cryostats

Optimization after tests in 2012 spring

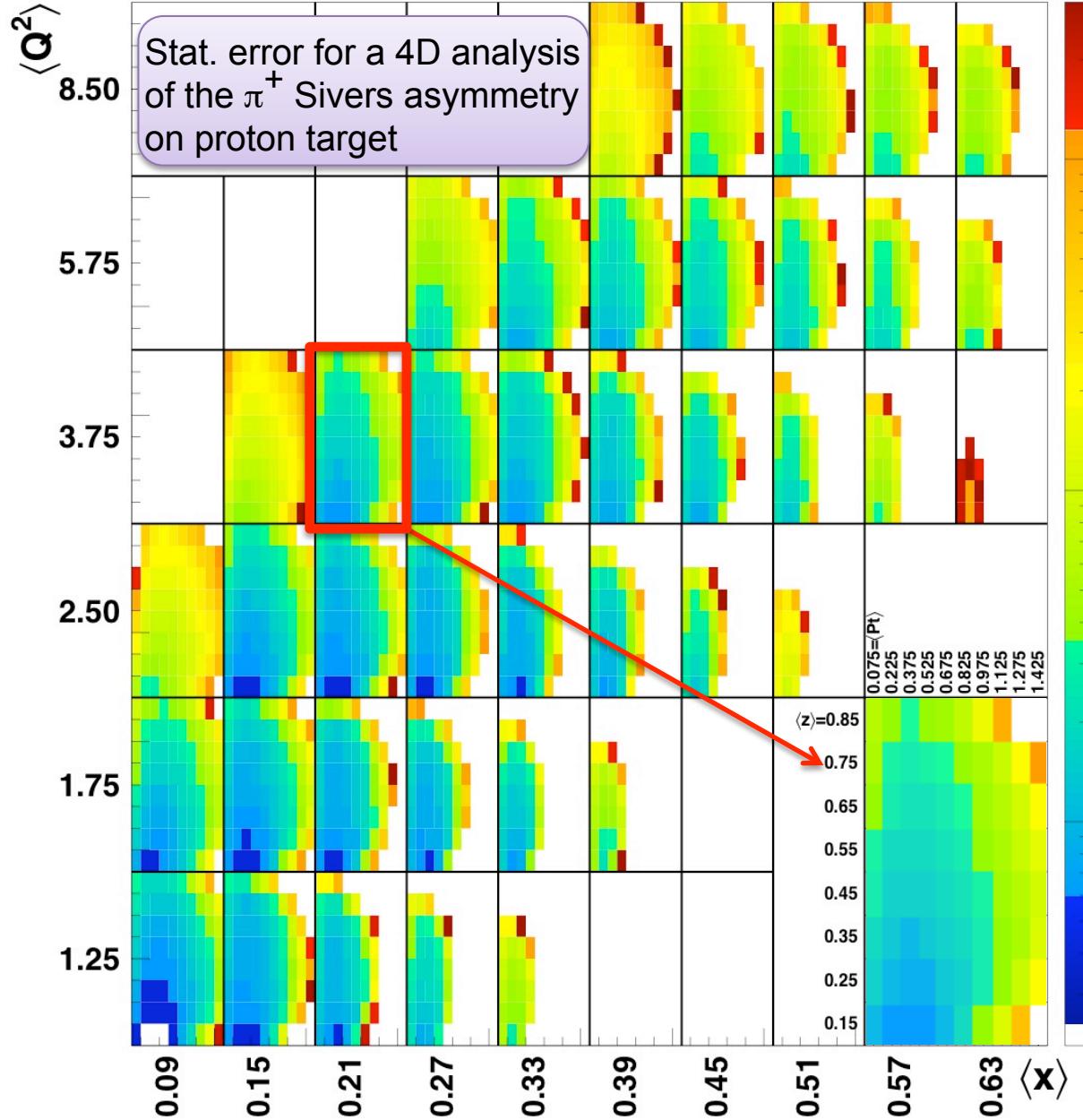
CLAS12 Projections

Large x important to constrain the tensor charge

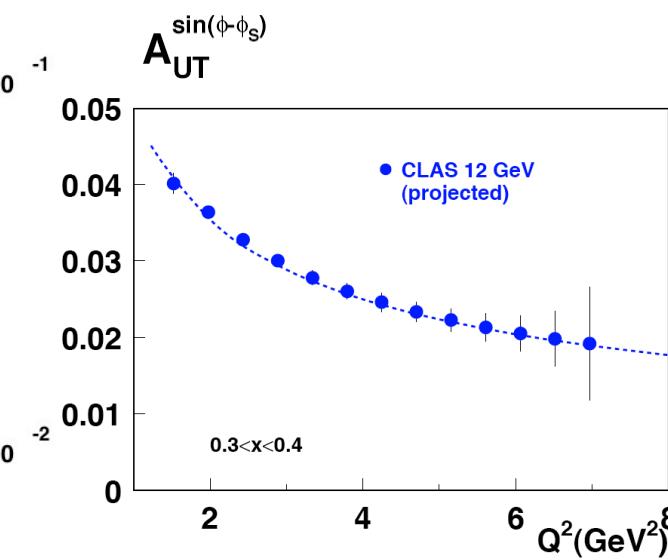
High resolution and broad range in p_T to test perturb. non-perturb. transient and for Bessel function analysis



Statistical precision



4D analysis is possible
Beam-time request is defined to achieve few % absolute error at the wanted high- Q^2 high- p_T



Q^2 dependence of Sivers asymmetry
Test of TMDs evolution

The main goals

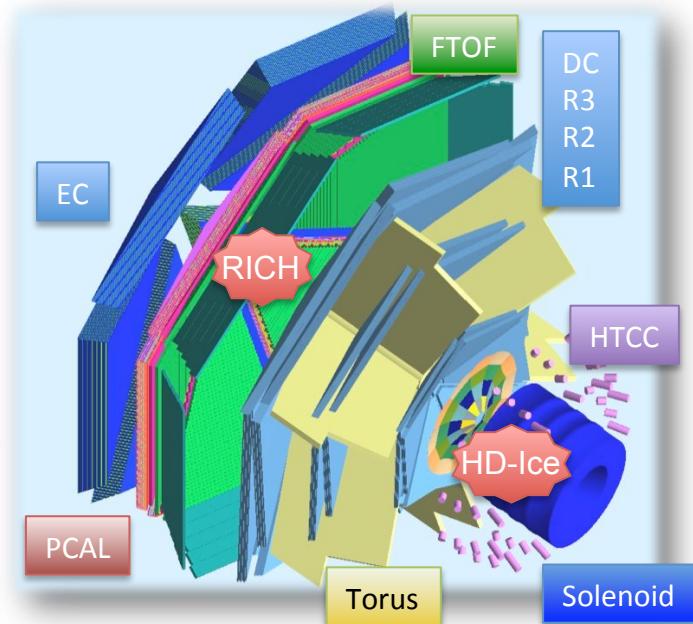
Transverse spin effects in SIDIS at 11 GeV with transversely polarized target using the CLAS12 detector

- Access to leading-twist poorly known or unmeasured TMDs which provide 3-dimensional picture of the nucleon in momentum space (nucleon tomography);
 - * SSA: *Transversity, Sivers, Pretzelosity functions*;
 - * DSA: *g_{1T} worm-gear function*;
- Multi dimensional analysis in x , Q^2 , z , p_T thanks to large-acceptance and high-luminosity;
 - * *precise mapping of the valence* (tensor charge);
 - * *disentangle parton distribution from fragmentation functions* (x vs z);
 - * *isolate sub-leading-twist effects* from $1/Q$ dependence (g_2 as side product) ;
 - * *flavor decomposition of p_T dependence* (Bessel analysis);
 - * *investigate perturbative to non-perturbative QCD transient* from p_T dependence;
- Together with already approved experiments with unpolarized and longitudinally polarized targets, *complete the mapping of the TMD table at CLAS12*.

Beam time request

The proposed experiment requires:

- 11 GeV (highly polarized) electron beam
- CLAS12 detector equipped with:
 - HD-Ice transversely polarized target
 - Suitable magnetic system (compensation + saddle coil)
 - RICH (pion/kaon separation within 3-8 GeV/c)



In order to reach the desired statistical precision at high- Q^2 and high- p_T (perturbative limit) for both pions and kaons, and to allow a fully differential analysis in x, Q^2, z, p_T

we ask the PAC to award 110 days of beam time

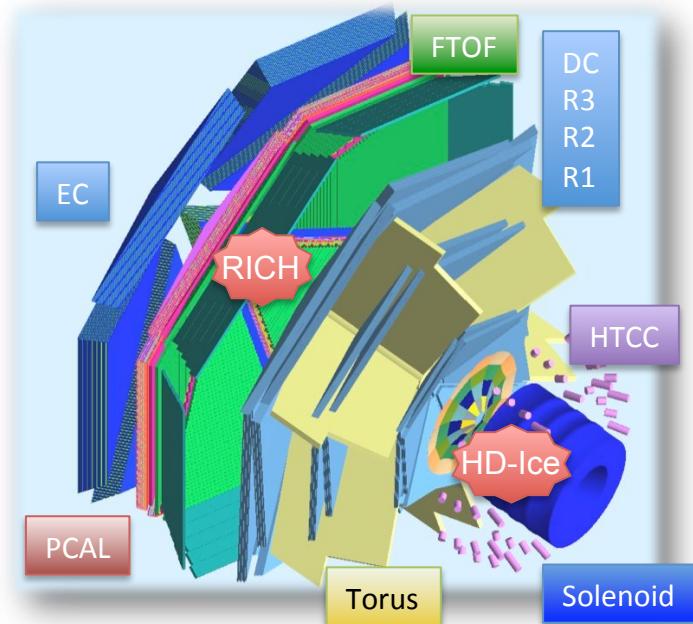
(including 10 days for calibrations, empty target runs, supportive tests, etc.)

BACKUPS

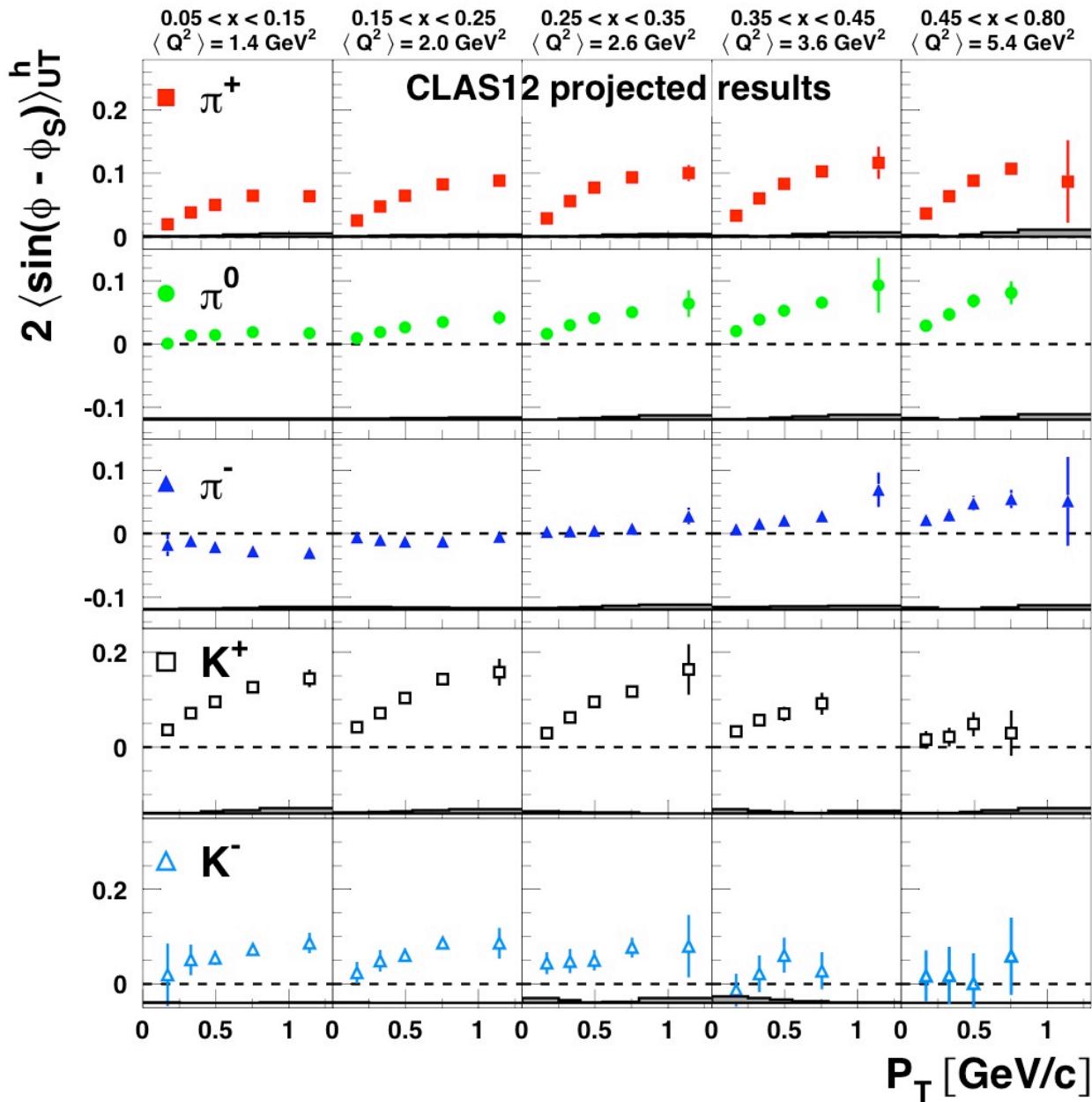
Requirements

The proposed experiment requires:

- Control over background contributions:
 - nuclear background
 - vector meson decays
 - target fragmentation
- Full kinematical coverage:
 - large pT (link to perturbative regime + Bessel extraction)
 - large Q^2 (control on higher-twists)
- Particle ID:
 - kaons versus pions
 - π^0 versus charged pions
 - di-hadrons



2D Projections



BGMP: extraction of k_T -dependent PDFs

Need: project x-section onto Fourier mods in b_T -space to avoid convolution

$$\int_0^\infty d|P_{h\perp}| |P_{h\perp}| J_0(|P_{h\perp}| |b_T|) \left[\frac{d\sigma}{dx_B dy d\phi_S dz_h d\phi_h |P_{h\perp}| d|P_{h\perp}|} \right]$$

$$S_\pi^{unp\pm}(x_i, z_i, b_{Tj}) = \sum_{i=1}^{N_\pi^+ / N_\pi^-} J_0(b_{Tj} P_{Ti}) / \eta_i / A(x_i, y_i)$$

acceptance

$$A(x, y) = \frac{\alpha^2}{x_B y Q^2} \frac{y^2}{(1 - \varepsilon)} \left(1 + \frac{\gamma^2}{2x_B} \right)$$

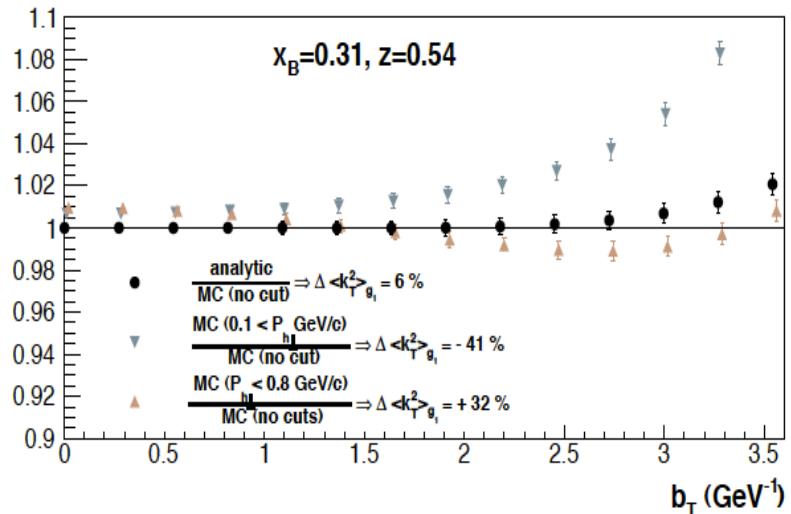
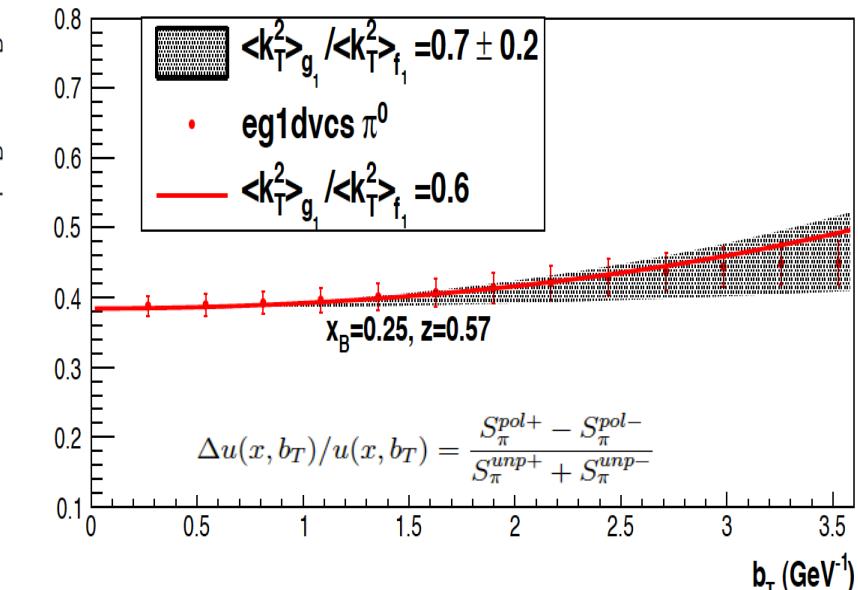
$$\tilde{f}_1^q(x, z^2 b_T^2) \tilde{D}_1^{q \rightarrow \pi}(z, b_T^2)$$

$$\int_0^{2\pi} d\phi_h \sin(\phi_h - \phi_S) \int_0^{\inf} d|\mathbf{P}_{h\perp}| |\mathbf{P}_{h\perp}| \frac{2J_1(|\mathbf{P}_{h\perp}| |b_T|)}{z M_h |b_T|} \left[\frac{d\sigma}{dx dy dz d\phi_h |\mathbf{P}_{h\perp}| d|\mathbf{P}_{h\perp}|} \right] \text{Ratio}$$

$$\tilde{f}_{1T}^{\perp(1)q}(x, z^2 b_T^2) \tilde{D}_1^{q \rightarrow \pi}(z, b_T^2)$$

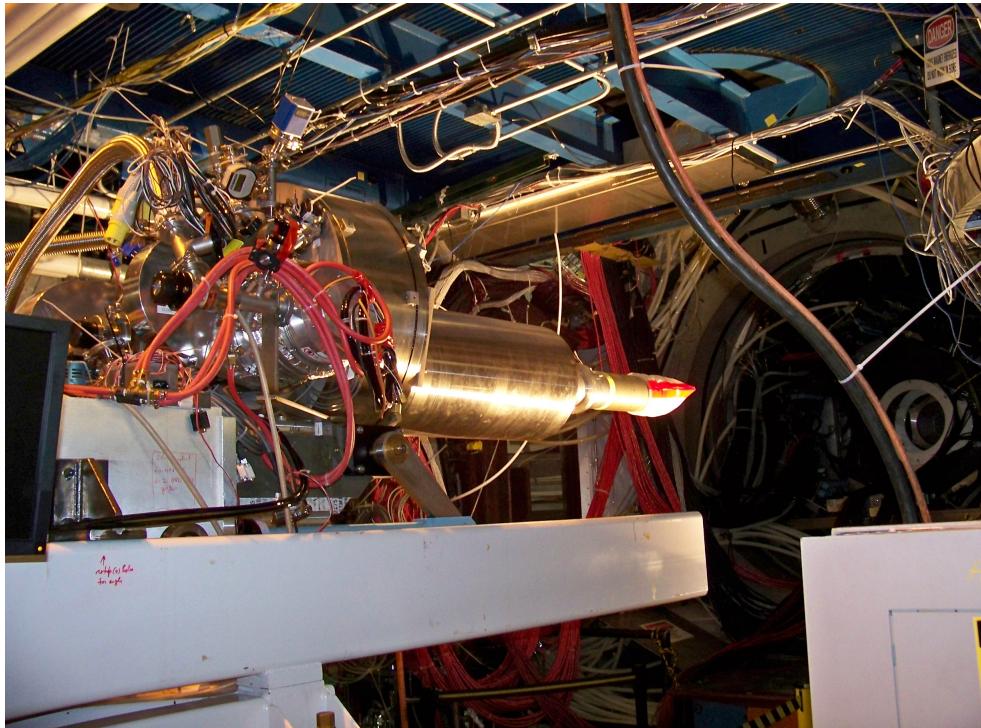
- the formalism in **b_T -space** avoids convolutions
→ easier to perform a model independent analysis of TMDs

Boer, Gamberg, Musch & Prokudin arXiv:1107.5294

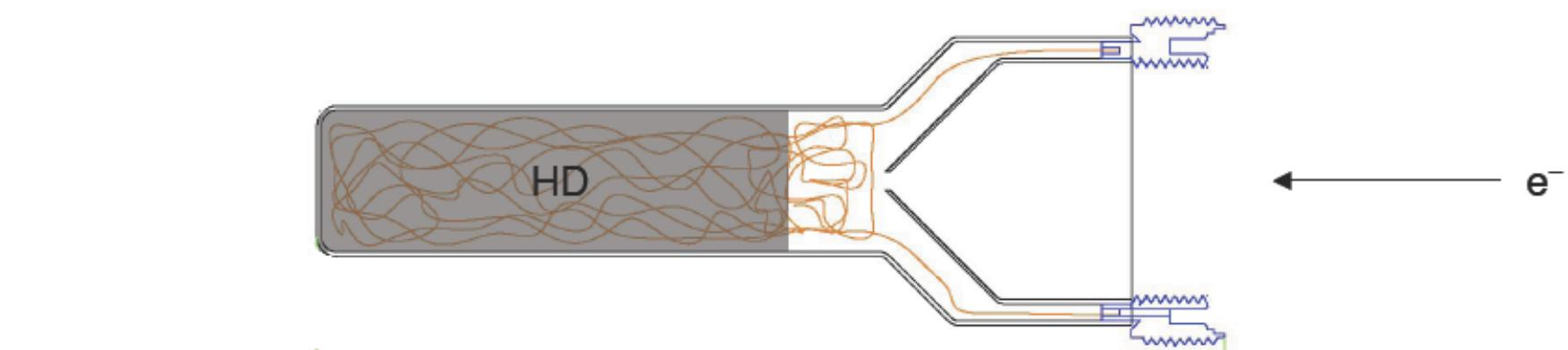
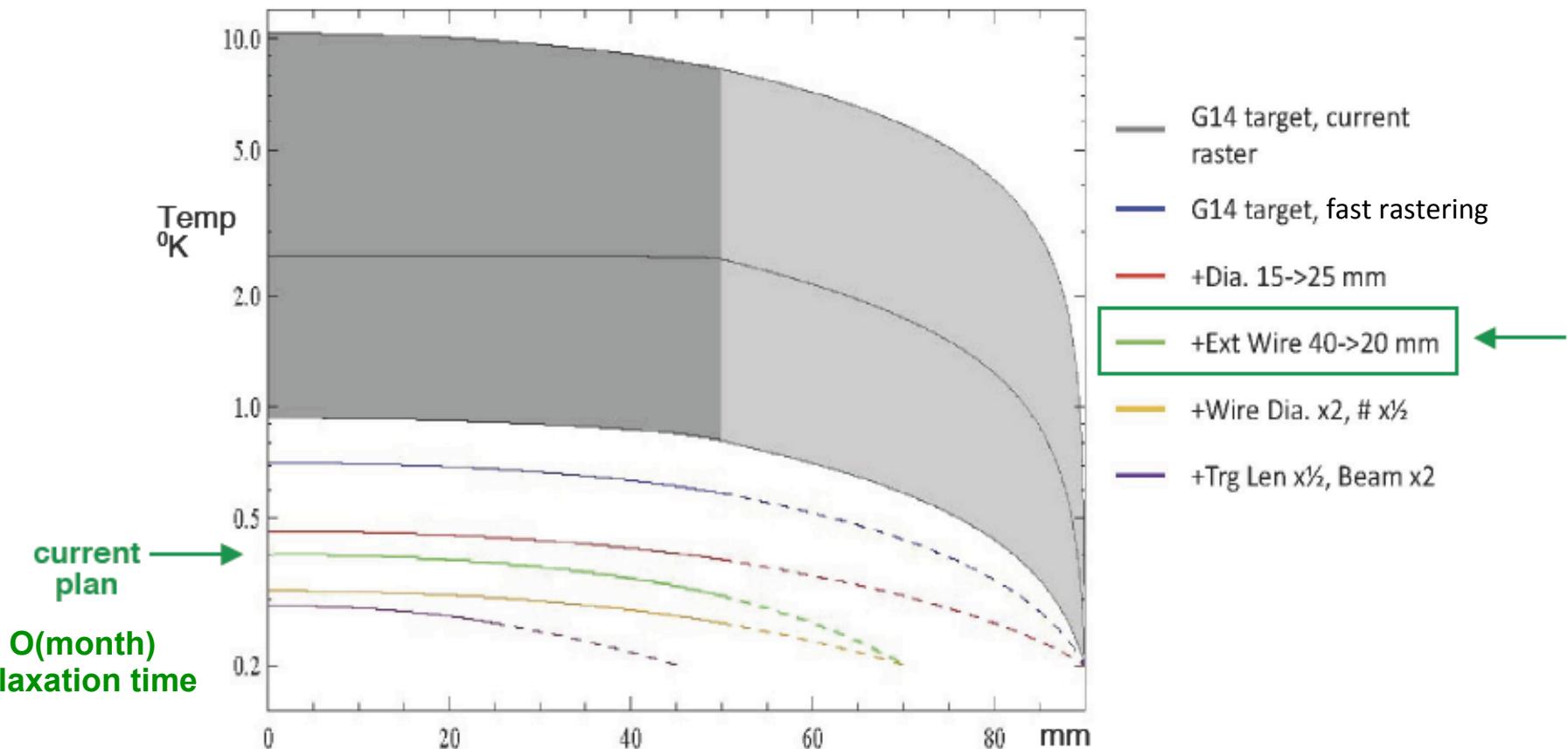


HDice operations during g14 / E06-101

- *HD targets condensed, polarized and aged to the Frozen-Spin state in HDice Lab (TestLab annex)*
 - *transferred as solid, polarized HD between cryostats; moved to Hall B*
 - *In-Beam Cryostat (IBC) operates in Hall at 50 mK, 0.9 tesla*
 - *g14 ran from Nov/11 to May/12 with 15mm Ø × 50mm long HD cells*
 - *γ-beam lifetimes ~ years with 10⁸ γ/s*
 - *HD targets used for eHD tests in Feb/12 and Mar/12*
- ➔ *H polarization does not appear to suffer radiation damage with 1 nA; D does*
- ➔ *heat removal needs improvement – faster raster, larger diameter cell, additional cooling wires, ...*



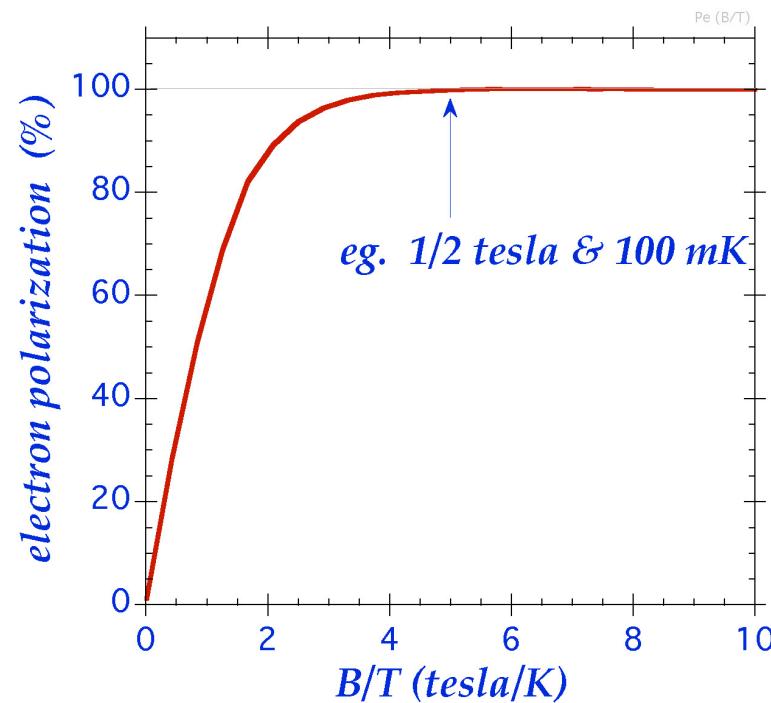
Peak HDice Target Temperature versus Position along Beam
due to 2.5 mW beam-heat from 1 nA electrons



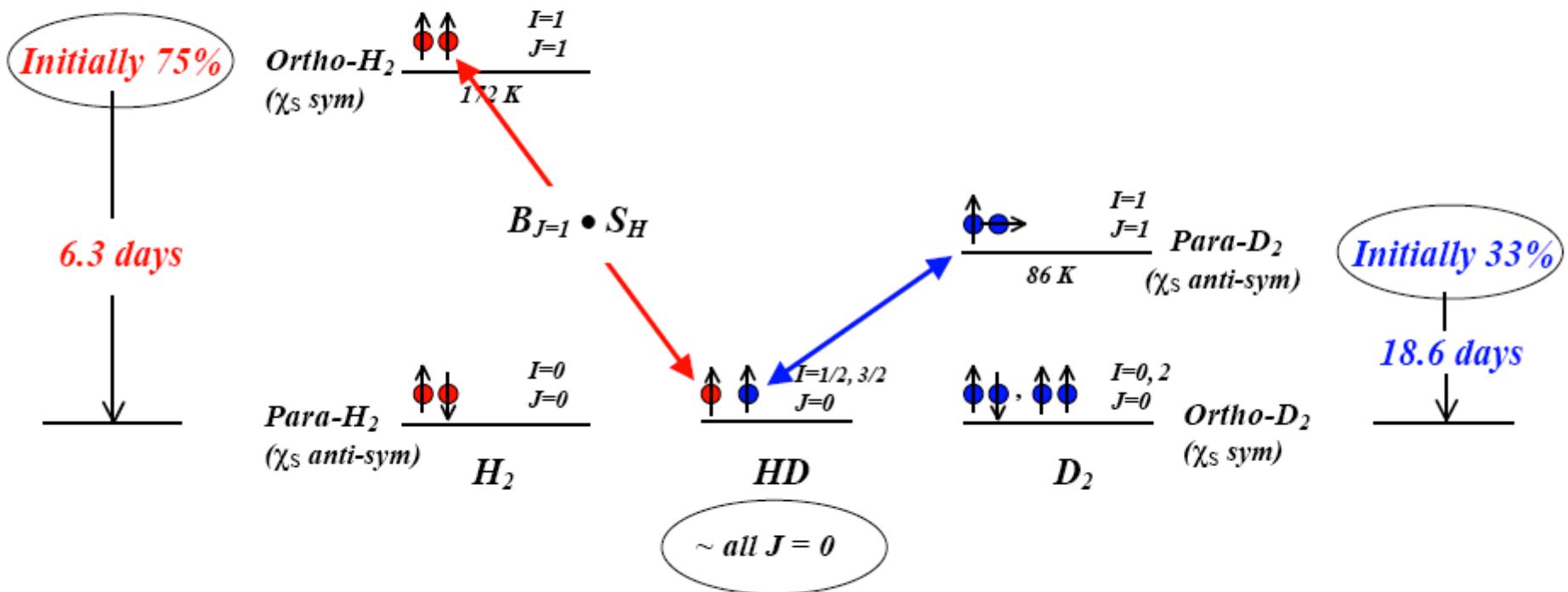
Potential for transverse HD with e⁻

eg. 2.5 mW of beam heating for each 1nA of e⁻ on 5 cm of HD

- low temperatures not required to hold HD spins
(polarization mechanism very different from DNP)
 - paramagnetic centers / ionized electrons
will have no effect if they are polarized
- requires only *short* ~1/2 tesla fields
 - field uniformity not important for HD
 - $BdL \sim 0.1 Tm$ → no beam deflection
- requires sufficient cooling to maintain
a few hundred mK
 - tests with Roots circulation in May/12

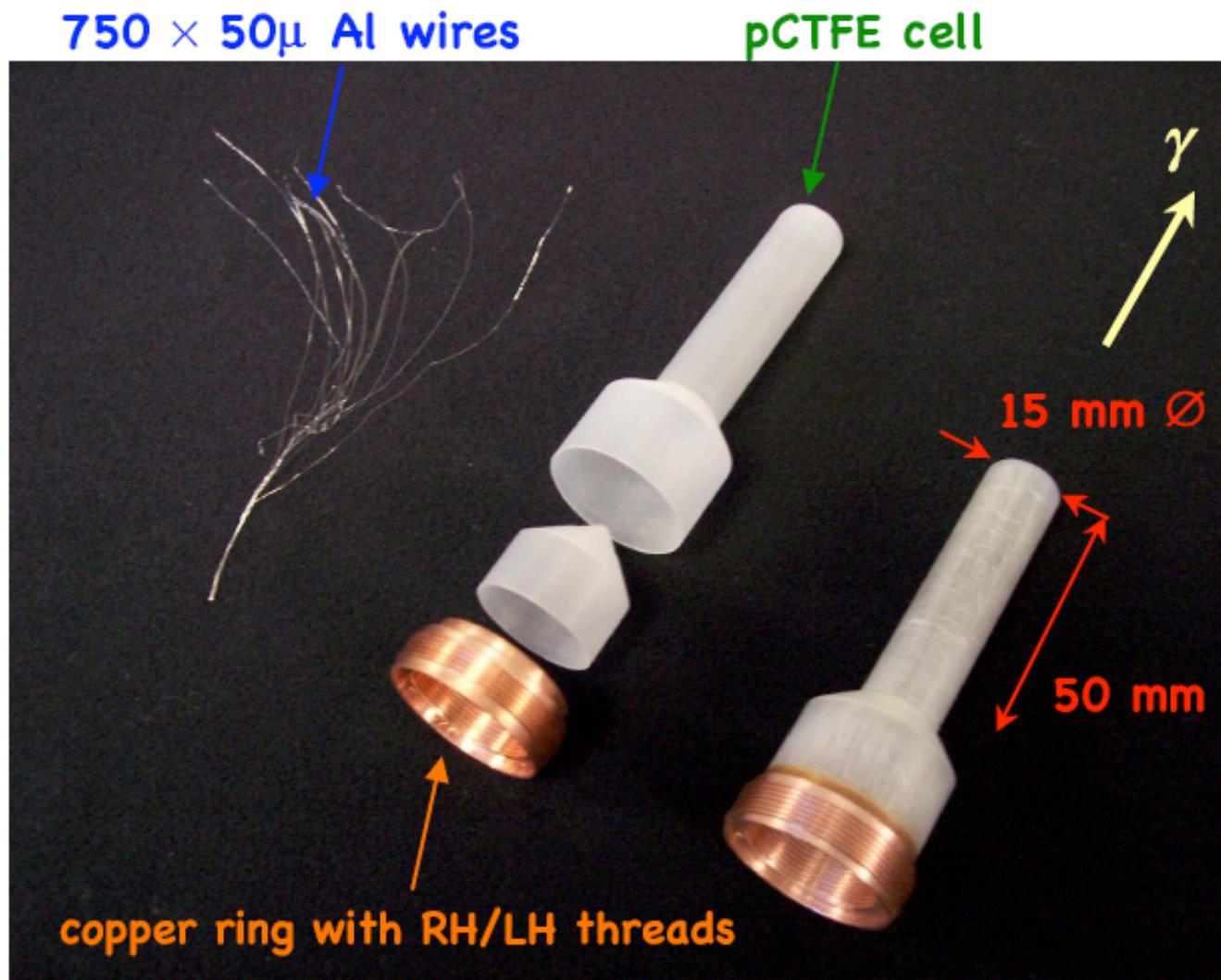


*External Magnetic field rapidly aligns **Ortho-H₂** and **Para-D₂**
then spins exchange with **H** and **D** in **HD***



- relaxation switch – A. Honig, Phys. Rev. Lett. **19** (1967).

- HDice target cells:



- material in the beam path:

77% HD + 17 % Al + 6% pCTFE (remove with vertex cuts)

High field/low temperature TE limit :

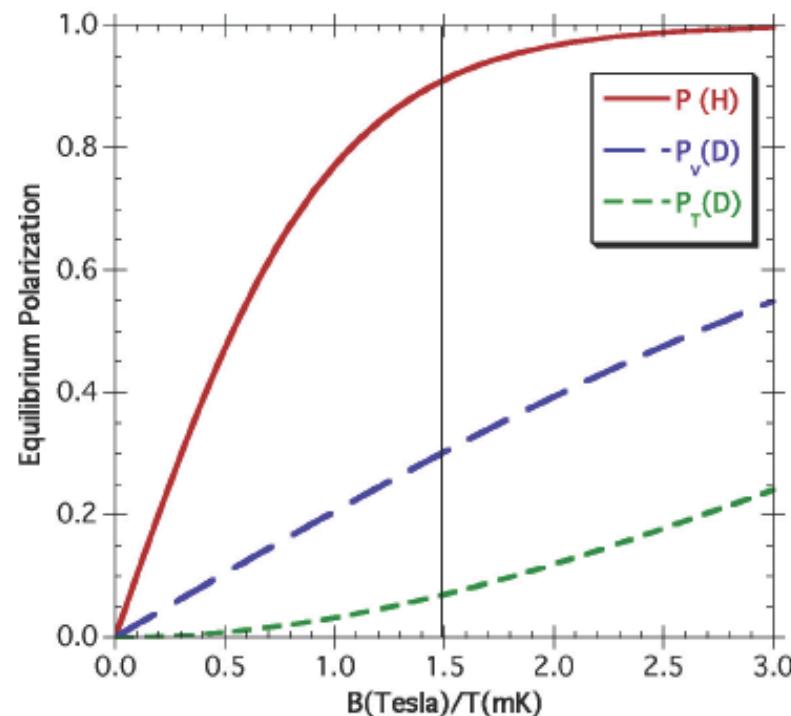
- $15T/10\text{mK} \Rightarrow P(\text{H}) = 90\% ; P(\text{D}) = 30\%$

But:

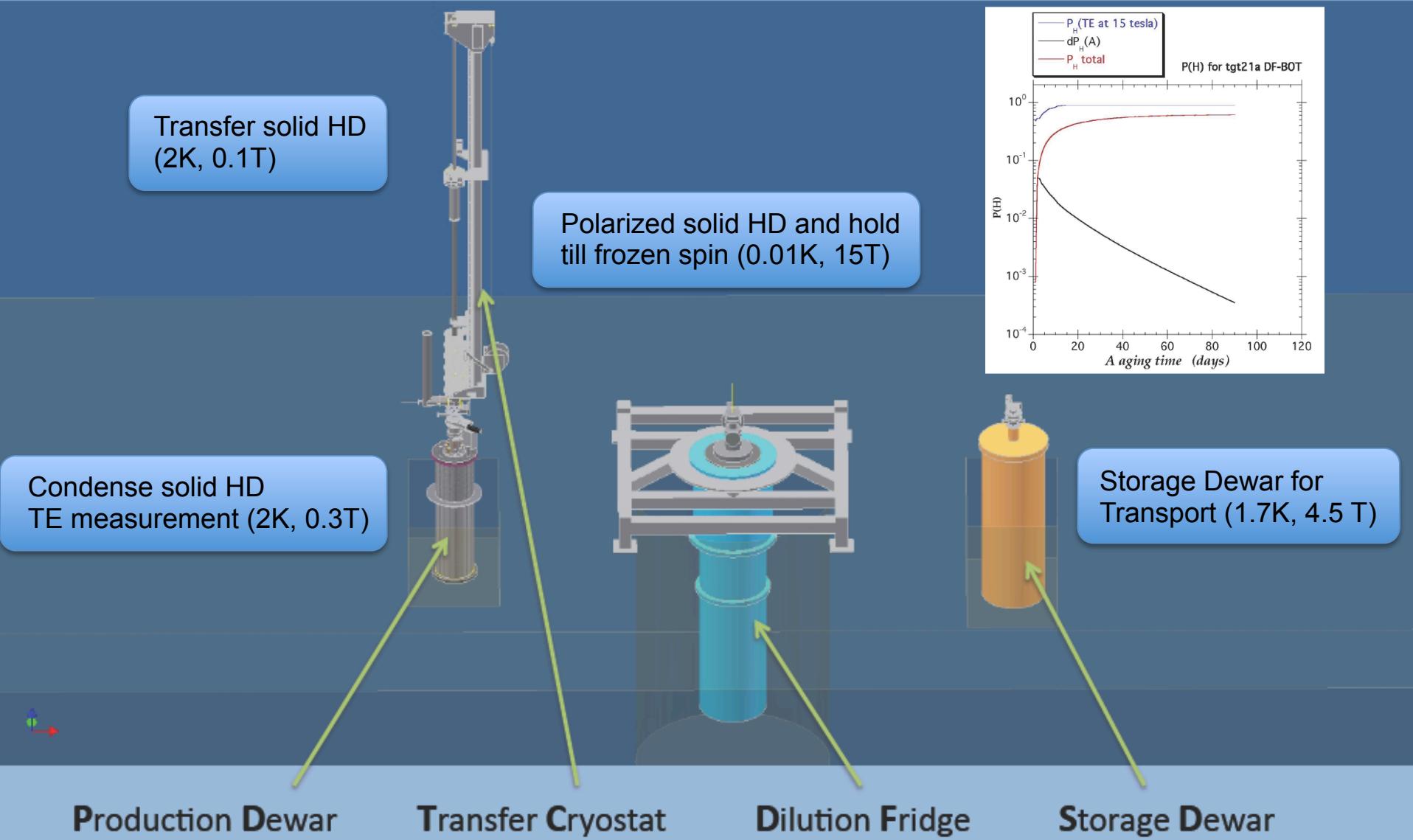
- time to TE = relaxation time $\propto B/T$
 - \Rightarrow very long at High B/Low T
 - \Leftrightarrow keeps getting longer as o-H₂ & p-D₂ J=1 impurity states decay
 - \Leftrightarrow would need to increase J=1 states to provide time to reach TE
- heat from J=1 o-H₂ & p-D₂ decays must be carried away in order to reach TE
 - heat conducted through HD via phonons
 - limited by scattering from J=1 rotational states in o-H₂ & p-D₂ impurities
 - \Leftrightarrow HD can't reach low temperature until J=1 impurities decay
- \Rightarrow these two effects fight each other and reach a balance that is almost independent of initial o-H₂ & p-D₂ concentrations:

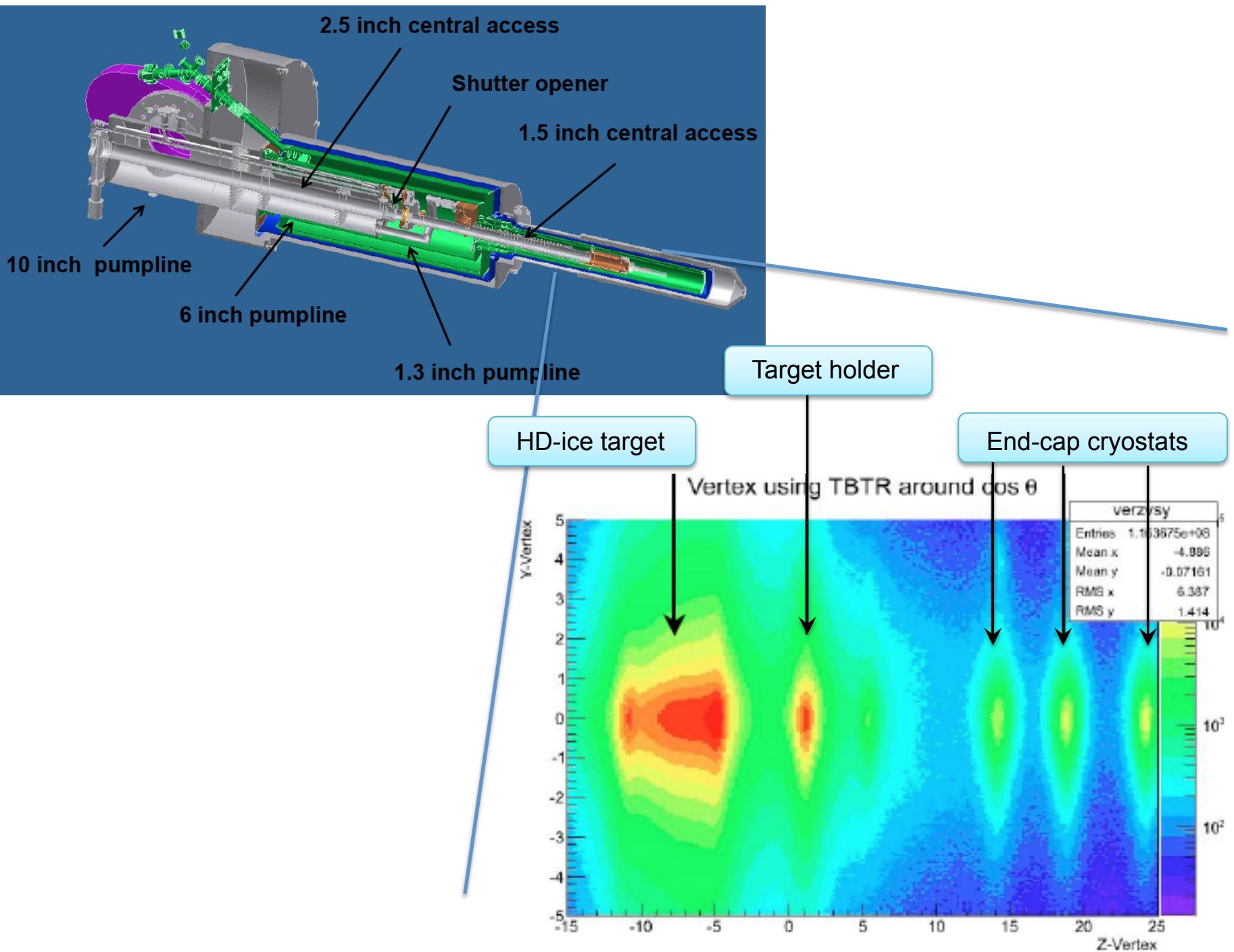
\Rightarrow

$$P(\text{H}) \sim 60 \pm 5\% ; P(\text{D}) \sim 15 \pm 7\%$$



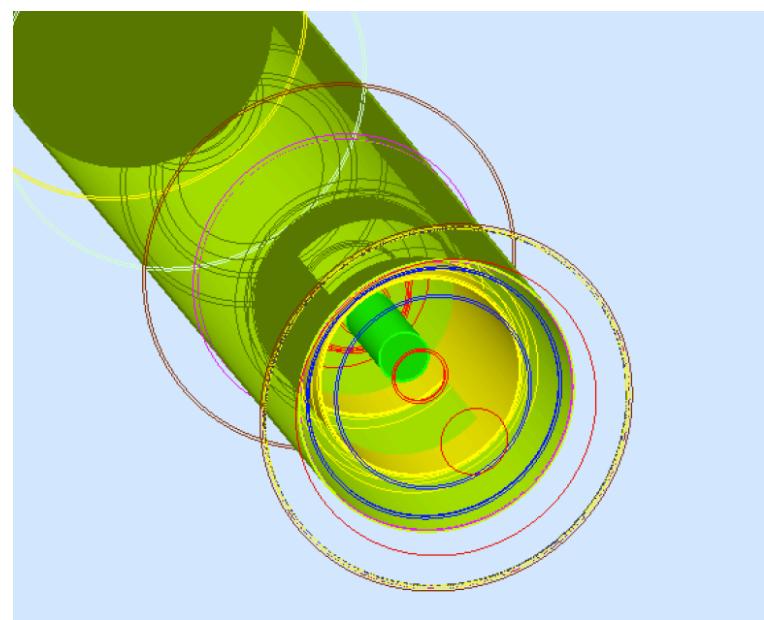
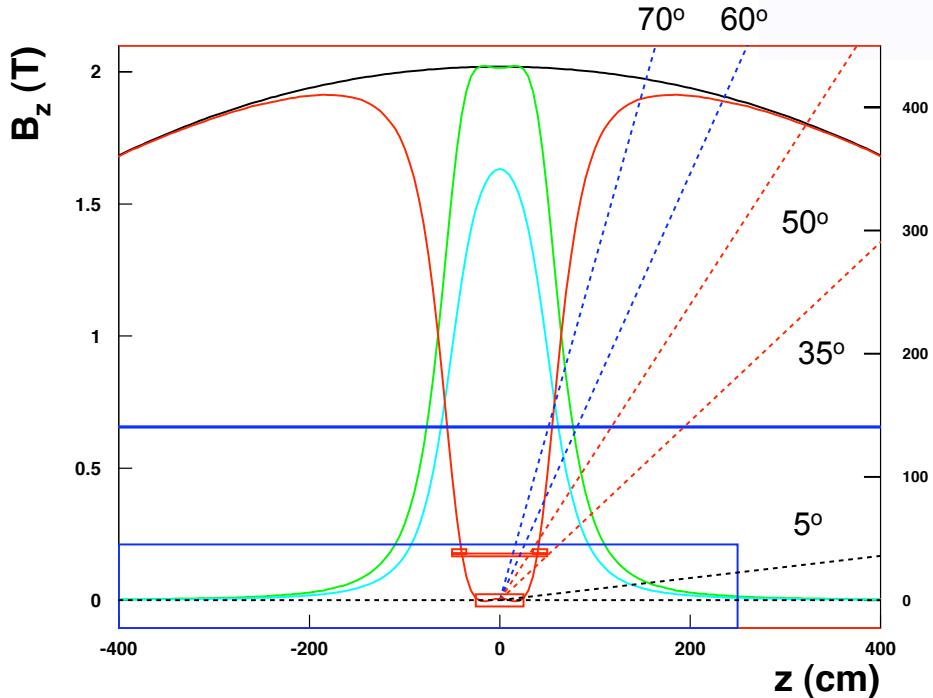
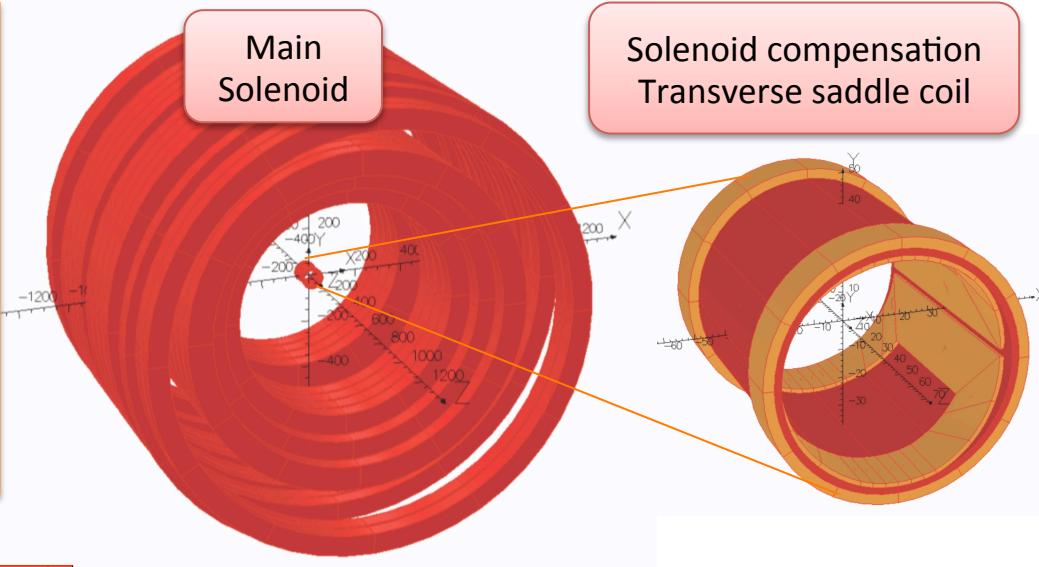
Cryostats used in HD Target Production



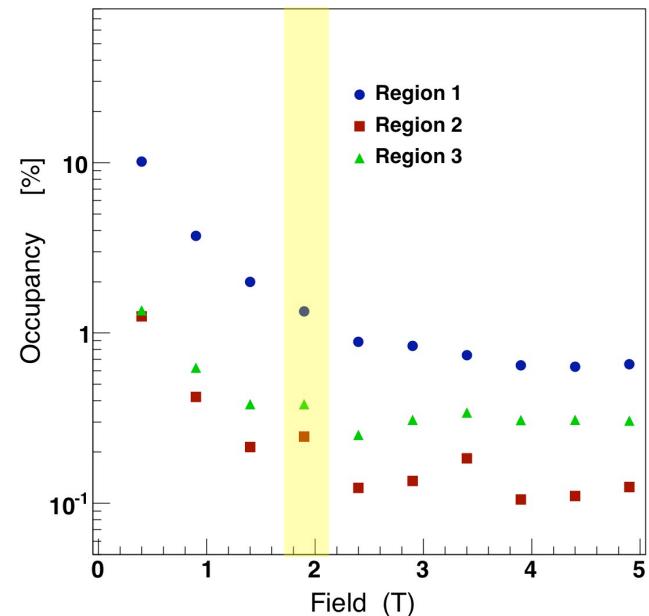
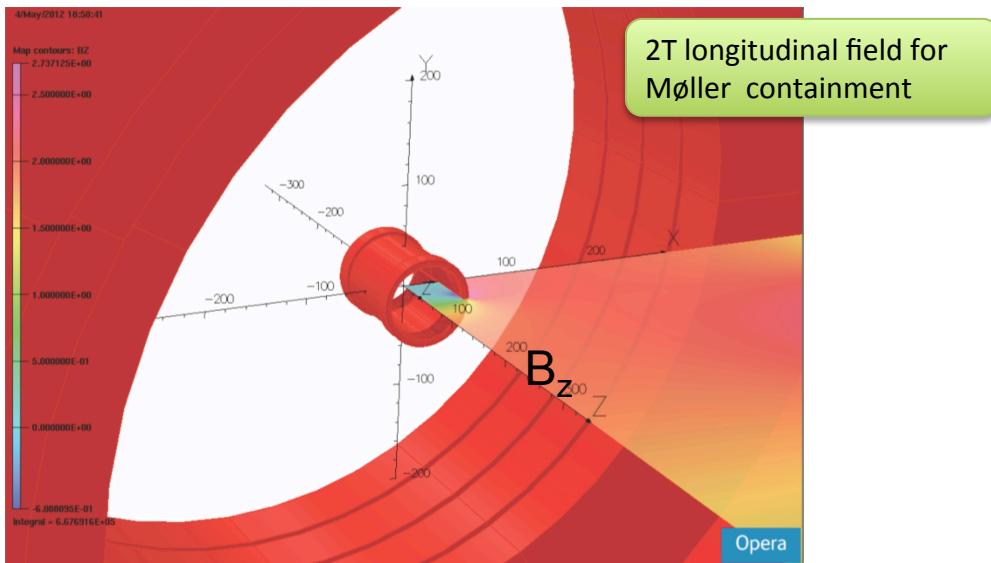


Question 2: Magnet Configuration

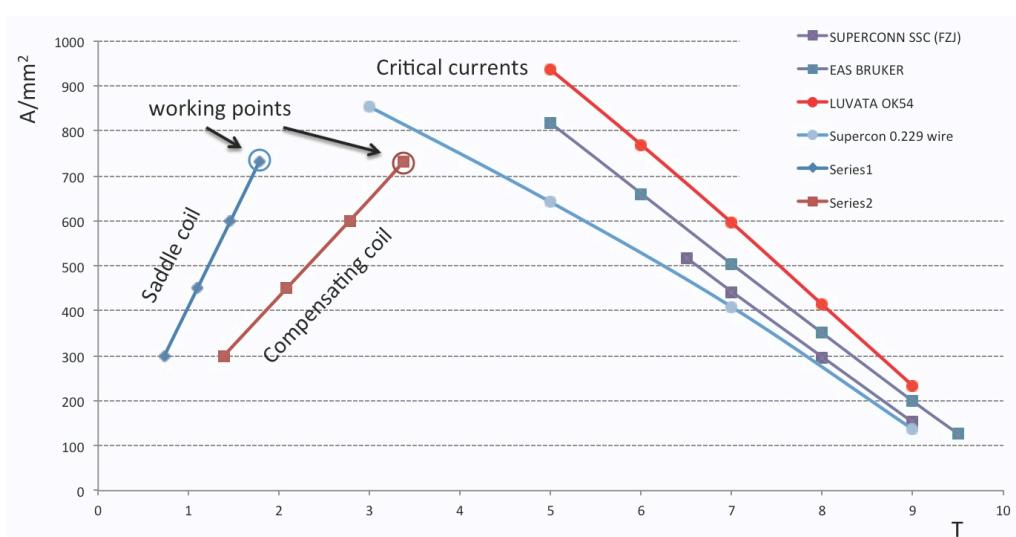
- 2T compensating, 0.5T transverse field
- Enhanced version of the existing NMR magnet system inside HD-ice cryostat
- Free forward acceptance (up to 35°)
- Recoiling proton detection ($>0.4 \text{ GeV}/c$)
- No impact on CLAS12 central detector



Question 2: Magnet Configuration



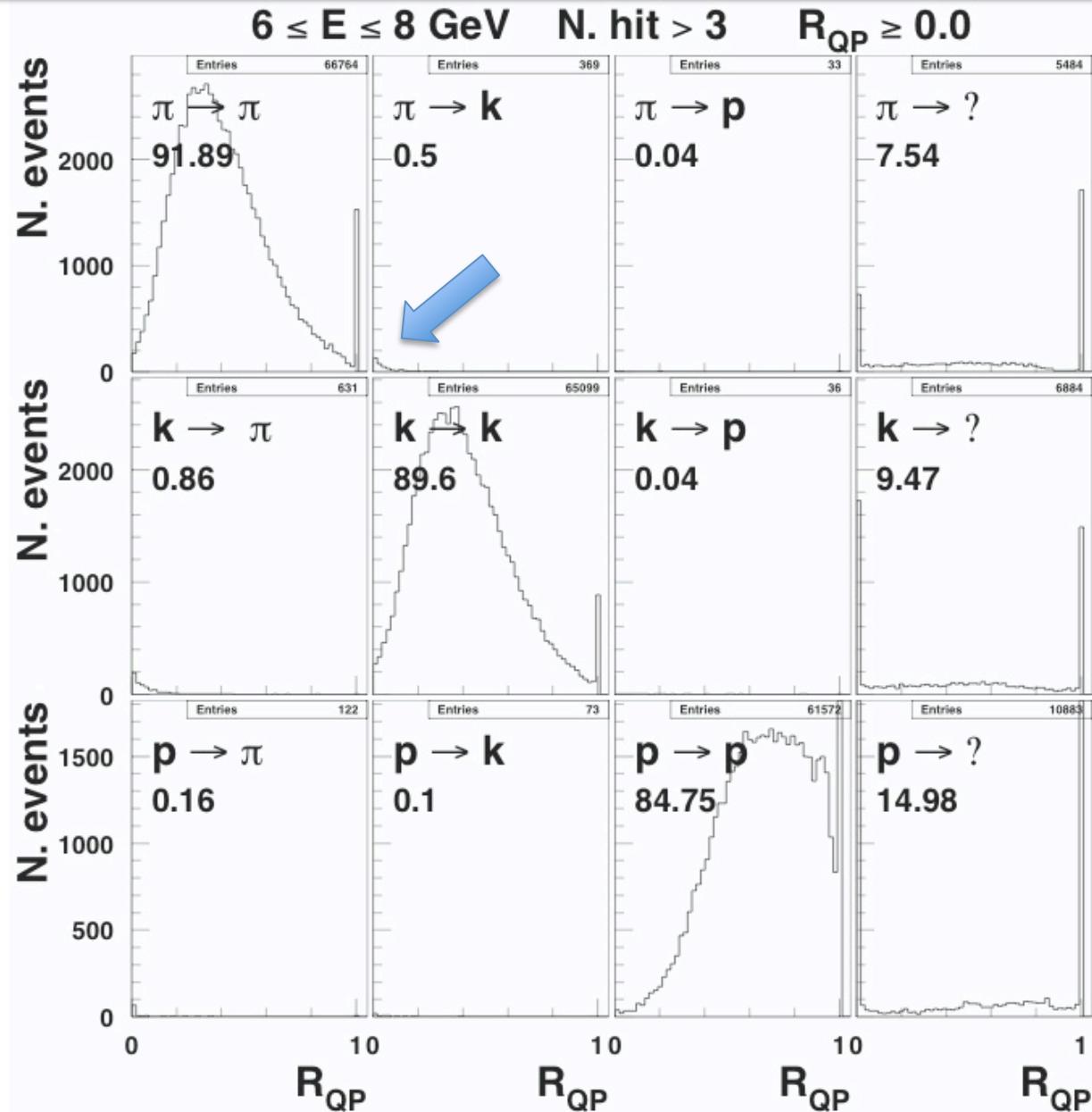
- Good homogeneity (< 5mT long. field)
- Moeller background under control
- Working point below critical current of existing SC wires
- Dimensioned for standard quench protection
- Static forces one order of magnitude smaller than G10 epoxy tensile strength



RICH Performances

Realistic optical effects

- mirror reflectivity
- Rayleigh scattering

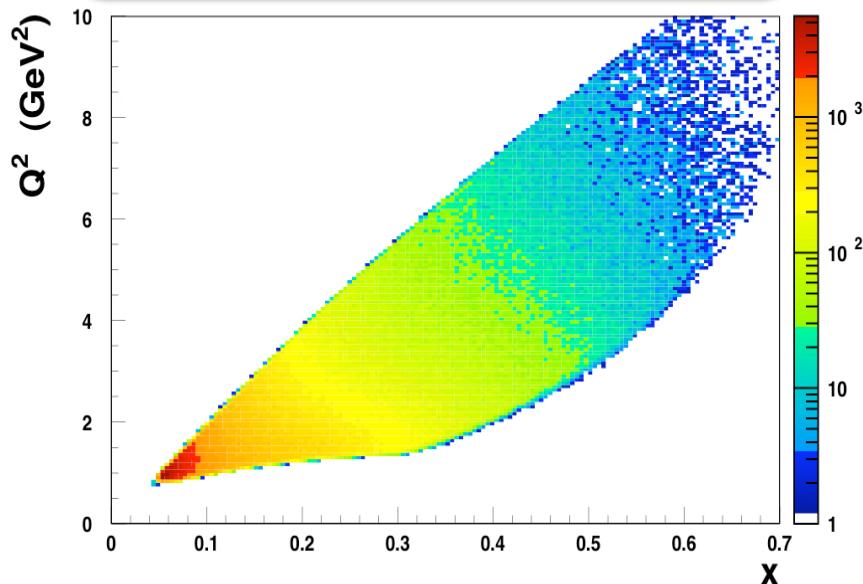


CLAS12 Kinematic Coverage

$0.05 < x < 0.6$

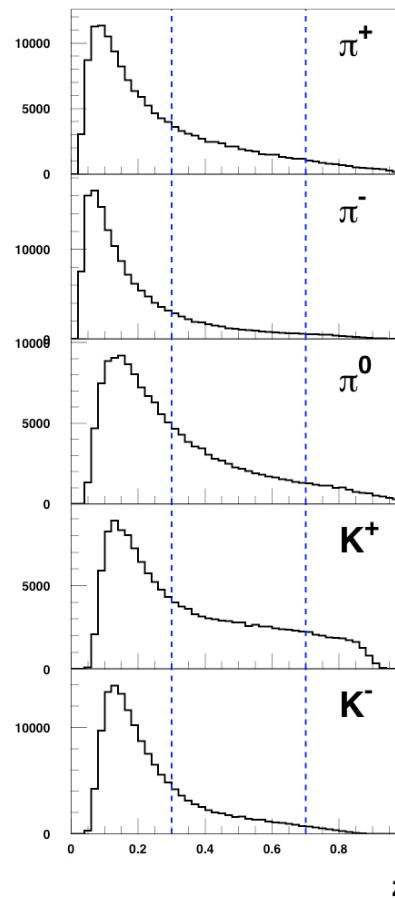
for $Q^2 > 1 \text{ GeV}^2$ and $W^2 > 4 \text{ GeV}^2$

Cover valence region at several GeV Q^2
Constrain sub-leading twist terms



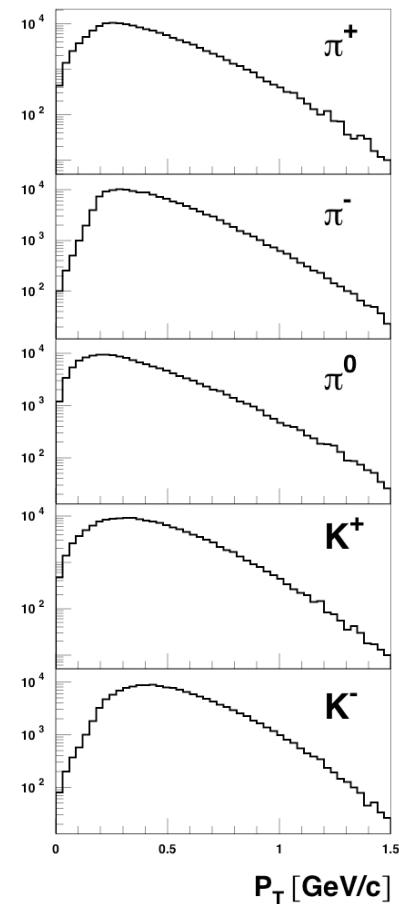
$0.3 < z < 0.7$

Current fragmentation
No exclusivity corner



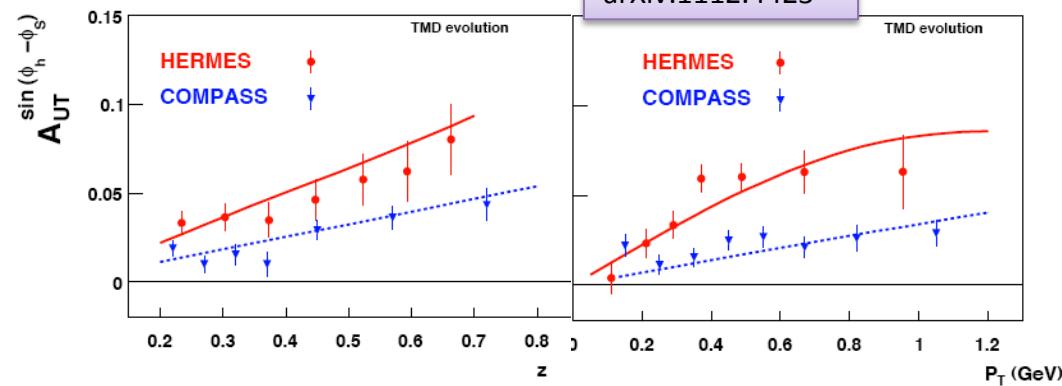
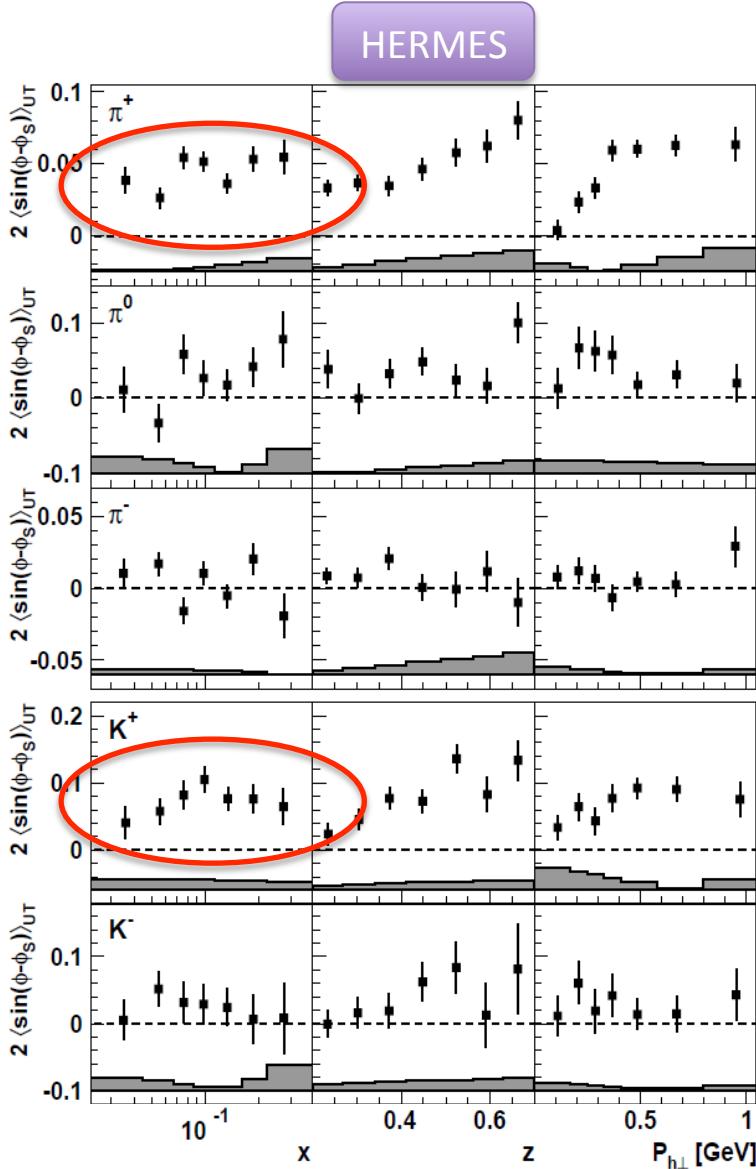
$P_T > 1 \text{ GeV}/c$

Limit given by
cross-section



The Sivers effect

$$f_{1T}^\perp \otimes D_1$$

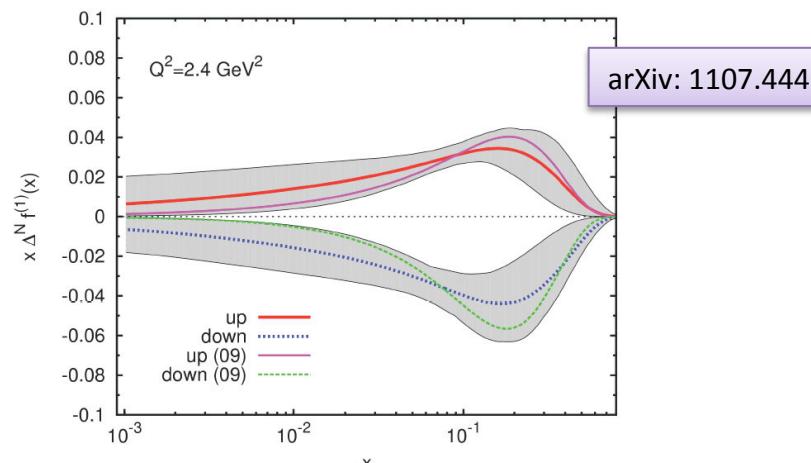


Related to quark orbital angular momentum

Non zero signals for π^+ and K^+

Significant Q^2 evolution ?

K^+ signals larger than π^+

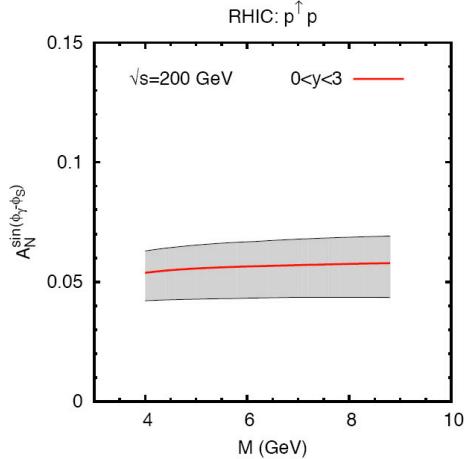
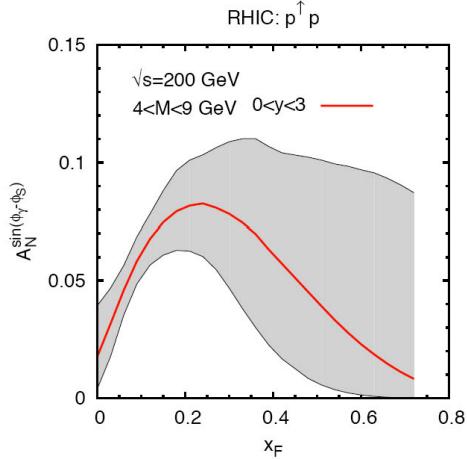


The Sivers effect

$f_{1T}^\perp \otimes D_1$

Sivers effect from SIDIS to Drell-Yan

arXiv: 0901.3078

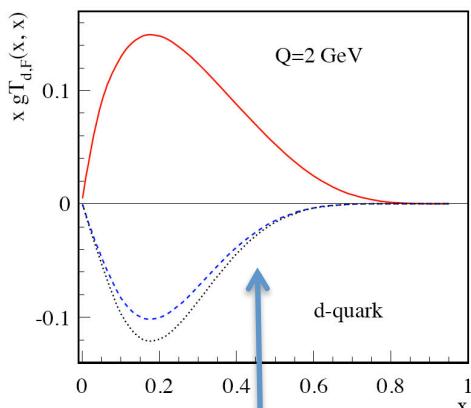
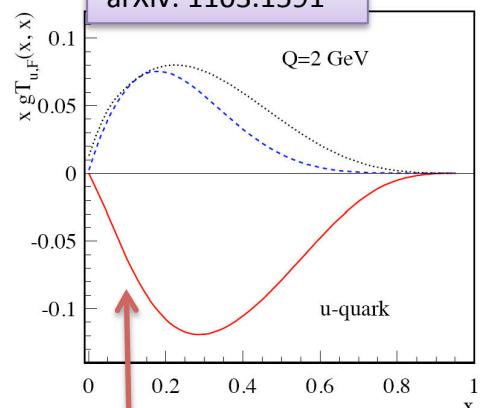


Coverage at large x and
relation with Drell-Yan

Sign change is a crucial
test of TMDs factorization



arXiv: 1103.1591



$$gT_{q,F}(x, x) = - \int d^2 k_\perp \frac{|k_\perp|^2}{M} f_{1T}^{\perp q}(x, k_\perp^2) |_{\text{SIDIS}}$$

Coverage at large p_T and relation
with twist-3 collinear approach

Sign mismatch between
SIDIS and pp SSA ?

