The Large-area Hybrid-optics CLAS12 RICH Detector: Tests of Innovative Components

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Abstract

A large area ring-imaging Cherenkov detector has been designed to provide clean hadron identification capability in the momentum range from 3 GeV/c to 8 GeV/c for the CLAS12 experiments at the upgraded 12 GeV continuous electron beam accelerator facility of Jefferson Lab to study the 3D nucleon structure in the yet poorly explored valence region by deep-inelastic scattering, and to perform precision measurements in hadronization and hadron spectroscopy. The adopted solution foresees a novel hybrid optics design based on an aerogel radiator, composite mirrors and densely-packed and highly-segmented photon detectors. Cherenkov light will either be imaged directly (forward tracks) or after two mirror reflections (large angle tracks). The preliminary results of individual detector component tests and of the prototype performance at test-beams are here reported.

Keywords: Cherenkov radiation, Multi-anode photomultipliers, Silicon photomultiplier, proximity-focusing RICH, Aerogel

1. Introduction

Jefferson Lab (JLab) (VA, USA) is currently undergoing an 2 upgrade program which involves the doubling of the energy of its electron beam from 6 GeV to 12 GeV and the enhancement of detector capabilities in the existing experimental halls. In Hall B, the CLAS12 detector will receive polarised beams of maximum energy 11 GeV and luminosity up to 10^{35} cm⁻²s⁻¹, 7 providing a world-leading facility for the study of electron-8 nucleon scattering with nearly full angular coverage [1]. The 9 physics program is extremely broad [2] but, in particular, will ²⁴ 10 focus upon 3D imaging of the nucleon through the mapping ²⁵ 11 of generalized and transverse momentum dependent parton dis-26 12 tributions at unprecedented high Bjorken x [3]. In particular ²⁷ 13 three approved experiments demand an efficient hadron identi-28 14 fication across the entire momentum range from 3 to 8 GeV/c ²⁹ 15 and scattering angles up to 25 degrees. A pion rejection power 30 16 of about 1:500 is required to limit the pion contamination in ³¹ 17 the kaon sample to a few percent level. The CLAS12 base- 32 18 line comprises a time-of-flight system (TOF), able to efficiently 33 19 identify hadrons up to a momentum of about 3 GeV/c, and 34 20 two Cherenkov gas detectors of high (HTCC) and low (LTCC) $_{35}$ 21 threshold, reaching the needed pion rejection power only close 36 22 to the upper limit (around 7 GeV/c) of hadron momenta and 37 23



Figure 1: The CLAS12 hybrid optics design (see text for details).

are not able to distinguish kaons from protons. A ring-imaging Cherenkov detector (RICH) has been proposed, replacing at least two symmetric LTCC radial sectors out of the total six, to achieve the needed hadron identification and accomplish the physics program. The radial sectors have a projective geometry, a gap depth of 1.2 m and about 5 m² entrance window area. Simulation studies favor a hybrid imaging RICH design incorporating aerogel radiators, visible light photon detectors, and a focusing mirror system [4, 5].

The focusing mirror system will be used to reduce the detection area instrumented by photon detectors to about 1 m² per sector, minimizing costs and influence on the detectors (TOF and Calorimeters) positioned behind the RICH, see Fig. 1. For forward scattered particles ($\theta < 13^{\circ}$) with momenta p = 3 - 8

GeV/c, a proximity imaging method with thin (2 cm) aerogel 38 and direct Cherenkov light detection will be used. For larger 39 incident particle angles of $13^{\circ} < \theta < 35^{\circ}$ and intermediate mo-40 menta of p = 3 - 6 GeV/c, the Cherenkov light will be focused 41 by a spherical mirror, undergo two further passes through the 42 thin radiator material and a reflection from planar mirrors be-43 fore detection. The longer path of light and the focusing mirror 44 allow the use of thick (6 cm) aerogel to compensate yield losses 45 in the thin radiator. 46

47 2. The RICH Component Tests

48 2.1. Aerogel Radiator

The best radiator for RICH hadron identification in the few 49 GeV momentum range is silica aerogel, an amorphous solid 50 network of SiO2 nanocrystals with a very low macroscopic den-51 52 sity and a refractive index in between gases and liquids. It has been successfully used as radiator material for RICH detectors 53 in several particle physics experiments [6] and is planned for 54 future use [7]. A systematic characterization has been carried 55 out in laboratory and during test beams on a variety of aerogel 56 samples from different producers and refractive indexes in the 57 range n=1.04-1.06 identified to provide sufficient photon yield. 58 The aerogel from the Budker and Boreskov Catalysis Institutes 59 of Novosibirsk [8] has been studied most thoroughly, because it 60 combines high-transparency with flexibility in geometrical pa-61 rameters (area and thickness). 62

Precise measurements of the aerogel transmittance as a func-63 tion of the wavelength have been performed using a Lambda 64 650 S PerkinElmer spectrophotometer. During prototyping, the 65 production technique and the resulting quality of the Russian 66 aerogel has been significantly improved with time. Presently, a 67 clarity of the order of 0.0050 μ m⁴cm⁻¹ for a n=1.05 refractive 68 index has been achieved, a value comparable with the best ones 69 obtained at lower refractive indexes [9]. 70

In order to study the chromatic dispersion, estimated to be¹⁰¹ 71 among the largest contributions to the Cherenkov angle resolu-102 72 tion, one needs precise measurements of the aerogel refractive103 73 index as a function of the wavelength. Different methods were104 74 employed, see Fig. 2. The prism method allows to measure the105 75 refractive index through the Snell-Descartes formula [10]. The106 76 measurements were performed using a monochromatic beam107 77 extracted from the spectrophotometer, focused by a series of 108 78 lenses and recorded by a CCD camera. As a second method, the109 79 dependence of the refractive index on the photon wavelength110 80 has been studied by applying optical filters just after the n=1.05111 81 aerogel radiator in a RICH prototype tested with a 8 GeV/c pion112 82 beam (see next Section). The set of available filters allowed to113 83 span the entire range of relevant wavelengths, from 300 to 650114 84 nm, in steps of 50 nm. At the reference wavelength of 400115 85 nm, the measured n = 1.0492 ± 0.0004 refractive index (the er-116 86 ror is only statistical) is in agreement with the valued derived₁₁₇ 87 from the known aerogel density of $\rho = 0.230$ g/cm³ and the₁₁₈ 88 relation $n^2 = 1 + 0.438\rho$. The data points are consistent with₁₁₉ 89 the dispersion model used as input to the RICH simulations, in120 90 which the aerogel refractive index is derived as a combination₁₂₁ 91



Figure 2: Aerogel dispersion measured with the spectrometer beam and the prism method (top) and with the RICH prototype and 8 GeV/c pion beam by using optical filters (bottom) on two different n=1.05 aerogel tiles. The data points are compared with the dispersion model used in input to the RICH Monte Carlo simulations (dashed line).

of its air and quartz components [11]. Due to local inhomogeneities, the refractive index can change significantly (up to $\delta n \approx 10^{-3}$) throughout the tile. The prism method allows to determine the refractive index only in the proximity of the tile edges, whereas the test-beam measurements are time consuming. A complementary approach has been commissioned based on the gradient method [12]. Preliminary results indicate that inhomogeneities contribute to the Cherenkov angle resolution much less than the chromatic dispersion.

2.2. Photon Detector

As confirmed by simulation studies [4], the photon detector must provide a spatial resolution of less than 1 cm not to degrade the Cherenkov angle resolution in the CLAS12 RICH geometry. The Hamamatsu H8500 multianode photomultiplier tubes (MA-PMTs) have been selected as a candidate being an effective compromise between detector performance and cost. It comprises an 8×8 array of pixels, each with dimensions 5.8 mm \times 5.8 mm, into an active area of 49.0 mm \times 49.0 mm with a very high packing fraction of 89%. The device offers a spectral response matching the spectrum of light transmitted by the aerogel, with a quantum efficiency peaking at 400 nm, and a fast response (less than 1 ns rise time) useful to suppress background.

Although the H8500 MA-PMT is not advertised as the optimal device for single photon detection purposes, several units have been characterized in laboratory tests and used in testbeams of RICH prototypes with dedicated electronics, achieving performances adequate to the CLAS12 RICH requirements. The uniformity of the H8500 response has been extensively studied with a pico-second pulsed laser. The typical gain vari-



Figure 3: Top: Average fraction of single photoelectron signal losses as a function of the average gain for different MA-PMTs operated at 1040 V and illuminated by a 405 nm laser wavelength. Two H12700 demonstrators are compared to a sample of 28 H8500 MA-PMTs. Bottom: Normalized response map of a H8500 (left) and a H12700 (right) MA-PMT, obtained by scanning a 8×8 mm² area with a pico-second pulsed laser spot of 90 μ m diameter and 635 nm wavelength with step size of 80 μ m.

ations in the pixel response, of the order of 1:2, can be eas-154 122 ily compensated by the readout electronics. Sub-mm precision155 123 scans are used to study the PMT response in dead space areas, 156 124 and to evaluate the true active areas of the pixels [13]. Fur-125 ther characterization tests performed include: crosstalk studies, 126 where magnitudes of less than 5% are extracted with both blue 127 and red laser wavelengths, and the fraction of single photoelec-158 128 tron signal lost below the pedestal threshold, which is mini-159 129 mized to less than 15% through operation at 1040V high volt-160 130 age or above, see Fig. 3. In view of possible future upgrades,161 131 two demonstrators of a novel H12700 multi-anode PMT, with₁₆₂ 132 the same layout as the H8500 but optimized dynode structure,163 133 has been tested yielding promising results in terms of single₁₆₄ 134 photon resolution, see Fig. 3. 135 165

The fast developing silicon photomultipliers represent a pos-166 136 sible cost-effective alternative for future upgrades of the detec-167 137 tor. A small prototype was used to study the performance of 168 138 $3 \times 3 \text{ mm}^2$ silicon multi-pixel photon counter (MPPC) matri-169 139 ces with a 3 cm n=1.05 aerogel and 36 cm gap. A commer-170 140 cial 8×8 MPPC matrix was compared to two customized 8_{171} 141 × 4 MPPC matrices with an embedded pre-amplification stage.172 142 All the matrices were temperature controlled by means of wa-173 143 ter cooled Peltier cells. The response to Cherenkov light was174 144 studied within a temperature interval ranging from 25 down175 145 to -25 degrees Celsius. The MPPC signal hits were selected₁₇₆ 146 by a relatively broad trigger time coincidence of ± 3 ns, driven₁₇₇ 147 by the external trigger jitter. This limited the dark count back-178 148 ground rejection at high temperature, where the working point₁₇₉ 149 had to be carefully selected for each pixel in order to optimize180 150 and equalize the matrix response. At low temperature, a much₁₈₁ 151



Figure 4: Online results of one of the custom made MPPC matrices operated at -25 degrees. The time difference between any MPPC hit and the trigger (within a 30 ns window) is shown in the top plot for all the pixels. The signal and background occupancies (hits over triggers ratio) in a 3 ns time coincidence within the trigger are shown for pixel 75 (highlighted in the top plot) in the bottom plots, respectively, as a function of the bias voltage and for different discriminator thresholds. The bias voltage is referred to the nominal value at 25 degrees of 72.8 Volt.

more stable and uniform response could be achieved in a large interval of bias voltage and discriminating threshold values: a 30-40% higher than H8500 single photon detection efficiency was recorded while approaching a manageable 10^{-4} dark count background occupancy, see Fig. 4.

3. The large-size RICH Prototype

Testbeam studies of a large-size prototype RICH detector were performed at the T9 beam line in the CERN-PS East Area, with a mixed hadron beam of 6-8 GeV/c momentum. Two gaseous electron multipliers chambers with $10x10 \text{ cm}^2$ area and readout in 256 strips for both x and y were used for beam particle tracking. A threshold Cherenkov CO₂ gas counter, part of the T9 beam area equipment, was used to tag pions.

Two setups were mounted inside a large (approximately 1.6 \times 1.8 \times 1.6 m³) light-tight box to study direct and reflected light imaging modes individually. The Cherenkov light was detected by a circular array of 28 MA-PMTs, alternated of the type H8500C with normal glass and H8500C-03 with UV glass for systematic studies. The MA-PMTs were mounted on a circular support and could be radially moved to intercept the Cherenkov ring produced with different opening angles depending on the chosen refractive index. The prototype readout electronics was based on the MAROC3 [14] chip and derived from medical imaging applications. Each 5 \times 5 cm² front-end MAROC card served a 64 channel multi-anode PMT. The controller board could host up to 64 Front-End cards allowing to concentrate thousands of readout channels in a very compact layout.

The direct light case reproduces the 1 m gap of the CLAS12 geometry, see Fig. 5. In the early stages of data analysis, an average yield of 12 photo-electrons and a π/K separation close

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Figure 5: Direct light configuration of the test beam prototype. Top left: Side view diagram illustrating the setup. Top right: Photo of the detector plane. Bottom left: The Cherenkov ring coverage is about 80% for a n=1.05 refractive index. Bottom right: Cherenkov angle distributions for 8 GeV/c pions tagged by the T9 gas Cherenkov compared with those of kaons and protons.

to the goal value of 4σ in units of Cherenkov angle resolution 182 have been obtained with a 2 cm n=1.05 aerogel up to the maxi- $_{216}$ 183 mum beam momentum of 8 GeV/c. A better performance is an-184 ticipated for the final detector by increasing the MA-PMT ring 185 coverage and using a uniform sample of MA-PMTs with the 186 same type of glass window. Several aerogel thicknesses, trans-187 parencies and refractive indexes (in the range 1.04-1.06) were 188 tested and their corresponding impact on the RICH prototype 189 performance are under study for further optimization. 190

The main aim of the reflected light case was the study of the 191 concept of double reflection with multiple passes through the 192 aerogel, in particular investigating the Cherenkov light yield 193 loss and the contributions to the Cherenkov angle resolution. 194 The prototype allowed to test all the optical components and 195 validate their Monte Carlo description, even though the geo-196 metrical constraints of the prototype did not allow to reproduce 197 the CLAS12 reflected light path length and to put the MA-231 198 PMTs on the mirror focal plane, see Fig. 6. The Cherenkov 199 light produced by a 6 cm thick n=1.05 aerogel were first re-200 flected by a spherical mirror with focal length of 0.9 m and²³³ 201 then by a circular array of eight 11.5x11.5 cm² planar mirrors 202 towards the MA-PMTS wall. The supports of the planar mir-234 203 rors are designed to allow the insertion of 2 cm thick tiles of 204 aerogel, in order to study their photon yield absorption. No_{236} 205 significant degradation of the net Cherenkov angle resolution237 206 was observed on top of the expected 60% light yield loss effect.238 207 These preliminary results validate the CLAS12 RICH concept.200 208 Currently investigations are underway to extract final light yield₂₄₁ 209 and ring resolution results, to be also used for model inputs in242 210 243 the CLAS12 RICH simulation. 211 244

212 4. CLAS12 RICH Expected Performances

The CLAS12 RICH detector is simulated within the CLAS12²⁴⁸₂₄₉ Geant4 framework. The description of the different optical el-₂₅₀ ements is based on laboratory characterizations and the proto-²⁵¹



Figure 6: Reflected light configuration of the test-beam prototype. Top left: Side view diagram illustrating the setup. Top right: Photo of the detector plane together with the spherical mirror, and of the plane mirror array partially covered by the aerogel tiles. Bottom left: The Cherenkov ring coverage is 60% for a n=1.05 refractive index. Bottom right: Cherenkov radius resolution as a function of the photo-electron number for the two cases with and without absorber (aerogel) in front of the planar mirrors.

type test results above reported. The mirror geometry has been studied with ray tracing algorithms and FEM analyses and the mirror reflectivity has been assumed to follow a realistic wavelength dependence. The peculiar hybrid optics demands for a smart and robust pattern recognition algorithm even though a low multiplicity of 0.7 charged tracks per sector is anticipated for the semi-inclusive deep-inelastic scattering events of interest. The current development involves maximum likelihood methods comparing the pattern expected from direct ray tracing for the different hadron hypotheses with the recorded MA-PMT hits. The studied background accounts for secondaries from Moeller scattering off the target, the low level of MA-PMT dark counts, and the Rayleigh scattering in the aerogel radiator. The preliminary results indicate that a clear hadron separation, with a 1:500 pion rejection power, can be obtained in the full 3-8 GeV/c momentum range for scattering angles up to 25 degrees, ensuring the completion of the approved physics program.

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