The Large-area Hybrid-optics CLAS12 RICH Detector: Tests of Innovative Components

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Abstract

A large area ring-imaging Cherenkov detector has been designed to provide clean hadron identification capability in the momentum range from 3 GeV/c up to 8 GeV/c for the CLAS12 experiments at the upgraded 12 GeV continuous electron beam accelerator facility of Jefferson Lab, to study the 3D nucleon structure in the yet poorly explored valence region by deep-inelastic scattering, and to perform precision measurements in hadronization and hadron spectroscopy. The adopted solution foresees a novel hybrid optics design based on aerogel radiator, composite mirrors and high-packed and high-segmented photon detector. Cherenkov light will either be imaged directly (forward tracks) or after two mirror reflections (large angle tracks). The preliminary results of the individual detector components tests and of the prototype performances at the test-beams are here reported.

Keywords: Cherenkov radiation, Multi-anode photomultipliers, Silicon photomultiplier, proximity-focusing RICH, Aerogel

1 1. Introduction

Jefferson Lab (JLab) (VA, USA) is currently undergoing an 2 upgrade program which involves the doubling of the energy of 3 its electron beam from 6 GeV to 12 GeV and the enhancement 4 of detector capabilities in the existing experimental halls. In 5 Hall B, the CLAS12 detector will receive polarised beams of 6 maximum energy 11 GeV and luminosity up to 10^{35} cm⁻²s⁻¹, 7 providing a world-leading facility for the study of electron-8 nucleon scattering with close to full angular coverage [1]. The 9 physics program is extremely broad [2], but in particular will ²⁴ 10 focus upon 3D imaging of the nucleon through the mapping ²⁵ 11 of generalized and transverse momentum dependent parton dis-26 12 tributions at unprecedented high Bjorken x [3]. In particular 27 13 three approved experiments demand an efficient hadron identi-28 14 fication across the entire momentum range, from 3 to 8 GeV/c ²⁹ 15 and scattering angles up to 25 degrees. A pion rejection power 30 16 of about 1:500 is required to limit the pion contamination in ³¹ 17 the kaon sample to a few percent level. The CLAS12 base-32 18 line comprises a time-of-flight system (TOF), able to efficiently 33 19 identify hadrons up to a momentum of about 3 GeV/c, and 34 20 two Cherenkov gas detectors of high (HTCC) and low (LTCC) 35 21 threshold, reaching the needed pion rejection power only close 36 22 to the upper limit of hadron momenta (around 7 GeV/c) and 37 23



Figure 1: The CLAS12 hybrid optics design (see text for details).

anyhow not able to distinguish kaons from protons. A ringimaging Cherenkov detector (RICH) has been proposed, replacing at least two symmetric LTCC radial sectors out of the total six, to achieve the needed hadron identification and accomplish the physics program. The radial sectors have projective geometry, gap depth of 1.2 m and about 5 m² entrance windows. Simulation studies favor a hybrid imaging RICH design incorporating aerogel radiators, visible light photon detectors, and a focusing mirror system [4, 5].

The focusing mirror system (see Fig. 1) will be used to reduce the detection area instrumented by photon detectors to about 1 m² per sector, minimizing costs and influence on the detectors (TOF and Calorimeters) positioned behind the RICH. For forward scattered particles ($\theta < 13^{\circ}$) with momenta p = 3 -

8 GeV/c, a proximity imaging method with thin (2 cm) aerogel 38 and direct Cherenkov light detection will be used. For larger 39 incident particle angles of $13^{\circ} < \theta < 35^{\circ}$ and intermediate mo-40 menta of p = 3 - 6 GeV/c, the Cherenkov light will be focused 41 by a spherical mirror, undergo two further passes through the 42 thin radiator material and a reflection from planar mirrors be-43 fore detection. The longer path of light and the focusing mirror 44 allows the use of a thick (6 cm) aerogel to compensate yield 45 losses into the thin radiator. 46

47 **2. The RICH Component Tests**

48 2.1. Aerogel Radiator

The best radiator for RICH hadron identification in the few 49 GeV momentum range is silica aerogel, an amorphous solid 50 network of SiO₂ nanocrystals with a very low macroscopic den-51 sity and a refractive index in between gases and liquids. It has 52 been successfully used as radiator material for RICH detectors 53 in several particle physics experiments [6] and is planned for 54 future use [7]. A systematic characterization has been carried 55 out in laboratory and during test beams on a variety of aero-56 gel samples from different producers and refractive indexes in 57 the range n=1.04-1.06 identified to provide sufficient photon 58 yield. The most studied has been the aerogel from the Budker 59 and Boreskov Catalysis Institutes of Novosibirsk [8], because 60 it conjugates high-transparency with flexibility in geometrical 61 parameters (area and thickness). 62

Precise measurements of the aerogel transmittance as a func- ⁹³ tion of the light wavelength are being performed using a ⁹⁴ Lambda 650 S PerkinElmer spectrophotometer. During the pro- ⁹⁵ totyping, the production technique and the resulting quality of ⁹⁶ the Russian aerogel has been significantly improved in time. ⁹⁷ Presently, a clarity of the order of $0.0050 \,\mu \text{m}^4 \text{cm}^{-1}$ for a n=1.05 ⁹⁸ refractive index has been achieved. ⁹⁹

In order to study the chromatic dispersion, estimated to be 70 among the largest contributions to the Cherenkov angle resolu-100 71 tion, one needs precise measurements of the aerogel refractive101 72 index as a function of the light wavelength. Different meth-102 73 ods were employed, see Fig. 2. The prism method allows103 74 to measure the refractive index through the Snell-Descartes104 75 formula [9]. The measurements were performed using the105 76 monochromatic beam extracted from the spectrophotometer,106 77 focused by a series of lenses and recorded by a CCD camera.107 78 As a second method, the dependence of the refractive index₁₀₈ 79 on the photon wavelength has been studied by applying optical¹⁰⁹ 80 filters just after the n=1.05 aerogel radiator in a RICH proto-110 81 type tested with a 8 GeV/c pion beam (see next Section). The111 82 set of available filters allowed to span the entire range of rele-112 83 vant wavelengths, from 300 to 650 nm, in steps of 50 nm. At₁₁₃ 84 the reference wavelength of 400 nm, the measured $n = 1.0492_{114}$ 85 \pm 0.0004 refractive index is in agreement with the valued de-115 86 rived from the known aerogel density of $\rho = 0.230$ g/cm³ and 116 87 the relation $n^2 = 1 + 0.438\rho$. The data points are consistent with₁₁₇ 88 the dispersion model used in input to the RICH simulations, in₁₁₈ 89 which the aerogel refractive index is derived as a combination₁₁₉ 90 of those of its air and quartz components [10]. Due to local in-120 91 homogeneities, the refractive index can change significantly (up121 92



Figure 2: Aerogel dispersion measured with the spectrometer beam and the prism method (top) and with the RICH prototype and 8 GeV/c pion beam by using optical filters (bottom) on two different n=1.05 aerogel tiles. The continuous lines are fits of the data using the Sellmeier formula, with the gray band showing the uncertainty due to fitted parameter errors. The data points are compared with the dispersion model used in input to the RICH Monte Carlo simulations (dashed line).

to $\delta n \approx 10^{-3}$) throughout the tile. The prism method allows to determine the refractive index only in the proximity of the tile edges, whereas the test-beam measurements are time consuming. A complementary approach has been commissioned based on the gradient method [11]. Preliminary results indicate that inhomogeneities contribute to the Cherenkov angle resolution much less than the chromatic dispersion.

2.2. Photon Detector

As confirmed by simulation studies [4], the photon detector must provide a spatial resolution of less than 1 cm to not degrade the Cherenkov angle resolution in the CLAS12 RICH geometry. The Hamamatsu H8500 multianode photomultiplier tubes (MA-PMTs) have been selected as a candidate being an effective compromise between detector performance and cost. It comprises an 8x8 array of pixels, each with dimensions 5.8mm x 5.8 mm, into an active area of 49.0mm x 49.0 mm with a very high packing fraction of 89%. The device offers a spectral response matching the spectrum of light transmitted by the aerogel, with a quantum efficiency peaking at 400 nm, and a fast response (less than 1 ns rise time) useful to suppress the background.

Although the H8500 MA-PMT is not advertised as the optimal device for single photon detection purposes, several units have been characterized in laboratory tests and used in testbeams of RICH prototypes with a dedicated electronics, achieving performances adequate to the CLAS12 RICH requirements. The uniformity of the H8500 response has been extensively studied with a pico-second pulsed laser. The typical gain variations in the pixel response, of the order of 1:2, can be eas-



Figure 3: Top: Average fraction of single photoelectron signal losses as a function of the average gain for different MA-PMTs operated at 1040 V and illuminated by a 405 nm laser wavelength. Two H12700 demonstrators are compared to a sample of 28 H8500 MA-PMTs. Bottom: Normalized response map of a H8500 (left) and a H12700 (right) MA-PMT, obtained by scanning a 8×8 mm² area with a pico-second pulsed laser spot with 80 μ m diameter and 638 nm wavelength.

ily compensated by the readout electronics. Sub-mm precision n_{155}^{104} 122 scans are used to study the PMT response in dead space areas, 123 and to evaluate the true active areas of the pixels [12]. Fur-124 ther characterization tests performed include: crosstalk studies,156 125 where magnitudes of less than 5% are extracted with both blue 126 and red laser wavelengths, and the fraction of single photoelec-157 127 tron signal lost below the pedestal threshold, which is mini-128 mized to less than 15% through operation at 1040V high volt-159 129 age or above, see Fig. 3. In view of possible future upgrades,₁₆₀ 130 two demonstrators of a novel H12700 multi-anode PMT, with₁₈₁ 131 same layout of the H8500 but optimized dynode structure, has162 132 been tested yielding promising results in terms of single photon,163 133 resolution, see Fig. 3. 134

The fast developing silicon photomultipliers represent a pos-165 135 sible cost-effective alternative for future upgrades of the detec-166 136 tor. A small prototype was used to study the performance of 167 137 3x3 mm²silicon multi-pixel photon counter (MPPC) matrices¹⁶⁸ 138 with a 3 cm n=1.05 aerogel and 36 cm gap. A commercial 8x8169 139 MPPC matrix was compared to two customized 8x4 MPPC ma-170 140 trices with an embedded pre-amplification stage. All the matri-171 141 ces were controlled in temperature by means of water cooled172 142 Peltier cells. The response to the Cherenkov light was stud-173 143 ied within a temperature interval ranging from 25 down to -25174 144 Celsius degrees. The MPPC signal hits were selected by a rel-175 145 atively broad trigger time coincidence of ± 3 ns, driven by the 176 146 external trigger jitter. This limited the dark count background¹⁷⁷ 147 rejection at high temperature, where the working point had to178 148 be carefully selected for each of the pixels in order to optimize179 149 and equalize the matrix response. At low temperature, a much₁₈₀ 150 more stable and uniform response could be achieved in a large₁₈₁ 151 interval of bias voltage and discriminating threshold values: a182 152 30-40% higher than H8500 single photon detection efficiency₁₈₃ 153



Figure 4: Online results of one of the custom made MPPC matrices operated at -25 degrees. The time difference between any MPPC hit and the trigger (within a 30 ns window) is shown in the top plot for all the pixels. The signal and background occupancies (hits over triggers ratio) in a 3 ns time coincidence within the trigger are shown for pixel 75 (highlighted in the top plot) in the bottom plots, respectively, as a function of the bias voltage and for different discriminator thresholds. The bias voltage is referred to the nominal value at 25 degrees of 72.8 Volt.

was recorded while approaching a manageable 10^{-4} dark count background occupancy, see Fig. 4.

3. The large-size RICH Prototype

Testbeam studies of a large-size prototype RICH detector were performed at the T9 beam line in the CERN-PS East Area, with hadron beam particles of 6-8 GeV/c momentum. Two gaseous electron multipliers chambers with $10x10 \text{ cm}^2$ area and readout in 256 strips for both x and y were used for beam particle tracking. A threshold Cherenkov CO₂ gas counter, which was provided in the T9 beam area, was used to tag beam pions.

Two setups were mounted inside a large (approximately 1.6x1.8x1.6 m³) light-tight box, to study direct and reflected light imaging modes individually. The Cherenkov light was detected by a circular array of 28 MA-PMTs, alternated of the type H8500C with normal glass and H8500C-03 with UV glass for systematic studies. The MA-PMTs were mounted on a circular support and could be radially moved so to intercept the Cherenkov ring produced with different opening angles depending on the chosen refractive index. The prototype read-out electronics was based on the MAROC3 chip and derived from medical imaging applications. Each 5x5 cm² front-end MAROC card served a 64 channel multi-anode PMT. The controller board could host up to 64 Front-End cards allowing to concentrate thousands of readout channels in a very compact layout.

The direct light case reproduces the 1 m gap of the CLAS12 geometry, see Fig. 5. In the early stages of data analysis, an average yield of 12 photo-electrons and a π/K separation close to the goal value of 4σ in units of Cherenkov angle resolution have been obtained with a 2 cm n=1.05 aerogel up to the maximum



Figure 5: Direct light configuration of the test beam prototype. Top left: Side view diagram illustrating the setup. Top right: The Cherenkov ring coverage is about 80% for a n=1.05 refractive index. Bottom left: Photo of the detector plane. Bottom right: Cherenkov angle distributions for 8 GeV/c pions tagged by the T9 gas Cherenkov (blue) compared with those of kaons and protons (red).

beam momentum of 8 GeV/c. A better performance is anticipated for the final detector by increasing the MA-PMT packing²¹⁷
factor and using a uniform sample of MA-PMTs with the same²¹⁸
type of glass window. Several aerogel thicknesses, transparen-²¹⁹
cies and refractive indexes (in the range 1.04-1.06) were tested²²⁰
and their corresponding impact on the RICH prototype perfor-²²¹
mance are under study for further optimization.

The main aim of the reflected light case was the study of the²²³ 191 concept of double reflection with multiple passes through the²²⁴ 192 aerogel, in particular investigating the Cherenkov light yield²²⁵ 193 loss and the contributions to the Cherenkov angle resolution.²²⁶ 194 The prototype allowed to test all the optical components and²²⁷ 195 validate their Monte Carlo description, even though the geomet-228 196 rical constraints of the prototype did not allow to reproduce the²²⁹ 197 CLAS12 reflected light path length and to put the MA-PMTs²³⁰ 198 on the mirror focal plane, see Fig. 6. The Cherenkov light pro-231 199 duced by a 6 cm thick n=1.05 aerogel were first reflected by²³² 200 a spherical mirror with focal length of 0.9 m and then by a 201 circular array of eight 11.5x11.5 cm² planar mirrors towards₂₃₃ 202 the MA-PMTS wall. The supports of the planar mirrors are 203 designed to allow the insertion of tiles of aerogel, in order to $^{234}_{235}$ 204 study their photon yield absorption. No significant degradation $\frac{1}{236}$ 205 of the net Cherenkov angle resolution except for the expected237 206 60% light yield loss were observed. These preliminary results²³⁸ 207 validate the CLAS12 RICH concept. Currently investigations²³⁹₂₄₀ 208 are underway to extract final light yield and ring resolution re-241 209 sults, to be also used for model inputs in the CLAS12 RICH242 210 243 simulation. 211 244

212 4. CLAS12 RICH Expected Performances

The CLAS12 RICH detector is simulated within the CLAS12 Geant4 framework. The description of the different optical elements is based on laboratory characterizations and the prototype test results above reported. The mirror geometry has been



Figure 6: Reflected light configuration of the test-beam prototype. Top left: Side view diagram illustrating the setup. Top right: Photo of the detector plane together with the spherical mirror, and of the plane mirror array partially covered by the aerogel tiles. Bottom left: The Cherenkov ring coverage is 60% for a n=1.05 refractive index. Bottom right: Cherenkov radius resolution as a function of the photo-electron number for the two cases with and without absorber (aerogel) in front of the planar mirrors.

studied with ray tracing algorithms and FEM analyses and the mirror reflectivity has been assumed to follow a realistic wavelength dependence. The peculiar hybrid optics demands for a smart and robust pattern recognition algorithm even though for the semi-inclusive deep-inelastic-scattering events of interest, a low multiplicity of 0.7 charged track per sector is anticipated. The current development involves maximum likelihood methods comparing the pattern expected from direct ray tracing for the different hadron hypotheses with the recorded MA-PMT hits. The studied background accounts for secondaries from Moeller scattering off the target, the low level of MA-PMT dark counts, and the Rayleigh scattering in the aerogel radiator. The preliminary results indicate that a clear hadron separation, with a 1:500 pion rejection power, can be obtained in the full 3-8 GeV/c momentum range for scattering angles up to 25 degrees, ensuring the completion of the approved physics program.

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