

Transverse spin effects in SIDIS at 11 GeV with transversely polarized target using the CLAS12 detector

(A CLAS12 experiment proposal for PAC38)

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JLab PAC 38 – Open session
August 23, 2011 Newport News

A CLAS12 Proposal For PAC38

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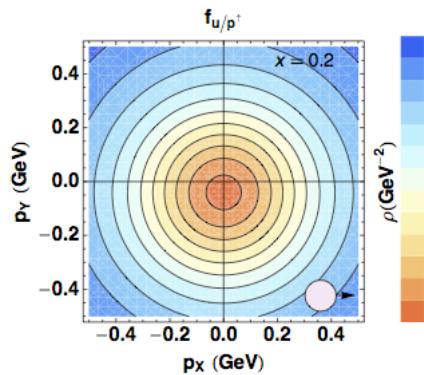
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PHYSICS MOTIVATIONS

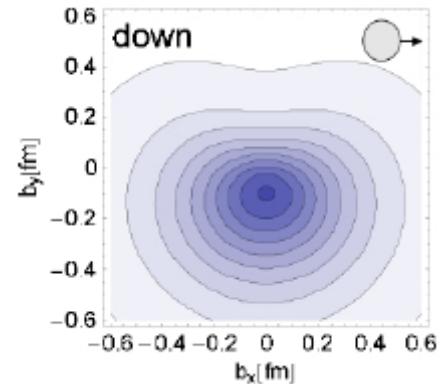
Quantum phase-space distributions of quarks

$W_p^q(x, k_T, r)$ "Mother" Wigner distributions

Probability to find a quark q in a nucleon P with a certain polarization in a position r & momentum k



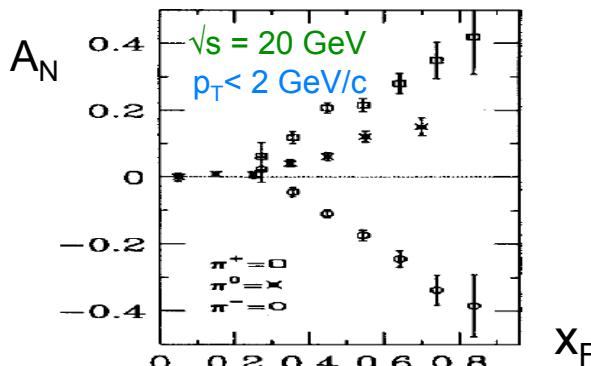
$$d^3r \quad d^2k_T$$



TMD PDFs: $f_p^u(x, k_T), \dots$

Semi-inclusive measurements
Momentum transfer to quark
Direct info about momentum distribution

May explain SSA



GPDs: $H_p^u(x, \xi, t), \dots$

Exclusive Measurements
Momentum transfer to target
Direct info about spatial distribution

PDFs $f_p^u(x), \dots$

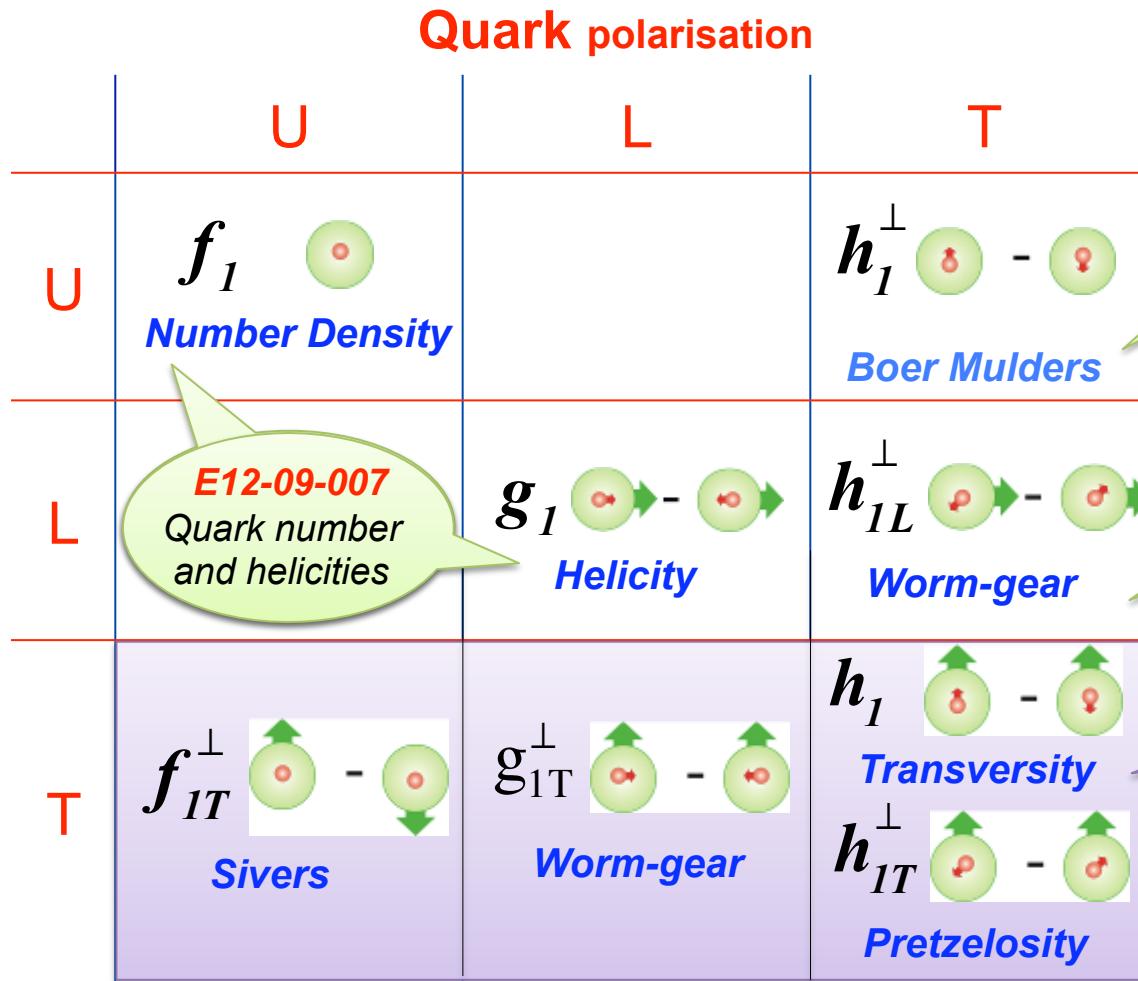
LOI 11-105
Exclusive Physics: DVCS
with Transverse Target

May solve
proton spin puzzle

$$J_q = \frac{1}{2} \Delta \Sigma + L_q = \lim_{t \rightarrow 0} \int_{-1}^1 dx x [H(x, \xi, t) + E(x, \xi, t)]$$

Leading Twist TMDs

Nucleon polarisation



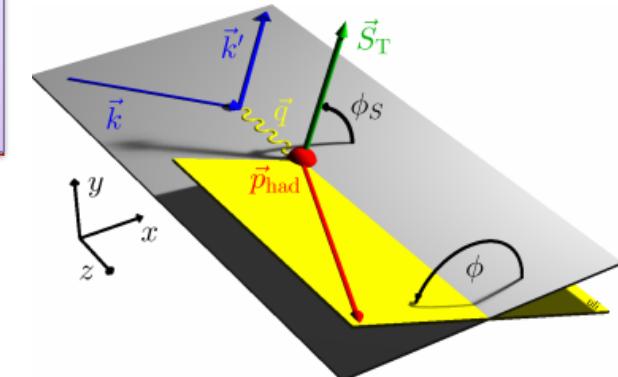
E12-06-112
E12-09-008

Boer-Mulders for pions and kaons

E12-07-107
E12-09-009

Spin-effects for pions and kaons

PR12-11-111
This proposal



CLAS12 has access to all of them through specific azimuthal modulations (ϕ, ϕ_S) of the cross-section thanks to the polarized beam and target

Leading Twist TMDs

		quark polarisation		
N/q		U	L	T
U	f_1			h_1^\perp -
	<i>Number Density</i>			<i>Boer-Mulders</i>
L		g_1 -	h_{1L}^\perp -	<i>Helicity</i> <i>Worm-gear</i>
		<i>Helicity</i>	<i>Worm-gear</i>	
T	f_{1T}^\perp -	g_{1T}^\perp -	h_{1T}^\perp -	<i>Sivers</i> <i>Worm-gear</i> <i>Transversity</i> <i>Pretzelosity</i>
	<i>Sivers</i>	<i>Worm-gear</i>	<i>Transversity</i>	<i>Pretzelosity</i>

Transversity:

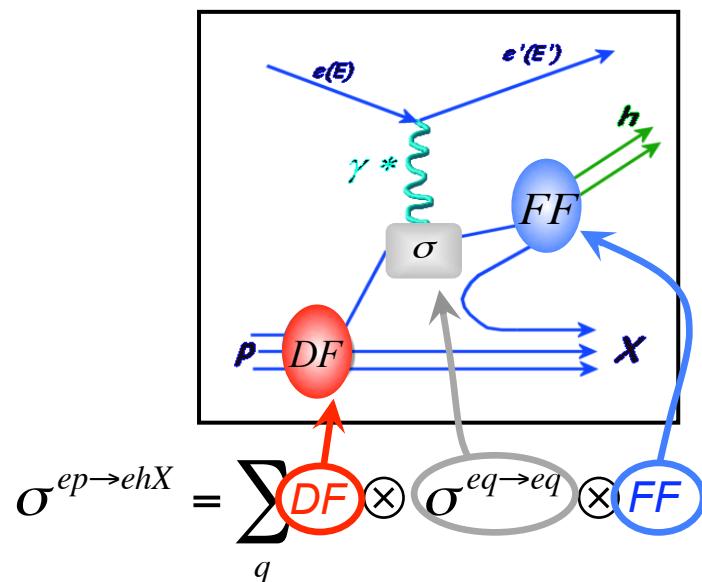
Survives transverse momentum integration
(missing leading-twist collinear piece)

Differs from helicity due to relativistic effects and
no mix with gluons in the spin-1/2 nucleon

Other elements:

Interference between wave functions with different
angular momenta: contains information about parton
orbital angular motion and spin-orbit effects

SIDIS cross section:



$$\frac{d^6\sigma}{dx dy dz d\phi_S d\phi dP_{h\perp}^2} \stackrel{\text{Leading}}{\underset{\text{Twist}}{\propto}} S_T \left\{ \sin(\phi - \phi_S) F_{UT,T}^{\sin(\phi - \phi_S)} \right\}$$

$f_{1T}^\perp \otimes D_1$

$$+ S_T \left\{ \varepsilon \sin(\phi + \phi_S) F_{UT}^{\sin(\phi + \phi_S)} + \varepsilon \sin(3\phi - \phi_S) F_{UT}^{\sin(3\phi - \phi_S)} \right\}$$

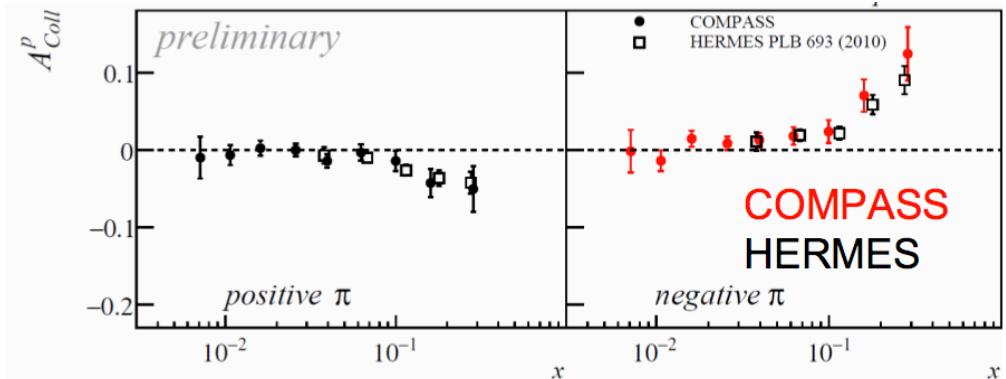
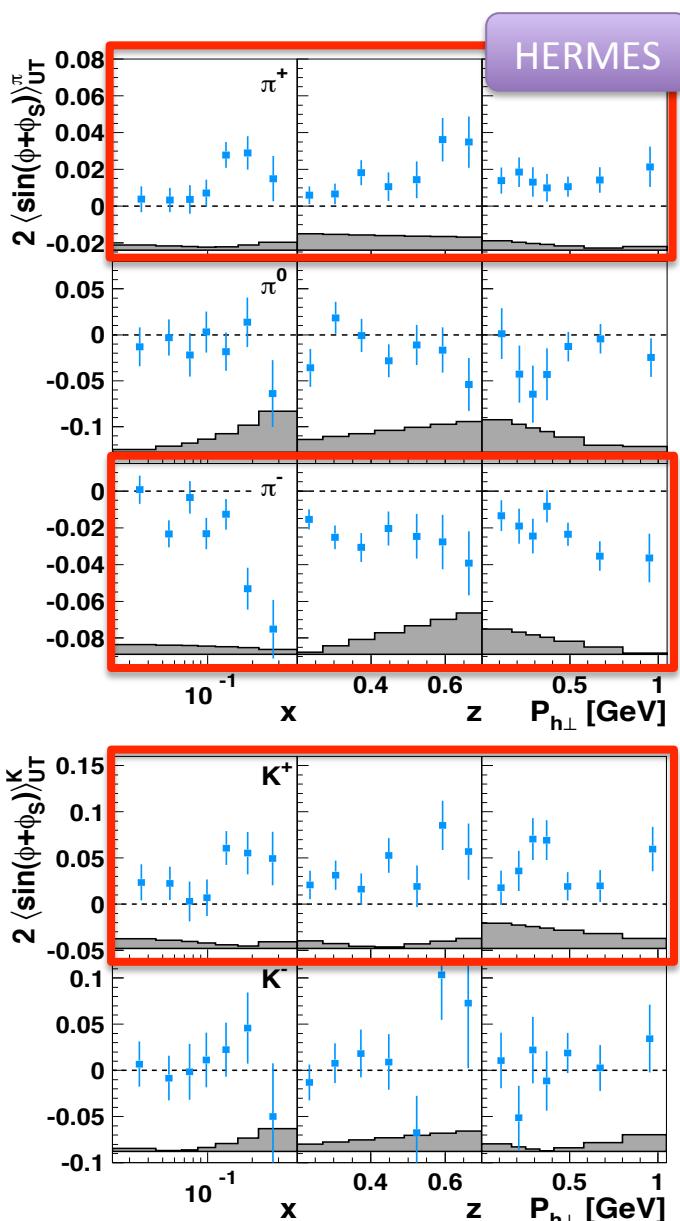
$h_{1T}^\perp \otimes H_1^\perp$

$$+ S_T \lambda_e \left\{ \sqrt{1 - \varepsilon^2} \cos(\phi - \phi_S) F_{LT}^{\cos(\phi - \phi_S)} \right\} + \dots$$

$g_{1T}^\perp \otimes D_1$

The Collins amplitude

$h_1 \otimes H_1^\perp$



Access to transversity and Collins functions

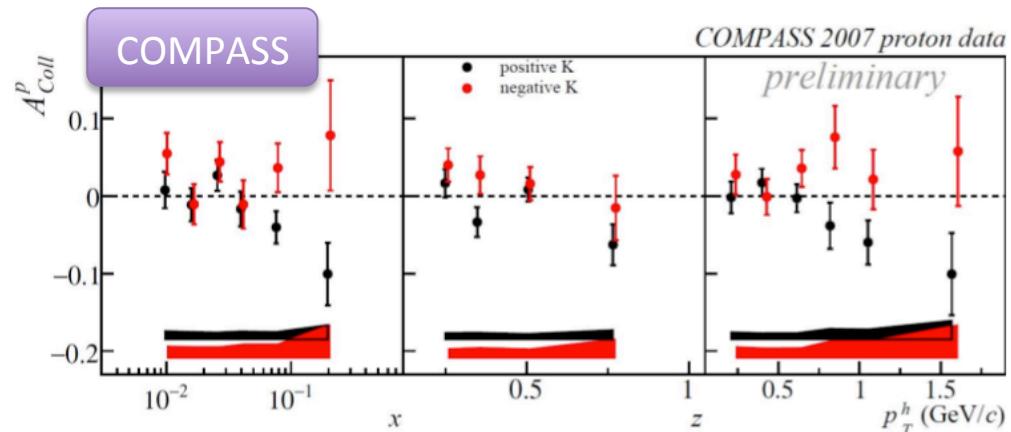
Consistent non-zero signals for pions

Opposite sign for pions reveals Collins features

Puzzle in (low-statistics) kaon signals:

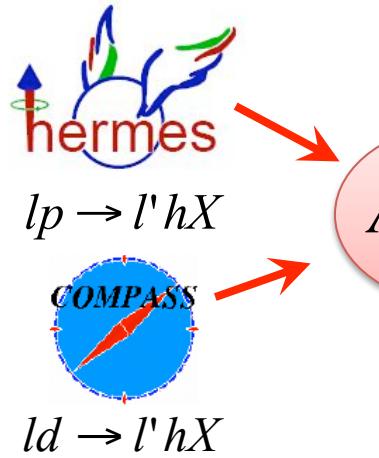
K^+ amplitudes larger than π^+

K^- amplitudes are not in agreement

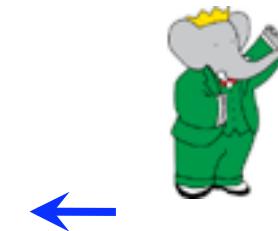


Transversity and Tensor Charge

$h_1 \otimes H_1^\perp$

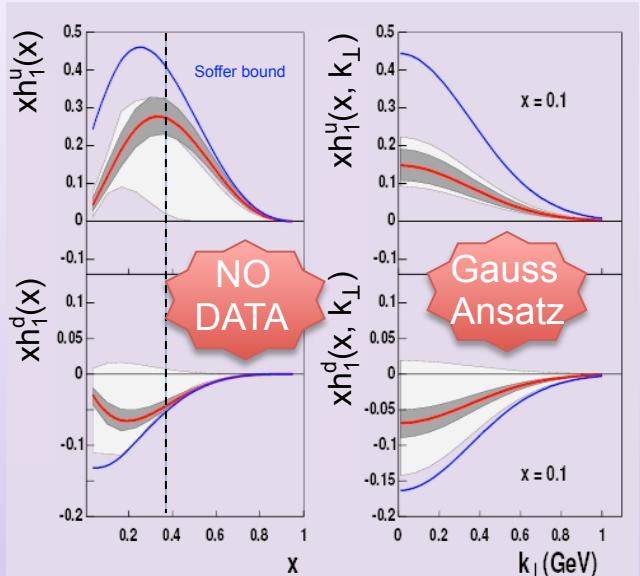


$$A_{UT}^{\sin(\varphi + \varphi_S)} \propto h_1(x) \otimes H_1^{\perp q}(z)$$



$$e^+e^- \rightarrow h_1 h_2 X$$

First extraction of Transversity!



Anselmino et al. Phys. Rev. D 75 (2007)

- Existing data limited to $x < 0.3$
- Statistics not enough to address p_T dependence
→ gaussian ansatz
- Fragmentation extracted from high energy colliders
→ evolution properties assumed

Coverage at high- x to extract the tensor charge

$$\int_0^1 dx [h_1^q(x) - \bar{h}_1^q(x)] = \delta q$$

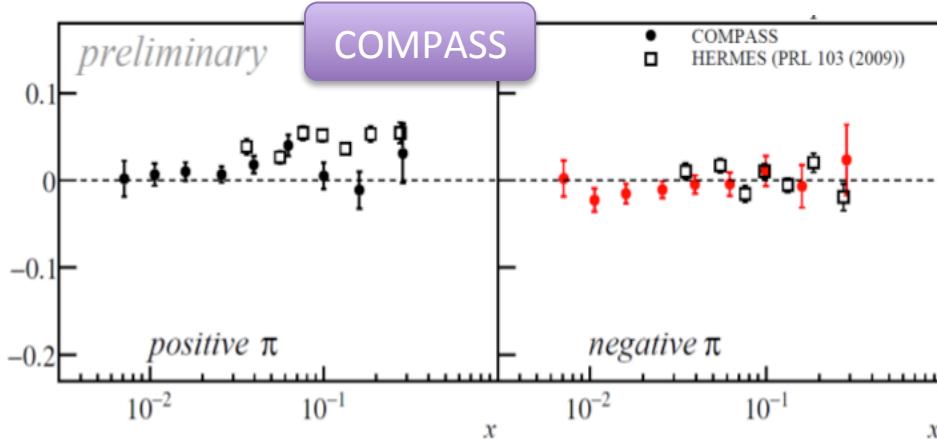
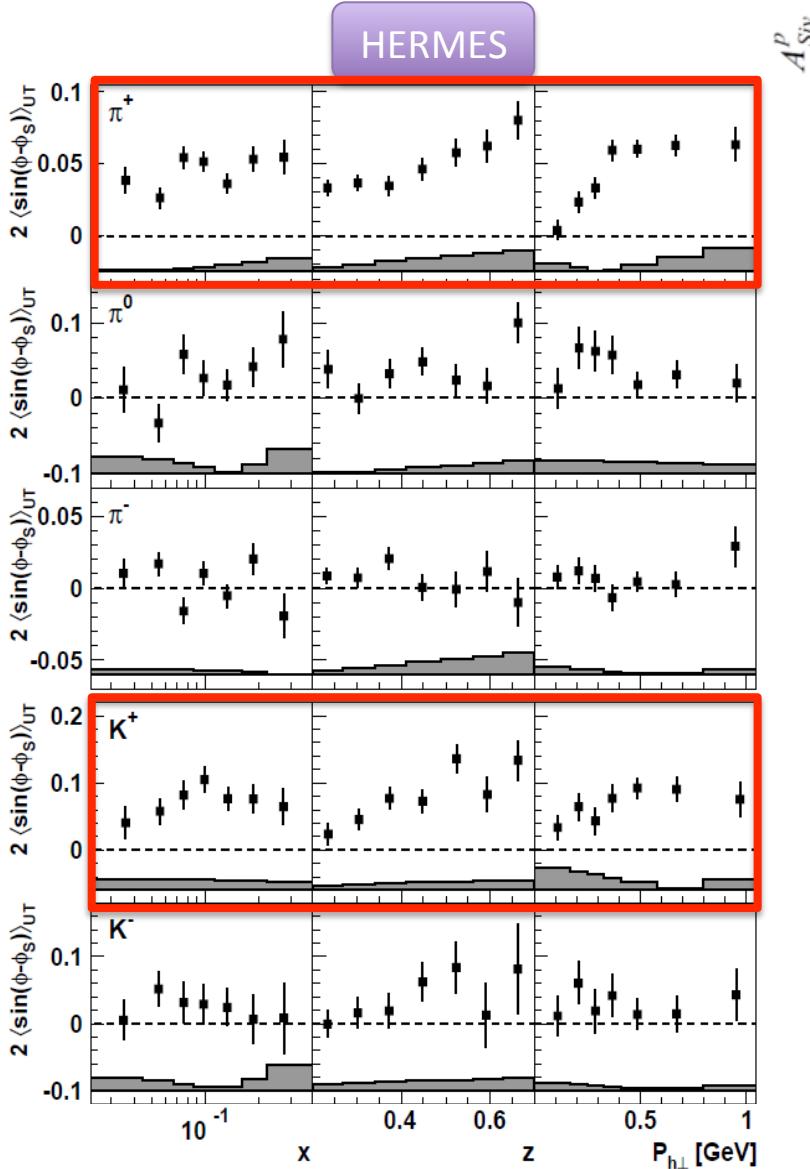
Precise data to resolve convolution and p_T dependence (i.e. Bessel approach)

Multi-dimensional analysis to constrain Collins



The Sivers effect

$$f_{1T}^\perp \otimes D_1$$

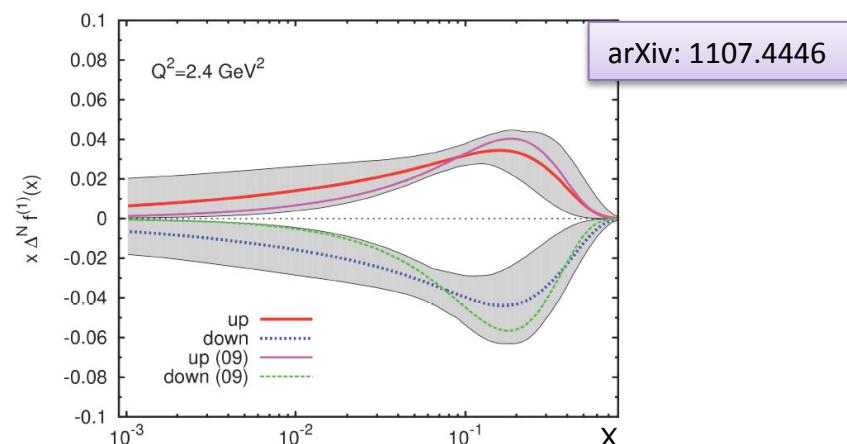


Related to quark orbital angular momentum

Non zero signals for π^+ and K^+

Not good consistency of results

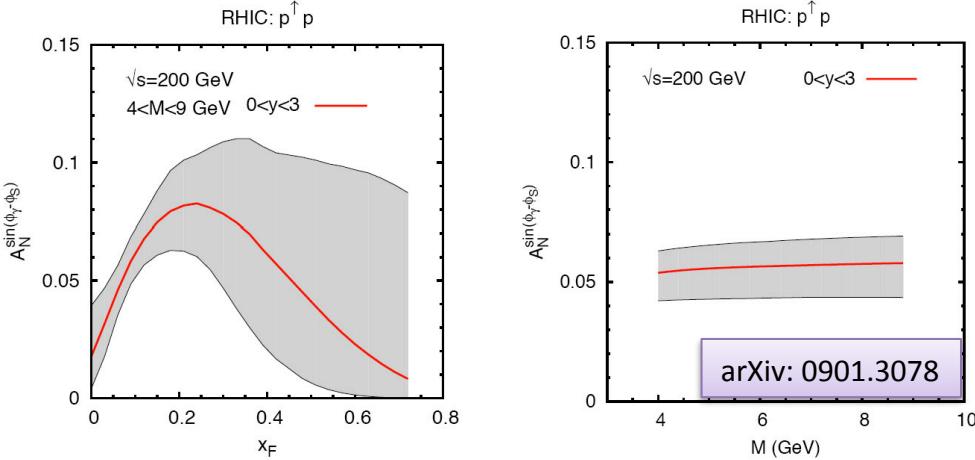
Flavor tagging
 K^+ signals larger than π^+



The Sivers effect

$f_{1T}^\perp \otimes D_1$

Sivers effect from SIDIS to Drell-Yan

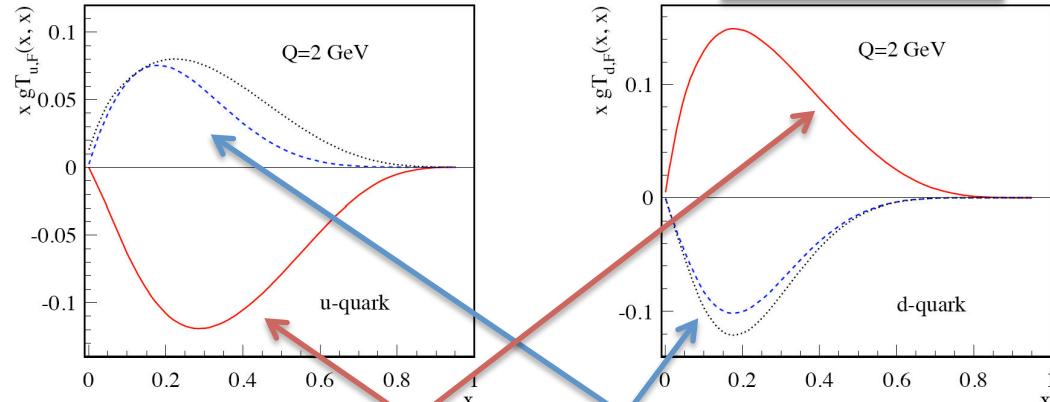


Coverage at large x and
relation with Drell-Yan

Sign change as a crucial
test of TMDs factorization



Sivers effect from SIDIS to pp



T3 correlator from pp

Sivers moment from SIDIS

$$gT_{q,F}(x, x) = - \int d^2 k_\perp \frac{|k_\perp|^2}{M} f_{1T}^{\perp q}(x, k_\perp^2) |_{\text{SIDIS}}$$

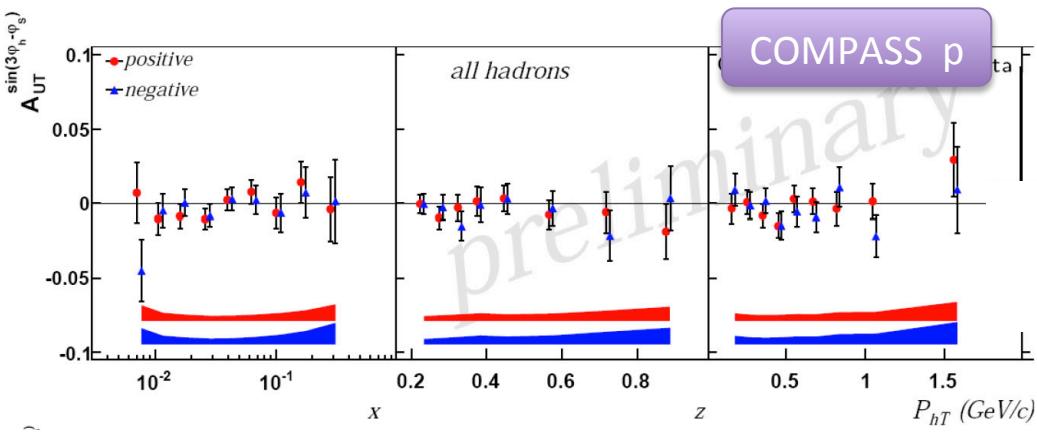
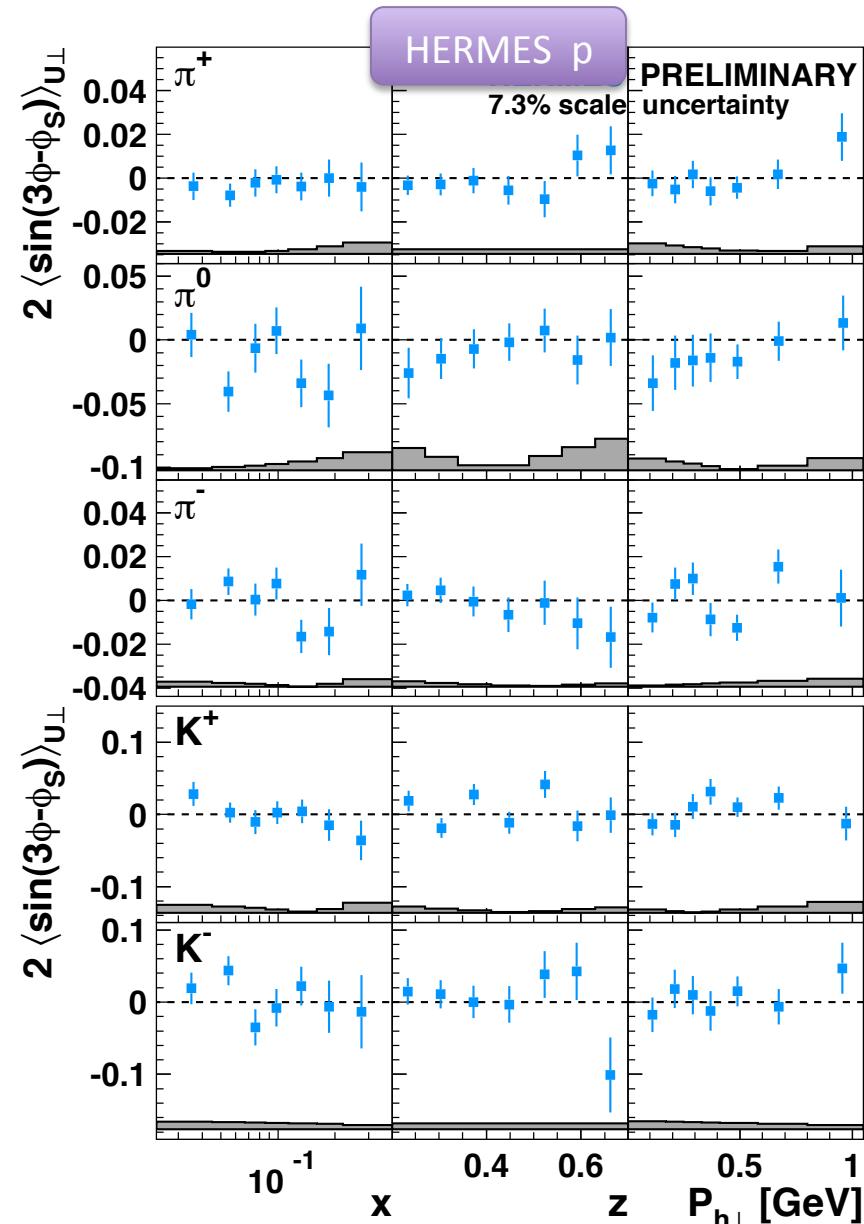
Coverage at large p_T and relation
with twist-3 collinear approach

Sign mismatch between
SIDIS and pp SSA ?



The Pretzelosity

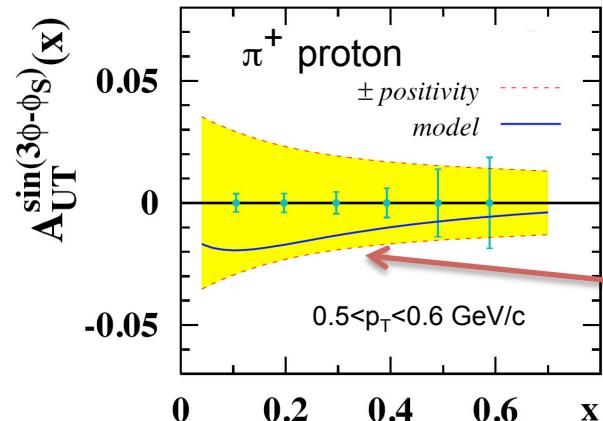
$$h_{1T}^\perp \otimes H_1^\perp$$



Sensitive to the D-wave component and the non spherical shape of the nucleon

Statistical power of existing data is not enough to observe significant signals

“pretzelosity” still basically unknown

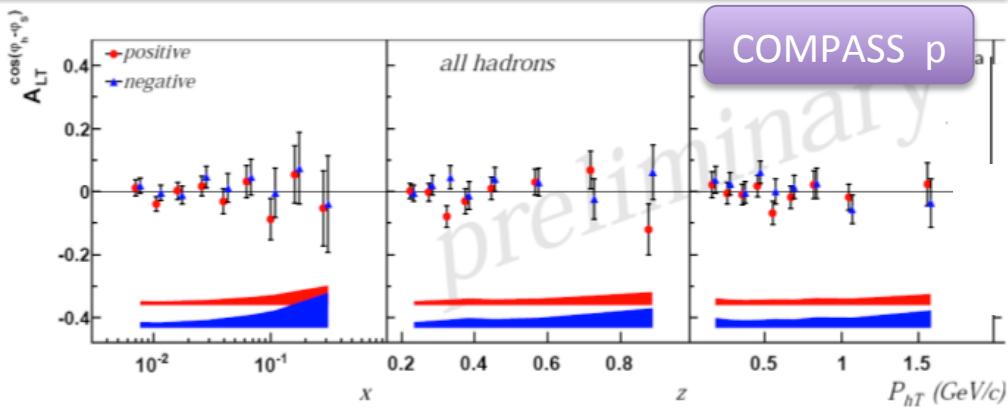
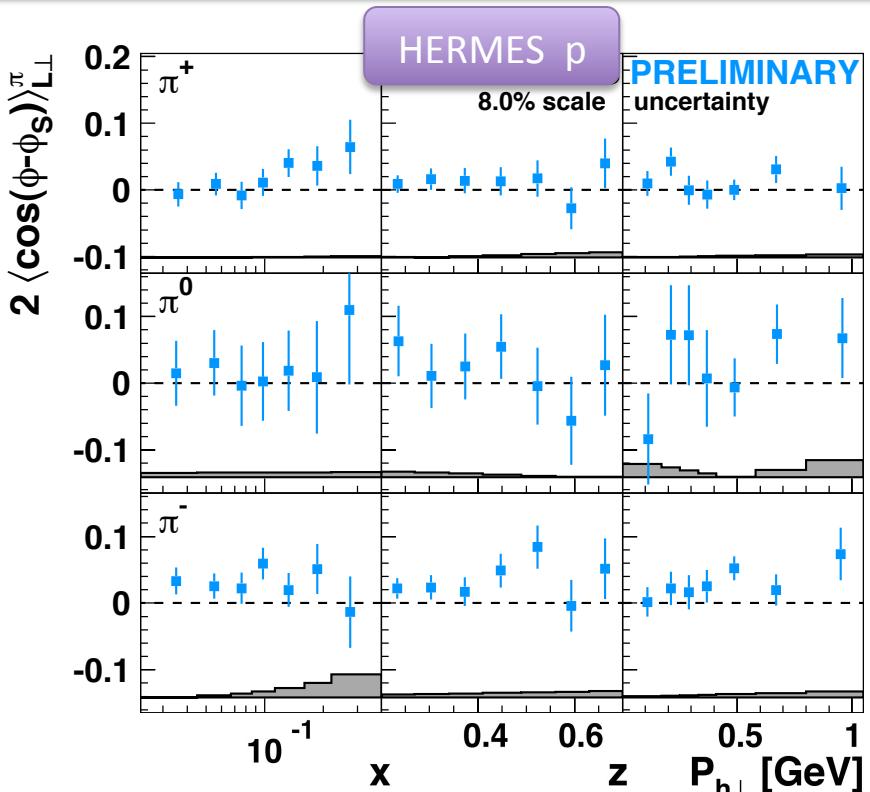


arXiv: 0812.3246

Few % signal expected at Jlab from covariant model

The Worm-gear function

$$g_{1T}^\perp \otimes D_1$$

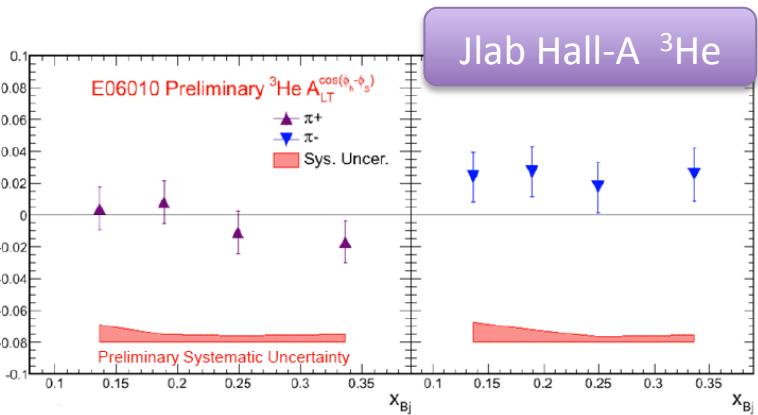


Related to quark orbital motion

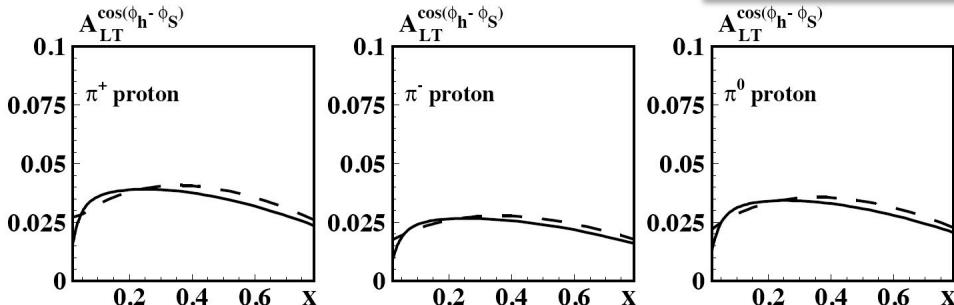
Statistics not enough to investigate relations supported by many theoretical models:

$$g_{1T}^q = -h_{1L}^{\perp q} \quad (\text{supported by Lattice QCD and first data})$$

$$g_{1T}^{q(1)}(x) \underset{WW\text{-type}}{\approx} x \int_x^1 \frac{dy}{y} g_1^q(y) \quad (\text{Wandura-Wilczek type approximation})$$



From constituent quark model:



Honour and Duty

TMDs describe a new class of phenomena providing novel insights into the rich nuclear structure

DIS experiments get access to all PDFs and FFs, but in a convoluted way, first generation non-zero results provide promises but also open questions

- Full coverage of valence region not achieved
- Limited knowledge on transverse momentum dependences
- Flavor decomposition often missing
- Evolution properties to be defined
- Role of the higher twist to be quantified
- Universality \leftrightarrow Fundamental test of QCD

Still incomplete phenomenology is asking for new inputs

**Crucial: completeness
flavor tagging and four-fold differential
extraction in all variables (x, z, Q^2, P_T)
to have all dependencies resolved**

EXPERIMENTAL SETUP

The CLAS12 Spectrometer

Luminosity up to $10^{35} \text{ cm}^{-2} \text{ s}^{-1}$

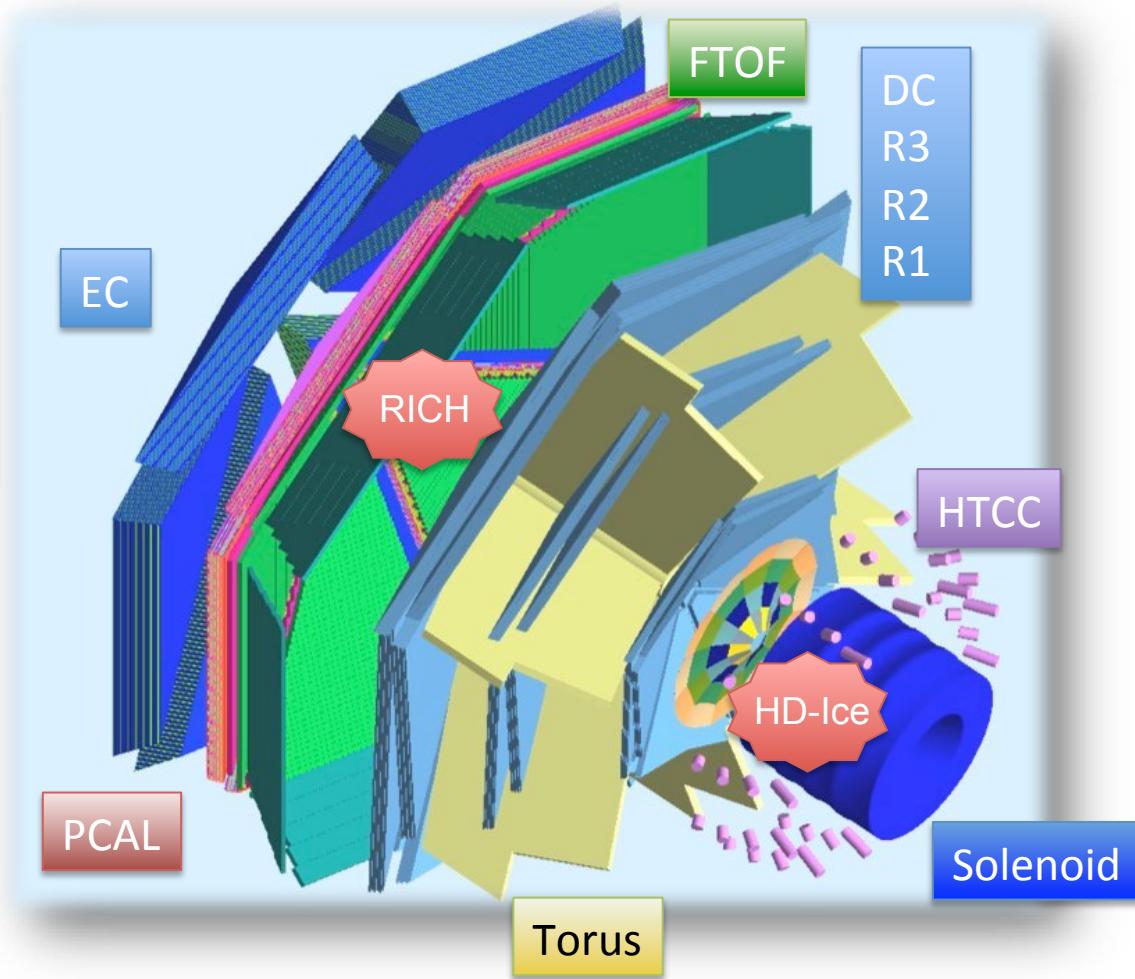
Highly polarized electron beam

H and D polarized targets

Broad kinematic range coverage
(current to target fragmentation)

HD-Ice: Transverse Target
new concept
(commission with CLAS at 6 GeV
common to LOI 11-105)

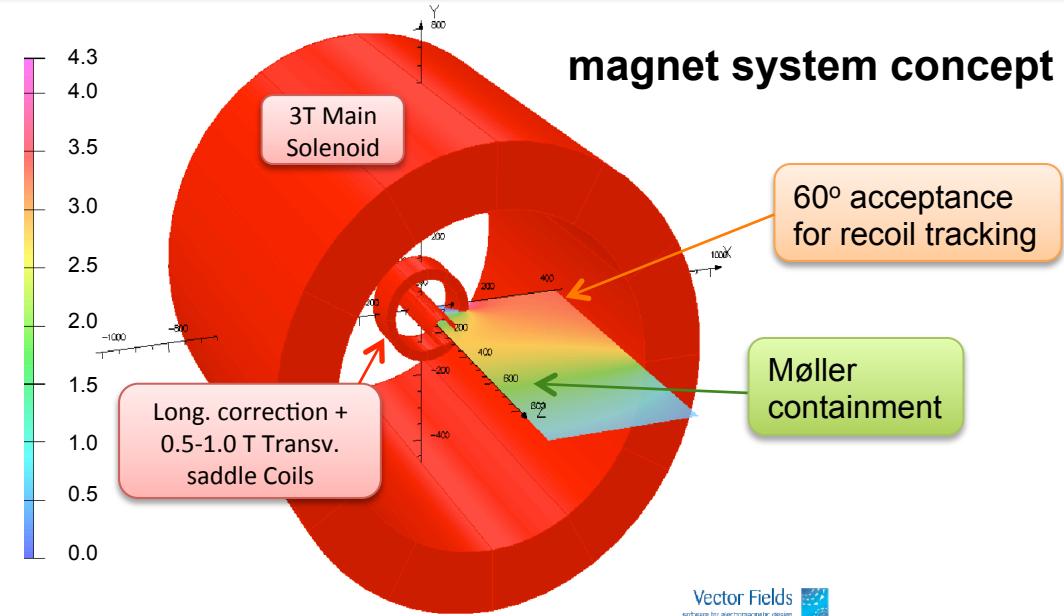
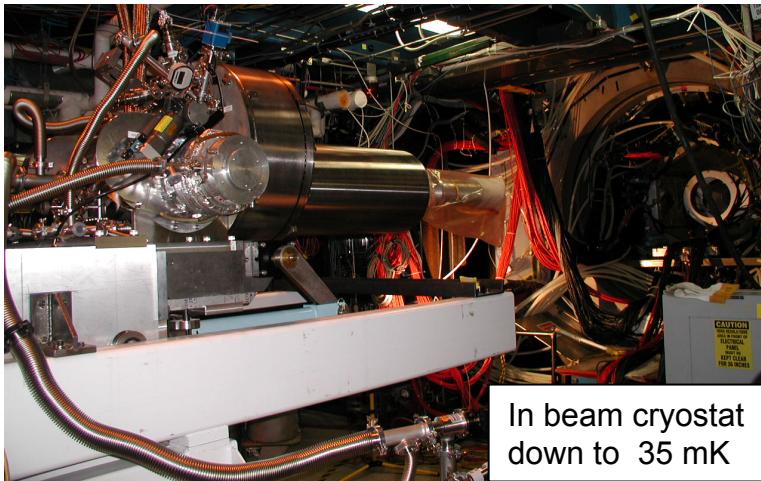
RICH: Hadron ID
for flavor separation
(common to SIDIS approved exp.)



PAC30 report (2006): Measuring the kaon asymmetries is likely to be as important as pions The present capabilities of the present CLAS12 design are weak in this respect and should be strengthened.

Transversely Polarized HD-Ice Target

Up to 75% H and 40 % D polarization independently controlled



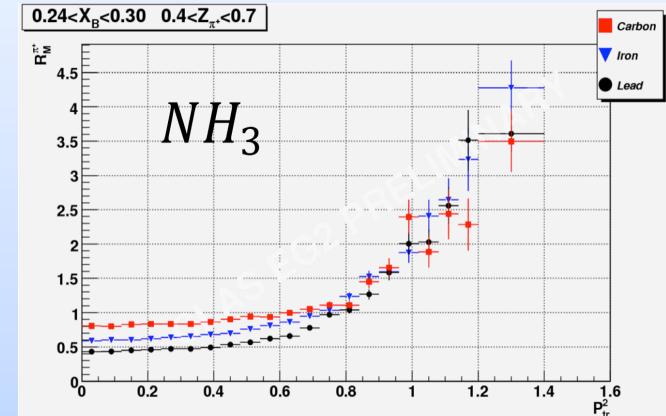
HD-Ice target vs standard nuclear targets

Advantages:

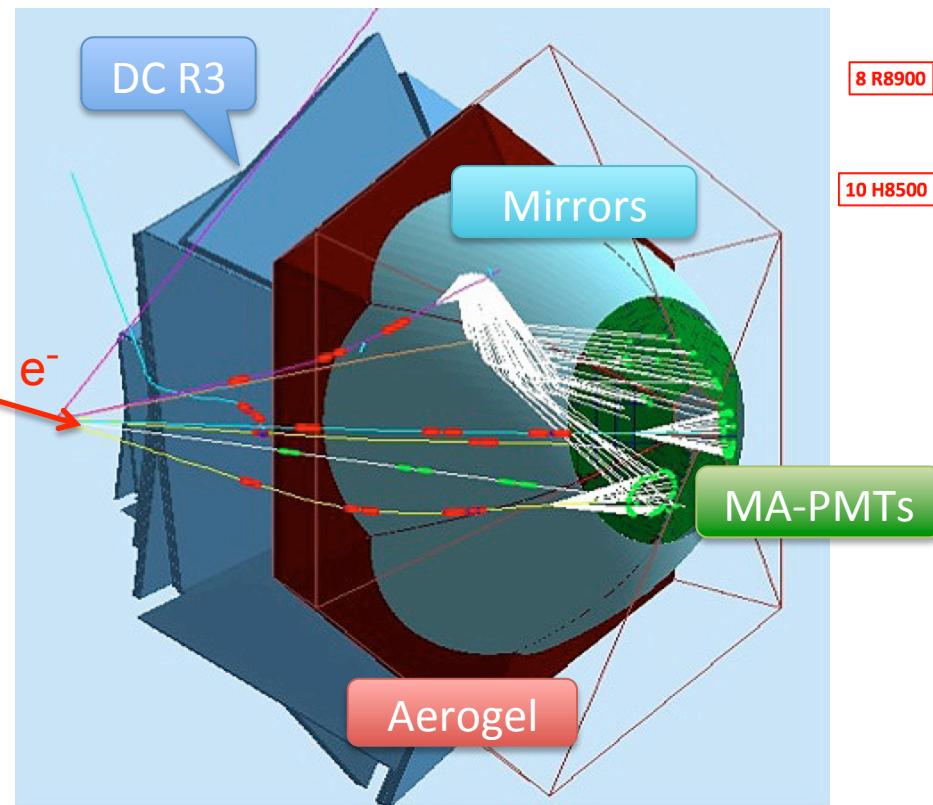
- Minimize nuclear background
small dilution and nuclear effects at large p_T
- Weak holding field ($BdL \leq 0.1$ Tm)
wide acceptance, negligible beam deflection,
viable field inversion

Disadvantages:

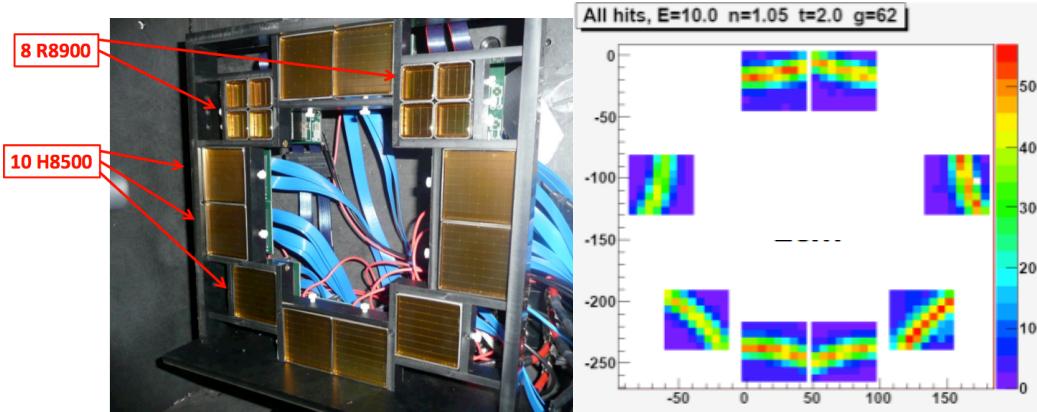
- Very long polarizing times (months)
- Need to demonstrate that can remain polarized for long periods with an electron beam: as conservative approach we consider 1/10 of full luminosity (compensated by better dilution)



The RICH Detector



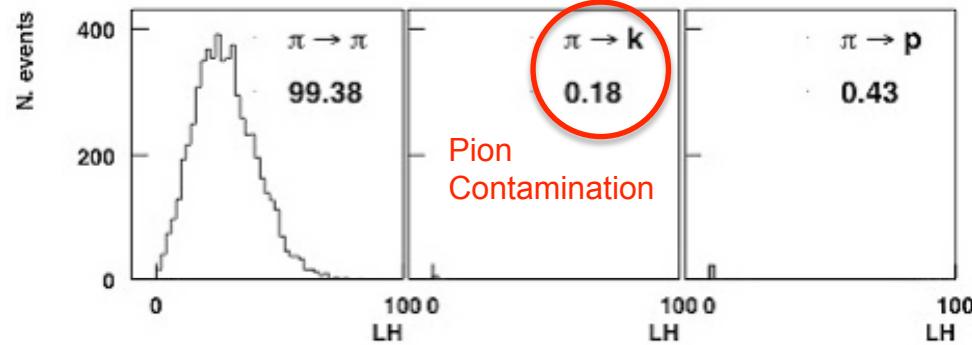
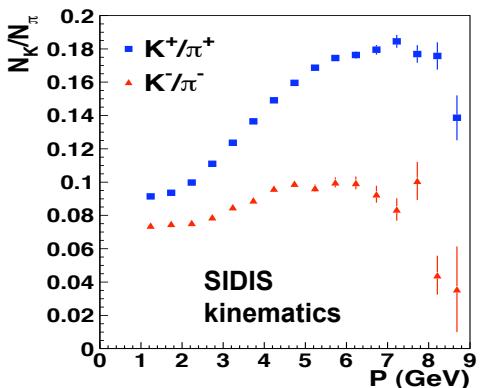
Test beam results at CERN, July 2011



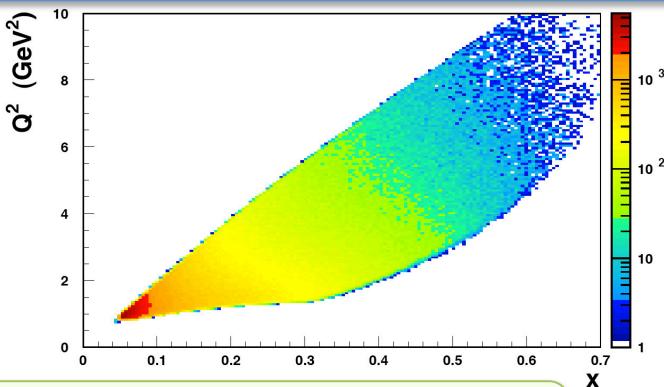
Simulation of $n=1.05$ aerogel + H8500:

- ≥ 10 p.e. for direct rings
(confirmed by preliminary test-beam results)
- ≥ 5 p.e. for reflected rings
- ≥ 500 pion rejection factor @ 99% kaon eff.

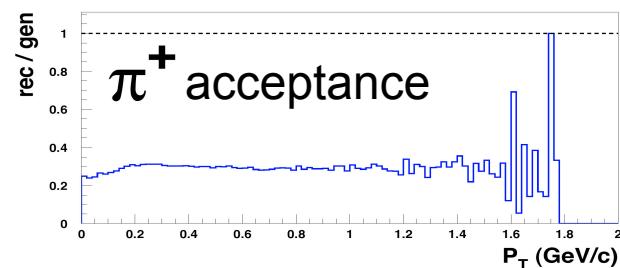
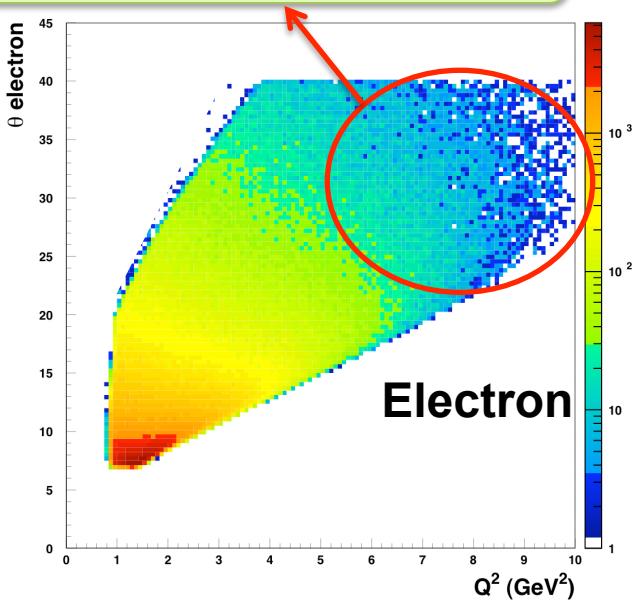
RICH goal:
 $\pi/K/p$ separation
of $4-5 \sigma$ @ 8 GeV/c
for a pion rejection
factor **1:1000**



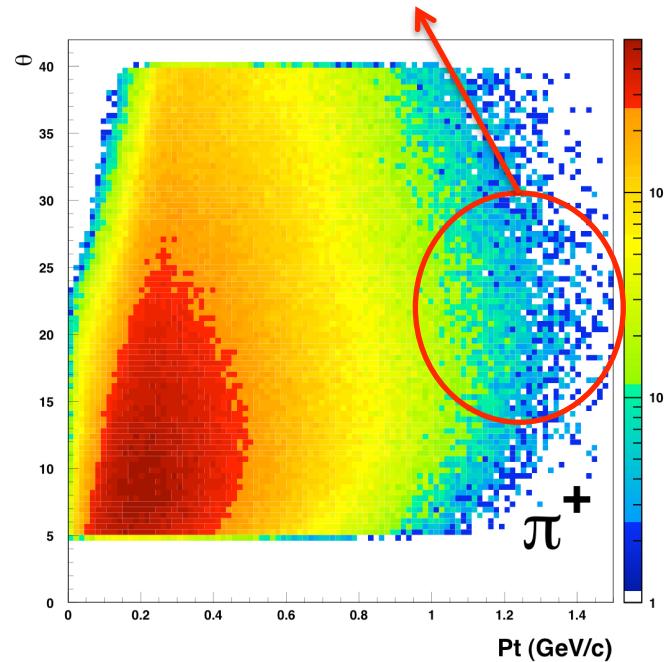
CLAS12 Kinematic Coverage



Large electron scattering angles ($> 20^\circ$) mandatory to reach high Q^2 values



Intermediate angular range ($15-30^\circ$) mandatory to reach high P_T values



The CLAS12 forward detector is perfectly suitable for high- Q^2 and high- p_T measurements in the valence since designed to cover up to 40 degrees angles

PROJECTIONS

Single- and Double-Spin asymmetries

- **Experiment:** CLAS12 with
HD-Ice transversely polarized target

75 % polarization and 1/3 dilution for Hydrogen @ $10^{34} \text{ cm}^{-2} \text{ s}^{-1}$

RICH detector for flavor tagging

pions, kaons and protons ID in the 3-8 GeV/c momentum range

- **Event selection:**

$Q^2 > 1 \text{ GeV}^2, x > 0.05$	select DIS region
$W^2 > 4 \text{ GeV}^2, M_x^2 > 2 \text{ GeV}^2$	suppress resonances
$0.10 < y < 0.85$	for high detection efficiency and small radiative corrections
$0.3 < z < 0.7$	select current fragmentation and avoid exclusivity corner

- **Analysis:** in each kinematic bin, the relevant Fourier amplitudes (Collins, Sivers, etc) are extracted simultaneously, thanks to their specific azimuthal dependence, by a Maximum-Likelihood fit unbinned in ϕ, ϕ_S of the yields for opposite spin states

$$p.d.f. = \varepsilon(x, y, z, p_T, \phi, \phi_S) \sigma_{UU}(x, y, z, p_T) / N \times$$

Multiplicative term : irrelevant for balanced spin samples

$$\rho(P) \left\{ 1 + \dots + P \left[A^{Coll}(\lambda_{Coll}, x, y, z, p_T) \sin(\phi + \phi_S) + A^{Siv}(\lambda_{Siv}, x, y, z, p_T) \sin(\phi - \phi_S) + \dots \right] \right\}$$

Unpolarized terms

Other polarized terms

Systematic uncertainty

Error source	Error type	Uncertainty
Acceptance corrections	relative	2÷5 %
ρ^0 contamination	relative	1÷3 %
Radiative corrections	relative	2 %
Target polarization	relative	4 %
AI background (dilution)	relative	1÷3 %
H/D background (dilution)	relative	1÷4 %
Total	relative	5÷9 %

Several 10^{-3} for
0.05-0.1 typical
asymmetries

Estimates based on:

- Experience & methods from CLAS/HERMES measurements

Reduces with statistics and bin number (no long range integrations)

Benefits from the large acceptance (edge effects, vector meson decays)

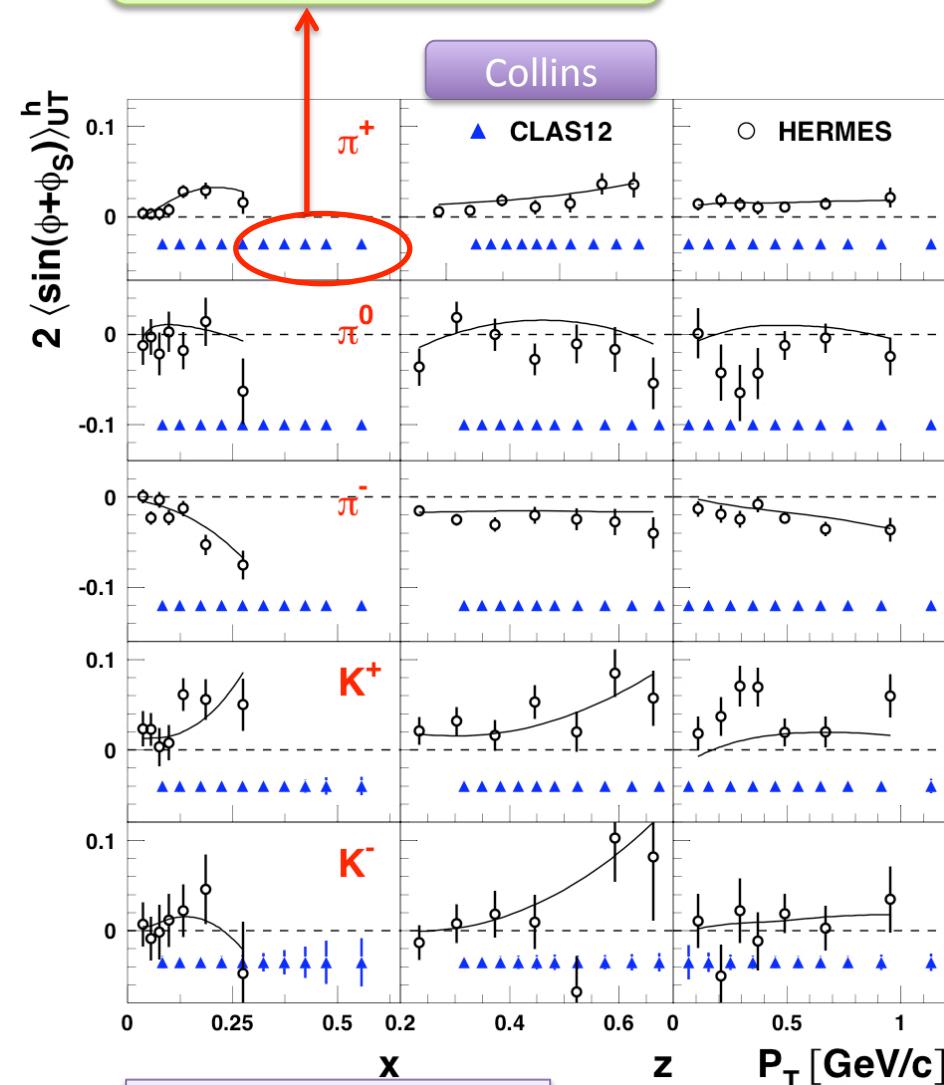
- Current knowledge on HD-Ice target

Dominated by uncertainties in transfer losses between cryostats

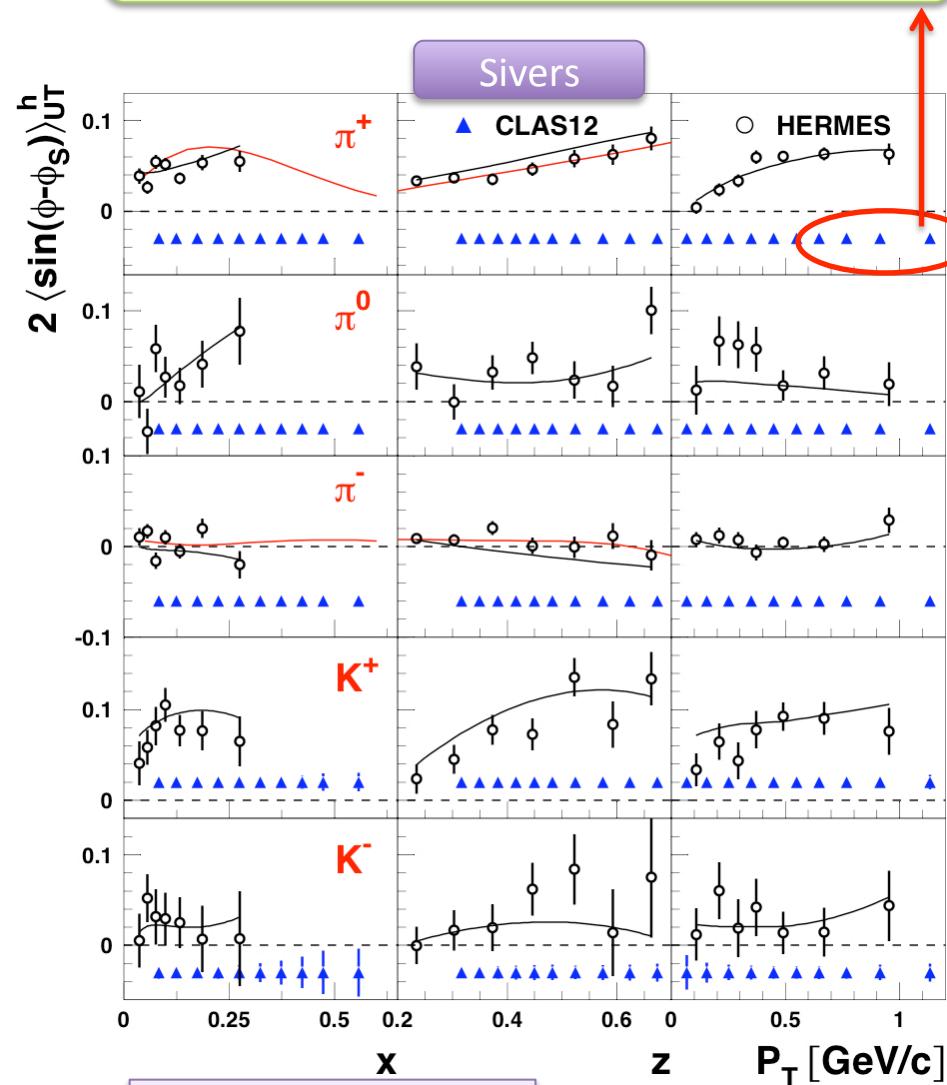
Optimization after tests in 2012 spring

CLAS12 Projections

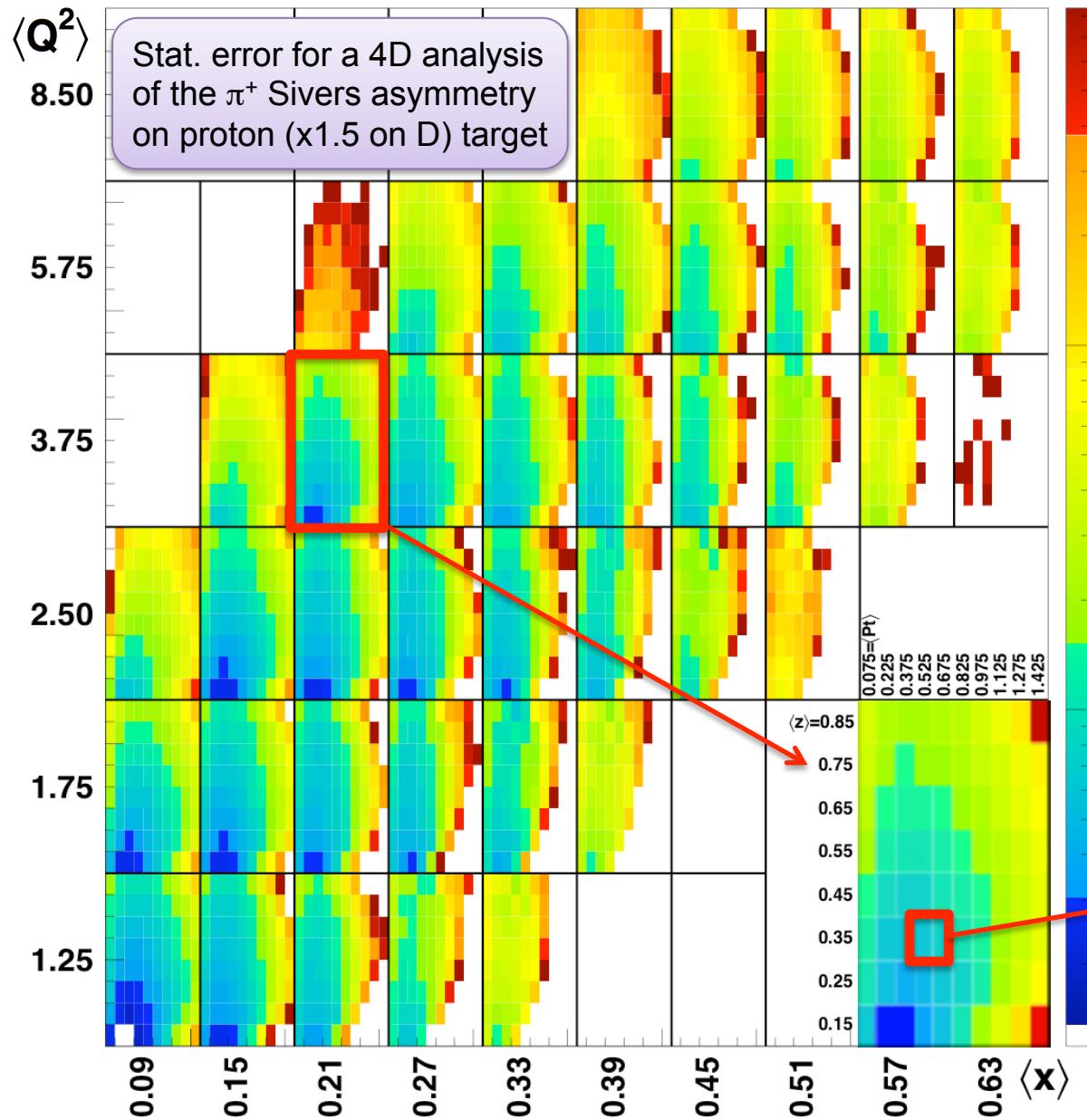
Large x important to constrain the tensor charge



Large p_T important to link to the perturbative regime and for Bessel function analysis



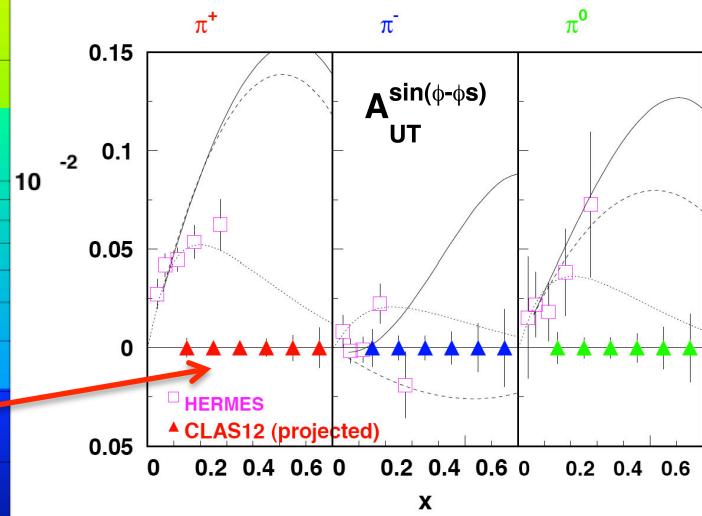
Statistical precision



4D analysis is possible

Beam-time request is defined to achieve few % absolute error at the wanted high- Q^2 high- p_T

x projection in a z- p_T bin of the π^+ Sivers asymmetry



Curves from hep-ph/0507266 and hep-ph/0507181

The main goals

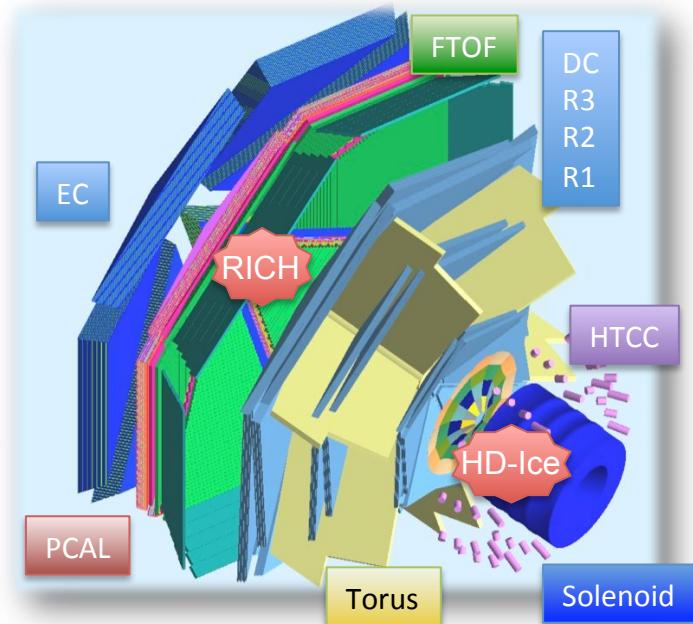
Transverse spin effects in SIDIS at 11 GeV with transversely polarized target using the CLAS12 detector

- Access to leading-twist poorly known or unmeasured TMDs which provide 3-dimensional picture of the nucleon in momentum space (nucleon tomography);
 - * SSA: *Transversity, Sivers, Pretzelosity functions*;
 - * DSA: *g_{1T} worm-gear function*;
- Multi dimensional analysis in x , Q^2 , z , p_T thanks to large-acceptance and high-luminosity;
 - * *precise mapping of the valence* (tensor charge);
 - * *disentangle parton distribution from fragmentation functions* (x vs z);
 - * *isolate sub-leading-twist effects* from $1/Q$ dependence (side product: g_2);
 - * *flavor decomposition of p_T dependence*;
 - * *investigate perturbative to non-perturbative QCD transient* from p_T dependence;
- Together with already approved experiments with unpolarized and longitudinally polarized targets, **complete the mapping of the TMD table at CLAS12.**

Beam time request

The proposed experiment requires:

- 11 GeV (highly polarized) electron beam
- CLAS12 detector equipped with:
 - HD-Ice transversely polarized target
 - Suitable magnetic system (compensation + saddle coil)
 - RICH (pion/kaon separation within 3-8 GeV/c)



In order to reach the desired statistical precision at high- Q^2 and high- p_T (perturbative limit) for both pions and kaons, and to allow a fully differential analysis in x, Q^2, z, p_T

we ask the PAC to award 100 days of beam time

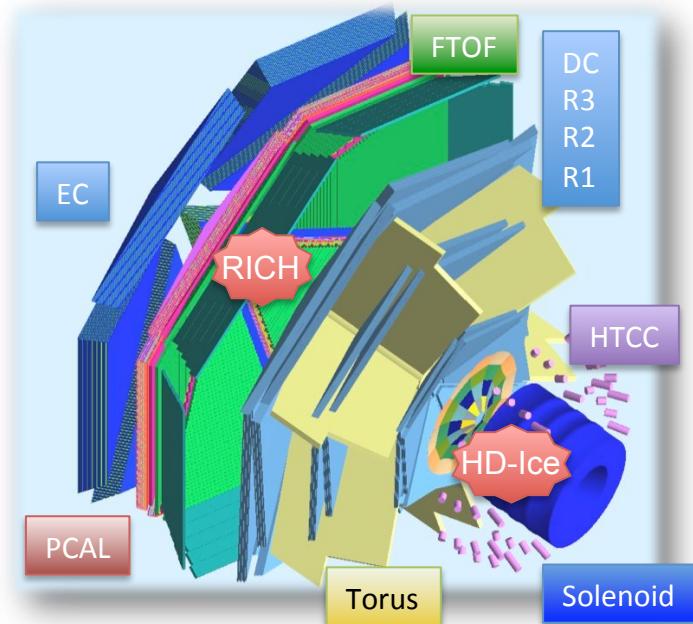
(including 20 days for calibrations, empty target runs, supportive tests, etc.)

BACK UP SLIDES

Requirements

The proposed experiment requires:

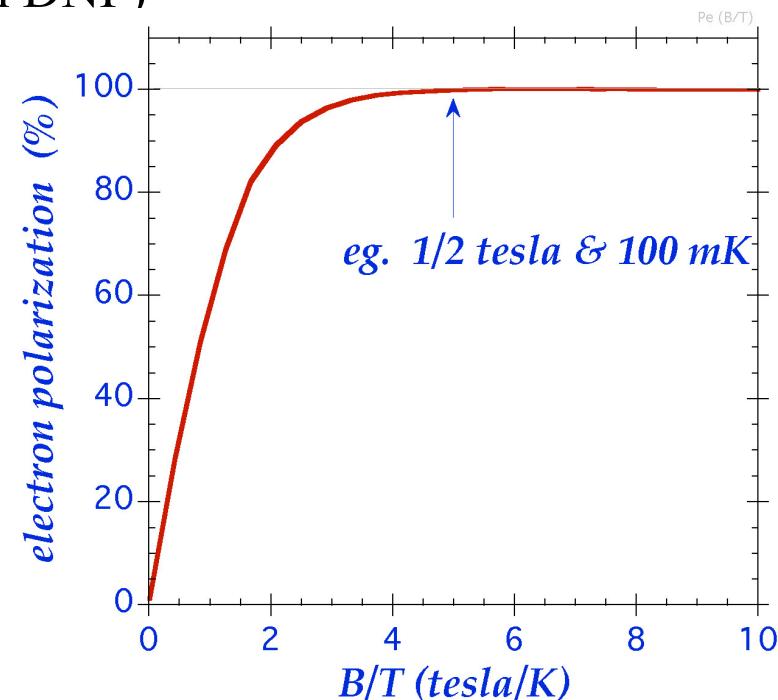
- Control over background contributions:
 - nuclear background
 - vector meson decays
 - target fragmentation
- Full kinematical coverage:
 - large pT (link to perturbative regime + Bessel extraction)
 - large Q₂ (control on higher-twists)
- Particle ID:
 - kaons versus pions
 - π^0 versus charged pions
 - di-hadrons



Potential for transverse HD with e⁻

e.g. 4 nA of e⁻ on 5 cm of HD → Luminosity = 10^{34} cm⁻² s⁻¹
→ 10 mW of beam heating

- low temperatures not required to hold HD spins
(polarization mechanism very different from DNP)
 - paramagnetic centers / ionized electrons will have no effect if they are polarized
- requires only *short* ~1/2 tesla fields
 - field uniformity not important for HD
 - $BdL \sim 0.1 Tm$ → no beam deflection
- requires sufficient cooling to maintain a few hundred mK
 - tests with Roots circulation in May/12



Transversely Polarized HD-Ice Target



Composition for a 10cm, 1.5cm OD solid HD-Ice target

Material	gm/cm ²	mass fraction (%)
HD	0.735	82%
Al	0.155	14%
CTFF	0.065	4%

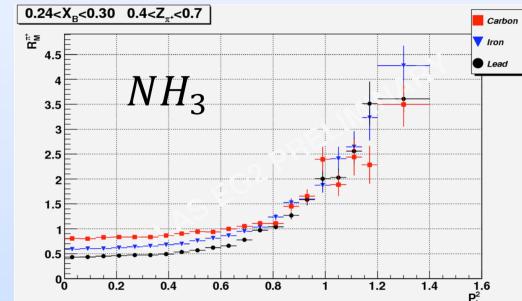


Heat extraction is accomplished with thin aluminum wires running through the target
The target polarization (75% H and 40 % D) will be measured with a NMR system

HD-Ice target vs standard nuclear targets

Advantages:

1. Small dilution (fraction of events from polarized material)
2. Less nuclear background (no nuclear attenuation)
3. Wider acceptance (small field)
4. H and D polarizations independently controlled
5. Small field ($\beta Bd \sim 0.005\text{-}0.05 \text{ Tm}$) → beam deflection negligible
6. Less radiation length (small Z) → reduced background from Bremsstrahlung photons

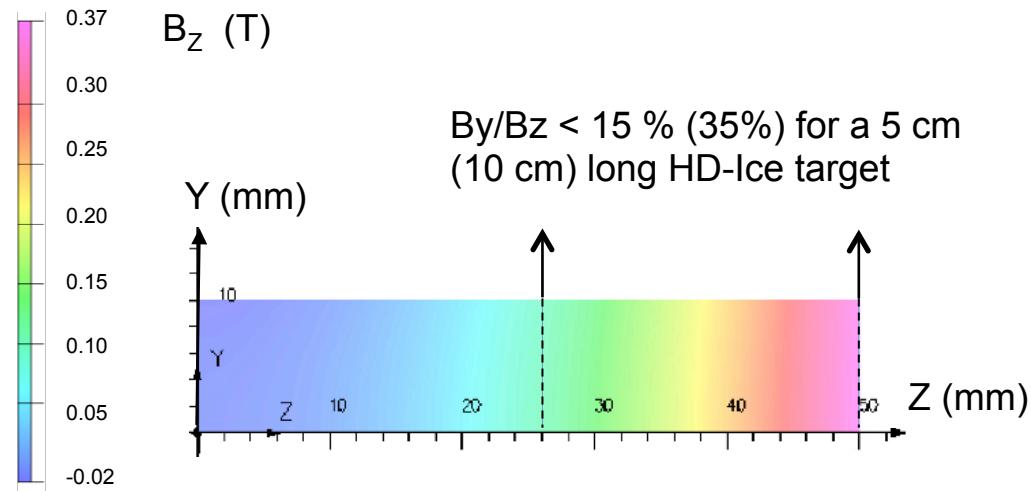


Disadvantages:

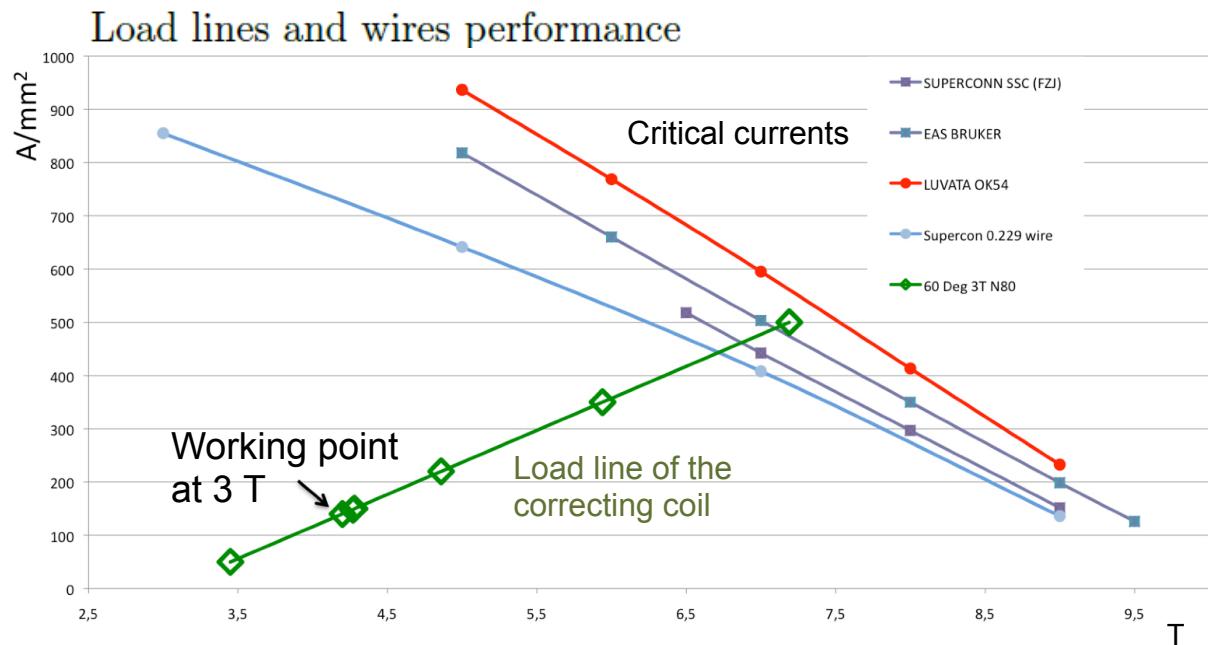
1. HD target is highly a complex device with very long polarizing times (months).
2. Need to demonstrate that can remain polarized for long periods with an electron beam

TT magnet Working Point

- ❖ Comparable with mixing due to polarization transverse to beam
- ❖ Can be improved with reduction of large-angle acceptance
- ❖ Final design depending on target dimensions



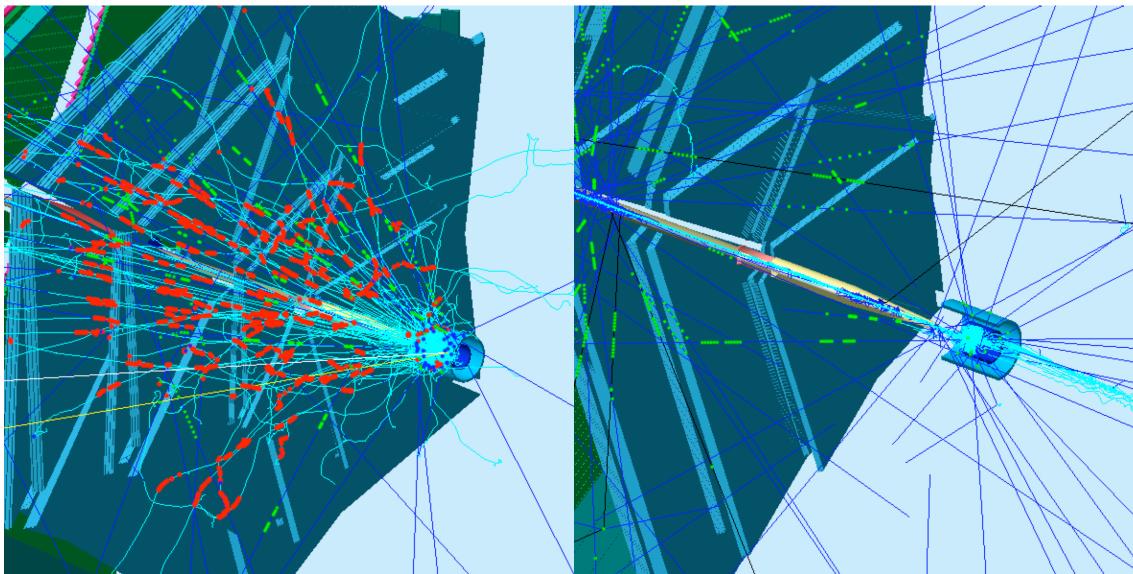
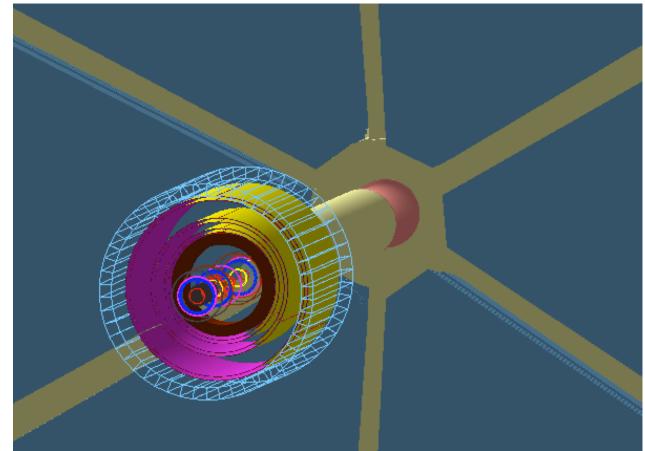
- ❖ Large safety margin
- ❖ Feasible with standard SC wires
- ❖ Enough freedom for performance optimization



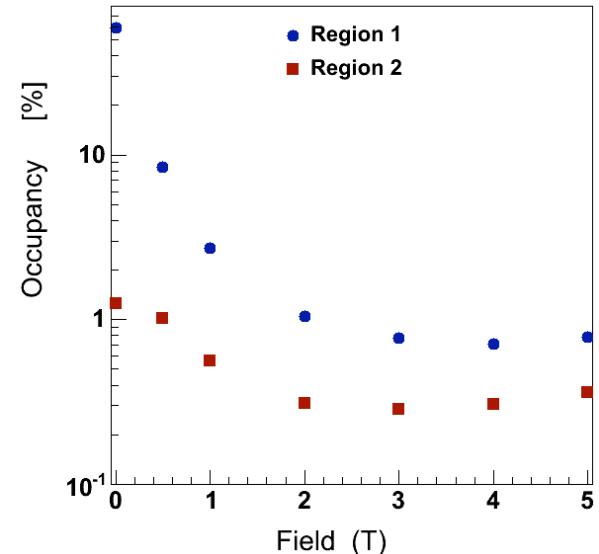
TT Magnet Configuration

- The HD-Ice target transverse polarization is maintained with a transverse magnet (saddle coil, 0.5 – 1 T)
- Operation with a transversely polarized target requires shielding from the longitudinal magnetic field provided by the main solenoid

parameter	Central detector solenoid	compensating solenoid	NMR coil
inner radius (mm)	471	105	37.5
outer radius (mm)	650	135	38.5
length (mm)	1225	121	400
current density @ 3 T (A/mm ²)	18.2	148	400

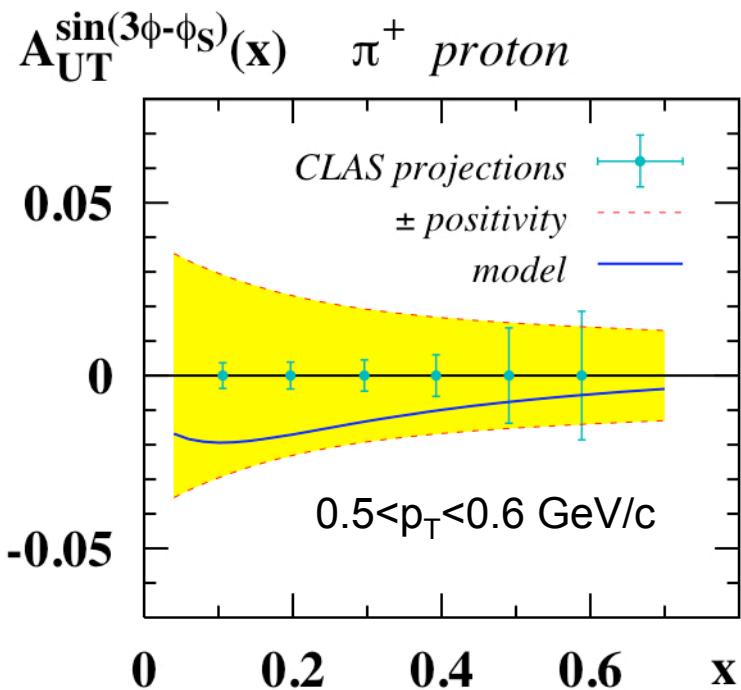


Drift Chamber Occupancy



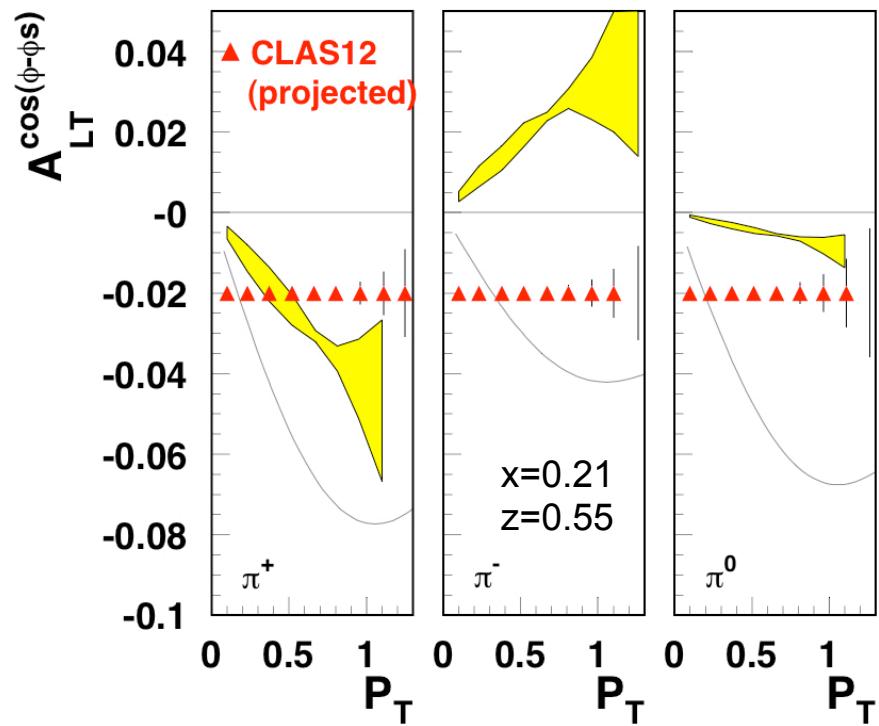
Statistical precision

Projections for a 2D analysis
($x-p_T$) of the Ptretzelosity asymmetry



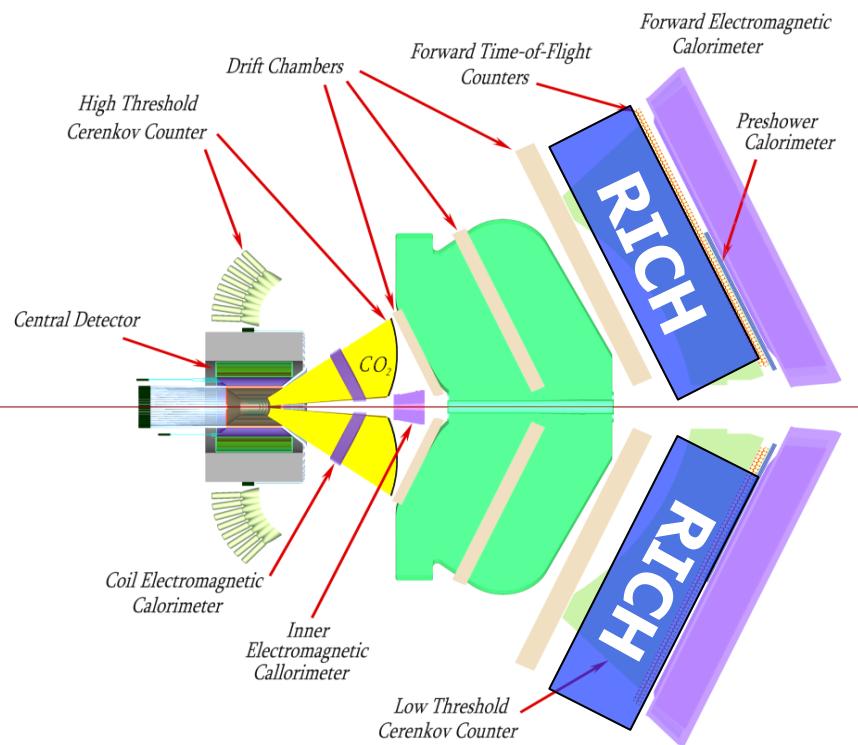
Curve: relativistic covariant model
arXiv: 0812.3246

Statistical error for
double spin asymmetry



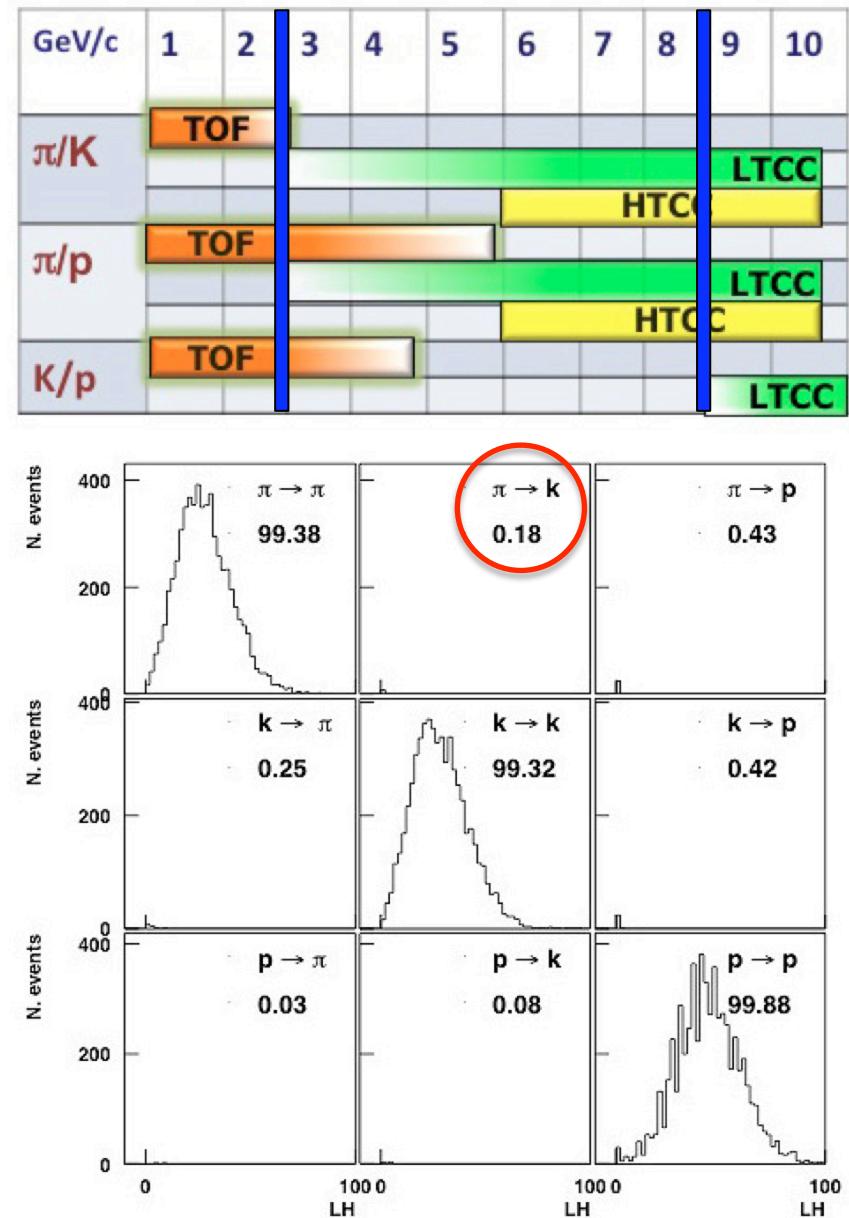
Curve: light-cone constituent quark model
Phys. Rev. D79 (2009)
Band: 2 transverse gaussian width
Phys Rev D73 (2006)

The RICH Detector



full pion / kaon / proton separation
over whole accessible momentum
range of 2 – 8 GeV for SIDIS exp.

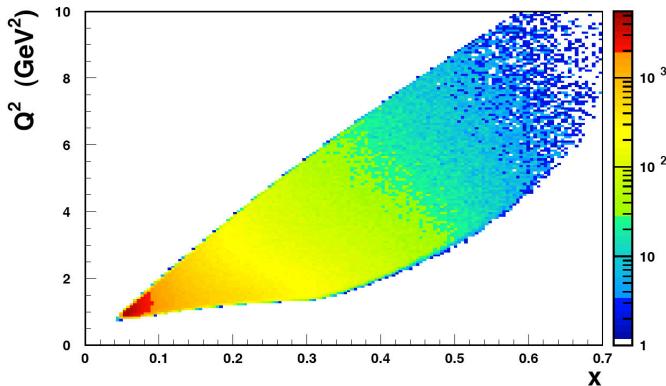
π/K separation of **4-5 σ** @ 8 GeV/c
for a rejection factor **1:1000**
Ratio K/ π ~ 0.1-0.15 for SIDIS experiments



CLAS12 Kinematic Coverage

$0.05 < x < 0.6$ for $Q^2 > 1 \text{ GeV}^2$

Cover valence region at several GeV Q^2



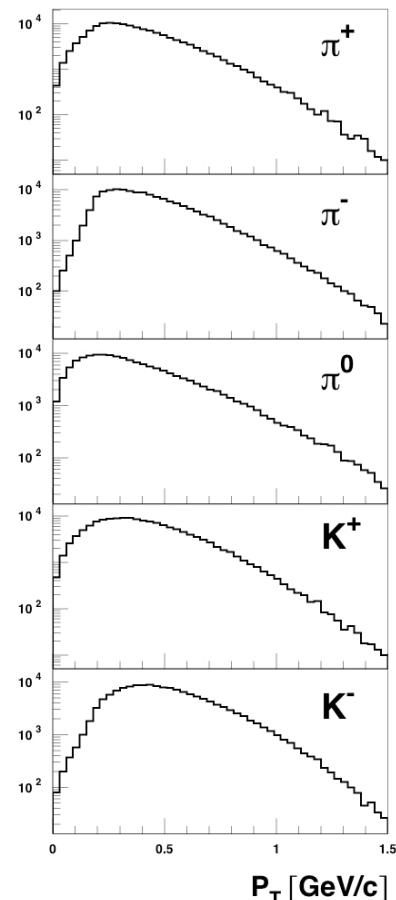
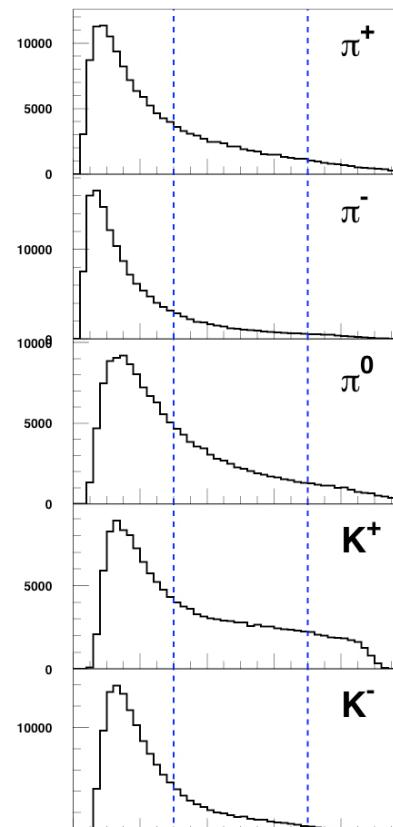
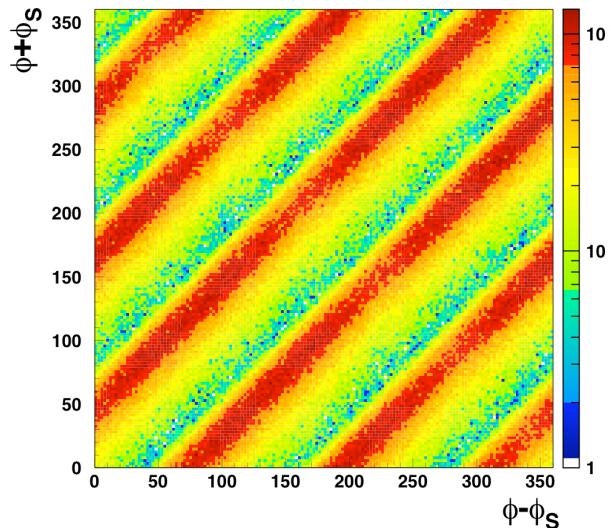
$0.3 < z < 0.7$

Current fragmentation
No exclusivity corner

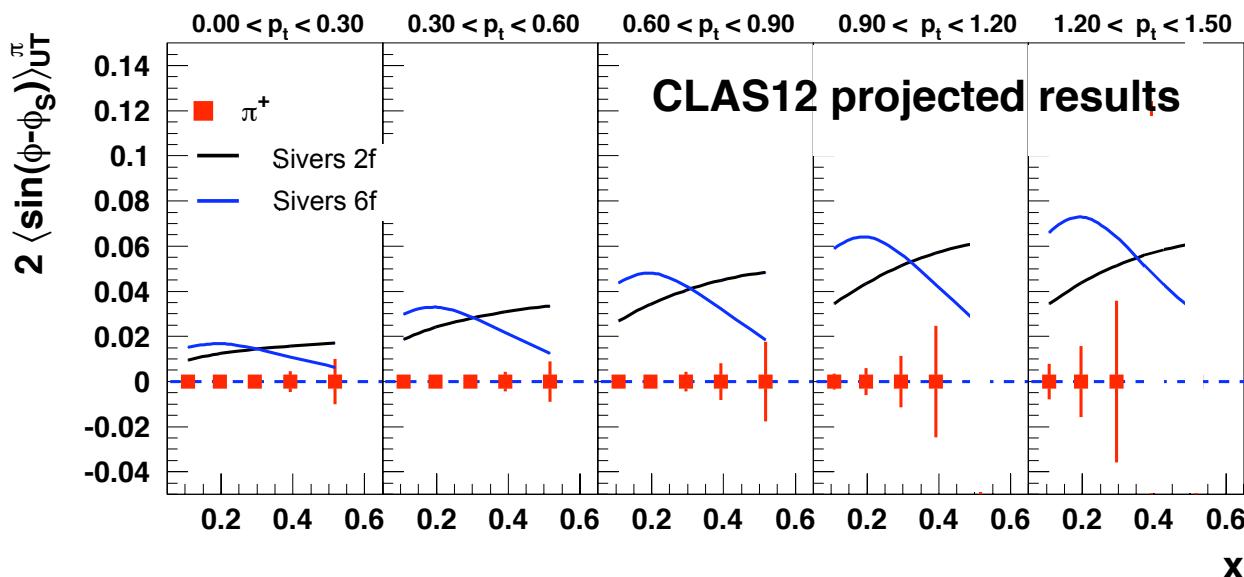
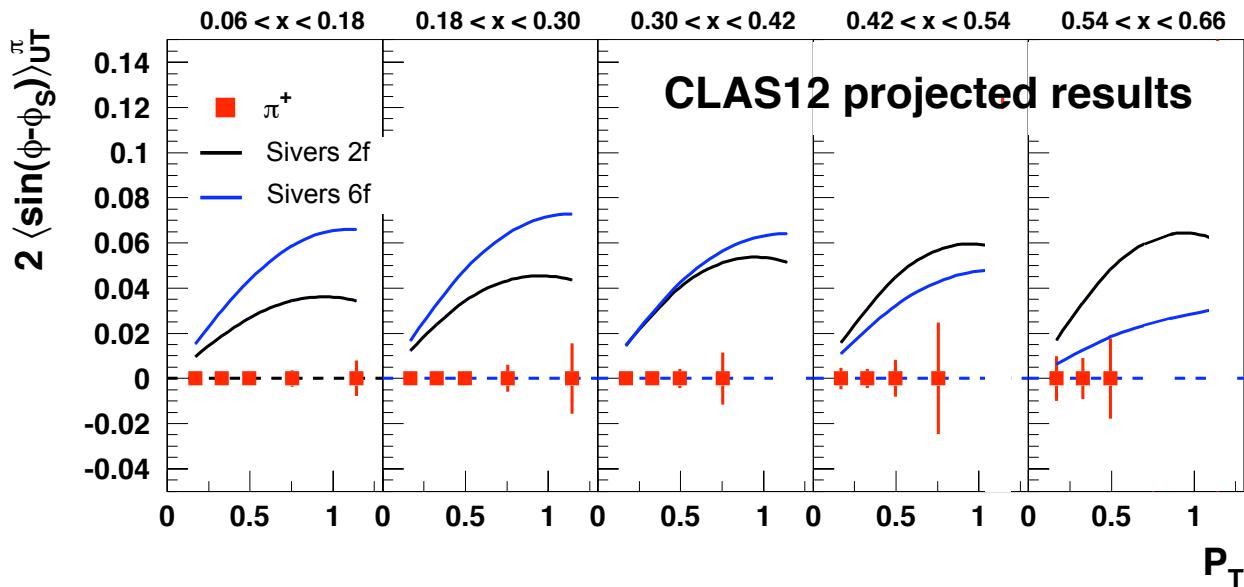
$P_T > 1 \text{ GeV}/c$

Limit given by
cross-section

Azimuthal angular coverage

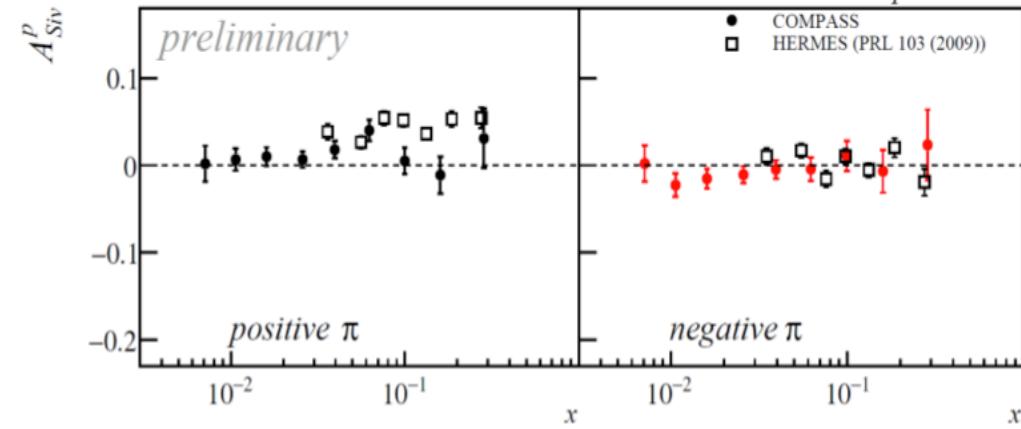


CLAS12 Kinematic Coverage



The Sivers effect

$$f_{1T}^\perp \otimes D_1$$



Disagreement for pions !?

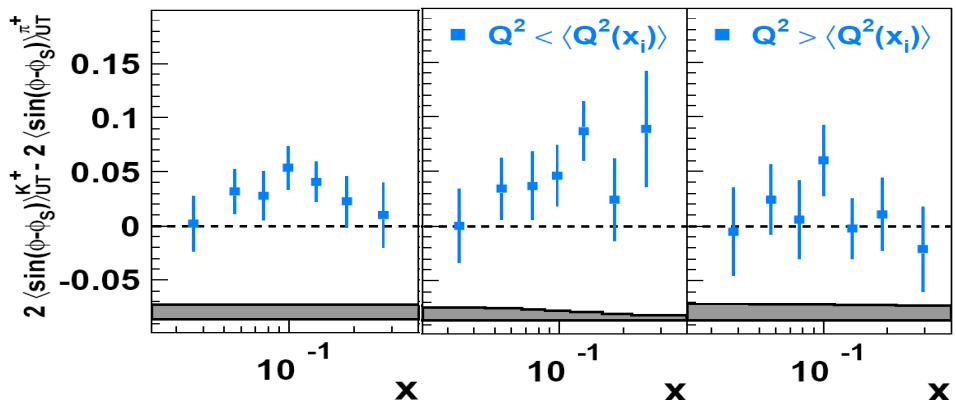
Q^2 evolution

Higher-twist contributions

Sudakov suppression



π^+/\bar{K}^+ production dominated by u-quarks, but HERMES observes a difference at low- Q^2



Kaon signal larger than pion

Non trivial role of sea quarks

$$\pi^+ = |u\bar{d}\rangle, K^+ = |u\bar{s}\rangle$$



Impact of different k_T dependence of FFs in the convolution integral



Higher-twist contrib. for Kaons



P_T -dependence Extraction

The **product** of TMDs and fragmentation function in **Fourier space** is related to the weighted integral of the cross section : arXiv:1107.5294

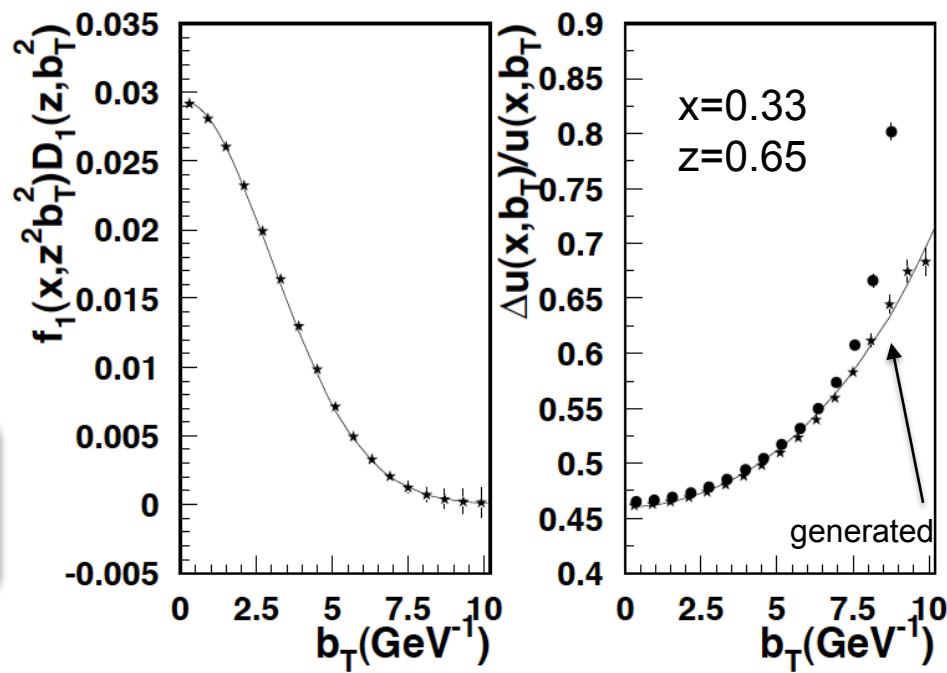
$$2\pi \int_0^{\frac{\pi}{2}} d\phi_h \int_0^\infty d|\mathbf{P}_{h\perp}| |\mathbf{P}_{h\perp}| J_0(|\mathbf{P}_{h\perp}| |\mathbf{b}_T|) \left[\frac{d\sigma}{dx_B dy d\phi_S dz_h d\phi_h} |\mathbf{P}_\perp| d|\mathbf{P}_{h\perp}| \right] \quad J_0 = \text{Bessel function}$$

$$\tilde{f}_1, \tilde{D}_1 = \text{TMDs Fourier transf.}$$

$$= \frac{\alpha^2}{yQ^2} \frac{y^2}{(1-\epsilon)} \left(1 + \frac{\gamma^2}{2x_B}\right) \sum_\alpha e_\alpha^2 \left\{ \tilde{f}_1^a(x, z^2 \mathbf{b}_T^2) + S_\parallel \lambda_e \sqrt{1-\epsilon^2} \tilde{g}_1^a(x, z^2 \mathbf{b}_T^2) \right\} \tilde{D}_1^a(z \mathbf{b}_T^2)$$

- The formalism in **\mathbf{b}_T -space** avoids convolutions → easier to **perform a model independent analysis**
- The transformation depends on the parameter $|\mathbf{b}_T|$
- P_T range of CLAS12 allows extraction of b_T dependences for all relevant range of b_T (up to 2 fm)

→ After acceptance correction (stars) the b_T -dependence of the $\Delta u/u$ ratio has been recovered in a wide range of b_T



The Bessel sums

$$\Delta u(x, b_T)/u(x, b_T) = \frac{S_\pi^{pol+} - S_\pi^{pol-}}{S_\pi^{unp+} + S_\pi^{unp-}}$$

$$S_\pi^{unp\pm}(x_i, z_i, b_{Tj}) = \sum_{i=1}^{N_\pi^+/N_\pi^-} J_0(b_{Tj} P_{Ti}) / A(x_i, y_i)$$

$$S_\pi^{pol\pm}(x_i, z_i, b_{Tj}) = \sum_{i=1}^{N_\pi^+/N_\pi^-} J_0(b_{Tj} P_{Ti}) / A(x_i, y_i) / \sqrt{1 - \epsilon}$$

$$A(x, y) = \frac{\alpha^2}{x_B y Q^2} \frac{y^2}{(1 - \varepsilon)} \left(1 + \frac{\gamma^2}{2x_B} \right)$$

The Unbinned ML method

The event distribution and probability density distribution for target polarization distribution $\rho(P)$

$$CN(P, x, y, z, P_t, \phi, \phi_S) = \rho(P) \epsilon(x, y, z, P_t, \phi, \phi_S) \underline{\sigma}_{UU}(x, y, z, P_t) \times \\ \left\{ 1 + A_{UU}^{\cos\phi}(x, y, z, P_t) \cos\phi + A_{UU}^{\cos 2\phi}(x, y, z, P_t) \cos(2\phi) \right. \\ \left. + P [A_C(\lambda_1, x, y, z, P_t) \sin(\phi + \phi_S) + A_S(\lambda_2, x, y, z, P_t) \sin(\phi - \phi_S)] \right\} \\ \equiv F(\lambda_1, \lambda_2, P, x, y, z, P_t, \phi, \phi_S)$$

All terms 

the parameter-independent factor $\epsilon \underline{\sigma}_{UU}$ can be omitted
in the numerator of the Likelihood:

$$\mathcal{L}(\lambda_1, \lambda_2) = \prod_{i=1}^N \frac{F(\lambda_1, \lambda_2, P_i, x_i, y_i, z_i, P_{ti}, \phi_i, \phi_{Si})^{W_i}}{\mathcal{N}(\lambda_1, \lambda_2)^{W_i}}$$

W_i are event weights (from e.g. RICH Pld)

In a binned analysis residual acceptance dependence because of integrated quantities
(acceptance/efficiency does not factorize) \longrightarrow systematics

The Unbinned ML method

In the PDF normalization integral,

$$\mathcal{N}(\lambda_1, \lambda_2) = \int dP dx dy dz dP_t d\phi d\phi_S \rho(P) \varepsilon(x, y, z, P_t, \phi, \phi_S) \underline{\sigma}_{UU}(x, y, z, P_t) \times$$
$$\left\{ 1 + A_{UU}^{\cos\phi}(x, y, z, P_t) \cos\phi + A_{UU}^{\cos 2\phi}(x, y, z, P_t) \cos(2\phi) \right.$$
$$+ \left. P [A_C(\lambda_1, x, y, z, P_t) \sin(\phi + \phi_S) + A_S(\lambda_2, x, y, z, P_t) \sin(\phi - \phi_S)] \right\}$$

All terms 

the denominator is independent of λ_1 and λ_2 and can be ignored
in the likelihood maximization, if the whole data set has no net polarization:

$$\int dP P \rho(P) = 0$$

Rapid cycling of the target spin states is crucial !