

A. Bianconi -- SMALL FACTORIZATION BREAKING -- Ferrara 15.10.07

After recent works (2005-2007) by several authors on factorization violating processes, I consider a class of rescattering processes for which:

- (i) rescattering potentially undermines factorization
- (ii) rescattering effects are small (the meaning of “small” is discussed later).
- (iii) certain regularity properties are respected.

The presented arguments presently apply to initial state interactions only, i.e. to interactions between systems that exist at the same time. E.g., Drell-Yan.

**Relevant definitions.**

$$\begin{aligned} q(x, k_{\perp}) &= P^+ \int dz^- d^2b \exp(-ixP^+z^-) \exp(ik_{\perp}b) g(z^-, b) \\ &= \int d\xi d^2b \exp(-ix\xi) \exp(ik_{\perp}b) G(\xi, b) \end{aligned}$$

“**rescaled**” coordinate and distribution:  $\xi = P^+ z^-$  and  $G(\xi, b) = g(z^-, b)$ .

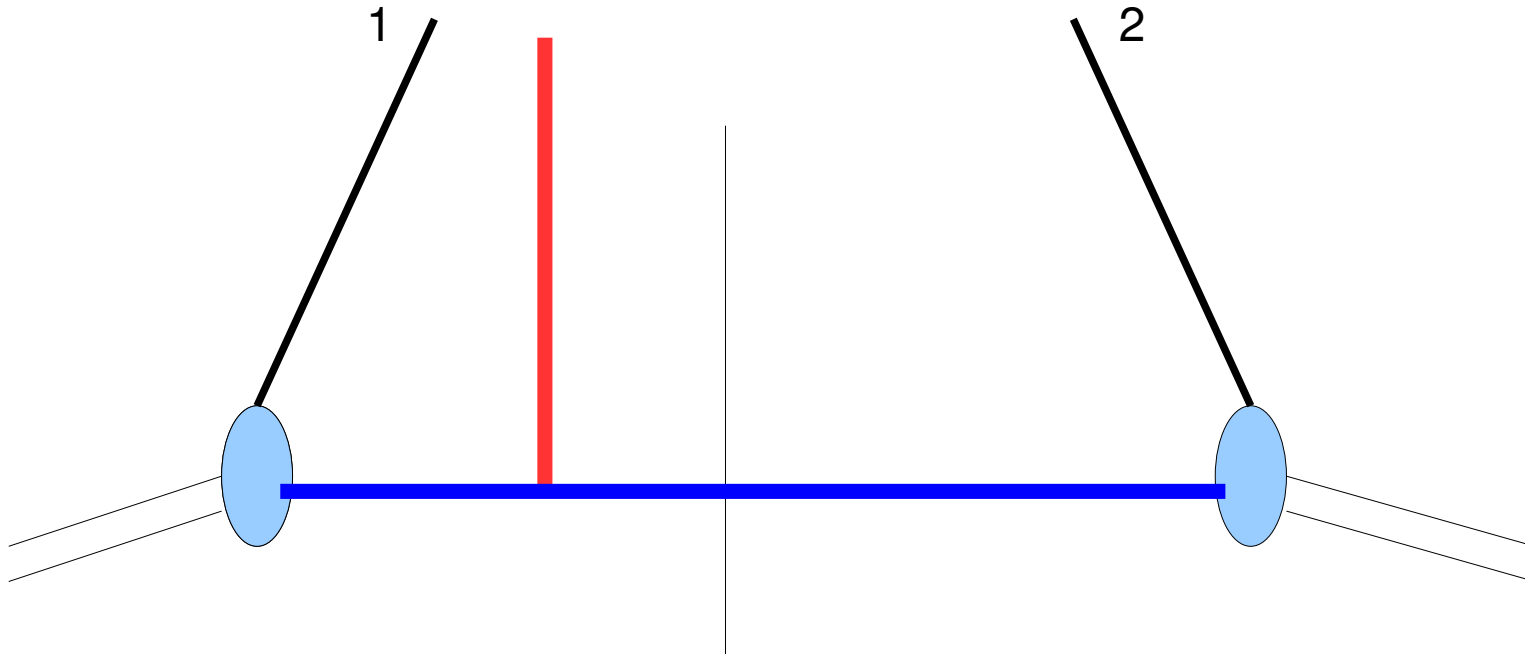
Rescaled quantities are not singular when  $P^+ \rightarrow \infty$ ,  $x = O(1)$  (scaling limit).

I will use the **mixed fourier transform**  $h(x, b)$ :

$$h(x, b) = \int d\xi \exp(-ix\xi) G(\xi, b) \quad q(x, k_{\perp}) = \int d^2b \exp(ik_{\perp}b) h(x, b)$$

$h(x, b)$  and  $G(\xi, b)$  will be indicated as  $\mathbf{h}(x)$  and  $\mathbf{G}(\xi)$  where  $b$  is not explicitly needed.

$\mathbf{G}(\xi)$  = (Imaginary part of the) amplitude for creating a **quark hole in a hadron** in the spacetime point 1 and propagating it up to 2.  $\xi \equiv \xi(2) - \xi(1)$ .



The longitudinal fraction  $x$  is the same in 1 and 2 by definition.  
In absence of rescattering (red line)  $x$  is also **locally** conserved.

**Factorization breaking rescattering removes local  $x$ -conservation.**

I use the **magnitude of  $x$ -changes** to estimate the size of rescattering **effects**.

Standard definition of parton distribution and of rescattering operator :

$$h(x) \equiv \int d\xi e^{-i x \xi} \langle P | \Psi(\xi) F_{\epsilon}(\xi) \Psi^+(0) | P \rangle$$

$F_{\epsilon}(\xi)$  is taken as **scalar**. Else, a **sum** accompanies all the following passages.

$\epsilon$  gives the overall strength of the interactions, with the condition  $F_{\epsilon} = 1$  for  $\epsilon = 0$ .

To quantify the rescattering effects, I introduce the frequency spectrum of  $F_{\epsilon}$ :

$$F_{\epsilon}(\xi) = \int d\mathbf{y} e^{-i \mathbf{y} \xi} f_{\epsilon}(\mathbf{y})$$

In particular,  $f_{\epsilon}(\mathbf{y})$  must coincide with a delta function for  $\epsilon = 0$ .

If  $f_{\epsilon}(\mathbf{y})$  is nonzero for a given finite  $\mathbf{y}$ , it means that **changes  $\mathbf{x} \rightarrow \mathbf{x} + \mathbf{y}$  are present with weight  $f_{\epsilon}(\mathbf{y})$  in rescattering processes.**

Getting back to the starting relations, I insert the definition

$$F_e(\xi) = \int dy e^{-iy\xi} f_e(y)$$

into 
$$h(x) = \int d\xi e^{-ix\xi} \langle P | \Psi(\xi) F_e(\xi) \Psi^+(0) | P \rangle$$

$$h(x) = \int d\xi e^{-ix\xi} \langle P | \Psi(\xi) \int dy e^{-iy\xi} f_e(y) \Psi^+(0) | P \rangle =$$

$$= \int dy f_e(y) \int d\xi e^{-i(x+y)\xi} \langle P | \Psi(\xi) \Psi^+(0) | P \rangle$$

$$= \int dy f_e(y) h_0(x+y) = \int dy f_e(x-y) h_0(y) \quad \text{where } h_0 \text{ is the distribution function}$$

**in absence of rescattering.**

Now a set of requirements on the effects of rescattering may be formulated in terms of the frequency spectrum  $f_e(x-x')$ .

1) Although  $f_\epsilon(y)$  is not a delta function, I require it to consist of a **peak at  $y = 0$** .

2) The peak is **regular on the real  $y$ -axis**.

3) The **width  $\epsilon$**  of the peak is small:  **$\epsilon \ll 1$** .

4)  $f_\epsilon(y)$  is **negligible for  $|y| \gg \epsilon$** .

5) For  $\epsilon = 0$ ,  $f_\epsilon(y) = \delta(y)$ .

6)  $f_\epsilon(y)$  is **causality-modified**, so that its anti-transform  $F_\epsilon(\xi)$  contains  $\theta(\xi)$ .

(this factor is present in the  $\xi$ -fourier transform defining  $q(x)$ , so it can be added or removed from  $F_\epsilon(\xi)$  without consequences, since  $\theta(\xi)\theta(\xi) = \theta(\xi)$  )

Properties (1) to (5) allow one to approximate:  $\pi f_\epsilon(y) \cong \epsilon / (\epsilon^2 + y^2)$

For  $|y|$  up to a few  $\epsilon$ -units, any regular peak may be approximated this way, and  $\epsilon / (\epsilon^2 + y^2) \rightarrow \pi \delta(y)$  for  $\epsilon \rightarrow 0$ .

The approximation has two poles  $\mathbf{y} = \pm i \epsilon$ .

Property (6) (causality) means to remove one of the two poles. So the used approximation is  $\mathbf{f}_\epsilon(\mathbf{y}) \cong i / [2\pi (\mathbf{y} + i \epsilon)]$ .

The fourier antitransform of this is  $\mathbf{F}_\epsilon(\xi) = \theta(\xi) \exp(-\epsilon\xi)$ .

The step function contains causality.

The exponential term suggests that the selected approximation for rescattering contains long-range self-neutralization properties (filtering).

This is ordinary for chaotic interactions. Here the relevant requirements are finiteness and smallness of  $\epsilon$ .

$h(x) = \int dy f_\epsilon(x-y) h_0(y)$  is dominated by the pole of  $f_\epsilon(x-y)$  at  $y = x + i\epsilon$ .

The integration range  $[0,1]$  may be mapped onto  $[-\infty, \infty]$  via  $x = e^z / (1+e^z)$ , and for the chosen form of  $f_\epsilon(x-y)$  the pole contribution to the integral is the same as if the  $y$ -range were infinite.

More simply, one may assume that all that happens far from the range  $[0-1]$  has no relevance, and make as if the range were infinite.



$$h(x) = \int dy f_\epsilon(x-y) h_0(y) \simeq h_0(x + i\epsilon) \simeq h_0(x) + i\epsilon (dh_0(x)/dx) + O(\epsilon^2)$$

The first correction to the real part is **second order in  $\epsilon$** .

This means that unless  $\epsilon$  is really  $\sim 1$ , the leading term gets **small corrections** from rescattering.

The imaginary correction contributes to two terms:

1) to the real part of the amplitude for quark hole propagation ( $h_0$  comes from the imaginary part).

2) to a T-odd contribution to the imaginary part of the quark propagation amplitude.

To see this we remind that we are working on the mixed fourier transform  **$h(x,b)$** :

$$q(x,k_T) = \int d^2b \exp(-ibk_T) h(x,b)$$

The Trento definition of Sivers function for a proton polarized along y:

$$\mathbf{q}(\mathbf{x}, k_x, k_y) = \mathbf{q}(\mathbf{x}, k_T) + k_x \mathbf{s}(\mathbf{x}, k_T) / M$$

may be b-fourier-transformed to  $\mathbf{h}_1(\mathbf{x}, \mathbf{b}^2) + i b_x \mathbf{h}_2(\mathbf{x}, \mathbf{b}^2)$

Comparing it with  $\mathbf{h}_0(\mathbf{x}) + i \epsilon (\mathbf{d} \mathbf{h}_0(\mathbf{x}) / \mathbf{d}\mathbf{x})$  we see that  $\mathbf{IF} \epsilon$  contains an **odd dependence on  $b_x$** , we have an  $O(\epsilon)$  Sivers asymmetry.

This odd-dependence produces a phase shift  $90^\circ$  in the  $b_x$ -fourier transform.

Then the imaginary term  $i \epsilon (\mathbf{d} \mathbf{h}_0(\mathbf{x}) / \mathbf{d}\mathbf{x})$  acquires the same phase as  $\mathbf{q}(\mathbf{x}, k_T)$ .

The  $b_x$ -even part of  $\epsilon$  contributes to the real part of the quark hole propagation amplitude.

### **Summarizing:**

Rescattering whose frequency spectrum is a narrow and regular peak with width  $\epsilon$  near the x-conservation condition, have average effect  $O(\epsilon^2)$  on the T-even distributions, and  $O(\epsilon)$  on the T-odd ones.